

Spectral stability of monotone traveling fronts for reaction diffusion-degenerate Nagumo equations

Ramón G. Plaza

Institute of Applied Mathematics

Universidad Nacional Autónoma de México

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Joint work with:

- J. Francisco Leyva (BUAP) 
- Luis Fernando López-RíÂos (IIMAS) 

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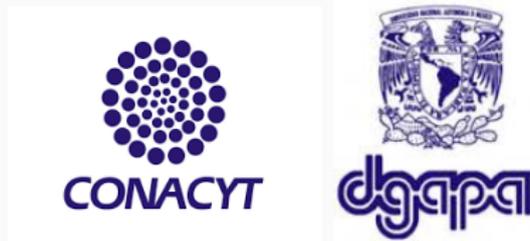


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Diffusion-degenerate traveling fronts

Reaction diffusion-degenerate equations

Simplest model: **scalar** reaction-diffusion equation with **degenerate diffusion coefficient**:

$$u_t = (D(u)u_x)_x + f(u),$$

$u = u(x, t) \in \mathbb{R}$, $x \in \mathbb{R}$, $t > 0$.

- $D = D(u)$ - density-dependent, **degenerate**, nonlinear diffusion coefficient;
- $f = f(u)$ - nonlinear **reaction** function

Reaction functions (i)

- **Fisher-KPP, monostable, logistic** type, $f \in C^2([0, 1]; \mathbb{R})$ has one stable ($u = 1$) and one unstable ($u = 0$) equilibrium points in $[0, 1]$,

$$\begin{aligned}f(0) = f(1) = 0, & & f'(0) > 0, \quad f'(1) < 0, \\f(u) > 0, & \text{ for all } u \in (0, 1).\end{aligned}$$

Typical example:

- **Logistic function** (dynamics of a population with limited resources):

$$f(u) = u(1 - u)$$

Reaction functions (ii)

- **Nagumo** (a.k.a. **Bistable, Allen-Cahn, Chafee-Infante**) type:
 $f \in C^2([0, 1]; \mathbb{R})$ has two stable equilibria $(u = 1, 0)$ and one unstable $(u = \alpha)$ equilibrium point in $[0, 1]$

$$\begin{aligned}f(0) = f(\alpha) = f(1) = 0, & \quad f'(0), f'(1) < 0, \quad f'(\alpha) > 0, \\f(u) > 0 \text{ for all } u \in (\alpha, 1), & \quad f(u) < 0 \text{ for all } u \in (0, \alpha),\end{aligned}$$

for some $\alpha \in (0, 1)$.

Typical example:

- **Cubic reaction** (dynamics of a population with limited resources and cooperation, **Allee effect**):

$$f(u) = u(1 - u)(u - \alpha)$$

Density-dependent degenerate diffusions (i)

In physics and engineering:

- Mullins diffusion for **thermal grooving** (surface groove profiles on a heated polycrystal by the mechanism of evaporation-condensation); **Mullins (1957), Broabridge (1989)** (non-degenerate).
- Matano boundary methods in the **Allen-Cahn equation for metal binary alloys**; **Wagner (1952), Allen, Cahn (1972)** (non-degenerate).
- **Porous medium** equation, $u_t = \Delta(u^m)$ (with $D(u) = mu^{m-1}$) **Vazquez (2007)** (degenerate)
- Anisotropic diffusivities in **binary alloys**; **Elliot, Garcke (1996), Taylor, Cahn (1994)** (degenerate).

Density-dependent degenerate diffusions (ii)

In biology:

- Populations' dynamics models, 'motility' depends on density:
 - mammals, Myers, Krebs (1974), Shigesada et al. (1979).
 - ecology, Gurtin, McCamy (1977)
 - eukaryotic cell biology, Sengers et al. (2007)
- Degenerate diffusions ($D = 0$ in some regions) appear in bacterial aggregation models; Kawasaki et al. (1997), Leyva et al. (2013)
- Degenerate diffusions to model sharp tumor invasion fronts: McGillen et al. (2014).

Degenerate diffusion

Density-dependent and degenerate diffusion coefficient:

- $D \in C^2([0, 1]; \mathbb{R})$
- $D(0) = 0$
- $D(u) > 0$ for all $u \in (0, 1]$,
- $D'(u) > 0$ for all $u \in [0, 1]$ (*)

Examples:

- $D(u) = u^2 + bu$, $b > 0$; **Shigesada et al. (1979)**. Models dispersive effects of **mutual interference** between individuals of a population.
- **Porous medium** type of diffusion, $D(u) = mu^{m-1}$

Rich mathematical consequences:

- Degenerate diffusion equations may possess **finite speed of propagation of initial disturbances**; **Gilding, Kersner (1996)**.
- Existence of traveling fronts of **sharp type**; **Sánchez-Garduño, Maini (1995, 1997)**.
- **Loss of hyperbolicity** of the associated ODE at degenerate point.

