



DAUPHINE
UNIVERSITÉ PARIS

CEREMADE
UMR CNRS 7534

European Research Council



Mean-field Quantum Electrodynamics

Mathieu LEWIN

`mathieu.lewin@math.cnrs.fr`

(CNRS & Université de Paris-Dauphine)

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A nonlinear variational problem in relativistic quantum mechanics

Mean-field Quantum Electrodynamics

► Model:

- quantized Dirac field \leftrightarrow classical electromagnetic field
- interactions solely through the classical field \Rightarrow quadratic in $\Psi(x)^\dagger, \Psi(x) \Rightarrow$ mean-field exact for particles
- quasi-free states for electrons / coherent states for photons

► History:

- Dirac ('34) wrote first order and suggested self-consistent model
- (Current) Density Functional Theory
- Chaix-Iracane-Lions ('89): purely electrostatic model

► Applicability to real systems?

- Simple model containing some of the difficulties of full QED
- DFT: chemists plan to include correlation+photons by adding phenomenological terms

Mean-field Quantum Electrodynamics: results

► Purely electrostatic case:

- non-perturbative solutions $\forall \alpha, Z, m, \Lambda > 0$
- non-perturbative formula for physical charge
- (perturbative) charge renormalization, Landau pole
- no mass renormalization needed
- time-dependent solutions

► Full electromagnetic case:

- perturbative solutions
- Pauli-Villars regularization
- Euler-Heisenberg effective action

► Main open problems:

- non-perturbative & time-dependent solutions for $A \neq 0$
- include correlation & quantized photons perturbatively

QED Lagrangian action

2nd-quantized Dirac field $\Psi(x) \leftrightarrow$ classical EM field $(V(x), A(x))$

Formal time-independent QED Lagrangian action

$$\mathcal{L} = \int_{\mathbb{R}^3} \Psi(x)^\dagger \underbrace{\left(-i \sum_{k=1}^3 \alpha_k \partial_k + \beta m \right)}_{:=D_{m,0}} \Psi(x) dx + e \int_{\mathbb{R}^3} (V(x) + V_{\text{ext}}(x)) \rho(x) dx$$
$$- e \int_{\mathbb{R}^3} (A(x) + A_{\text{ext}}(x)) \cdot j(x) dx + \frac{1}{8\pi} \int_{\mathbb{R}^3} |\nabla \wedge A|^2 - |\nabla V|^2$$

(units: $\hbar = c = 1$, $\alpha = e^2$)

Density and charge current operators

$$\rho(x) = \sum_{\sigma=1}^4 \frac{\Psi(x)^\dagger_\sigma \Psi(x)_\sigma - \Psi(x)_\sigma \Psi(x)^\dagger_\sigma}{2}, \quad j(x)_k = \frac{\Psi(x)^\dagger \alpha_k \Psi(x) - \Psi(x) \alpha_k \Psi(x)^\dagger}{2}$$

In one-body space

One particle density matrix

$$\langle \Psi(x)_\sigma^\dagger \Psi(y)_{\sigma'} \rangle = \gamma(x, y)_{\sigma, \sigma'} \text{ which satisfies } 0 \leq \gamma \leq 1 \text{ on } L^2(\mathbb{R}^3, \mathbb{C}^4)$$

Action in terms of γ, V, A

$$\langle \mathcal{L} \rangle = \text{tr} \left(D_{m, e(V+V_{\text{ext}}), e(A+A_{\text{ext}})} \frac{\gamma - \gamma^\perp}{2} \right) + \frac{1}{8\pi} \int_{\mathbb{R}^3} |\nabla \wedge A|^2 - |\nabla V|^2 := \mathcal{L}(\gamma, V, A)$$

where $\gamma^\perp := 1 - \gamma$ and

$$D_{m, \mathcal{V}, \mathcal{A}} := \sum_{k=1}^3 \alpha_k (-i\partial_k - \mathcal{A}_k(x)) + \beta m + \mathcal{V}(x)$$

Self-consistent time-independent equations

$$\mathcal{L}(\gamma, V, A) = \text{tr} \left(D_{m,e(V+V_{\text{ext}}),e(A+A_{\text{ext}})} \frac{\gamma - \gamma^\perp}{2} \right) + \frac{1}{8\pi} \int_{\mathbb{R}^3} |\nabla \wedge A|^2 - |\nabla V|^2$$

Self-consistent equations

$$\begin{cases} -\Delta V(x) &= 4\pi e \text{tr}_{\mathbb{C}^4} \left(\frac{\gamma - \gamma^\perp}{2} \right) (x, x) := 4\pi e \rho_{\frac{\gamma - \gamma^\perp}{2}}(x) \\ -\Delta A(x) &= 4\pi e \text{tr}_{\mathbb{C}^4} \left(\alpha \frac{\gamma - \gamma^\perp}{2} \right) (x, x) := 4\pi e j_{\frac{\gamma - \gamma^\perp}{2}}(x) \\ \nabla \cdot A &= 0 \\ \gamma &= \mathbb{1}_{(-\infty, \mu)} \left(D_{m,e(V+V_{\text{ext}}),e(A+A_{\text{ext}})} \right) + \delta \end{cases}$$

