

# Dark Energy in String Theory and the Swampland

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Geometrical Tools for String Cosmology  
Oaxaca  
1st May 2019

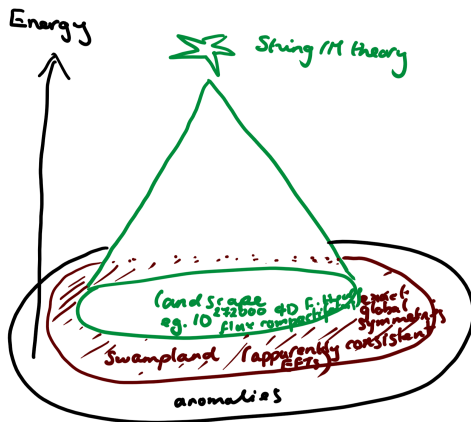
*Feliz Día Internacional del Trabajador!*

# Plan

- ▶ The String Swampland Conjectures
- ▶ Dark Energy in String Theory (and inflation)
- ▶ Quintessence from a Runaway String Modulus
- ▶ Outlook

# String Landscape vs. Swampland

Vafa '05  
Brennan, Carta & Vafa '17  
Palti '19  
Taylor & Wang '15  
see Oscar's talk



Swampland - set of all EFTs that do not admit a string theory UV completion.

# Swampland Conjectures

Simple criteria conjectured to distinguish swampland from landscape:

Brennan, Carta & Vafa '17  
Palti '19

1. No global symmetries
2. All charges must appear
3. Finite number of massless fields
4. No free parameters
5. Moduli space is non-compact
6. Moduli space is simply connected
7. Gravity is the weakest force (p-form/scalar "Weak Gravity Conjecture")  
Arkani-Hamed, Motl, Nicolis & Vafa '06, ..., Palti '17, Gonzalo & Ibañez '19, see Liam's talk
8. New physics from the boundaries of moduli space ("Distance Conjecture")  
see Irene's talk
9. No stable non-susy adS vacua
10. No metastable dS vacua?

Towards insights into QG and concrete predictions for our Universe?...  $w_{DE} \neq -1$ , quintessence strongly interacting with dark sector?

## Concordance $\Lambda$ CDM Model

Observations consistent with tiny cosmological constant

e.g. Planck  $\Rightarrow \rho_{DE} \sim 7 \times 10^{-121} M_{pl}^4$  and  $w_{DE} = -1.028 \pm 0.032$

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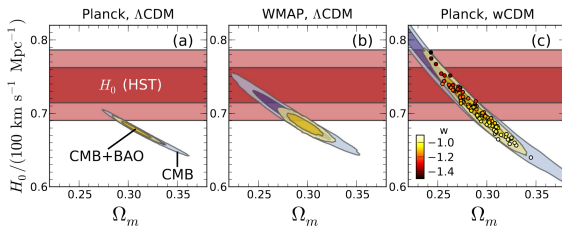
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Mortonson et al '14

Hints at physics beyond  $\Lambda$ CDM in  $H_0$  measurements:

- ▶ direct measurement:  $H_0 = 74.22 \pm 1.84 \text{ km/s/Mpc}$
- ▶ value inferred from CMB  $H_0 = 67.4 \pm 0.5 \text{ km/s/Mpc}$

giving  $4.4\sigma$  discrepancy...

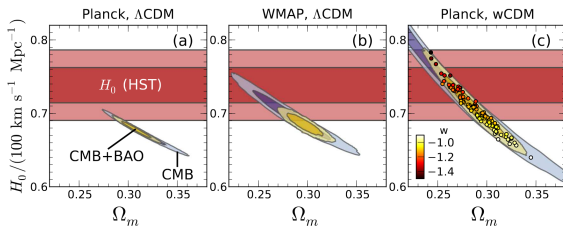
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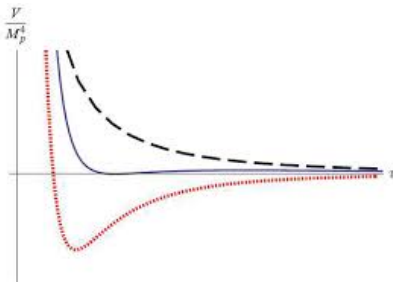
Physics beyond  $\Lambda$ CDM? exotic (e.g. phantom) dark energy, dark radiation, dark matter decay...

di Valentino, Melchiorri & Silk '16  
Huang & Wang '16



# Dark energy in string compactifications

In string compactifications, we typically look for 4D LEEFT with scalar potential with positive definite minimum  $\langle V(\phi^i) \rangle_{min} > 0$ .