Traveling fronts

Traveling wave solution:

$$u(x, t) = \varphi(x - ct), \quad \varphi : \mathbb{R} \rightarrow \mathbb{R},$$

$c \in \mathbb{R}$ - wave speed. Upon substitution:

$$(D(\varphi)\varphi_\xi)_\xi + c\varphi_\xi + f(\varphi) = 0,$$

where $\xi = x - ct$ denotes the translation (Galilean) variable. Asymptotic limits:

$$u_\pm := \varphi(\pm\infty) = \lim_{\xi \rightarrow \pm\omega} \varphi(\xi), \quad \omega = \xi_{0, \infty}$$

u_\pm is an **equilibrium point** of the reaction: $u_\pm \in \{0, 1\}$ (Fisher-KPP),
 $u_\pm \in \{0, 1, \alpha\}$ (bistable).

Existence theory of degenerate traveling fronts

Some references:

- **Aronson (1980)**: Fisher-KPP with diffusion of **porous medium** type.
- **Sanchez-Garduño, Maini, (1994, 1995)**: **Fisher-KPP** fronts.
- **Sanchez-Garduño, Maini, (1997)**: **Nagumo (bistable)** fronts.
- **Sanchez-Garduño, Maini, Kappos (1996), El-Adnani, Talibi-Alaoui (2010)** (Conley index techniques).
- **Gilding, Kersner (2005)** ($D(u) = au^k$).
- **Malaguti, Marcelli (2003)** (doubly degenerate diffusions $D(u) = u(1 - u)$).
- (Abridged list...)

Examples (i)

In the Fisher-KPP case, the theory predicts the existence of sharp fronts with critical speed $c = c_*$, and monotone smooth fronts for $c > c_*$.

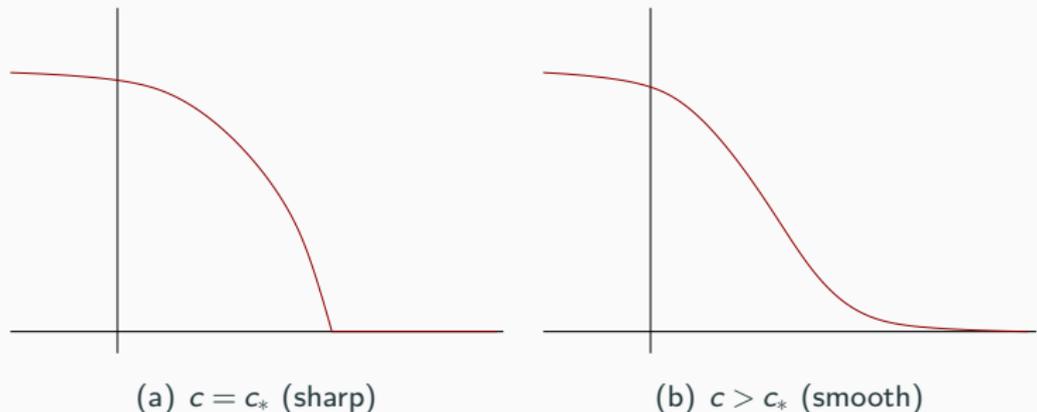


Figure 1: Profile $\varphi = \varphi(\xi)$ for (a) $c = c_*$; (b) $c > c_*$.

Examples (ii)

In the **Nagumo case**, there are many fronts. **Sharp** fronts connecting to degenerate equilibria with (unique) critical speed $c = c_* \in (0, \bar{c}(\alpha))$, $\bar{c}(\alpha) := 2\sqrt{D(\alpha)f'(\alpha)}$; **smooth** monotone fronts for $c > \bar{c}(\alpha)$ or $c = 0$, and even oscillatory profiles.

