

Thermodynamic optimization of a Penrose process

An engineers' approach to black hole thermodynamics

[Phys. Rev. D 93, 064070 (2016)]

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with

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ICN-UNAM



History,
Motivation &
Tools

Energy
extraction from
BH

Considering the
reservoir

Conclusions

History,
Motivation &
Tools

Energy
extraction from
BH

Considering the
reservoir

Conclusions

History, Motivation & Tools

Energy extraction from BH

Considering the reservoir

Conclusions

Energy extraction from BH

Mechanical perspective

History,
Motivation &
Tools

Energy
extraction from
BH

Considering the
reservoir

Conclusions

Name	Year	Effic.	Reference
Penrose	1969	121%	Nuovo Cimento 1, 252
Piran	1975	130%	ApJ 196, L107
BZ	1977	143%	MNRAS 179, 433
BSW	2009	∞	PRL 103, 111102
Jacobson	2009	1000%	PRL 104, 021101
Schnittman	2014	1400%	PRL 113, 261102
Piran	2016	1400%	PRD 93, 043015

Mechanical efficiency: $\eta = E_p^{\text{out}} / E_p^{\text{in}} !!$

- **Astrophysics: GRB, AGN**
(several processes to extract work from BH)
- **Black holes analogues**
(at hand in laboratory)
[Linder, Schützhold, & Unruh, 1511.03900]
- **AdS/CFT correspondence**
(processes in BH \rightarrow in the dual CFT)
[C. V. Johnson, CQG 31, 205002 (2014)]

- **Black holes thermodynamics**
(*BH are not black*)
- **Thermodynamics** \rightarrow **bounds**
(*limits for processes that extract work from BH*)
- **Finite-time thermodynamics**
(*more realistic limits*)
 \Rightarrow thermodynamic geometry

History,
Motivation &
Tools

**Energy
extraction from
BH**

Considering the
reservoir

Conclusions

Energy extraction from BH

Energy extraction from BH

Thermodynamic perspective

History,
Motivation &
Tools

Energy
extraction from
BH

Considering the
reservoir

Conclusions

TD Penrose Process:

$$p_i = (M_i, J_i = M_i^2)$$



$$p_f = (M_f, J_f = 0)$$

Energy extraction from BH

Thermodynamic perspective

History,
Motivation &
Tools

Energy
extraction from
BH

Considering the
reservoir

Conclusions

Isolated Kerr BH:

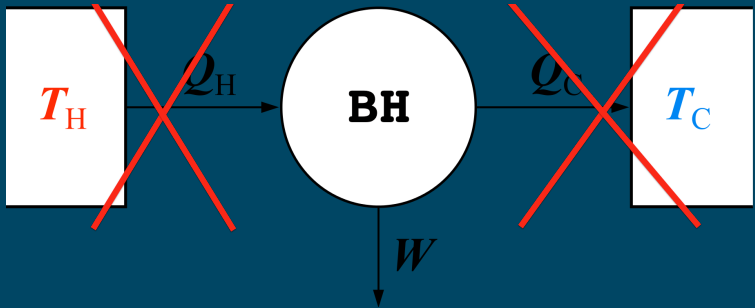
$$dS = 0$$

$$M_f = M_{\text{irreducible}} = \frac{M_i}{\sqrt{2}}$$

$$W = -\Delta M = \left(1 - \frac{1}{\sqrt{2}}\right) M_i$$
$$\simeq 0.3M_i$$

Energy extraction from BH

Isolated BH



Max. work: $W^{\max} = -\Delta M \simeq 0.3 M_i$

TD efficiency: $\eta_1 = \frac{W^{\max}}{M_i} \simeq 30\% !!$

[Dolan, CQG 28, 235017 (2011)]

History,
Motivation &
Tools

Energy
extraction from
BH

Considering the
reservoir

Conclusions

History,
Motivation &
Tools

Energy
extraction from
BH

**Considering the
reservoir**

Conclusions

Energy extraction from BH considering the reservoir

Energy extraction from BH

Thermodynamic perspective

History,
Motivation &
Tools

Energy
extraction from
BH

Considering the
reservoir

Conclusions

Non-isolated Kerr BH:

$$dS \neq 0$$

$$M_f = ?$$

$$dW = ?$$

Energy extraction from BH

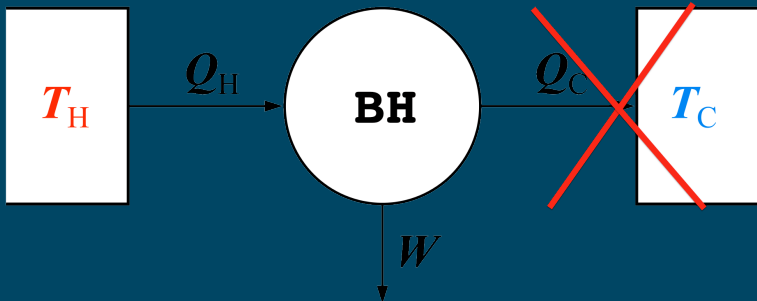
BH+Reservoir

History,
Motivation &
Tools

Energy
extraction from
BH

Considering the
reservoir

Conclusions



Max. work: $W^{\max} = ?$

TD efficiency: $\eta_1 = \frac{W^{\max}}{E_i} = ?$

Black Hole with Reservoir

Maximum work

History,
Motivation &
Tools

Energy
extraction from
BH

Considering the
reservoir

Conclusions

Availability [Gibbs]:

