

# Equidistribution of quartic Gauss sums at primes arguments

Joint work with  
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# Quadratic Gauss sums

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Let  $p$  be an odd prime and let

$$\chi_p = \left( \frac{\cdot}{p} \right) : (\mathbb{Z}/p\mathbb{Z})^* \rightarrow \{\pm 1\} \subset \mathbb{C}^*$$

$$a \mapsto \begin{cases} 1 & a \equiv \square \pmod{p} \\ -1 & a \not\equiv \square \pmod{p} \end{cases}$$

Since  $\chi_p^2 = 1$ , it is a **quadratic (real) Dirichlet character** modulo  $p$ .

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Since  $\chi_p^2 = 1$ , it is a **quadratic (real) Dirichlet character** modulo  $p$ .

We define the quadratic Gauss sum  $g_2(p) \in \mathbb{C}^*$  by

$$g_2(p) = \sum_{a=0}^{p-1} \left( \frac{a}{p} \right) \zeta_p^a, \quad \text{where } \zeta_p = e^{2\pi i/p}.$$

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We will present a rigorous demonstration of this most elegant theorem, unsuccessfully attempted for many years in various ways, and finally successfully perfected through singular and quite subtle considerations...



## Cubic Dirichlet characters

We want

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If  $\chi_p$  is not trivial, then we must have

$$3 \mid p - 1 \iff p \equiv 1 \pmod{3}.$$

For  $p \equiv 1 \pmod{3}$ , and  $(a, p) = 1$ , let

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$$\chi_p, \chi_p^2 = \bar{\chi}_p : (\mathbb{Z}/p\mathbb{Z})^* \rightarrow \{1, \omega, \omega^2\} \subset \mathbb{C}^*.$$

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with a unique  $\theta_p \in [0, \pi]$  such that

$$g_3(p) + \overline{g_3(p)} = 2\sqrt{p} \cos \theta_p = g_3(\chi_p) + g_3(\overline{\chi}_p).$$

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Kummer (1846) computed  $\theta_p$  for  $3 \leq p \leq 500$ ,  $p \equiv 1 \pmod{3}$ , and how they distribute in the 3 possible intervals

$$I_1 = \left[0, \frac{\pi}{3}\right], \quad I_2 = \left[\frac{\pi}{3}, \frac{2\pi}{3}\right], \quad I_3 = \left[\frac{2\pi}{3}, \pi\right].$$

## Distribution of cubic Gauss sums

Kummer (1846) observed that the angles  $\theta_p$  fall in  $I_1$ ,  $I_2$  and  $I_3$  with statistical frequencies proportional to **3 : 2 : 1** when  $3 \leq p \leq 500$ ,  $p \equiv 1 \pmod{3}$ .

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Assuming GRH, Dunn and Radziwill (2021+) proved (a smooth version of) Patterson's conjecture.

## Distribution of cubic Gauss sums

$p_0$	$n$	$l_1$	$l_2$	$l_3$	
0	45	24	14	7	Kummer
0	611	272	201	138	von Neumann-Goldstine
0	1000	438	322	240	Lehmer
0	1259	552	416	291	Cassels
25 000	192	83	69	40	Cassels
30 000	119	49	40	30	Cassels
100 000	165	49	68	48	Cassels

# Equidistribution

Let  $u_1, u_2, \dots$  be a sequence of real numbers with  $u_i \in [a, b]$ . The sequence is **equidistributed on  $[a, b]$**  if for each  $I = (\alpha, \beta) \subseteq [a, b]$ , we have

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## Theorem (Weyl's criterion, 1916)

*The sequence  $u_1, u_2, \dots$  is equidistributed on  $[a, b]$  iff for each  $k \neq 0 \in \mathbb{Z}$ ,*

$$\lim_{N \rightarrow \infty} \frac{\sum_{n=1}^N e^{\frac{2\pi i u_n k}{b-a}}}{N} = 0 \iff \sum_{n=1}^N e^{\frac{2\pi i u_n k}{b-a}} = \sum_{n=1}^N e\left(\frac{u_n k}{b-a}\right) = o(N).$$

## Cubic characters on $K = \mathbb{Q}(\omega)$

For each prime  $\pi \in \mathbb{Z}[\omega]$ , and for  $a \in \mathbb{Z}[\omega]$ ,  $(a, \pi) = 1$ , we have

$$\chi_\pi(a) = \left(\frac{a}{\pi}\right)_3 \equiv a^{\frac{N(\pi)-1}{3}} \pmod{\pi} \subset \{1, \omega, \omega^2\}.$$

This gives 2 primitive characters modulo  $\pi$ ,  $\chi_\pi$  and  $\chi_\pi^2 = \bar{\chi}_\pi$ .