Formal variational principle

$$\min_{0 \leq \gamma \leq 1} \min_{\substack{A \\ \nabla \cdot A = 0}} \max_V \mathcal{L}(\gamma, V, A)$$

► If $(V_{\text{ext}}, A_{\text{ext}}) = 0$, the solution is $(V, A) = 0$, $\gamma = \mathbb{1}_{(-\infty, \mu)}(D_{m,0})$
= old Dirac picture of the quantum vacuum

The purely electrostatic case $A = A_{\text{ext}} = 0$

$$\max_V \mathcal{L}(\gamma, V, 0) = \text{tr} \left(D_{m, eV_{\text{ext}}} \frac{\gamma - \gamma^\perp}{2} \right) + \frac{\alpha}{2} \iint_{\mathbb{R}^3 \times \mathbb{R}^3} \frac{\rho_{\frac{\gamma - \gamma^\perp}{2}}(x) \rho_{\frac{\gamma - \gamma^\perp}{2}}(y)}{|x - y|} dx dy$$

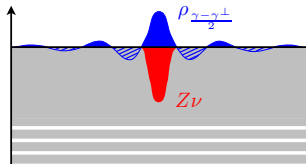
Wick ordering: subtract infinite constant $\text{tr} \left(D_{m, eV_{\text{ext}}} \frac{\gamma_0 - \gamma_0^\perp}{2} \right) = \text{tr} \left(D_{m, 0} \frac{\gamma_0 - \gamma_0^\perp}{2} \right)$

Convex minimization problem in electrostatic case

$$\min_\gamma \left\{ \text{tr} \left(D_{m, eV_{\text{ext}}} Q \right) + \frac{\alpha}{2} \iint_{\mathbb{R}^3 \times \mathbb{R}^3} \frac{\rho_Q(x) \rho_Q(y)}{|x - y|} dx dy \right\}$$

where $Q = \gamma - \gamma_0$. Chaix-Iracane-Lions '89

Take V_{ext} such that $\int_{\mathbb{R}^3} |\nabla V_{\text{ext}}|^2 < \infty$, for instance $V_{\text{ext}} = -eZ\nu * |x|^{-1}$



$$\begin{cases} -\Delta V_{\text{tot}} &= 4\pi e \left(\rho_{\frac{\gamma - \gamma^\perp}{2}} - Z\nu \right) \\ \gamma &= \mathbb{1}_{(-\infty, 0)} \left(D_{m, eV_{\text{tot}}} \right) + \delta \end{cases}$$

[HLS05']: no minimizer, no solution to the equation (UV divergences)

Existence with high momentum cut-off

Theorem ($\exists!$ non-perturbative solutions [HLS05,HLS05'])

► Let $\Lambda, e, m, Z > 0$ and ν as above. Then there exists at least one minimizer living over $\Pi_\Lambda L^2(\mathbb{R}^3)$ with $\Pi_\Lambda := \mathbb{1}(|p| \leq \Lambda)$. It solves

$$\begin{cases} \gamma_* = \mathbb{1}_{(-\infty, 0)}(D_*) + \delta \\ D_* = \Pi_\Lambda (D_{m,0} + eV_{\text{tot}}) \Pi_\Lambda \\ -\Delta V_{\text{tot}} = 4\pi e \left(\rho_{\frac{\gamma_* - \gamma_0}{2}} - Z\nu \right) \end{cases}$$

with $0 \leq \delta \leq \mathbb{1}_{\{0\}}(D_*)$, and which is such that

$$\text{tr } \gamma_0^\perp \gamma_* \gamma_0^\perp + \text{tr } \gamma_0 \gamma_*^\perp \gamma_0 + \text{tr}(\gamma_* - \gamma_0)^2 < \infty \text{ and } \rho_{\frac{\gamma_* - \gamma_0}{2}} \in L^2(\mathbb{R}^3) \cap \dot{H}^{-1}(\mathbb{R}^3).$$

All these solutions share the same $\rho_{\frac{\gamma_* - \gamma_0}{2}} := \rho_{\text{vac}}$, hence V_{tot} and $D_* = D_{m,eV_{\text{tot}}}$ are unique.