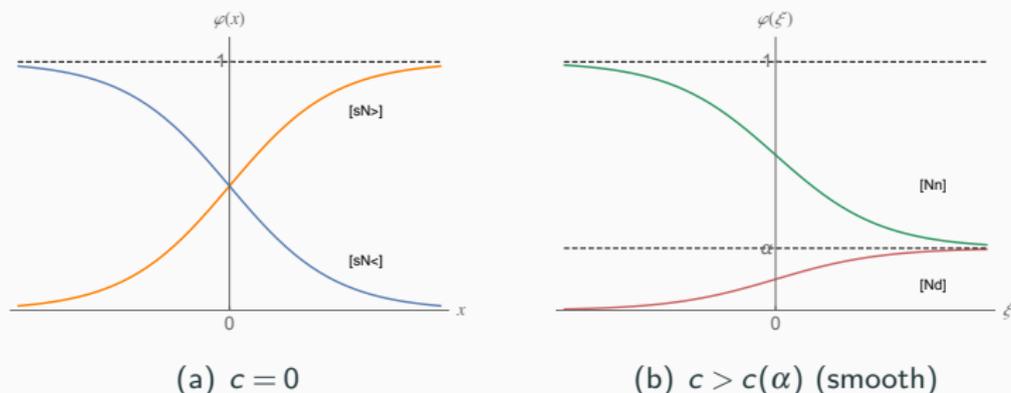


Figure 2: Profile $\varphi = \varphi(\xi)$ for (a) $c = 0$; (b) $c > c(\alpha)$.

Previous work (i)

Works on long-time behaviour of solutions to reaction-diffusion degenerate equations:

- **Sherratt-Marchant (1996)**: Fisher-KPP case, numerical study with $D(u) = u$.
- **Biró (2002), Medvedev et al. (2003)**: Fisher-KPP, diffusion porous medium type, compactly supported initial data evolve towards sharp front with $c = c_*$.
- **Kamin, Rosenau (2004)**: Extension to $f(u) = u(1 - u^m)$, same porous medium type diff., fast decaying initial data.
- (Abridged list...)

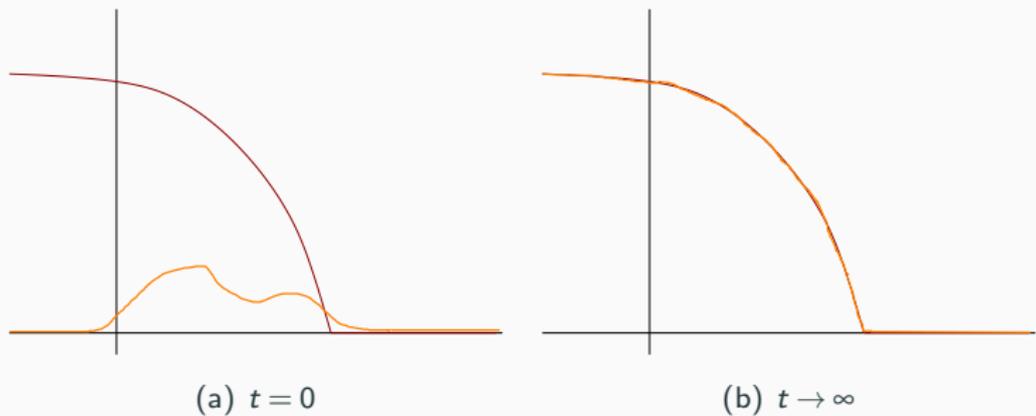
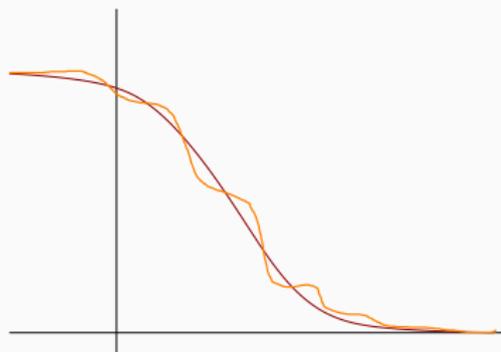
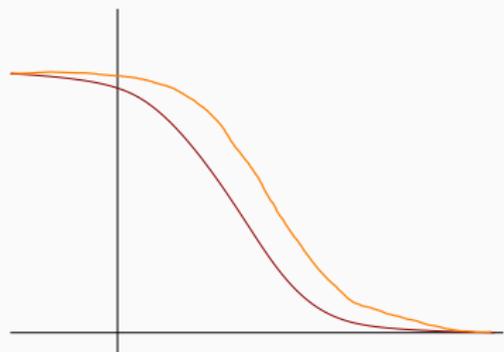


Figure 3: (a) initial condition $u_0(x) \in C_0^\infty(\mathbb{R})$; (b) u evolves into the sharp front.



