

# A PDE approach to the N-body problem with strong force

Yanxia Deng

Work with **S. Ibrahim**  
UVic, BC

Advances in Dispersive Equations: Challenges & Perspectives  
June 30 - July 5, 2019, Banff, Alberta, Canada



**University  
of Victoria**

# Outline

- General N-body problem
  - 1 Brief background.
  - 2 Ground state energy and excited energy.
  - 3 Dynamic classification: sharp result  $N=2$  and partial results for  $N \geq 3$
- Restricted 3body problem: Hill's type lunar problem.
  - 1 Derivation of the equations of motion
  - 2 Dynamic classification
- Conclusions and Perspectives

# N-body problem

- The N-body problem is a system of ODEs:

$$m_i \ddot{x}_i = \partial_{x_i} U(\mathbf{x}) = -\alpha \sum_{j \neq i} \frac{m_i m_j (x_i - x_j)}{|x_i - x_j|^{\alpha+2}}, \quad i = 1, \dots, N.$$

- Each body has mass  $m_i$ , position  $x_i \in \mathbb{R}^3$ , and velocity  $\dot{x}_i$ .
- The self-potential

$$U(\mathbf{x}) = \sum_{i < j} \frac{m_i m_j}{|x_i - x_j|^\alpha}, \quad \alpha > 0$$

- $\alpha = 1$ : Newtonian gravitation;
- $\alpha \geq 2$ : “strong force”: **Lennard-Jones potential** which models interaction between a pair of neutral atoms or molecules  $U_{LJ}(r) = -\frac{A}{r^6} + \frac{B}{r^{12}}$ ,  $A, B > 0$ .

# Conservation of N-body problem

- The N-body problem enjoys conservation of energy

$$E(\mathbf{x}, \dot{\mathbf{x}}) := \frac{1}{2} \sum_{i=1}^N m_i |\dot{\mathbf{x}}_i|^2 - U(\mathbf{x}) \quad (1)$$

- Angular momentum

$$A(\mathbf{x}, \dot{\mathbf{x}}) := \sum_{i=1}^N m_i \mathbf{x}_i \times \dot{\mathbf{x}}_i \quad (2)$$

- Linear momentum

$$M(\mathbf{x}, \dot{\mathbf{x}}) := \sum_{i=1}^N m_i \dot{\mathbf{x}}_i \quad (3)$$

Usually fix center of mass:  $\sum_{i=1}^N m_i \mathbf{x}_i = \mathbf{0}$

# Global existence and singularity

- $U$  is a real-analytic function on  $(\mathbb{R}^3)^N \setminus \Delta$ :

$$\Delta_{ij} = \{\mathbf{x} = (x_1, \dots, x_N) \in (\mathbb{R}^3)^N \mid x_i = x_j\},$$

$$\Delta = \bigcup_{i < j} \Delta_{ij}.$$

- Given  $\mathbf{x}(0) \in (\mathbb{R}^3)^N \setminus \Delta$ ,  $\dot{\mathbf{x}}(0) \in (\mathbb{R}^3)^N$ , there exists a unique solution  $\mathbf{x}(t)$  defined on  $[0, \sigma)$ , where  $\sigma$  is maximal.
- If  $\sigma < \infty$ ,  $\mathbf{x}(t)$  is *singular* at  $\sigma$ ;
- If  $\sigma = \infty$ ,  $\mathbf{x}(t)$  *exists globally*.

# Singularity of the N-body problem

## Theorem (Painlevé, 1895)

If  $\mathbf{x}(t)$  experiences a singularity at  $t = \sigma$ , then

$$d(\mathbf{x}(t), \Delta) \rightarrow 0, \quad \text{as } t \rightarrow \sigma.$$

- if  $\mathbf{x}(t)$  approaches a finite point in  $\Delta$ ,  $\sigma$  is **collision singularity**;
- otherwise,  $\sigma$  is **non-collision singularity**.  
 $\alpha = 1$ ,  $N = 5$ , first non-collision singularity example by Xia (1992)
- When  $\alpha > 2$ , only collision singularity.

# Saari's Improbability Theorem $0 < \alpha < 2$

## Theorem (Saari, 1971-1973)

*The set of initial conditions for Newtonian N-body problem leading to collisions has Lebesgue measure zero in the phase space.*

- Fleischer and Knauf (2018) extended Saari's improbability theorem to  $0 < \alpha < 2$ .
- Saari and Xia (1996): it is very likely that the total singularity set has zero Lebesgue measure.

# Saari's Improbability Theorem $0 < \alpha < 2$

## Theorem (Saari, 1971-1973)

*The set of initial conditions for Newtonian N-body problem leading to collisions has Lebesgue measure zero in the phase space.*

- Fleischer and Knauf (2018) extended Saari's improbability theorem to  $0 < \alpha < 2$ .
- Saari and Xia (1996): it is very likely that the total singularity set has zero Lebesgue measure.
- $\alpha \geq 2$ , collision set has positive Lebesgue measure.

# Global existence and singularity

- Our goal: characterize the set of initial conditions yielding global solutions or singular solutions under some energy threshold constraints.
- The idea was motivated from PDE.

