# Spectral theory for differential operators with indefinite weights

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**BIRS Workshop** 

A Sturm-Liouville differential expression is

$$\ell := \frac{1}{r} \left( -\frac{d}{dx} \rho \frac{d}{dx} + q \right),$$

 $r, p^{-1}, q \in L^1_{loc}(a, b)$  real functions,  $r \neq 0$  a.e.

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$$\Psi(z,\nu) = e^{-\lambda z} f(\nu) \quad \Rightarrow \quad \frac{1}{r} \left( -\frac{d}{d\nu} p \frac{d}{d\nu} f + qf \right) = \lambda f$$



Weighted  $L^2$  Hilbert space:

$$L^2_{|r|}(a,b):=\left\{f:(a,b) o\mathbb{C} ext{ measurable}: \int_a^b |f|^2|r|dx<\infty
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$$\begin{split} T_{\text{max}} \, f &= \ell(f) = \frac{1}{r} \big( - (\rho f')' + q f \big) \\ \mathcal{D}_{\text{max}} \, &= \big\{ f \in L^2_{|r|}(a,b) : f, \rho f' \in AC(a,b), \, \ell(f) \in L^2_{|r|}(a,b) \big\} \\ T_{\text{min}} \, f &= \ell(f) \upharpoonright \big\{ f \in \mathcal{D}_{\text{max}} \, \, \text{with compact support} \big\} \end{split}$$

- r > 0:  $(T_{\min} f, g) = (f, T_{\min} g), T_{\min} \subset T_{\min}^*, T_{\min}^* = T_{\max}$
- r > 0:  $T_{min}$  **NOT** symmetric in Hilbert space  $L^2_{|r|}(a,b)$



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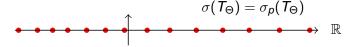
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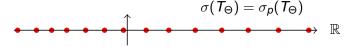


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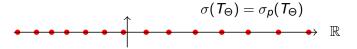
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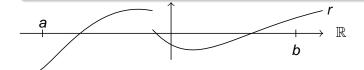
$$r=p=1$$
 at  $\pm\infty$   $q_{-\infty}$   $2\times''\sigma_{\mathrm{ess}}(T)$   $q_{+\infty}$ 

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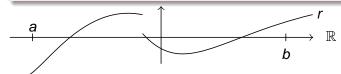
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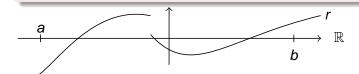


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$$[f,g] := \int_a f(x) g(x) r(x) dx, \qquad f,g \in L^2_{|r|}(a,b)$$

•  $L_r^2(a,b) := (L_{|r|}^2(a,b),[\cdot,\cdot])$  is a Krein space



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#### **Proposition**

For  $2 \times 2$ -matrices  $\Theta = \Theta^*$ ,

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#### Regular indefinite Sturm-Liouville operators

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- $\lambda \in \sigma(B) \Rightarrow \bar{\lambda} \in \sigma(B)$

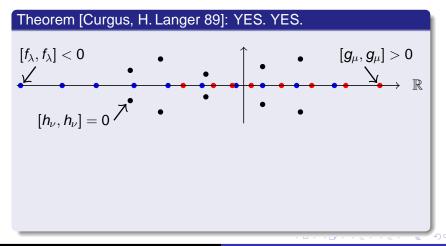


We would like to know, e.g.,

- is  $\rho(A_{\Theta}) \neq \emptyset$  ?
- does  $\sigma(A_{\Theta})$  consist only of eigenvalues ?