(a) perturbation, $t = 0$



(b) translated, $t \rightarrow \infty$

Figure 4: Perturbation of the smooth profile $\varphi = \varphi(x)$: (a) initial condition $u_0(x) = \varphi(x) + v_0(x)$; (b) $u(x, t) \rightarrow \varphi(x + \delta(t) - ct)$.

Previous work (ii)

Works on stability of diffusion-degenerate fronts:

- **Hosono (1986)**: Nagumo reaction, diffusion of **porous medium type**: $D(u) = mu^{m-1}$. Comparison principle techniques: initial data close to **sharp** front, then asymptotic convergence to a translated (sharp) front.
- **Dalibard, Lopez-Ruiz, Perrin (2021)**: Preprint, arXiv:2108.10563. **Porous medium** with generalized Fisher-KPP reaction. Nonlinear stability in L^2 weighted energy spaces of **smooth fronts**.

Spectral stability of reaction diffusion-degenerate fronts

References:

- **Leyva, P. (2020)**, *J. Dynam. Differ. Equ.* **32**. Fisher-KPP reaction, smooth fronts.
- **Leyva, López-Ríos, P. (2021)**, *Indiana Univ. Math. J.*, in press. Nagumo reaction, smooth fronts.

Features:

- Analysis focuses on **spectral stability** of **smooth fronts**.
- Techniques related to **spectral theory of operators** (Kato).
- Follows general program **(a) spectral** \Rightarrow **(b) linear** \Rightarrow **(c) non-linear** stability analyses. Main references: **Alexander, Gardner, Jones (1990)**, **Sandstede (2002)**, **Kapitula, Promislow (2013)**.
- Some ideas could be extrapolated to the case of **systems**.

The spectral problem and main results

Linearized operator around the front

Abuse of notation $x \rightarrow x - ct$. **Linearizing** around the front yields,

$$u_t = (D(\varphi)u)_{xx} + cu_x + f'(\varphi)u.$$

Specialize to solutions of form $e^{\lambda t}u(x)$, with $\lambda \in \mathbb{C}$, $u \in X$, Banach space. **Spectral problem**:

$$\mathcal{L}u = \lambda u,$$

$$\mathcal{L} : \mathcal{D}(\mathcal{L}) \subset X \rightarrow X,$$

$$\mathcal{L}u = (D(\varphi)u)_{xx} + cu_x + f'(\varphi)u.$$

$\mathcal{D}(\mathcal{L})$ is dense in X ; \mathcal{L} is the closed, densely defined, linearized operator around the front. (e.g. $X = L^2$, $\mathcal{D} = H^2$, localized perturbations)

Definition

Let $\mathcal{L} \in \mathcal{C}(X, Y)$ be a closed, densely defined operator from X to Y , Banach. Its **resolvent** $\rho(\mathcal{L})$ is defined as the set of all complex numbers $\lambda \in \mathbb{C}$ such that $\mathcal{L} - \lambda$ is injective, $\mathcal{R}(\mathcal{L} - \lambda) = Y$ and $(\mathcal{L} - \lambda)^{-1}$ is bounded. Its **spectrum** is defined as $\sigma(\mathcal{L}) = \mathbb{C} \setminus \rho(\mathcal{L})$.

Definition

We say the traveling front φ is **X -spectrally stable** if

$$\sigma(\mathcal{L}) \subset \{\lambda \in \mathbb{C} : \operatorname{Re} \lambda \leq 0\}.$$

Lemma

For any closed, densely defined linear operator $\mathcal{L} : \mathcal{D} \subset X \rightarrow Y$,

$$\sigma(\mathcal{L}) = \sigma_{\text{pt}}(\mathcal{L}) \cup \sigma_{\delta}(\mathcal{L}) \cup \sigma_{\pi}(\mathcal{L}),$$

where

$$\sigma_{\text{pt}}(\mathcal{L}) := \{\lambda \in \mathbb{C} : \mathcal{L} - \lambda \text{ is not injective}\},$$

$$\sigma_{\delta}(\mathcal{L}) := \{\lambda \in \mathbb{C} : \mathcal{L} - \lambda \text{ is injective, } \mathcal{R}(\mathcal{L} - \lambda) \text{ is closed,} \\ \text{and } \mathcal{R}(\mathcal{L} - \lambda) \neq Y\},$$

$$\sigma_{\pi}(\mathcal{L}) := \{\lambda \in \mathbb{C} : \mathcal{L} - \lambda \text{ is injective, and } \mathcal{R}(\mathcal{L} - \lambda) \\ \text{is not closed}\}.$$

Observations (i)