► If $e^2 \|\nabla V_{\text{ext}}\|_{L^2} < \pi^{5/6} 2^{1/6}$, then $\ker(D_*) = \{0\}$ hence $\delta \equiv 0$ and γ_* is unique. In addition, the vacuum is neutral

$$\text{Ind}(\gamma_*, \gamma_0) = \text{“tr}(\gamma_* - \gamma_0)\text{”} := \text{tr } \gamma_0^\perp (\gamma_* - \gamma_0) \gamma_0^\perp + \text{tr } \gamma_0 (\gamma_* - \gamma_0) \gamma_0 = 0.$$

Existence for atoms and molecules

Theorem (Atoms and molecules [HLS07])

Let $\Lambda, e, m, Z > 0$ and $0 \leq \nu \in L^1(\mathbb{R}^3) \cap L^{6/5}(\mathbb{R}^3)$ as above, with $\int_{\mathbb{R}^3} \nu = 1$.

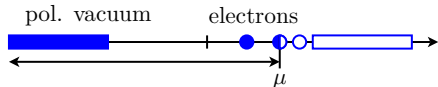
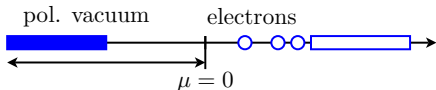
For

$$\alpha Z, q > 0, \Lambda \text{ fixed and } \alpha \ll 1$$

or for

$$0 < q \leq Z, \Lambda \text{ fixed and } \alpha \ll 1$$

there exists a minimizer under the charge constraint " $\text{tr}(\gamma - \gamma_0) = q$ ".
It solves the previous nonlinear equations for some chemical potential $\mu \in (-m, m)$.



Towards charge renormalization

Theorem (The physical charge [GLS09])

For $\nu \in L^1(\mathbb{R}^3)$ we have $\rho_{\gamma_* - \gamma_0} \in L^1(\mathbb{R}^3)$ and

$$-Z \int_{\mathbb{R}^3} \nu + \int_{\mathbb{R}^3} \rho_{\gamma_* - \gamma_0} = \frac{-Z \int_{\mathbb{R}^3} \nu + \text{tr}(\gamma_* - \gamma_0)}{1 + \alpha B_\Lambda}$$

where

$$B_\Lambda = \frac{1}{\pi} \int_0^{\frac{\Lambda}{\sqrt{m^2 + \Lambda^2}}} \frac{s^2 - s^4/3}{1 - s^2} ds = \frac{2}{3\pi} \log \frac{\Lambda}{m} - \frac{5}{9\pi} + \frac{2 \log 2}{3\pi} + O(m^2/\Lambda^2).$$

In particular, except when the two terms vanish, $\gamma_* - \gamma_0$ is never trace-class.

Physical charge \equiv screening effect of the quantum vacuum

$$e_{\text{ph}}^2 = \alpha_{\text{ph}} := \frac{e^2}{1 + e^2 B_\Lambda} = \frac{\alpha}{1 + \alpha B_\Lambda}$$

► **Renormalization:** express everything with α_{ph} and study $\Lambda \rightarrow \infty$

Problem: cannot keep α_{ph} fixed, since by definition $\alpha_{\text{ph}} B_\Lambda < 1!$ [Landau pole]

Renormalization order by order

Idea: expand vacuum polarization in powers of α_{ph} , keeping $\alpha_{\text{ph}} \log \Lambda$ fixed

Theorem (Perturbative renormalization [GLS11])

For $\mu = 0$, there exists a sequence $\rho_j \in L^2(\mathbb{R}^3) \cap L^{6/5}(\mathbb{R}^3)$, depending only on $Z\nu$, such that the following holds. For $\epsilon \leq \alpha_{\text{ph}} B_\Lambda \leq 1 - \epsilon$ and $\alpha_{\text{ph}} \ll 1$, we have

$$\left\| \alpha \rho_{\text{tot}} - \sum_{j=1}^K (\alpha_{\text{ph}})^j \rho_j \right\|_{\dot{H}^{-1}(\mathbb{R}^3)} \leq C_{\epsilon, K, Z\nu} (\alpha_{\text{ph}})^{K+1}$$

where $\rho_1 = -Z\nu$ and

$$\rho_2 * |x|^{-1} = -\frac{Z}{3\pi} \int_1^\infty dt (t^2 - 1)^{1/2} \left[\frac{2}{t^2} + \frac{1}{t^4} \right] \int_{\mathbb{R}^3} e^{-2|x-y|t} \frac{\nu(y)}{|x-y|} dy$$

is the Uehling potential. (Serber '35, Uehling '35)

- Rough estimates give $C_{\epsilon, K, \rho_{\text{ext}}} \leq C(\log K)^{K^2/2}$
- The series is believed to be divergent (Dyson '52)

Back to the electromagnetic case

We integrate fermionic degrees of freedom

Formal Lagrangian action

$$\min_{\gamma} \mathcal{L}(\gamma, V, A) = -\frac{1}{2} \operatorname{tr} |D_{m, e(V+V_{\text{ext}}), e(A+A_{\text{ext}})}| + \frac{1}{8\pi} \int_{\mathbb{R}^3} |\nabla \wedge A|^2 - |\nabla V|^2$$

