

Bases of homogeneous families below the first Mahlo cardinal

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Set Theory and its Applications in Topology

Introduction

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- κ is not ω -Erdős;
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For a whole separable reflexive space with no subsymmetric basic sequences (Tsirelson space), finite powers of the Schreier family were used.

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(a) $\mathcal{S}_0 := [\omega]^{\leq 1}$,

(b) $\mathcal{S}_{\alpha+1} := \mathcal{S}_\alpha \otimes \mathcal{S}$,

(c) $\mathcal{S}_\alpha := \bigcup_{n < \omega} (\mathcal{S}_{\alpha_n} \upharpoonright \omega \setminus n)$ where $(\alpha_n)_n$ is such that $\sup_n \alpha_n = \alpha$, if α is limit;

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where, given $\mathcal{F}, \mathcal{G} \subseteq [\omega]^{<\omega}$,

$$\mathcal{F} \otimes \mathcal{G} = \left\{ \bigcup_{i=1}^n s_i : s_1 < \dots < s_n \text{ in } \mathcal{F} \text{ and } \{\min s_i : 1 \leq i \leq n\} \in \mathcal{G} \right\}.$$

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Corollary (B., Lopez-Abad, Todorćevic)

For every cardinal κ below the first Mahlo cardinal, there is a reflexive Banach space of density κ with no subsymmetric basic sequences.

Basic definitions

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Given $\alpha < \omega_1$, \mathcal{F} is α -homogeneous if $\alpha = \text{srk}(\mathcal{F}) \leq \text{rk}(\mathcal{F}) < \iota(\alpha)$, where

$$\text{srk}(\mathcal{F}) := \inf\{\text{rk}(\mathcal{F} \upharpoonright C) : C \text{ is an infinite subset of } \kappa\},$$

$$\iota(\alpha) = \min\{\text{exponentially indecomposable ordinal larger than } \alpha\}.$$

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\mathcal{F} is homogeneous if it is α -homogeneous for some $\alpha < \omega_1$.

If \mathcal{F} is homogeneous on κ and \mathcal{H} is homogeneous on ω , a family \mathcal{G} on κ is a **multiplication** of \mathcal{F} by \mathcal{H} when

- \mathcal{G} is homogeneous and $\iota(\text{srk}(\mathcal{G})) = \iota(\text{srk}(\mathcal{F}) \cdot \text{srk}(\mathcal{H}))$.
- Every sequence $(s_n)_{n < \omega}$ in \mathcal{F} has an infinite subsequence $(t_n)_n$ such that for every $x \in \mathcal{H}$ one has that $\bigcup_{n \in x} t_n \in \mathcal{G}$.

Definition

A **basis** on κ is a pair (\mathfrak{B}, \times) such that:

- \mathfrak{B} is a collection of homogeneous families on κ containing all cubes and for all $\omega \leq \alpha < \omega_1$, there is a α -homogeneous family on κ in \mathfrak{B} .
- \mathfrak{B} is closed under \cup and \sqcup .
- $\times : \mathfrak{B} \times \mathfrak{G} \rightarrow \mathfrak{B}$ is such that for every $\mathcal{F} \in \mathfrak{B}$ and every $\mathcal{H} \in \mathfrak{G}$ one has that $\mathcal{F} \times \mathcal{H}$ is a multiplication of \mathcal{F} by \mathcal{H} .

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Remark: Schreier families are spreading and uniform, so that, in particular, any element of the basis can be multiplied (within the basis) by a Schreier family.

Example Given \mathcal{F} on ω , let $\langle \mathcal{F} \rangle_{\text{spr}}$ be the set of all $\{n_1 < \cdots < n_k\}$ such that there is $\{m_1 < \cdots < m_k\} \in \mathcal{F}$ such that $m_i \leq n_i$.

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together with $\times : \mathfrak{B} \times \mathfrak{S} \rightarrow \mathfrak{B}$ defined by

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$$\mathcal{F} \oplus \mathcal{G} = \{s \cup t : s < t, s \in \mathcal{G}, t \in \mathcal{F}\}.$$

$$\mathcal{F} \otimes \mathcal{G} = \left\{ \bigcup_{k < n} s_k : n \in \omega, s_k < s_{k+1}, s_k \in \mathcal{F}, \{\min s_k : k < n\} \in \mathcal{G} \right\}.$$

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If \mathcal{F} is homogeneous on \mathcal{P} and \mathcal{H} is homogeneous on ω , a family \mathcal{G} on \mathcal{P} is a multiplication of \mathcal{F} by \mathcal{H} when

- \mathcal{G} is homogeneous and $\iota(\text{srk}_{\mathcal{P}}(\mathcal{G})) = \iota(\text{srk}_{\mathcal{P}}(\mathcal{F}) \cdot \text{srk}(\mathcal{H}))$.
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A basis of families on \mathcal{P} is defined analogously.