- In the theory of stability of waves, cf. **Kapitula, Promislow (2013)**, the standard partition is **Weyl's partition**:

$$\sigma_{\text{ess}}(\mathcal{L}) := \{\lambda \in \mathbb{C} : \mathcal{L} - \lambda \text{ is either not Fredholm, or has index different from zero}\},$$

$$\tilde{\sigma}_{\text{pt}}(\mathcal{L}) := \{\lambda \in \mathbb{C} : \mathcal{L} - \lambda \text{ is Fredholm with index zero and has a non-trivial kernel}\}.$$

Notice that $\tilde{\sigma}_{\text{pt}} \subset \sigma_{\text{pt}}$. $\tilde{\sigma}_{\text{pt}}$ is the set of **isolated eigenvalues with finite multiplicity**.

- $\sigma_{\text{pt}}(\mathcal{L})$ is called the **extended point spectrum**; its elements, **eigenvalues**. $\lambda \in \sigma_{\text{pt}}(\mathcal{L})$ if and only if there exists $u \in \mathcal{D}(\mathcal{L})$, $u \neq 0$, such that $\mathcal{L}u = \lambda u$
- $\lambda = 0$ always belongs to the L^2 - $\sigma_{\text{pt}}(\mathcal{L})$ (**translation eigenvalue**), as

$$\mathcal{L}\varphi_x = \partial_x((D(\varphi)\varphi_x)_x + c\varphi_x + f(\varphi)) = 0$$

in view of the profile equation and $\varphi_x \in H^2(\mathbb{R}; \mathbb{C})$ (eigenfunction).

- $\sigma_\pi(\mathcal{L})$ is contained in the **approximate spectrum**, defined as

$$\sigma_\pi(\mathcal{L}) \subset \sigma_{\text{app}}(\mathcal{L}) := \{\lambda \in \mathbb{C} : \text{there exists } u_n \in \mathcal{D}(\mathcal{L}) \text{ with } \|u_n\| = 1 \\ \text{such that } (\mathcal{L} - \lambda)u_n \rightarrow 0 \text{ in } Y \text{ as } n \rightarrow \infty\}.$$

This holds because $\mathcal{R}(\mathcal{L} - \lambda)$ **not closed** \Rightarrow there exists a **Weyl's sequence**: $u_n \in \mathcal{D}(\mathcal{L})$, $\|u_n\| = 1$ such that $(\mathcal{L} - \lambda)u_n \rightarrow 0$, which contains no convergent subsequence.

- $\sigma_\delta(\mathcal{L})$ is clearly contained in what is often called the **compression spectrum**:

$$\sigma_\delta(\mathcal{L}) \subset \sigma_{\text{com}}(\mathcal{L}) := \{\lambda \in \mathbb{C} : \mathcal{L} - \lambda \text{ is injective, and } \overline{\mathcal{R}(\mathcal{L} - \lambda)} \neq Y\}.$$

Why this partition?

- Designed to overcome difficulties associated to **degeneracy** at $\varphi = 0$.
- Spectral problem recast as **first order system**, $\mathbf{w}_x = \mathbf{A}(x, \lambda)\mathbf{w}$, $\mathbf{w} = (u, u_x)$ (cf. **Alexander, Gardner, Jones (1990)**).
- In the strictly parabolic setting, $D \geq \delta > 0$, and $\lambda \in \Omega \subset \mathbb{C}$, large connected set, $\mathbf{A}_{\pm}(\lambda)$ are strictly hyperbolic, their spectral equations determine **Fredholm curves** that bound Weyl's essential spectrum. In the degenerate case, **hyperbolicity is lost**.

Example: constant diffusivity

Constant diffusion problem, $D(u) \equiv D > 0$. Spectral problem reads

$$\lambda u = Du_{xx} + cu_x + f'(\varphi)u.$$

Recast as a first order system:

$$W_x = \mathbf{A}(x, \lambda)W,$$

$$W = \begin{pmatrix} u \\ u_x \end{pmatrix} \in H^1(\mathbb{R}; \mathbb{C}^2)$$

$$\mathbf{A}(x, \lambda) = \begin{pmatrix} 0 & 1 \\ (\lambda - f'(\varphi))/D & -c/D \end{pmatrix}.$$

Asymptotic limits:

$$\mathbf{A}_{\pm}(\lambda) = \lim_{x \rightarrow \pm\infty} \mathbf{A}(x, \lambda) = \begin{pmatrix} 0 & 1 \\ (\lambda - f'(u_{\pm}))/D & -c/D \end{pmatrix}.$$

Fact of life: The Fredholm properties of $\mathcal{L} - \lambda$ are the same as the operators $\mathcal{T}(\lambda) := d/dx - \mathbf{A}(x, \lambda)$. (There is a one-to-one and onto correspondence between the kernels and Jordan chains, with same structure and length.) (cf. **Kapitula, Promislow (2013)**.)