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Let  $p, a \in \mathbb{Z}$ ,  $p \equiv 1 \pmod{3}$ , and  $p = \pi\bar{\pi}$  and  $(a, p) = 1$ . Then,

$$\chi_p(a) = \left(\frac{a}{\pi}\right)_3 \quad \text{or} \quad \chi_p(a) = \overline{\left(\frac{a}{\pi}\right)_3} = \left(\frac{a}{\bar{\pi}}\right)_3.$$

## Cubic Gauss sums modulo $c \in \mathbb{Z}[\omega]$

We define for any  $c \in \mathbb{Z}[\omega]$ ,  $c \equiv 1 \pmod{3}$

$$g_3(c) = \sum_{a \bmod c} \left(\frac{a}{c}\right)_3 \mathbf{e}\left(\frac{a}{c}\right), \quad \mathbf{e}(z) := e^{2\pi i(z+\bar{z})}$$
$$\tilde{g}_3(c) = \frac{g_3(c)}{N(c)^{\frac{1}{2}}}$$

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Gauss showed that for any  $c \in \mathbb{Z}[\omega]$ ,  $c \equiv 1 \pmod{3}$

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We have for  $p \equiv 1 \pmod{3}$ ,  $p = \pi \bar{\pi}$ ,

$$\{\tilde{g}_3(\chi_p), \tilde{g}_3(\bar{\chi}_p)\} = \{\tilde{g}_3(\pi), \tilde{g}_3(\bar{\pi})\} = \{e^{i\theta_p}, e^{-i\theta_p}\}$$

## Cubic and general Gauss sums at prime arguments

By Weyl's criterion, the angles  $\theta_p$  are equidistributed in  $[0, \pi]$  iff for all integers  $k \neq 0$

$$\sum_{\substack{N(\pi) \leq X \\ \pi \in \mathbb{Z}[\omega] \text{ prime} \\ \pi \equiv 1 \pmod{3}}} \tilde{g}_3(\pi)^k = o(\pi(X)) = o\left(\frac{X}{\log X}\right).$$

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Conjecture (Patterson, 1978)

$$\sum_{\substack{N(\pi) \leq X \\ \pi \in \mathbb{Z}[\omega] \text{ prime} \\ \pi \equiv 1 \pmod{3}}} \tilde{g}_3(\pi) \sim \frac{2(2\pi)^{2/3}}{5\Gamma(\frac{2}{3})} \frac{X^{5/6}}{\log X}.$$

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Patterson's conjecture (a smooth version of) was proven Dunn and Radziwiłł (2021+), under GRH.

## Cubic Gauss sums at prime arguments

$$\sum_{\substack{N(c) \leq X \\ c \in \mathbb{Z}[\omega] \\ c \equiv 1 \pmod{3}}} \tilde{g}_3(c) \Lambda(c) \ll X^{30/31+\varepsilon} \quad (\text{Heath-Brown and Patterson, 1979})$$



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For a general number fields  $K$  such that  $\zeta_n \in K$ , let  $S$  be a set of places of  $K$  containing the places at  $\infty$ , and large enough such that  $\mathcal{O}_K^S$ , the ring of  $S$ -integers, is a PID. Then (Patterson, 1985)

$$\sum_{\substack{c \in \mathcal{O}_K^S \\ N(c) \leq X \\ c \pmod{x} U_n(S)}} \tilde{g}_n(c) \Lambda(c) \ll_K X^{1-\theta_n(K)+\varepsilon} + X^{19/20+\varepsilon}.$$