Families and bases on trees

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Let T be a tree and given families \mathcal{A} and \mathcal{C} on T , let $\mathcal{A} \odot_T \mathcal{C}$ be the family on T of all $s \subseteq T$ such that:

- $\langle s \rangle \cap Ch_a \subseteq \mathcal{A}$, that is, for every $t \in T$, the set of immediate successors of t with respect to s belongs to \mathcal{A} ;
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Proposition

If \mathcal{A} and \mathcal{C} are homogeneous families on $(T, <_a)$ and $(T, <_c)$, respectively, then $\mathcal{A} \odot_T \mathcal{C}$ is a homogeneous family on T .

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$$\text{srk}(\mathcal{F}) = \inf\{\text{rk}(\mathcal{F} \upharpoonright X) : X \text{ is an infinite chain, comb or fan}\}.$$

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Lemma

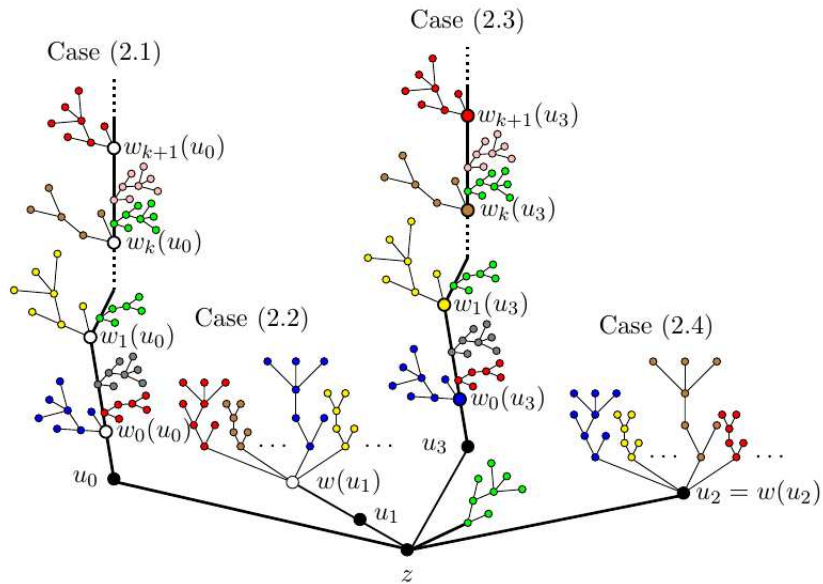
If \mathcal{B}^a and \mathcal{B}^c are bases on $(T, <_a)$ and $(T, <_c)$, respectively, let \mathfrak{B} be the collection of all homogeneous families \mathcal{F} on T such that

- $\langle \mathcal{F} \rangle$ is homogeneous and $\text{rk}(\langle \mathcal{F} \rangle) < \iota(\text{rk}(\mathcal{F}))$;
- $\mathcal{A} := \langle \mathcal{F} \rangle \cap \text{Ch}_a \in \mathcal{B}^a$ and $\mathcal{C} := \langle \mathcal{F} \rangle \cap \text{Ch}_c \in \mathcal{B}^c$.

Given $\mathcal{F} \in \mathfrak{B}$ and a hereditary, spreading, uniform family \mathcal{H} on ω , then

$$\mathcal{F} \times \mathcal{H} = ((\mathcal{A} \times_a \mathcal{H}) \sqcup_a [T]^{\leq 1}) \odot_T ((\mathcal{C} \times_c \mathcal{H}) \boxtimes_c 5)$$

is a multiplication such that \mathfrak{B} is a basis on T .



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Given a basis \mathfrak{B} on κ , the collection of families of the form

$$\mathcal{G} = \{s \subset T : s \text{ is a chain and } ht''s \in \mathcal{F}\}$$

for some $\mathcal{F} \in \mathfrak{B}$ (with some suitable multiplication) is a basis on $(T, <_c)$.

Definition

$(C_\alpha)_{\alpha < \kappa}$ is a small C -sequence on κ if

- each C_α is a club in α with $otp(C_\alpha) = cof(\alpha)$;
- there is $f : \kappa \rightarrow \kappa$ such that $otp(C_\alpha) < f(\min C_\alpha)$ for all α .

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Given a small C -sequence $(C_\alpha)_{\alpha < \kappa}$, let $\rho_0 : [\kappa]^2 \rightarrow (\wp(\kappa))^{<\omega}$ for $\alpha < \beta$ defined recursively by

$$\rho_0(\alpha, \beta) := (C_\beta \cap \alpha) \frown \rho_0(\alpha, \min(C_\beta \setminus \alpha))$$

$$\rho_0(\alpha, \alpha) := \emptyset.$$

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If there is a basis on every $\theta < \kappa$, then there is a basis on $(T, <_c)$ and hence on κ .

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Corollary

Every cardinal below the first Mahlo cardinal has a basis.

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The minimal cardinal \mathfrak{ns}_{refl} such that any reflexive Banach space of density \mathfrak{ns}_{refl} has a subsymmetric sequence is between the first Mahlo cardinal and the first ω -Erdős cardinal.

- Can we get better bounds?