# Motivation from PDE

- Nonlinear dispersive equations, e.g. Klein-Gordon, NLS.
- *scattering, blow-up, solitary waves*
- Global dynamics from initial data: energy below ground state, by the sign of a **threshold functional**  $K$ :
  - $K(\text{initial data}) \geq 0 \Rightarrow$  scattering of the solution;
  - $K(\text{initial data}) < 0 \Rightarrow$  finite time blow-up of the solution.
- Extensions to slightly above ground state. Below first excited energy, etc.
- Kenig-Merle, Payne-Sattinger, Shatah, Duyckaerts-Merle, Ibrahim-Masmoudi-Nakanishi, Nakanishi-Schlag, Akahori-Ibrahim-Kikuchi-Nawa and many others...

# Ground state for N-body problem

- The Lagrange-Jacobi identity for  $I(\mathbf{x}) := \sum_{i=1}^N m_i |x_i|^2$ ,

$$\frac{d^2}{dt^2} I(\mathbf{x}(t)) = 4[E(\mathbf{x}, \dot{\mathbf{x}}) - (\frac{\alpha}{2} - 1)U(\mathbf{x})]$$

## Definition (Ground state energy)

Let  $V(\mathbf{x}, \dot{\mathbf{x}}) := E(\mathbf{x}, \dot{\mathbf{x}}) - (\alpha/2 - 1)U(\mathbf{x})$ ,

$$E^* := \inf\{E(\mathbf{x}, \dot{\mathbf{x}}) \mid V(\mathbf{x}, \dot{\mathbf{x}}) = 0\}.$$

- when  $\alpha \geq 2$ ,  $E^* = 0$
- when all bodies are at infinity with zero velocity  $\Rightarrow$  the *ground state*.

# Singularity below the ground state for $\alpha \geq 2$

- If  $E = E(\mathbf{x}(0), \dot{\mathbf{x}}(0)) < E^* = 0$ , then

$$\frac{d^2}{dt^2} I(\mathbf{x}(t)) \leq 4E < 0$$

$$\Rightarrow I(t) \leq 2Et^2 + \dot{I}(0)t + I(0)$$

- When  $\alpha \geq 2$ , every solution below the ground state energy is singular.
- We want to go beyond the zero energy.

# Relative equilibrium

- A solution  $\mathbf{x}(t) = (x_1(t), \dots, x_N(t))$  of the N-body problem is called a *relative equilibrium* if there exists  $O(t) \in SO(3)$  such that

$$x_i(t) = O(t)x_i(0),$$

for all  $i = 1, \dots, N$ .

- normal form of  $O(t)$  is

$$\exp(\omega \tilde{J}t) = \begin{pmatrix} \cos(\omega t) & \sin(\omega t) & 0 \\ -\sin(\omega t) & \cos(\omega t) & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \tilde{J} = \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

# Relative equilibrium and Central configuration

- A R.E. with frequency  $\omega$  and initial configuration  $\mathbf{q}$  satisfies

$$\nabla\left(\frac{\omega^2}{2}I(\mathbf{q}) + U(\mathbf{q})\right) = 0. \quad (4)$$

- Effective potential

$$U_{\text{eff}}(\mathbf{x}) := -\left(\frac{\omega^2}{2}I(\mathbf{x}) + U(\mathbf{x})\right).$$

- Critical points of  $U_{\text{eff}}$  are known as **central configurations**.
- Let

$$K_{\omega}(\mathbf{x}) := -\mathbf{x} \cdot \nabla U_{\text{eff}}(\mathbf{x}) = \omega^2 I(\mathbf{x}) - \alpha U(\mathbf{x}).$$

here,

$$K_{\omega}(\mathbf{x}) = -\frac{d}{d\lambda}(U_{\text{eff}}(\lambda\mathbf{x}))|_{\lambda=1}.$$

# Excited energy

- The energy of a  $\omega$ -relative equilibrium is

$$E_\omega(\mathbf{q}) := \frac{\omega^2}{2} I(\mathbf{q}) - U(\mathbf{q}).$$

## Definition (Excited energy)

$$E^*(\omega) := \inf\{E_\omega(\mathbf{x}) : K_\omega(\mathbf{x}) = 0\}.$$

- When  $\alpha > 2$ ,  $E^*(\omega)$  is strictly positive.
- $E^*(\omega)$  is achieved by central configuration.

# Dichotomy below the excited energy

## Theorem (Dichotomy below the excited energy)

For  $\alpha > 2$ , let  $\mathbf{x}(t)$  be a solution of the N-body problem, if there exists  $t^* > 0$  so that for  $t > t^*$ ,

- $\mathbf{x}(t)$  stays in  $\mathcal{K}^+(\omega)$ , then  $\mathbf{x}(t)$  exists globally;
- $\mathbf{x}(t)$  stays in  $\mathcal{K}^-(\omega)$ , then  $\mathbf{x}(t)$  has a singularity.  
Moreover, all singularities are collision singularities.



$$\mathcal{K}^+(\omega) = \{(\mathbf{x}, \dot{\mathbf{x}}) : E(\mathbf{x}, \dot{\mathbf{x}}) < E^*(\omega), K_\omega(\mathbf{x}) \geq 0\},$$

$$\mathcal{K}^-(\omega) = \{(\mathbf{x}, \dot{\mathbf{x}}) : E(\mathbf{x}, \dot{\mathbf{x}}) < E^*(\omega), K_\omega(\mathbf{x}) < 0\}.$$

- The problem is that  $K_\omega$  is not sign-definite, and it may change the sign infinitely many times.