# Quartic Gauss sums at prime argument

Theorem (D-Dunn-Hamieh-Lin, 2023)

For any  $c \in \mathbb{Z}[i]$ ,  $c \equiv 1 \pmod{\lambda^3}$ , with  $\lambda = 1 + i$ , let

$$g_4(c) = \sum_{a \pmod{c}} \left(\frac{a}{c}\right)_4 e\left(\frac{a}{c}\right), \quad e(z) := e^{2\pi i(z+\bar{z})}$$
$$\tilde{g}_4(c) = \frac{g_4(c)}{N(c)^{\frac{1}{2}}}$$

For *quartic Gauss sums*  $\tilde{g}_4(c)$ , with  $\beta \in \{1, 1 + \lambda^3\}$

$$\sum_{\substack{N(c) \leq X \\ c \in \mathbb{Z}[i] \\ c \equiv \beta \pmod{4}}} \tilde{g}_4(c) \Lambda(c) \ll X^{5/6+\varepsilon}.$$

## Quartic Gauss sums at prime argument

### Conjecture (Quartic Gauss sums at prime argument)

For  $\beta \in \{1, 1 + \lambda^3\} \pmod{4}$ , there exists a constant  $b_\beta \neq 0$  such that for any  $\varepsilon > 0$  and  $\ell \in \mathbb{Z}$ ,

$$\sum_{\substack{c \in \mathbb{Z}[i] \\ N(c) \leq X \\ c \equiv \beta \pmod{4}}} \tilde{g}_4(c) \left( \frac{\bar{c}}{|c|} \right)^\ell \Lambda(c) = \begin{cases} b_\beta X^{3/4} + O_\varepsilon(X^{1/2+\varepsilon}) & \text{if } \ell = 0 \\ O_{\varepsilon, \ell}(X^{1/2+\varepsilon}) & \text{if } \ell \neq 0 \end{cases},$$

## Quartic Gauss sums at integral argument

What about

$$\sum_{\substack{c \in \mathbb{Z}[i] \\ c \equiv \beta \pmod{4}}} \tilde{g}_4(c) R\left(\frac{N(c)}{X}\right)?$$

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where  $\psi_\beta^{(4)}(s) = \sum_{\substack{c \in \mathbb{Z}[i] \\ c \equiv \beta \pmod{4}}} \frac{\tilde{g}_4(c)}{N(c)^s}$  cvgs absolutely for  $\Re(s) > 1$ .

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Note that for  $(c_1, c_2) = 1$ ,  $c_1, c_2 \equiv \beta \pmod{4}$ ,

$$\tilde{g}_4(c_1 c_2) = \sum_{a \pmod{c_1 c_2}} \left(\frac{a}{c_1 c_2}\right)_4 \mathbf{e}\left(\frac{a}{c_1 c_2}\right)$$

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$$\begin{aligned} \tilde{g}_4(c_1 c_2) &= \sum_{a \pmod{c_1 c_2}} \left(\frac{a}{c_1 c_2}\right)_4 e\left(\frac{a}{c_1 c_2}\right) \\ &= \left(\frac{c_1}{c_2}\right)_4 \left(\frac{c_2}{c_1}\right)_4 \tilde{g}_4(c_1) \tilde{g}_4(c_2). \end{aligned}$$



## Metaplectic forms

- Weil (1953) observed that the (complex)  $\theta$ -function which transforms as

$$\theta\left(\frac{az+b}{cz+d}\right) = \epsilon_d \left(\frac{c}{d}\right) \sqrt{cz+d} \theta(z), \quad \epsilon_d = \begin{cases} 1 & d \equiv 1 \pmod{4} \\ i & d \equiv 3 \pmod{4} \end{cases}$$

can be thought as an automorphic form on  $\widetilde{\mathrm{GL}}_2$ , the two-fold metaplectic cover of  $\mathrm{GL}_2$ .

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- For cubic Gauss sums, Patterson (1977) computed the functional equation and the residue of the pole at  $s = \frac{5}{6}$ .