$$A = U - T_0 S + p_0 V$$

Max. Reversible Work [Landau & Lifshitz]:

$$W^{\max} = -\Delta A = -\Delta U + T_0 \Delta S - p_0 \Delta V$$

Maximum work in finite time:

$$W^{\max}(\tau) = W^{\max} - (\Delta A)_{\text{dest}}$$

Black Hole with Reservoir

Maximum work

History,
Motivation &
Tools

Energy
extraction from
BH

Considering the
reservoir

Conclusions

Availability [Gibbs]:

$$A = U - T_0 S - \Omega_0 J$$

Max. Reversible Work [Landau & Lifshitz]:

$$W^{\max} = -\Delta A = -\Delta U + T_0 \Delta S + \Omega_0 \Delta J$$

Maximum work in finite time:

$$W^{\max}(\tau) = W^{\max} - (\Delta A)_{\text{dest}}$$

Black Hole with Reservoir

Optimum Penrose process

History,
Motivation &
Tools

Energy
extraction from
BH

Considering the
reservoir

Conclusions

Non-isolated Kerr BH:

- extremal Kerr \rightarrow Schwarzschild
- $T_i = 0 \rightarrow T_f = 1/8M_f$
- Minimization of dissipation

$$M_f = \frac{1 + \sqrt{2}}{2} M_i$$

Black Hole with Reservoir

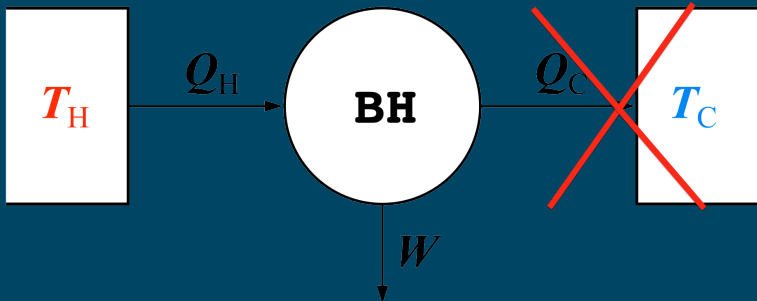
Maximum Work

History,
Motivation &
Tools

Energy
extraction from
BH

Considering the
reservoir

Conclusions



Main Result

$$W_{\tau}^{\max} = M_i \left[(1 + \sqrt{2}) T_0 M_i - (\sqrt{2} - 1) \left(0.5 + \frac{2\epsilon}{\tau} \right) \right]$$

$$\eta_1 = \frac{W^{\max}(\tau)}{E_{in}} \simeq \left(1 - \frac{c_1 + c_2 \epsilon / \tau}{T_0 M_i^2 + 1} \right)$$

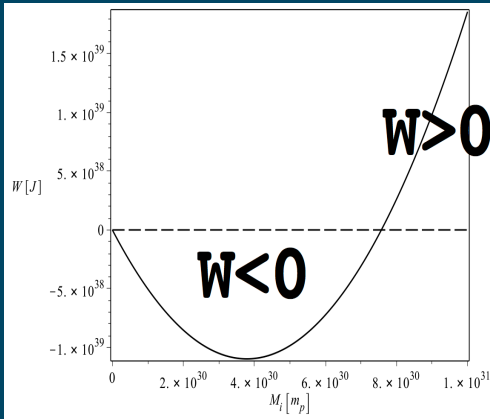
Black Hole with Reservoir Maximum Work

History,
Motivation &
Tools

Energy
extraction from
BH

Considering the
reservoir

Conclusions



for $T_0 \sim$ CMB temperature

Black Hole with Reservoir

Maximum Work

History,
Motivation &
Tools

Energy
extraction from
BH

Considering the
reservoir

Conclusions

Extracted energy:

Stellar mass BH:

$$M_i = M_{\odot} \rightarrow W \sim 10^{53} J$$

Efficiency:

$$\eta_1^{non-isol.} \simeq 99\%$$

History,
Motivation &
Tools

Energy
extraction from
BH

Considering the
reservoir

Conclusions

Conclusions

History,
Motivation &
Tools

Energy
extraction from
BH

Considering the
reservoir

Conclusions

- **Kerr BH in a reservoir**
(*reservoir = universe, $T_0 = T_{\text{CMB}}$*)
- **Optimum Penrose process**
(*optimum = geodesic of TD metric*)
- **Maximum work in finite time**

$$W^{\max}(\tau) = M_i[(1 + \sqrt{2})T_0M_i - (\sqrt{2} - 1)(0.5 + 2\epsilon/\tau)]$$

History,
Motivation &
Tools

Energy
extraction from
BH

Considering the
reservoir

Conclusions

- **More black holes. Any horizon.**
($\Lambda \neq 0$?)
- **Black holes analogues**
(*predictions for the laboratory?*)
- **AdS/CFT correspondence**
(*TD cycles in the dual CFT?*)

History,
Motivation &
Tools

Energy
extraction from
BH

Considering the
reservoir

Conclusions

Thanks for your attention!

Any questions?