# Dichotomy for the 2-body problem

## Theorem (Dichotomy for the 2-body problem)

Let  $m_1 + m_2 = 1$ , and  $m_1 x_1 + m_2 x_2 = 0$ ,

$$\mathcal{K}^+(\omega) = \{(\mathbf{x}, \dot{\mathbf{x}}) : E(\mathbf{x}, \dot{\mathbf{x}}) < E^*(\omega), |A(\mathbf{x}, \dot{\mathbf{x}})| \geq A^*(\omega), K_\omega(\mathbf{x}) \geq 0\}$$

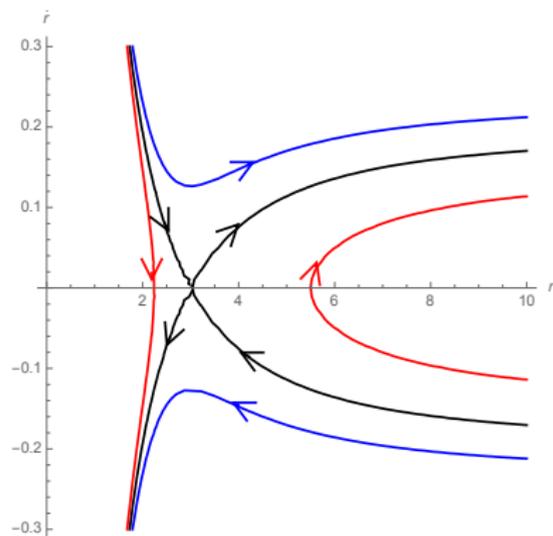
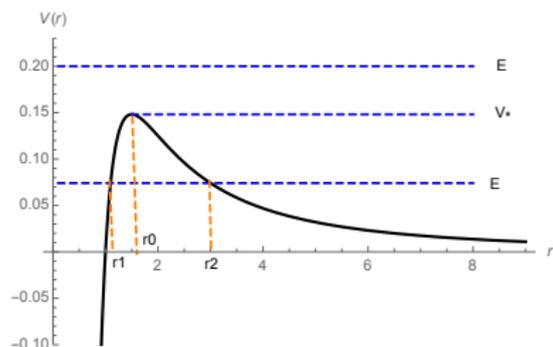
$$\mathcal{K}^-(\omega) = \{(\mathbf{x}, \dot{\mathbf{x}}) : E(\mathbf{x}, \dot{\mathbf{x}}) < E^*(\omega), |A(\mathbf{x}, \dot{\mathbf{x}})| \geq A^*(\omega), K_\omega(\mathbf{x}) < 0\}$$

then  $\mathcal{K}^\pm(\omega)$  are invariant. Solutions in  $\mathcal{K}^+(\omega)$  exist globally and solutions in  $\mathcal{K}^-(\omega)$  experiences a singularity.

- $E^*(\omega) = m_1 m_2 \alpha^{\frac{2}{2-\alpha}} \left(\frac{1}{2} - \frac{1}{\alpha}\right) (\alpha^{\frac{2}{2+\alpha}} \omega^{\frac{\alpha-2}{\alpha+2}})^{\frac{2\alpha}{\alpha-2}}$
- $A^*(\omega) = m_1 m_2 \alpha^{\frac{2}{2+\alpha}} \omega^{\frac{\alpha-2}{\alpha+2}}$

# The two-body problem and Kepler problem

Let  $x = x_1 - x_2$ , the Kepler problem for  $\alpha > 2$



Refinement of characterization for  $N \geq 3$ 

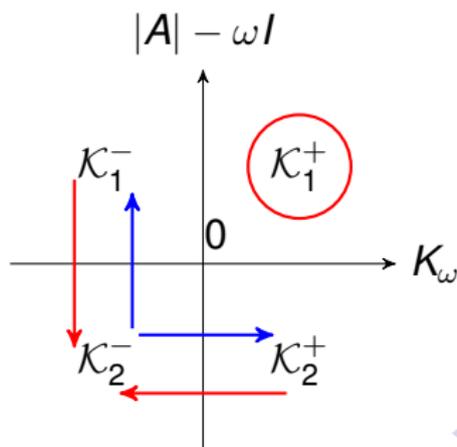
- Let  $\mathcal{K} = \{(\mathbf{x}, \dot{\mathbf{x}}) : E(\mathbf{x}, \dot{\mathbf{x}}) < E^*(\omega), |A(\mathbf{x}, \dot{\mathbf{x}})| \neq 0\}$