Does not alone address the cosmological constant problem

$$\Lambda = \langle V \rangle + \mathcal{O}(M_{kk}^4)$$

where typically  $M_{kk} \gtrsim 10^{-15} M_{pl} \dots$  anthropics? something like SLED?

# Metastable dS vacua are hard... progress so far

see Mariana's talk

- ▶ String coupling is runaway direction within perturbative regime, unless there is some parameter to fine-tune

Dine & Seiberg '85

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$$\frac{|\nabla V|}{V} \geq \sqrt{\frac{54}{13}}$$

Hertzberg, Kachru & Taylor '07  
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Constructions tend to be at – or beyond – the limits of perturbative control and our understanding of 10D solutions.

# dS Swampland Conjecture

Danielsson & Van Riet '18  
Obied, Ooguri, Spodyneiko & Vafa '18  
Garg & Krishnan '18  
Ooguri, Palti, Shiu & Vafa '18

Might effective field theories with metastable de Sitter solutions be in the Swampland?

**Conjecture:** The scalar potential in the LEEFT of any consistent quantum gravity must satisfy either:

$$\sqrt{|\nabla^j V \nabla_j V|} \geq \frac{c}{M_{pl}} V$$

or:

$$\min(\nabla^i \nabla_j) V \leq -\frac{c'}{M_{pl}^2} V$$

for some universal constants  $c, c' > 0$  of order 1.

Rules out metastable dS, allows sufficiently unstable dS.

Connections to axionic WGC, distance conjecture and discussions around quantum aspects of dS...

Witten '01, Banks '12, Susskind '16, Dvali & Gomez '18

# One test of the dS Conjecture

Olguin-Trejo, Parameswaran, Tasinato & Zavala '18  
see also Garg, Krishnan & Zaz '18

Revisit **modular invariant** scalar potentials in concrete heterotic orbifold compactifications with moduli  $S, T_1, T_2, T_3, U$  and only four parameters.

Parameswaran, Ramos-Sanchez & Zavala '10

$$K = -\log(S + \bar{S}) - \sum_j^{h^{1,1}, h^{2,1}} \log(\phi_j + \bar{\phi}_j) + |A_\alpha|^2 \prod_j^{h^{1,1}, h^{2,1}} (\phi_j + \bar{\phi}_j)^{n'_\alpha}.$$

and

$$W_{gc} \approx \sum_a d_a \exp\left(\frac{24\pi^2}{b_a^0} f_a\right) \quad \text{with} \quad f_a = k_a S + \Delta_a^{M_d}(T_i) + \Delta_a^{M_s}(T_i, U_m)$$

Many unstable dS vacua; all satisfy dS conjecture with  $c = 1, c' = 1$ .

Similarly for  $K = -\ln(S + \bar{S}) - 3\ln(T + \bar{T})$  and

$$W = \frac{A_1 e^{-a_1 S} + A_2 e^{-a_2 S}}{\eta(T)^p} + \frac{B_1 e^{-b_1 S} + B_2 e^{-b_2 S}}{\eta(T)^q} + C e^{cT}.$$

see also Gonzalo, Ibañez & Uranga '19  
Blaback, Roest & Zavala '13  
Kallosch, Linde, Vercnocke & Wrase '14

# Implications for Dark Energy

Dark energy may be quintessence field:

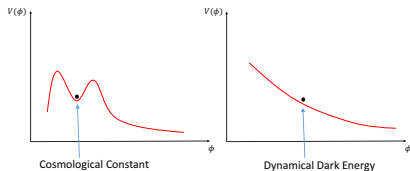
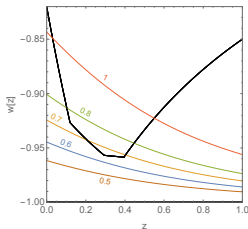


Figure from Palti's recent review

Assuming convex potential, current observations on  $w(z)$  constrain  $c$  in  $|\nabla V| M_{pl} > cV$  to  $c \lesssim 0.6$



Agrawal, Obied, Steinhardt & Vafa '18

Relaxing semi-positive definite Hessian, can have  $c, c' \sim 1$  and  $w \sim -1$  by fine-tuning initial conditions...

