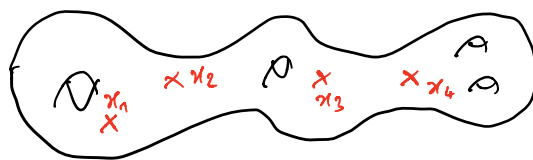


# Segal's Axioms and Modular Bootstrap

## 1) Conformal Field Theory in dim 2

Data: • A Riemann surface



$$(M, [g])$$

$$g_{\text{genus}} = h$$

$x_i$  : marked points  
 $\alpha_i$  : weights  
 $\leadsto$  "angles of conical singularities"

• Correlations functions:

$$Z_g(x; \alpha) \in \mathbb{C}$$

$$x = (x_1, \dots, x_n)$$

$$\alpha = (\alpha_1, \dots, \alpha_n)$$

① Diffeo invariance:

$$\Psi \in \text{Diff}(M) \Rightarrow$$

$$Z_{g \circ \Psi} (x_1, \dots, x_n; \alpha_1, \dots, \alpha_n) = Z_g (\Psi(x_1), \dots, \Psi(x_n); \alpha_1, \dots, \alpha_n)$$

② Conformal anomaly

$$w \in C^\infty(M) : Z_{g \circ w} (x, \alpha) = Z_g (x, \alpha) e^{c A_g(w) - \sum_{i=1}^n \Delta_i w(x_i)}$$

where  $A_g(w) = \frac{1}{96\pi} \int_M (|dw|_g^2 + 2R_g w) \text{dvol}_g$  Anomaly

$R_g$  = Scalar curvature

$c$  = central charge of the CFT

$\Delta_i$  = Function of  $\alpha_i$  called conformal weights

Rem:  $Z_g(\alpha, \alpha)$  can be viewed as sections of a line bundle over moduli space of Riemann surfaces

## Physical meaning:

Correlation functions are expected values of random fields

→ Feynman integrals

$$Z_g(\alpha, \alpha) = \int_{\mathbb{E}(M)} \prod_{i=2}^n e^{\alpha_i \varphi(x_i)} \underbrace{e^{-S(\varphi)} d\varphi}_{\text{measure on } \mathbb{E}}$$

$S_g : C^\infty(M) \rightarrow \mathbb{C}$  action

$e^{\alpha_i \varphi(x_i)}$  : primary fields

$\mathbb{E}(M)$  : space of fields on  $M$

## Problem in theoretical physics :

- give an expression for correlation functions
- difficulty : hard to make sense to Feynman integrals

## Tools in physics :

- use symmetries of the model
- use representation theory of

Virasoro Algebras central charge

$$L_m, m \in \mathbb{Z},$$

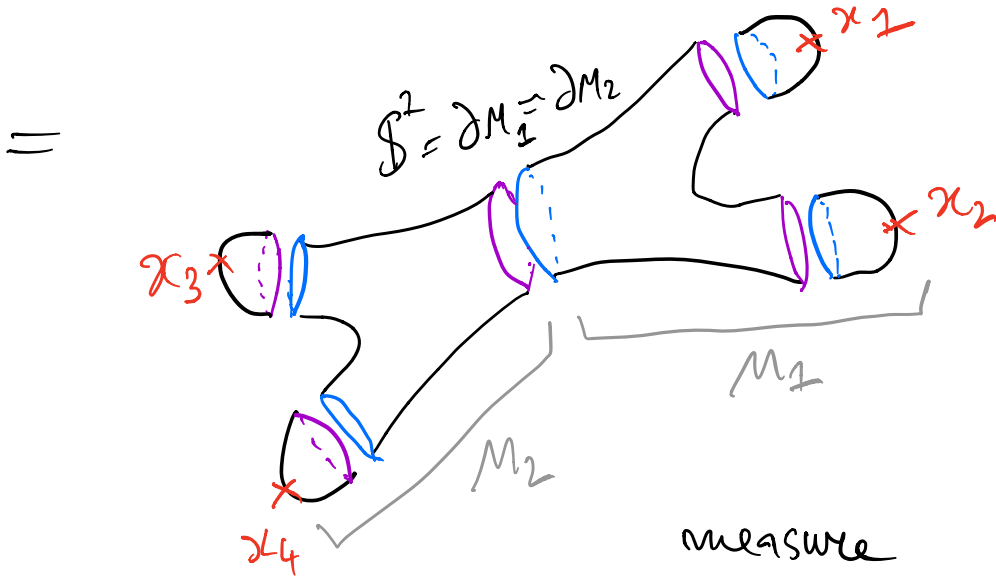
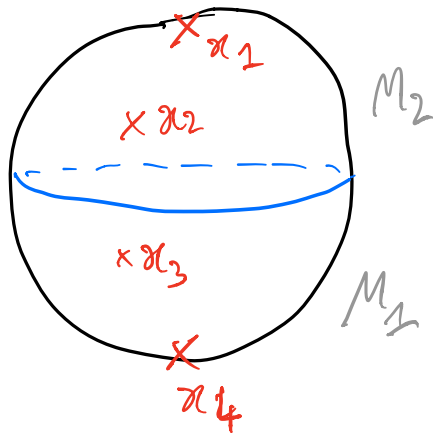
$$[L_m, L_n] = (m-n)L_{m+n} + \frac{c}{12}(m^3-m)\delta_{m+n}$$