$$\mathcal{K}_1^+ = \{(\mathbf{x}, \dot{\mathbf{x}}) \in \mathcal{K} : |A(\mathbf{x}, \dot{\mathbf{x}})| \geq \omega l(\mathbf{x}), K_\omega(\mathbf{x}) \geq 0\}$$

$$\mathcal{K}_1^- = \{(\mathbf{x}, \dot{\mathbf{x}}) \in \mathcal{K} : |A(\mathbf{x}, \dot{\mathbf{x}})| \geq \omega l(\mathbf{x}), K_\omega(\mathbf{x}) < 0\}$$

$$\mathcal{K}_2^+ = \{(\mathbf{x}, \dot{\mathbf{x}}) \in \mathcal{K} : |A(\mathbf{x}, \dot{\mathbf{x}})| < \omega l(\mathbf{x}), K_\omega(\mathbf{x}) \geq 0\}$$

$$\mathcal{K}_2^- = \{(\mathbf{x}, \dot{\mathbf{x}}) \in \mathcal{K} : |A(\mathbf{x}, \dot{\mathbf{x}})| < \omega l(\mathbf{x}), K_\omega(\mathbf{x}) < 0\}$$



Theorem (Refinement of characterization for  $N \geq 3$ )

- (a)  $\mathcal{K}_1^+$  is empty.
- (b) If  $\mathbf{x}(t)$  starts in  $\mathcal{K}_2^-$ , and enters  $\mathcal{K}_1^-$ , then it stays in  $\mathcal{K}_1^-$  and experiences a collision singularity.
- (c) If  $\mathbf{x}(t)$  starts in  $\mathcal{K}_2^-$ , and never enters  $\mathcal{K}_1^-$ , then it stays in  $\mathcal{K}_2^+ \cup \mathcal{K}_2^-$ .
  - (c1) If there exists time  $t_1$ , so that  $\mathbf{x}(t)$  stays in  $\mathcal{K}_2^-$  after  $t_1$ , then it experiences a collision;
  - (c2) If there exists time  $t_1$ , so that  $\mathbf{x}(t)$  stays in  $\mathcal{K}_2^+$  after  $t_2$ , then it exists globally;
  - (c3) If there are infinitely many transitions between  $\mathcal{K}_2^+$  and  $\mathcal{K}_2^-$ , then it exists globally.
- (d) If  $\mathbf{x}(t)$  starts in  $\mathcal{K}_2^+$  (resp.  $\mathcal{K}_1^-$ ), and stays in  $\mathcal{K}_2^+$  (resp.  $\mathcal{K}_1^-$ ), then it exists globally (resp. experiences a collision).
- (e) If  $\mathbf{x}(t)$  starts in  $\mathcal{K}_2^+$  (resp.  $\mathcal{K}_1^-$ ), and enters  $\mathcal{K}_2^-$ , then see (b)(c).

# Non-invariance of $\mathcal{K}^\pm(\omega)$ for $N \geq 3$ : Example 1

## Example (Example for the non-invariance of $\mathcal{K}^+(\omega)$ )

$$\mathcal{K}^+(\omega) = \{(\mathbf{x}, \dot{\mathbf{x}}) : E(\mathbf{x}, \dot{\mathbf{x}}) < E^*(\omega), K_\omega(\mathbf{x}) \geq 0\},$$

$$K_\omega(\mathbf{x}) = \frac{\omega^2}{M} \sum_{i < j} m_i m_j r_{ij}^2 - \alpha \sum_{i < j} \frac{m_i m_j}{r_{ij}^\alpha}.$$

**Homothetic motion:** take an equilateral triangle configuration  $\mathbf{x}^0$  with initial velocity  $\dot{\mathbf{x}}^0 = \mathbf{0}$  and  $(\sqrt{3}|x_i^0|)^{2+\alpha} \geq \frac{\alpha M}{\omega^2}$  for  $i = 1, 2, 3$ .  
 $(\mathbf{x}^0, \mathbf{0}) \in \mathcal{K}^+(\omega)$ .

By the attracting forces of the 3 bodies, all of which point to the center of mass (the origin), the 3 bodies will encounter a total collision in finite time.

# Non-invariance of $\mathcal{K}^\pm(\omega)$ for $N \geq 3$ : Example 2

## Example (Example for the non-invariance of $\mathcal{K}^-(\omega)$ )

Similarly, take an equilateral triangle configuration  $\mathbf{x}^0$  and initial velocity  $\dot{\mathbf{x}}^0 = v\mathbf{x}^0$ , where  $v > 0$ . We can choose  $(\mathbf{x}^0, \dot{\mathbf{x}}^0) \in \mathcal{K}^-(\omega)$  and  $E(\mathbf{x}^0, \dot{\mathbf{x}}^0) > 0$ . Since

$$E(\mathbf{x}, \dot{\mathbf{x}}) = \frac{1}{2} \sum_{i=1}^3 m_i |\dot{\mathbf{x}}_i|^2 + U(\mathbf{x}), \quad (5)$$

is conserved and  $U(\mathbf{x}) < 0$ , the three bodies will keep going away ( $|\dot{\mathbf{x}}| \neq 0$ ) and never come back, thus enter the set  $\mathcal{K}^+(\omega)$ .