How to locate $\sigma_{\text{ess}}(\mathcal{L})$? The **Fredholm curves** $\lambda^{\pm} = \lambda^{\pm}(k)$, $k \in \mathbb{R}$ (solutions to $\det(\mathbf{A}_{\pm}(\lambda) - ikI) = 0$, dispersion relation) determine the boundaries of the open regions in the complex plane on which the operator $\mathcal{T}(\lambda)$ (or $\mathcal{L} - \lambda$) is Fredholm.

- **Idea:** take a parabolic regularization (add $\varepsilon d^2/dx^2$), compute σ_{ess} and take the limit as $\varepsilon \rightarrow 0$.
- As a consequence, we control **some component of the essential spectrum, σ_δ** , precluding the behaviour of approximate spectra.
- The **set σ_π** is controlled with the use of **Weyl sequences**.
- The stability analysis of the point spectrum is based on **weighted energy estimates**.

Exponentially weighted spaces

For any $m \in \mathbb{Z}$, $m \geq 0$, $a \in \mathbb{R}$,

$$H_a^m(\mathbb{R}; \mathbb{C}) = \{v : e^{ax} v(x) \in H^m(\mathbb{R}; \mathbb{C})\},$$

Hilbert spaces with inner product and norm,

$$\langle u, v \rangle_{H_a^m} := \langle e^{ax} u, e^{ax} v \rangle_{H^m}, \quad \|v\|_{H_a^m}^2 := \|e^{ax} v\|_{H^m}^2 = \langle v, v \rangle_{H_a^m}.$$

Custom: $L_a^2(\mathbb{R}; \mathbb{C}) = H_a^0(\mathbb{R}; \mathbb{C})$.

Facts of life: (cf. **Kapitula, Promislow (2013)**)

- The spectrum of $\mathcal{L} \in \mathcal{C}(L_a^2; L_a^2)$ is equivalent to the spectrum of a conjugated operator, $\mathcal{L}_a \in \mathcal{C}(L^2; L^2)$:

$$\mathcal{L}_a := e^{ax} \mathcal{L} e^{-ax} : \mathcal{D} = H^2(\mathbb{R}; \mathbb{C}) \subset L^2(\mathbb{R}; \mathbb{C}) \rightarrow L^2(\mathbb{R}; \mathbb{C}),$$

- The point spectrum is invariant under conjugation

$$\sigma_{\text{pt}}(\mathcal{L}_a)|_{L^2} = \sigma_{\text{pt}}(\mathcal{L})|_{L_a^2}.$$

Main results (i)

Theorem (Leyva, P. (2020))

For any monotone traveling front for *Fisher-KPP* reaction diffusion-degenerate equations, under hypotheses for $D = D(\cdot)$ and f , and traveling with speed $c \in \mathbb{R}$ satisfying the condition

$$c > \max \left\{ c_*, \frac{f'(0)\sqrt{D(1)}}{\sqrt{f'(0) - f'(1)}} \right\} > 0,$$

there exists an exponentially weighted space $L_a^2(\mathbb{R})$, with $a \in \mathbb{R}$, such that the front is *L_a^2 -spectrally stable*. $c_* > 0$ is the minimum threshold speed (the velocity of the sharp wave).

Main results (ii)

Theorem (Leyva, López-Ríos, P. (2021))

Under our hypotheses, the family of all monotone diffusion-degenerate Nagumo fronts connecting the equilibrium states $u = \alpha$ with $u = 0$ and traveling with speed $c > \bar{c}(\alpha) = 2\sqrt{D(\alpha)f'(\alpha)}$ are spectrally stable in an exponentially weighted energy space $L_a^2 = \{e^{ax} u \in L^2\}$. More precisely, there exists $a > 0$ such that

$$\sigma(\mathcal{L})|_{L_a^2} \subset \{\lambda \in \mathbb{C} : \operatorname{Re} \lambda \leq 0\},$$

where $\mathcal{L} : L_a^2 \rightarrow L_a^2$ denotes the linearized operator around the traveling front and $\sigma(\mathcal{L})|_{L_a^2}$ denotes its spectrum computed with respect to the energy space L_a^2 .