## Metaplectic forms

- Weil (1953) observed that the (complex)  $\theta$ -function which transforms as

$$\theta\left(\frac{az+b}{cz+d}\right) = \epsilon_d \left(\frac{c}{d}\right) \sqrt{cz+d} \theta(z), \quad \epsilon_d = \begin{cases} 1 & d \equiv 1 \pmod{4} \\ i & d \equiv 3 \pmod{4} \end{cases}$$

can be thought as an automorphic form on  $\widetilde{GL}_2$ , the two-fold metaplectic cover of  $GL_2$ .

- Kubota (1969, 1971) generalized that to the  $n$ -fold cover of  $GL_2(\mathbb{A})$ .
- For cubic Gauss sums, Patterson (1977) computed the functional equation and the residue of the pole at  $s = \frac{5}{6}$ .
- For quartic Gauss sums, Suzuki (1983) computed the functional equation and the residue of the pole at  $s = \frac{3}{4}$  in certain cases.

## Shifted quartic Gauss sums

Let

$$g_4(\nu, c) = \sum_{a \bmod c} \left(\frac{a}{c}\right)_4 e\left(\frac{\nu a}{c}\right)$$
$$\tilde{\psi}_\beta^{(4)}(s, \nu) := \sum_{\substack{c \in \mathbb{Z}[i] \\ c \equiv \beta \pmod{4}}} \frac{\tilde{g}_4(\nu, c)}{N(c)^s}$$

which converges absolutely for  $\Re(s) > 1$ .

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Let

$$\psi_\beta^{(4)}(\nu) := \operatorname{Res}_{s=3/4} \tilde{\psi}_\beta^{(4)}(s, \nu) = \operatorname{Res}_{s=5/4} \psi_\beta^{(4)}(s, \nu).$$

# Functional Equation

## Theorem

The functions  $\psi_{i1}^{(4)}(s, \nu)$ ,  $0 \neq \nu \in \mathbb{Z}[i]$ , and  $i = 1, \dots, 24$  can be meromorphically extended to  $\mathbb{C}$ , with at most two simple poles at  $s = 5/4$  and  $s = 3/4$ . The functions are bounded in vertical strips and satisfy the functional equation

$$\psi_{i1}^{(4)}(s, \nu) = N(\nu)^{1-s} \sum_{j=1}^{24} A_{ji} (2^{-s}) \psi_{j1}^{(4)}(2-s, \nu).$$

For  $\varepsilon > 0$ , we have for  $1 + \varepsilon < \sigma < \frac{3}{2} + \varepsilon$  and  $|s - \frac{5}{4}| > \frac{1}{8}$ ,

$$\begin{aligned} \psi_{i1}^{(4)}(\nu, s) &\ll_{\varepsilon, \text{ord}_\lambda(\nu)} N(\nu)^{\frac{1}{2}(\frac{3}{2}-\sigma)+\varepsilon} (|s| + 1)^{\frac{3}{2}(\frac{3}{2}-\sigma)+\varepsilon} \\ \psi_{i1}^{(4)}(\nu) &\ll N(\nu)^{\frac{1}{8}} \end{aligned}$$

## Can we do better than convexity?

By the work of Suzuki (1983), for  $m$  square-free and  $(m, \nu) = 1$ ,

$$\psi_{\beta}^{(4)}(m^4\nu) = \psi_{\beta}^{(4)}(\nu)$$

$$\psi_{\beta}^{(4)}(m^3\nu) = 0$$

$$\psi_{\beta}^{(4)}(m^2\nu) = \begin{cases} \frac{\tilde{g}_4(\nu, m)}{N(m)^{\frac{1}{4}}} \psi_{\beta}^{(4)}(\nu) & m \equiv 1 \pmod{4} \\ \frac{\tilde{g}_4(\nu, m)}{N(m)^{\frac{1}{4}}} \psi_{\beta}^{(4)}(\nu) & m \equiv 1 + \lambda^3 \pmod{4} \end{cases}$$