# Defining the Hill's lunar problem

- A model for “earth”, “moon”, “sun”
- Consider a uniform rotating frame with frequency one with reference to a fixed inertial frame.
- Use **Jacobi coordinates** and make appropriate assumptions on the masses and the distances, one gets the Hill's Lunar Problem. (cf. Hill (1878), Meyer-Schmidt (1982) )

## Defining the Hill's lunar problem: Cntd.

The planar Hill's equation with homogenous gravitational potential is given by

$$\begin{cases} \ddot{x} - 2\dot{y} &= -V_x \\ \ddot{y} + 2\dot{x} &= -V_y, \end{cases} \quad (6)$$

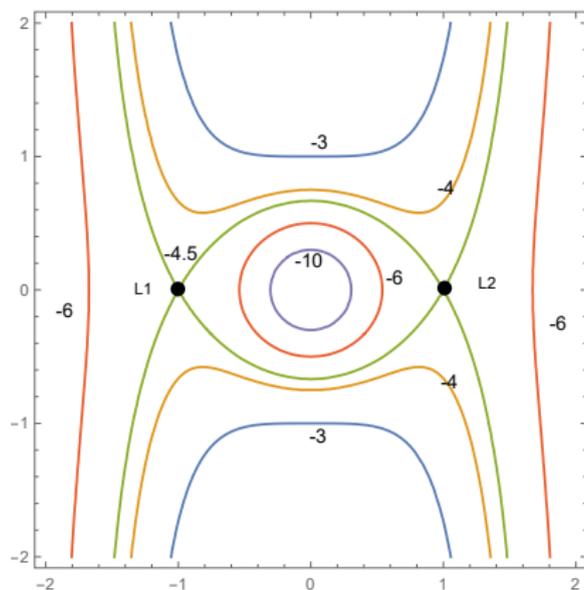
where

$$V(x, y) = -\frac{\alpha + 2}{2}x^2 - \frac{\alpha + 2}{r^\alpha}, \quad r = \sqrt{x^2 + y^2}, \quad \alpha > 0 \quad (7)$$

is known as the **effective potential**.

- $(x, y)$  can be thought of as the position of the moon.
- First integral: the energy

$$E(x, y, \dot{x}, \dot{y}) := \frac{1}{2}(\dot{x}^2 + \dot{y}^2) + V(x, y). \quad (8)$$

Contour plot of  $V(x, y)$ 

**Figure:** The contour plot of  $V(x, y)$  with  $\alpha = 1$ .  $V(x, y)$  has two critical points  $L_1 := (-\alpha^{\frac{1}{\alpha+2}}, 0)$  and  $L_2 := (\alpha^{\frac{1}{\alpha+2}}, 0)$ .

# Defining the ground state

Let  $I := \frac{1}{2}(x^2 + y^2)$  be the moment of inertia. Then

$$\frac{d^2 I}{dt^2} = \dot{x}^2 + \dot{y}^2 + 2(x\dot{y} - \dot{x}y) - xV_x - yV_y. \quad (9)$$

Let

$$K(x, y, \dot{x}, \dot{y}) := \dot{x}^2 + \dot{y}^2 + 2(x\dot{y} - \dot{x}y) - xV_x - yV_y, \quad (10)$$

and

$$W(x, y) := -xV_x - yV_y = (\alpha + 2)x^2 - \frac{\alpha + 2}{r^\alpha}, \quad (11)$$

# Defining the ground state

Consider the following variational problem in  $\mathbb{R}^4$ :

$$\inf\{E(x, y, \dot{x}, \dot{y}) \mid W(x, y) = 0\}. \quad (12)$$

## Lemma

When  $\alpha \geq 2$ , we have

$$\begin{aligned} \inf\{E \mid W = 0\} &= \inf\{E \mid K = 0, W = 0\} \\ &= \inf\{E \mid K \geq 0, W \leq 0\} \\ &= E(L_j, 0) := E^* \end{aligned}$$

Let  $Q = (\alpha^{\frac{1}{\alpha+2}}, 0, 0, 0)$ , define  $\pm Q$  to be the **ground states**.

# Dichotomy below the ground state

Define  $\mathcal{K} = \{\Gamma = (x, y, \dot{x}, \dot{y}) | E(\Gamma) < E^*\}$  and set

$$\begin{aligned}\mathcal{K}_+ &= \{\Gamma \in \mathcal{K} | W(\Gamma) > 0\} \\ \mathcal{K}_- &= \{\Gamma \in \mathcal{K} | W(\Gamma) \leq 0\}\end{aligned}\tag{13}$$

**Theorem (Dichotomy below the ground state)**

*For the Hill's lunar problem with  $\alpha \geq 2$  the sets  $\mathcal{K}_+$  and  $\mathcal{K}_-$  are invariant. Solutions in  $\mathcal{K}_+$  exist globally and solutions in  $\mathcal{K}_-$  are singular.*