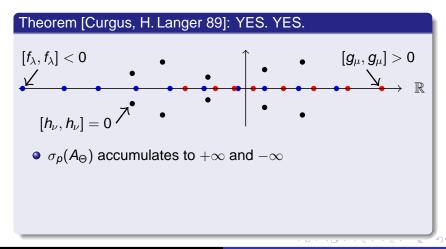
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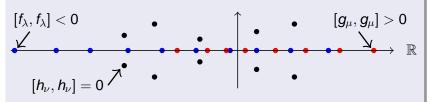
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## Theorem [Curgus, H. Langer 89]: YES. YES. $[f_{\lambda}, f_{\lambda}] < 0$ $[g_\mu,g_\mu]>0$ $[h_{\nu},h_{\nu}]=0$ • $\sigma_p(A_{\Theta})$ accumulates to $+\infty$ and $-\infty$ • Eigenfunctions at $+\infty$ are $[\cdot, \cdot]$ -positive

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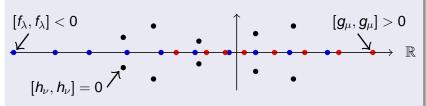
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- $\sigma_p(A_{\Theta})$  accumulates to  $+\infty$  and  $-\infty$
- Eigenfunctions at  $+\infty$  are  $[\cdot, \cdot]$ -positive
- Eigenfunctions at  $-\infty$  are  $[\cdot, \cdot]$ -negative
- ullet Only finitely many nonreal eigenvalues, egfcts.  $[\cdot,\cdot]$ -neutral

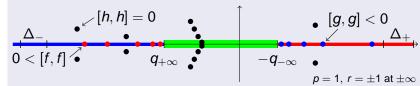


$$A=rac{1}{r}(-rac{d}{dx}(prac{d}{dx})+q)$$
 with  $\lim_{x o\pm\infty}q(x)=q_{\pm\infty}$ 

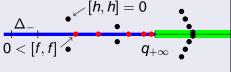
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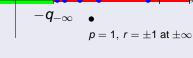
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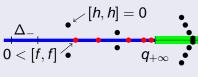




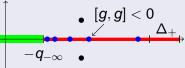
• 
$$\rho(A) \neq \emptyset$$

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#### Theorem [B. 07] The case $q_{+\infty} < -q_{-\infty}$ ,

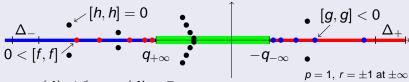


 $ullet 
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$$p=1, r=\pm 1 \text{ at } \pm \infty$$

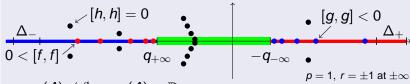
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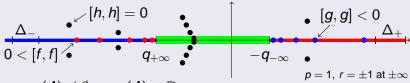
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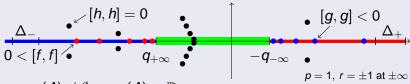
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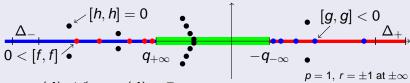
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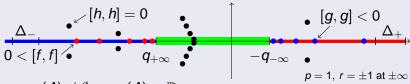


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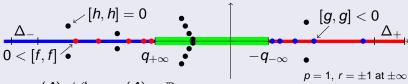
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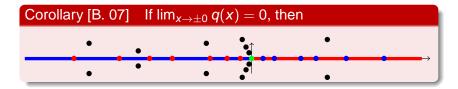
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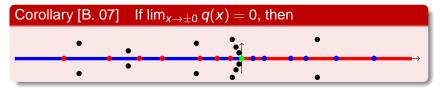


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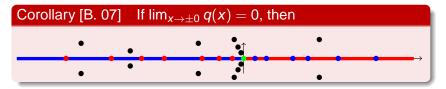