Method of proof (overview)

Strategy of proof

- (A) Calculation of σ_δ (parabolic regularization technique; choice of the weight $a \in \mathbb{R}$).
- (B) Control of σ_π (use of Weyl sequences).
- (C) Control of σ_{pt} (weighted energy estimates; trick to overcome degeneracy).

(A) Parabolic regularization technique

- For any $\varepsilon > 0$, let

$$D^\varepsilon(\varphi) := D(\varphi) + \varepsilon.$$

$D^\varepsilon(\varphi) > 0$ for all $x \in \mathbb{R}$.

- **Regularized** conjugated operator:

$$\mathcal{L}_a^\varepsilon : \mathcal{D} = H^2 \subset L^2 \rightarrow L^2,$$

$$\begin{aligned} \mathcal{L}_a^\varepsilon u := e^{ax} \mathcal{L}^\varepsilon e^{-ax} &= D^\varepsilon(\varphi) u_{xx} + \left(2D^\varepsilon(\varphi)_x - 2aD^\varepsilon(\varphi) + c \right) u_x + \\ &+ \left(a^2 D^\varepsilon(\varphi) - 2aD^\varepsilon(\varphi)_x - ac + D^\varepsilon(\varphi)_{xx} + f'(\varphi) \right) u \end{aligned}$$

$a \in \mathbb{R}$ is to be chosen.

- Region of **consistent splitting**:

$$\Omega(a, \varepsilon) := \{ \lambda \in \mathbb{C} : \operatorname{Re} \lambda > \max \{ D^\varepsilon(u_+) a^2 - ac + f'(u_+), D^\varepsilon(u_-) a^2 - ac + f'(u_-) \} \}$$

- **Lemma 1:** For all $\varepsilon > 0$, $a \in \mathbb{R}$, and for each $\lambda \in \Omega(a, \varepsilon)$, the operator $\mathcal{L}_a^\varepsilon - \lambda$ is *Fredholm with index zero*.
(Note: hyperbolicity of end points is *fundamental*: Weyl's essential spectrum theorem + exponential dichotomies).
- **Lemma 2:** For each fixed $\lambda \in \mathbb{C}$, the operators $\mathcal{L}^\varepsilon - \lambda$ *converge in generalized sense* to $\mathcal{L} - \lambda$ as $\varepsilon \rightarrow 0^+$
($d(G(\mathcal{L}^\varepsilon - \lambda), G(\mathcal{L} - \lambda)) \rightarrow 0$).
- Apply Kato's stability theorem (**Kato, 1980**) to locate $\sigma_\delta(\mathcal{L}_a)$.
Lemma 3: Suppose that $\mathcal{L}_a - \lambda$ is *semi-Fredholm*, for $a \in \mathbb{R}$, $\lambda \in \mathbb{C}$. Then for each $0 < \varepsilon \ll 1$ sufficiently small $\mathcal{L}_a^\varepsilon - \lambda$ is *semi-Fredholm* and $\text{ind}(\mathcal{L}_a^\varepsilon - \lambda) = \text{ind}(\mathcal{L}_a - \lambda)$.

- **Corollary:** $\sigma_\delta(\mathcal{L}_a) \subset \mathbb{C} \setminus \Omega(a, 0)$.
- Choose $a \in \mathbb{R}$ appropriately to **stabilize** σ_δ : $\mathbb{C} \setminus \Omega(a, 0) \subset \{\operatorname{Re} \lambda < 0\}$.
- Example: in the **Fisher-KPP** case it suffices to set

$$0 < \frac{f'(0)}{c} < a < (2D(1))^{-1} (c + \sqrt{c^2 - 4D(1)f'(1)}).$$

- Consequence: $\sigma_\delta(\mathcal{L}_a)|_{L^2} = \sigma_\delta(\mathcal{L})|_{L^2} \subset \{\lambda \in \mathbb{C} : \operatorname{Re} \lambda < 0\}$.

(B) Location of σ_π : Weyl sequences

- Conjugated operator $\mathcal{L}_a = b_2(x)\partial_x^2 + b_1(x)\partial_x + b_0(x)\text{Id}$.
- Fix $\lambda \in \sigma_\pi(\mathcal{L}_a)|_{L^2}$. Then $\mathcal{R}(\mathcal{L}_a - \lambda)$ is **not closed** and there exists a **singular sequence** $u_n \in \mathcal{D}(\mathcal{L}_a) = H^2$ with $\|u_n\|_{L^2} = 1$, for all $n \in \mathbb{N}$, such that $(\mathcal{L}_a - \lambda)u_n \rightarrow 0$ in L^2 as $n \rightarrow \infty$ and which has no convergent subsequence.
- L^2 is a reflexive space $\Rightarrow u_n \rightharpoonup 0$ in L^2 .
- **Lemma 4:** *There exists a subsequence, u_n , such that $u_n \rightarrow 0$ in L^2_{loc} as $n \rightarrow \infty$.*