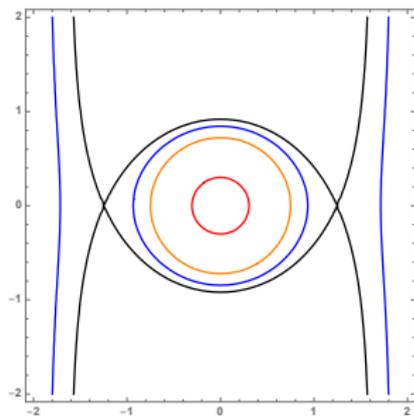


Figure: Level curves of  $V(x, y) \leq E^*$

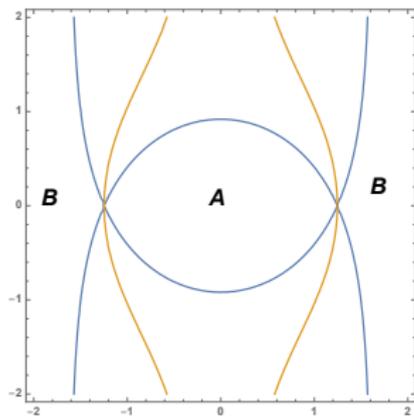
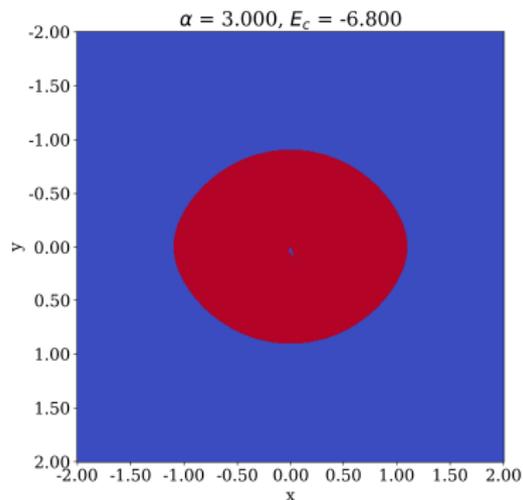
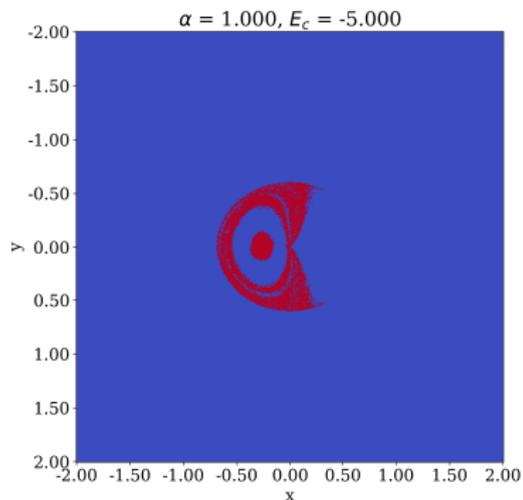


Figure:  $V = E^*$  (blue) and  $W = 0$  (orange)

Numerical simulations for different  $\alpha$ 

Red indicate the fate is collision. Both energies are below  $E^*$ .

# Trichotomy at the ground state energy threshold

Let

$$\begin{aligned}\mathcal{K}_+ &= \{\Gamma \in (x, y, \dot{x}, \dot{y}) \mid E(\Gamma) = E^*, W(\Gamma) > 0\} \\ \mathcal{K}_- &= \{\Gamma \in (x, y, \dot{x}, \dot{y}) \mid E(\Gamma) = E^*, W(\Gamma) \leq 0\}\end{aligned}\tag{14}$$

## Theorem

*The sets  $\mathcal{K}_+$  and  $\mathcal{K}_-$  are invariant. Moreover,*

- *Solutions in  $\mathcal{K}_+$  exist for all time.*
- *Solutions in  $\mathcal{K}_-$  either have a finite time collision or approach the ground state as  $t \rightarrow \infty$ .*

## Above the ground state

Symplectic coordinates  $q = (x, y)$  and  $p = (p_x, p_y) = (\dot{x} - y, \dot{y} + x)$ , the Hamiltonian, i.e. the energy is

$$E(x, y, p_x, p_y) = \frac{1}{2}[(p_x + y)^2 + (p_y - x)^2] + V(x, y).$$

The Hill's equations (6) in Symplectic canonical form is

$$\dot{q} = \frac{\partial E}{\partial p}, \quad \dot{p} = -\frac{\partial E}{\partial q}. \quad (15)$$

That is,

$$\begin{pmatrix} \dot{q} \\ \dot{p} \end{pmatrix} = J \nabla E, \quad J = \begin{pmatrix} 0 & I_2 \\ -I_2 & 0 \end{pmatrix}.$$

- The eigenvalues of the linearized operator  $A := J\nabla^2 E(Q)$  are  $\pm k, \pm i\omega$ , decompose  $\mathbb{R}^4 = E^u \oplus E^s \oplus E^c$ .