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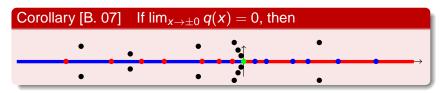
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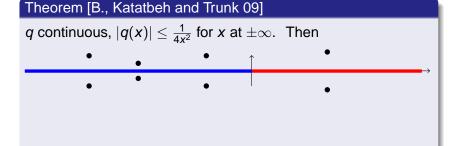
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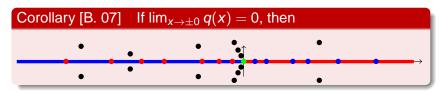
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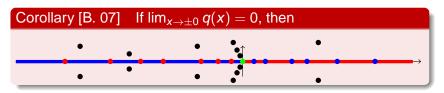
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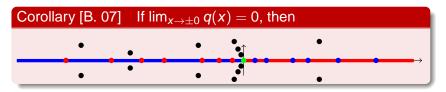
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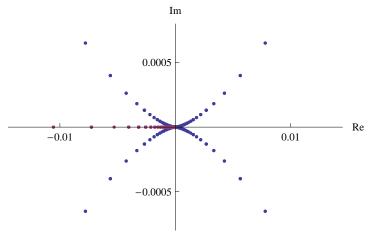
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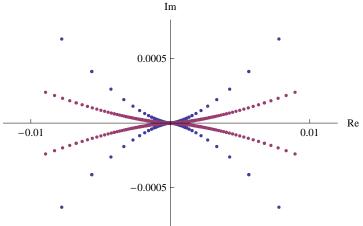
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- Number of nonreal EVs can be described exactly with Titchmarsh-Weyl functions



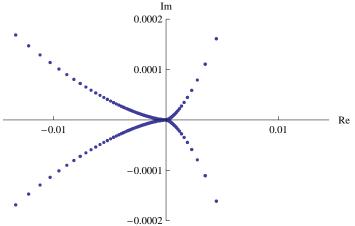
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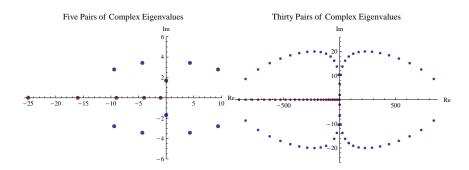
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$$Af = \operatorname{sgn}(\cdot)(-f'' + qf), \quad q(x) = -n(n+1)\frac{2}{e^x + e^{-x}}$$



- Blue points: Nonreal eigenvalues of A for n = 5 and n = 30
- Purple points: Negative eigenvalues of Tf = -f'' + qf

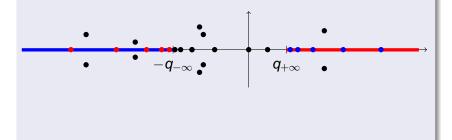


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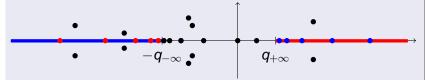
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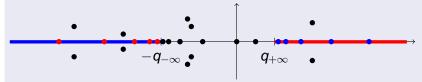
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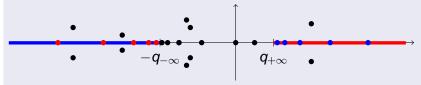
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[Curgus,Najman 95],[Binding,Browne 99],[Binding,Browne,Watson 02] [Karabash 06]



## Typical spectra: Definite vs. indefinite case

Typical spectrum of 
$$Tf = \frac{1}{|r|} \left( -(pf')' + qf \right)$$

$$\lim_{x \to \pm \infty} q(x) = q_{\pm \infty}$$

$$|r| = p = 1 \text{ at } \pm \infty$$

$$q_{+\infty}$$

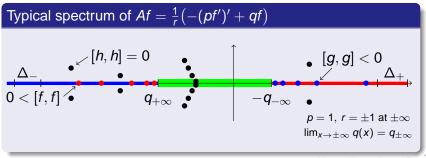
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Nevertheless, it turns out that the Conjecture is true



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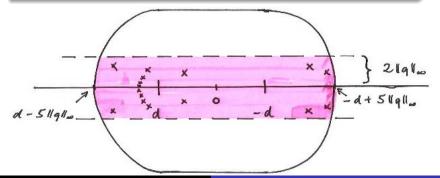
$$\left\{\lambda\in\mathbb{C}: \mathsf{dist}\left(\lambda, (\textit{d}, -\textit{d})\right) \leq 5\|\textit{q}\|_{\infty}, \ |\mathsf{Im}\lambda| \leq 2\|\textit{q}\|_{\infty}\right\}$$

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...can also be applied to elliptic PDOs with indefinite weights...



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