- For each $\varepsilon > 0$ we can choose $R > 0$ sufficiently large such that

$$|b_0(x) - \frac{1}{2}\partial_x b_1(x) - (a^2 D(u_{\pm}) - ac + f'(u_{\pm}))| < \varepsilon, \quad \text{for } |x| \geq R.$$

- From $b_2(x) = D(\varphi) \geq 0$:

$$\begin{aligned} \operatorname{Re} \lambda &\leq |\langle f_n, u_n \rangle_{L^2}| + \int_{-R}^R (b_0(x) - \frac{1}{2}\partial_x b_1(x)) |u_n|^2 dx + \int_{|x| \geq R} (b_0(x) - \frac{1}{2}\partial_x b_1(x)) |u_n|^2 dx \\ &\leq \|(\mathcal{L} - \lambda)u_n\|_{L^2} + C_1 \|u_n\|_{L^2(-R,R)} + C_2 \varepsilon \|u_n\|_{L^2(|x| \geq R)}^2 + (a^2 D(u_{\pm}) - ac + f'(u_{\pm})) \|u_n\|_{L^2}^2 \\ &= \underbrace{\|(\mathcal{L} - \lambda)u_n\|_{L^2} + C_1 \|u_n\|_{L^2(-R,R)}}_{\rightarrow 0, \text{ as } n \rightarrow \infty} + C_2 \varepsilon + a^2 D(u_{\pm}) - ac + f'(u_{\pm}). \end{aligned}$$

- Thus, $\operatorname{Re} \lambda \leq a^2 D(u_{\pm}) - ac + f'(u_{\pm})$, or

$$\sigma_{\pi}(\mathcal{L}_a)|_{L^2} = \sigma_{\pi}(\mathcal{L})|_{L^2} \subset \mathbb{C} \setminus \Omega(a, 0) \subset \{\operatorname{Re} \lambda < 0\}.$$

(C) Point spectral stability

- For fixed $\lambda \in \sigma_{\text{pt}}(\mathcal{L}_a)$, there is solution $u \in \mathcal{D}(\mathcal{L}_a) = H^2$ to $(\mathcal{L}_a - \lambda)u = 0$ (eigenfunction).
- Spectral transformation: $w = \Theta(x)u$, with

$$\Theta(x) = \exp\left(\frac{c}{2} \int_{x_0}^x \frac{ds}{D(\varphi(s))} - a(x - x_0)\right).$$

- **Lemma 5:** For the appropriate $a \in \mathbb{R}$ and for any $\lambda \in \Omega(a, 0)$, if $u \in H^2$ solves $(\mathcal{L}_a - \lambda)u = 0$ then $w \in H^2$ and solves

$$(D(\varphi)^2 w_x)_x + D(\varphi)G(x)w - \lambda D(\varphi)w = 0.$$

- Note: one needs detailed information about the decay structure of eigenfunctions and of the traveling fronts.

- Lemma applies also to the **translation eigenvalue**, $\lambda = 0 \in \sigma_{\text{pt}}(\mathcal{L}_a) \cap \Omega(a, 0)$: eigenfunction $e^{ax} \varphi_x$ is transformed into $\psi = \Theta(x)e^{ax} \varphi_x$, which solves

$$(D(\varphi)^2 \psi_x)_x + D(\varphi)G(x)\psi = 0.$$

- Combine energy estimates on both equations and use **monotonicity** of the front:

$$\lambda \langle D(\varphi)w, w \rangle_{L^2} = -\|D(\varphi)(w/\psi)_x \psi\|_{L^2}^2.$$

- If $\lambda \in \sigma_{\text{pt}}(\mathcal{L}_a) \cap \Omega(a, 0)$ then $\text{Re } \lambda \leq 0$. If $\lambda \in \sigma_{\text{pt}}(\mathcal{L}_a)$ and $\lambda \notin \Omega(a, 0)$ then automatically $\text{Re } \lambda < 0$. We conclude **point spectral stability**.
- Note: the **weighted L^2 norm** $\|u\| = \|\sqrt{D(\varphi)}u\|_{L^2}$ encodes the **degeneracy** of the front (see also **Dalibard et al. (2021)**).

Thanks...!