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It is conjectured that for all  $m \in \mathbb{Z}[i]$  square-free,

$$|\psi_{\beta}^{(4)}(m)| = \frac{1}{N(m)^{\frac{1}{8}}}.$$

## Back to quartic Gauss sums at integral argument

Let  $m \in \mathbb{Z}[i]$  be square-free, then

$$\begin{aligned} & \sum_{\substack{c \in \mathbb{Z}[i] \\ c \equiv \beta \pmod{4}}} \tilde{g}_4(m^2, c) R\left(\frac{N(c)}{X}\right) \\ &= \frac{1}{2\pi i} \int_{(\sigma)} \psi_{\beta}^{(4)}\left(s + \frac{1}{2}, m^2\right) X^s \widehat{R}(s) ds \\ &= \frac{c_{\beta, m}}{N(m^2)^{\frac{1}{8}}} X^{\frac{3}{4}} + O\left(X^{\frac{1}{2} + \varepsilon} N(m^2)^{\frac{1}{4} + \varepsilon}\right) \end{aligned}$$

## From integers to primes : Vaughan's identity

Let

$$H_{\beta}(X) = \sum_{\substack{c \in \mathbb{Z}[i] \\ c \equiv \beta \pmod{4}}} \Lambda(c) \tilde{g}(c) R\left(\frac{N(c)}{X}\right)$$

$$\Sigma_{j,\beta}(X, u) = \sum_{a,b,c} \Lambda(a) \mu(b) \tilde{g}(abc) R\left(\frac{N(abc)}{X}\right)$$

where  $a, b, c \in \mathbb{Z}[i]$  such that  $abc \equiv \beta \pmod{4}$ , and some  $j$ -conditions on the size of  $a, b, c$ .

Then,

$$H_{\beta}(X) + \Sigma_{2'}(X, u) + \Sigma_{2''}(X, u) + \Sigma_3(X, u) = \Sigma_1(X, u).$$

## Type 1 and Type 2 sums

$$\Sigma_{j,\beta}(X, u) = \sum_{\substack{a,b,c \in \mathbb{Z}[j] \\ a,b,c \equiv 1 \pmod{\lambda^3} \\ abc \equiv \beta \pmod{4}}} \Lambda(a)\mu(b)\tilde{g}(abc)R\left(\frac{N(abc)}{X}\right)$$

where for  $1 \leq u \leq X^{1/2}$ ,

$$N(b) \leq u \quad \text{for } j = 1,$$

$$N(ab) \leq u \quad \text{for } j = 2',$$

$$N(a), N(b) \leq u < N(ab) \quad \text{for } j = 2'',$$

$$N(b) \leq u < N(a), N(bc) \quad \text{for } j = 3,$$

## Bounding Type 1 sums

For **Type 1 sums**, using Patterson's and Suzuki's work, and an extra averaging using the quadratic large sieve, we get

$$\begin{aligned} & \Sigma_{1,\beta}(X, u), \quad \Sigma_{2',\beta}(X, u) \\ & \ll X^\varepsilon \sum_{N(\alpha) \leq u} \mu^2(\alpha) \sum_{\substack{c \in \mathbb{Z}[i] \\ c \equiv \beta \pmod{4} \\ c \equiv 0 \pmod{\alpha}}} \tilde{g}_4(c) R\left(\frac{N(c)}{X}\right) \\ & \ll_\varepsilon X^{\frac{3}{4} + \varepsilon} \end{aligned}$$

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By properties of quartic Gauss sums, for  $(\alpha, c) = 1$ ,

$$\begin{aligned} g_4(\nu, \alpha c) &= \left(\frac{c}{\alpha}\right)_4 \left(\frac{\alpha}{c}\right)_4 g_4(\nu, \alpha) g_4(\nu, c) \\ &= (-1)^{C(\alpha, c)} g_4(\nu, \alpha) g_4(\nu \alpha^2, c) \end{aligned}$$

## Bounding Type 2 sums

For **Type 2 sums**, using the Quadratic Large Sieve over  $\mathbb{Q}(i)$ , we have

$$\Sigma_{2',\beta}(X, u), \Sigma_{3,\beta}(X, u) \ll X^\epsilon \left( X^{\frac{1}{2}} u + X u^{-\frac{1}{2}} \right) \ll X^{\frac{5}{6} + \epsilon},$$

taking  $u = X^{\frac{1}{3}}$ .