Pauli & Villars ('49): 2 fictitious fields of masses $m_1, m_2 \gg 1$

$$\mathcal{F}_{\text{PV}}(V, V_{\text{ext}}, A, A_{\text{ext}}) = \frac{1}{2} \operatorname{tr} \sum_{j=0}^2 c_j \left(|D_{m_j, 0}| - |D_{m_j, e(V+V_{\text{ext}}), e(A+A_{\text{ext}})}| \right) + \frac{1}{8\pi} \int_{\mathbb{R}^3} |\nabla \wedge A|^2 - |\nabla V|^2$$

$$\text{with } m_0 = c_0 = 1 \text{ and } \sum_{j=0}^2 c_j = \sum_{j=0}^2 c_j m_j^2 = 0.$$

Any other gauge-invariant cut-off probably works the same

Translation invariant case: Euler-Heisenberg '36, Weisskopf '36, Schwinger '51

Existence of perturbative solutions

Theorem (Existence of Pauli-Villars-regulated solutions [GHLS12])

Assume that $c_0 = 1$, $m_2 > m_1 > m_0 > 0$ and $\sum_{j=0}^2 c_j = \sum_{j=0}^2 c_j m_j^2 = 0$.

► \mathcal{F}_{PV} is well defined and continuous on the space of potentials $V, A \in L^6(\mathbb{R}^3)$ satisfying $\int_{\mathbb{R}^3} |\nabla V|^2 + |\nabla \wedge A|^2 < \infty$.

► For any $\alpha \int_{\mathbb{R}^3} |\nabla V_{\text{ext}}|^2 + |\nabla \wedge A_{\text{ext}}|^2 < \varepsilon^2 m_0$, there exists a *unique solution* (V_*, A_*) to the min-max problem

$$\begin{aligned} \min_{\|\nabla V\|_{L^2} < 3\varepsilon\sqrt{\frac{m_0}{\alpha}}} \quad & \sup_{\|\nabla \wedge A\|_{L^2} < 3\varepsilon\sqrt{\frac{m_0}{\alpha}}} \mathcal{F}_{PV}(V, V_{\text{ext}}, A, A_{\text{ext}}) \\ & = \max_{\|\nabla \wedge A\|_{L^2} < 3\varepsilon\sqrt{\frac{m_0}{\alpha}}} \quad \inf_{\|\nabla V\|_{L^2} < 3\varepsilon\sqrt{\frac{m_0}{\alpha}}} \mathcal{F}_{PV}(V, V_{\text{ext}}, A, A_{\text{ext}}) \end{aligned}$$

where ε only depends on $\sum_{j=0}^2 |c_j| m_0 / m_j$ and α .

► (V_*, A_*) is a solution to the nonlinear equations.

Euler-Heisenberg effective action

Theorem (Euler-Heisenberg effective action in magnetic case [GLS17])

Let $B \in C^0(\mathbb{R}^3, \mathbb{R}^3) \cap L^1(\mathbb{R}^3) \cap L^\infty(\mathbb{R}^3)$ with $\nabla B \in L^1(\mathbb{R}^3) \cap L^6(\mathbb{R}^3)$ and $\nabla \cdot B = 0$. Let A such that $B = \nabla \wedge A$ and set $A_\varepsilon(x) = \varepsilon^{-1}A(\varepsilon x)$. Then

$$\frac{\varepsilon^3}{2} \operatorname{tr} \sum_{j=0}^2 c_j (|D_{m_j,0,0}| - |D_{m_j,0,A_\varepsilon}|) = \int_{\mathbb{R}^3} f_{\text{vac}}^{\text{PV}}(e|B(x)|) dx + O(\varepsilon)$$

where

$$f_{\text{vac}}^{\text{PV}}(b) := \frac{1}{8\pi^2} \int_0^\infty \left(\sum_{j=0}^2 c_j e^{-sm_j^2} \right) (sb \coth(sb) - 1) \frac{ds}{s^3}$$

is the Pauli-Villars-regulated Euler-Heisenberg vacuum energy.

- Semi-classical analysis in strong magnetic fields
- Euler-Heisenberg with $E \neq 0$ is not easily well defined

$$\frac{1}{8\pi^2} \int_0^\infty \frac{e^{-sm^2}}{s^3} \left(\frac{e^2 s^2}{3} (|E|^2 - |B|^2) - 1 + e^2 s^2 (E \cdot B) \frac{\Re \cosh(es(|B|^2 - |E|^2 + iE \cdot B)^{\frac{1}{2}})}{\Im \cosh(es(|B|^2 - |E|^2 + iE \cdot B)^{\frac{1}{2}})} \right) ds$$

- Recent confirmation of vacuum birefringence (Mignani et al, 2017)

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