# String Models of Quintessence

Need a slowly-rolling ultra-light string modulus with:

$$\langle V \rangle \approx 10^{-120} M_{pl}^4 \quad \text{and} \quad m \lesssim 10^{-32} eV$$

so two fine-tuning problems...

Many of the same ingredients and challenges as in dS constructions

*Choi '99 "String or M theory axion as quintessence"*

*Albrecht, Burgess, Ravndal & Skordis '01 "Natural quintessence and LEDs"*

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*Blabäck, Danielsson & Dibitetto '14 "Accelerated Universes from type IIA"*

*Cicoli, de Alwis, Maharana Muia & Quevedo '18 "dS vs quintessence in string theory"*

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String dilaton or volume modulus lead to fifth forces and varying fundamental constants.

Local modulus may be sequestered with weaker than Planck SM couplings

e.g. Cicoli, Pedro & Tasinato '12

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String axion evades 5th forces and can easily be light  $m \sim e^{-\tau} M_{pl}$ , but need  $f \gtrsim 3M_{pl}$ ... alignment?

## Quintessence from a Runaway String Modulus

- ▶ Assume early Universe scenario (e.g. inflation) that ends in susy Minkowski with most moduli stabilised and heavy:

$$\langle D_i W_{susy} \rangle = 0, \quad \langle W_{susy} \rangle = 0, \quad \langle \Phi^i \rangle \text{ heavy}$$



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- ▶ Assume a single flat direction (for simplicity):

$$\Phi = \phi + i\theta$$

with  $\phi$  a string coupling constant – saxion – and  $\theta$  its axion.

$$K = -n \ln(\Phi + \bar{\Phi})$$

e.g.  $n = 3$  for overall volume modulus,  $n = 1$  for other volume moduli, complex structure, dilaton, blow-up modulus.

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  - ▶ Holomorphy  $\Rightarrow W$  cannot depend on  $\phi$ .
- ▶ Note  $K$  does receive perturbative corrections, but so long as  $W = 0$  this will not lift flat direction.

# Runaway String Modulus

- ▶  $W$  receives non-perturbative corrections at some scale, say, before BBN:

$$W_{np} = Ae^{-\alpha\Phi} \quad \text{at leading order}$$

e.g. by worldsheet instantons, gaugino condensation in bulk or brane, Euclidean D-branes, ...

- ▶  $A$  and  $\alpha$  are model dependent constants –  $A$  may be itself exponentially suppressed in heavy moduli vevs, e.g. gaugino condensation with 1-loop threshold corrections:

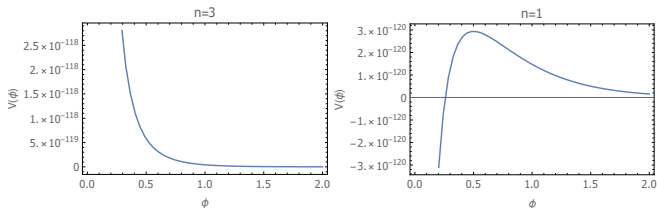
$$W_{gc} = \mu^2 e^{-\alpha f} \quad \text{with} \quad f = \Phi + \sum_i c_i \ln(d_i \Phi_i)$$

- ▶ Scalar potential for saxion:

$$V = \frac{A^2}{2^n n} e^{-2\alpha\phi} \phi^{-n} (n^2 + 4\alpha^2 \phi^2 + n(-3 + 4\alpha\phi))$$

with axion flat direction at leading order.