$$k = \frac{1}{\sqrt{2}} \sqrt{\sqrt{36 + 36\alpha + 29\alpha^2 + 10\alpha^3 + \alpha^4} + (\alpha^2 + 3\alpha - 2)},$$

and

$$\omega = \frac{1}{\sqrt{2}} \sqrt{\sqrt{36 + 36\alpha + 29\alpha^2 + 10\alpha^3 + \alpha^4} - (\alpha^2 + 3\alpha - 2)}.$$

# Ideas

- Solutions on the center-stable manifold remain close to  $\pm Q$ , “trapped orbits”
- Solutions do not remain close to the ground state for all positive times are ejected from any small neighborhood of it after some positive time, “non-trapped”
  - 1 Distance function WRT ground states, eigenmode dominance
  - 2 Ejection Lemma
  - 3 Variational estimates
  - 4 One-pass Theorem

# Decomposition near the ground state

Write  $\psi = Q + X$ , where  $X$  is the perturbation, decompose  $X$  as follows:

$$X = \lambda_+(t)\xi_+ + \lambda_-(t)\xi_- + \gamma(t), \quad (16)$$

where

$$\xi_+ \in E^u, \xi_- \in E^s, \gamma(t) \in E^c, \quad \Omega(\gamma(t), \xi_+) = \Omega(\gamma(t), \xi_-) = 0. \quad (17)$$

One has  $\lambda_{\pm} = \pm\Omega(X, \xi_{\mp})$  and we can derive the differential equations for  $\lambda_{\pm}(t)$ .

$$\frac{d\lambda_+}{dt}(t) = k\lambda_+(t) + \Omega(N(X), \xi_-), \quad (18)$$

$$\frac{d\lambda_-}{dt}(t) = -k\lambda_-(t) + \Omega(N(X), \xi_+). \quad (19)$$

# Linearized energy norm

## Lemma

*The function  $\gamma(t)$  in the decomposition satisfies*

$$\Omega(\gamma, A\gamma) \sim |\gamma|^2.$$

$$|X|_E^2 := \frac{k}{2}(\lambda_+^2(t) + \lambda_-^2(t)) + \frac{1}{2}\Omega(\gamma, A\gamma). \quad (20)$$

## Lemma

*We have  $|X(t)| \sim |X(t)|_E$ .*

# Distance function with respect to ground states

There exists  $\delta_E > 0$  with the following property: for any solution  $\psi = \pm(Q + X)$  and any time  $t \in I_{max}(\psi)$  for which  $|X(t)|_E \leq 4\delta_E$ ,

$$|E(\psi(t)) - E(Q) + \frac{k}{2}(\lambda_+(t) + \lambda_-(t))^2 - |X(t)|_E^2| \leq \frac{|X(t)|_E^2}{10}. \quad (21)$$

Let  $\chi$  be a smooth function on  $\mathbb{R}$  such that  $\chi(r) = 1$  for  $|r| \leq 1$  and  $\chi(r) = 0$  for  $|r| \geq 2$ . We define

$$d_Q(\psi(t)) := \sqrt{|X(t)|_E^2 + \chi(|X(t)|_E/2\delta_E)C(\psi(t))},$$

where

$$C(\psi(t)) := E(\psi(t)) - E(Q) + \frac{k}{2}(\lambda_+(t) + \lambda_-(t))^2 - |X(t)|_E^2.$$

## Distance function, eigenmode dominance

## Lemma

Assume that there exists an interval  $I$  on which

$$\sup_{t \in I} d_Q(\psi(t)) \leq \delta_E.$$

Then, all of the following hold for all  $t \in I$ :

- (i)  $\frac{1}{2}|X(t)|_E^2 \leq d_Q(\psi(t))^2 \leq \frac{3}{2}|X|_E^2,$
- (ii)  $d_Q(\psi(t))^2 = E(\psi(t)) - E(Q) + 2k\lambda_1^2(t),$
- (iii)  $\frac{d}{dt}d_Q(\psi(t))^2 = 4k^2\lambda_1(t)\lambda_2(t) + 2k\lambda_1(t)\Omega(N(X), \xi_+ + \xi_-).$
- (iv) if  $E(\psi) < E^* + \frac{1}{2}d_Q(\psi(t))^2$  holds for all  $t \in I$ , then  $d_Q(\psi(t)) \sim |\lambda_1(t)|$  for all  $t \in I$ .

## Ejection Lemma

## Lemma (Ejection Lemma)

There exists constants  $0 < \delta_X \leq \delta_E$  and  $A_*, B_*, C_*$  with the property: If  $\psi(t)$  is a local solution to (15) on  $[0, T]$  satisfying

$$R_0 := d_Q(\psi(0)) \leq \delta_X, \quad E(\psi) < E^* + \frac{1}{2}R_0^2, \quad (22)$$

then we can extend  $\psi(t)$  as long as  $d_Q(\psi(t)) \leq \delta_X$ .