# Runaway modulus with dS maximum



- ▶ dS maximum at  $\phi_{max} = \frac{1}{\sqrt{2\alpha}}$  for  $W_{np} = Ae^{-\alpha\Phi}$  (consistent with dS Swampland Conjecture)
- ▶ Corrections from  $K_p$  and  $W_{np\ sub}$  suppressed for small coupling constant
- ▶ Starting from susy Minkowski – well under control
- ▶ Giving up dS minimum – no fine tuning of perturbative and non-perturbative corrections against each other

# Quintessence from a runaway modulus

- ▶ Cosmological equations in a FRW background:

$$3 \left( \frac{\dot{a}}{a} \right)^2 = \frac{1}{2} \frac{\dot{\phi}^2}{\phi^2} + M_{pl}^{-2} V + 3H_0^2 \Omega_M a(t)^{-3} + 3H_0^2 \Omega_r a(t)^{-4}$$

$$0 = \ddot{\phi} + 3 \frac{\dot{a}}{a} \dot{\phi} + \Gamma_{ab}^{\phi} \dot{\phi}^a \dot{\phi}^b + M_{pl}^{-2} g^{\phi b} \frac{\partial V}{\partial \phi^b}$$

$$0 = \ddot{\theta} + 3 \frac{\dot{a}}{a} \dot{\theta} + \Gamma_{ab}^{\theta} \dot{\phi}^a \dot{\phi}^b + M_{pl}^{-2} g^{\theta b} \frac{\partial V}{\partial \phi^b},$$

- ▶ To source accelerated expansion:

$$\frac{1}{2} \dot{\phi}^2 \ll V \quad \text{slow roll quintessence}$$

which implies:

$$2\phi^2 \frac{V'(\phi)^2}{V} \ll M_{pl}^2 H^2$$

# Slowly rolling runaway field

- ▶ Behaviour of the slow-roll parameter,  $2\phi^2 V'(\phi)^2 / V(\phi)$  in different regions of the potential:

$$2\phi^2 \frac{V'(\phi)^2}{V(\phi)} \rightarrow -\frac{2A^2}{\phi} \quad \text{as } \phi \rightarrow 0,$$

$$2\phi^2 \frac{V'(\phi)^2}{V(\phi)} \rightarrow \# A^2 e^{-\sqrt{2}\alpha^3} \left(\phi - \frac{1}{\sqrt{2}\alpha}\right)^2 \quad \text{as } \phi \rightarrow \phi_{max},$$

$$2\phi^2 \frac{V'(\phi)^2}{V(\phi)} \rightarrow \# A^2 \alpha \quad \text{as } \phi \rightarrow \phi_{inflex},$$

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- ▶ As  $H$  decreases, eventually  $M_{pl}^2 H^2 \lesssim 2\phi_{init}^2 V'(\phi_{init})^2 / V(\phi_{init})$  and field begins to roll.

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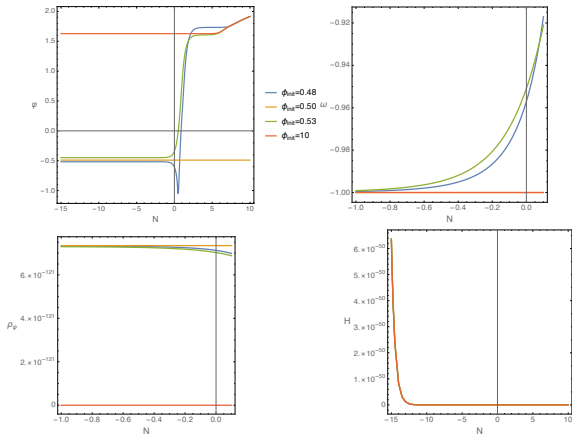
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At dS maximum  $\frac{\min(\nabla^j \nabla_j V)}{V} = -2(2 + \sqrt{2})M_{pl}^{-2}$ , so consistent with dS Swampland Conjecture,  $\frac{\min(\nabla^j \nabla_j V)}{V} < -c' M_{pl}^{-2}$ , with  $c' = 1$

Near hilltop we have a viable frozen or thawing quintessence model.

# Thawing quintessence from a runaway string modulus

Choosing  $A = e^{-138.122}$  and  $\alpha = \sqrt{2}$  for  $\phi_{init}$  to within 4% of hilltop value  $\phi_{hilltop} = 0.5$ , evolution consistent with current observations.



Quantum fluctuations  $\Delta\phi \sim H/2\pi$  stay within viable window up to  $H \lesssim 0.01 M_{pl}$ .

## Late time attractor behaviour

Independently of the initial conditions, the late time behaviour as  $N \rightarrow \infty$ :

$$\phi(N) \rightarrow \frac{1}{2a} \ln \left( \frac{12A^2\alpha}{H_0^2\Omega_M} \right) + \frac{3}{2\alpha} (N + \ln(N))$$

$$\rho_\varphi \rightarrow e^{-3N} \frac{H_0^2\Omega_M}{2N^2} \rightarrow 0$$

$$\omega \rightarrow -\frac{3}{2} \frac{\ln N}{N} \rightarrow 0.$$

starting at right of hilltop.



# Axion, axino, visible sector

- ▶ Axion lifted by subleading  $W_{np\ sub} \Rightarrow$  axion DE with  $m_\theta < m_\phi$   
e.g.  $W_{np\ sub} = B e^{-\beta\Phi}$  with  $\beta = 2\alpha$ ,  $B = -A/20 \Rightarrow w = -0.99$ .
- ▶ Axino has light mass  $m_{axino} \sim 2\phi^2 e^{K/2} D_\phi D_\phi W$   
e.g. with parameters above  $m_{axino} \sim 4.2 \times 10^{-33} eV \Rightarrow$  axino DR  
Relic abundance is model dependent, e.g. via thermal scattering or decays or out of equilibrium decay via lightest stabilised modulus – might this help resolve  $H_0$  discrepancy?
- ▶ So far mild susy breaking by runaway - effect of susy breaking in visible sector must be sequestered, e.g. if modulus describes local feature in string compactification, distant from SM:

$$\Delta m^2 \sim \frac{M_{sb}^4}{M_{pl}^4} M_{sb}^2 \sim H_0^2$$

Tree-level decoupling ensures radiative stability, suppression of fifth forces and time variation of fundamental constants.

## Summary

- ▶ Existence or not of metastable dS vacuum in string theory remains an open question, though we've long known it would be hard...
- ▶ Very few candidates for quintessence in string theory - usually tension with Swampland constraints and/or control issues.
- ▶ Late time dominating slow roll quintessence is impossible at runaway tail – no stringy example (and inconsistent with dS Conjecture).
- ▶ Hilltop in runaway potential can source frozen/thawing quintessence consistently with observations and QG conjectures - and under control!
- ▶ Comes with axion DE and axino DR.
- ▶ BUT need fine-tuned initial conditions... anthropics on a susy Landscape?
- ▶ Model dependent questions: susy breaking and vacuum energy in visible sector, fifth forces and time variation of fundamental constants...
- ▶ The cosmological constant problem...

# dS Conjecture and Inflation

Agrawal, Obied, Steinhardt & Vafa '18  
Fukuda, Saito, Shirai & Yamazaki '18  
Kinney, Vagnozzi & Visinelli '18

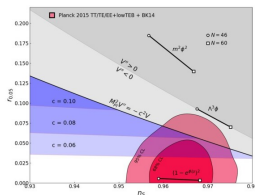
- ▶ In terms of slow roll parameters, conjecture reads

$$\text{either } \epsilon_V \geq \frac{c^2}{2} \quad \text{or} \quad \eta_V \leq -c'$$

whereas slow-roll inflation requires  $\epsilon_V \ll 1$  and  $|\eta_V| \ll 1$ .

- ▶ Slow-roll relates  $n_s = 1 - 6\epsilon_V + 2\eta_V$  and  $r = 16\epsilon_V$ , then  $r < 0.064$  and  $n_s = 0.96$  imply:

$$c < 0.09 \quad \text{or} \quad c' < 0.01$$



Kinney, Vagnozzi & Visinelli '18

- ▶ Go beyond vanilla slow roll models, e.g. multi-field effects

Palma & Achucarro '18  
see Diederik's talk