Furthermore, if there exists some  $t_0 \in (0, T)$  such that

$$d_Q(\psi(t)) \geq R_0, \quad \forall 0 < t < t_0, \quad (23)$$

and let

$$T_X := \inf \{t \in [0, t_0] : d_Q(\psi(t)) = \delta_X\}$$

where  $T_X = t_0$  if  $d_Q(\psi(t)) < \delta_X$  on  $[0, t_0]$ , then for all  $t \in [0, T_X]$  :

## Ejection Lemma: Cntd

## Lemma (Ejection Lemma: Cntd)

- (i)  $A_* e^{kt} R_0 \leq d_Q(\psi(t)) \leq B_* e^{kt} R_0,$
- (ii)  $|X(t)| \sim \varepsilon \lambda_1(t) \sim \varepsilon \lambda_2(t) \sim e^{kt} R_0,$
- (iii)  $|\lambda_-(t)| + |\gamma(t)| \lesssim R_0 + d_Q(\psi(t))^2,$

where  $\varepsilon = 1$  or  $-1$ . Moreover,  $d_Q(\psi(t))$  is increasing on the region  $t \in [0, T_X]$ .

# Variational estimates

## Lemma

*For the strong force  $\alpha \geq 2$ , for any  $\delta > 0$ , there exist  $\epsilon(\delta), \kappa(\delta) > 0$  such that for any  $\Gamma \in \mathbb{R}^4$  satisfying*

$$E(\Gamma) < E^* + \epsilon(\delta), \quad d_Q(\Gamma) \geq \delta, \quad (24)$$

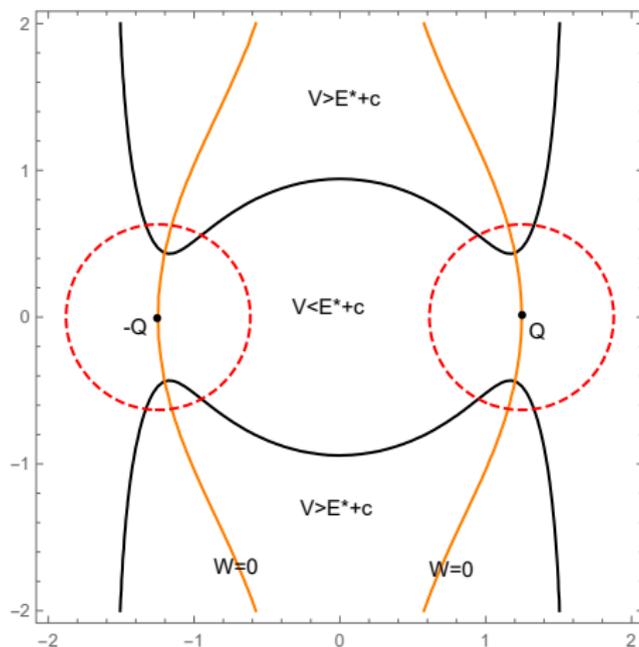
*one has either*

$$W(\Gamma) \leq -\kappa(\delta) \quad \text{and} \quad K(\Gamma) \leq -\kappa(\delta),$$

*or*

$$W(\Gamma) \geq \kappa(\delta).$$

## Variational estimates



**Figure:** The black curve is the zero velocity curve for  $E(\Gamma) = E^* + c$ , i.e.  $V(x, y) = E^* + c$ . When  $c = \epsilon(\delta)$  is small enough, the value of  $|W|$  is uniformly away from 0, provided  $d_Q(\Gamma) > \delta$ .

# One-pass Theorem

## Conjecture (One-pass theorem)

There exists constants  $\epsilon_*$ ,  $R_*$  with the property: for any  $\epsilon \in (0, \epsilon_*]$ ,  $R \in (\sqrt{2\epsilon}, R_*]$  and any solution  $\psi$  of the HLP (15) on an interval  $[0, T_{\max})$  satisfying

$$E(\psi) < E^* + \epsilon, \quad d_Q(\psi(0)) < R,$$

define  $T_{\text{trap}} := \sup\{t \geq 0 \mid d_Q(\psi(t)) < R\}$ , then

- 1 if  $T_{\text{trap}} = T_{\max}$ , then  $\psi$  is “trapped”;
- 2 if  $T_{\text{trap}} < T_{\max}$ , then  $d_Q(\psi(t)) \geq R$  for all  $t \in (T_{\text{trap}}, T_{\max})$ .

# One-pass Theorem

## Conjecture (One-pass theorem)

There exists constants  $\epsilon_*$ ,  $R_*$  with the property: for any  $\epsilon \in (0, \epsilon_*]$ ,  $R \in (\sqrt{2\epsilon}, R_*]$  and any solution  $\psi$  of the HLP (15) on an interval  $[0, T_{\max})$  satisfying

$$E(\psi) < E^* + \epsilon, \quad d_Q(\psi(0)) < R,$$

define  $T_{\text{trap}} := \sup\{t \geq 0 \mid d_Q(\psi(t)) < R\}$ , then

- 1 if  $T_{\text{trap}} = T_{\max}$ , then  $\psi$  is “trapped”;
- 2 if  $T_{\text{trap}} < T_{\max}$ , then  $d_Q(\psi(t)) \geq R$  for all  $t \in (T_{\text{trap}}, T_{\max})$ .
  - *global existence*
  - *finite time collision*

**Thank you for listening!**