• it is a central extension of Witt algebra

$$L_n = -z^{n+1} \partial_z, \quad n \in \mathbb{Z}$$

# Heuristics in physics :

$M = S^2$   
with 4 marked  
points



$$Z_{M, g}(\alpha, d) = \int_{E(M)} \frac{4}{\prod_{i=1}^4} e^{\alpha_i \varphi(\alpha_i)} \overbrace{e^{-S_g(\varphi)}}^{\text{measure}} d\varphi$$

can be disintegrated :

$$\text{let } A_{M_1, g}(\varphi_0) := \int_{\substack{\varphi \in E(M_1) \\ \varphi|_{S^1} = \varphi_0}} e^{\alpha_1 \varphi(\alpha_1)} e^{\alpha_2 \varphi(\alpha_2)} \underbrace{e^{-S_g^{M_1}(\varphi)}}_{\text{measure}} d\varphi$$

$$\text{and } A_{M_2, g}(\varphi_0) := \int_{\substack{\varphi \in E(M_2) \\ \varphi|_{S^1} = \varphi_0}} e^{\alpha_3 \varphi(\alpha_3)} e^{\alpha_4 \varphi(\alpha_4)} \underbrace{e^{-S_g^{M_2}(\varphi)}}_{\text{measure}} d\varphi$$

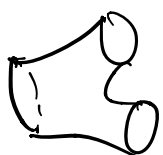
Conditioning

Then

$$Z_{M, g}(\alpha, \alpha) = \int_{E(S^1)} A_{M_1, g}(\varphi_0) A_{M_2, g}(\varphi_0) d\varphi_0$$

$A_{M_j, g}$  is called amplitude of  $M_j$

- Similarly  $A_{M_1, g}$  can be decomposed into products of amplitudes of



with amplitudes of  and 

Segal Axioms give a math definition to this procedure

## 2) Segal Axioms for CFT

View CFT as a functor

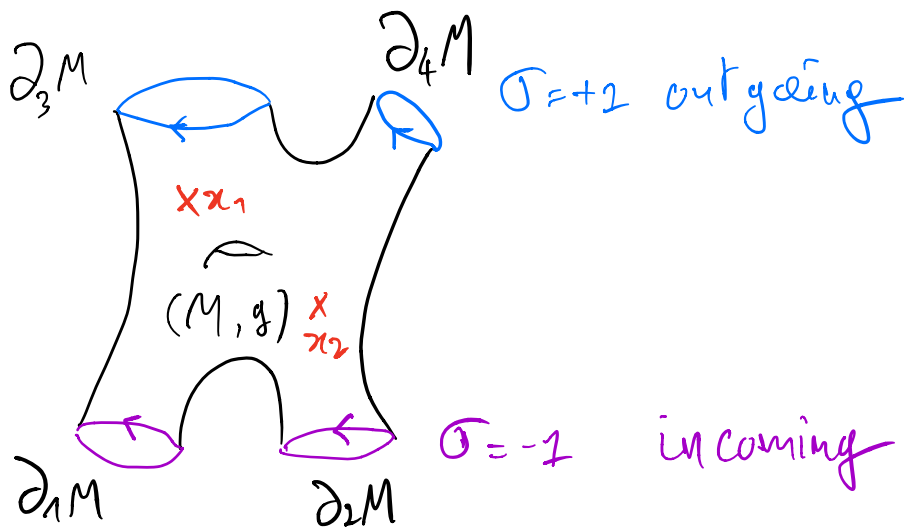
- **Objects:**  $\bigsqcup_{i=1}^n \mathbb{S}^1$   $n \in \mathbb{N} \cup \{0\}$
- **Morphisms:**  $(M, g)$  oriented Riemannian surface with geodesic bdr

$$\partial M = \bigsqcup_{i=1}^n \partial_i M \sqcup \bigsqcup_{j=2}^m \partial_j M$$

and an orientation for each bdr component

$$\sigma_i = -1, \sigma_j = +1,$$

and  $(\partial_j, \alpha_j)$  marked points with weights.



$$\text{CFT Functor} \left\{ \begin{array}{l} \bigsqcup_{i=1}^n S^1 \rightarrow \bigotimes_{i=1}^n \mathcal{H} \end{array} \right.$$

$$(M, g) \rightarrow A_{M, g} : \bigotimes_{i, \sigma_i = -1} \mathcal{H} \rightarrow \bigotimes_{i, \sigma_i = +1} \mathcal{H}$$

with  $\mathcal{H}$  a separable Hilbert Space

with  $A_{M, g}$  Hilbert-Schmidt operator

• rem :

$$A_{M,g} : \begin{cases} \mathbb{C} \rightarrow \bigotimes_{i=1}^m \mathbb{C} & \text{if no incoming bdy} \\ \bigotimes_{i=1}^n \mathbb{C} \rightarrow \mathbb{C} & \text{if no outgoing bdy} \end{cases}$$

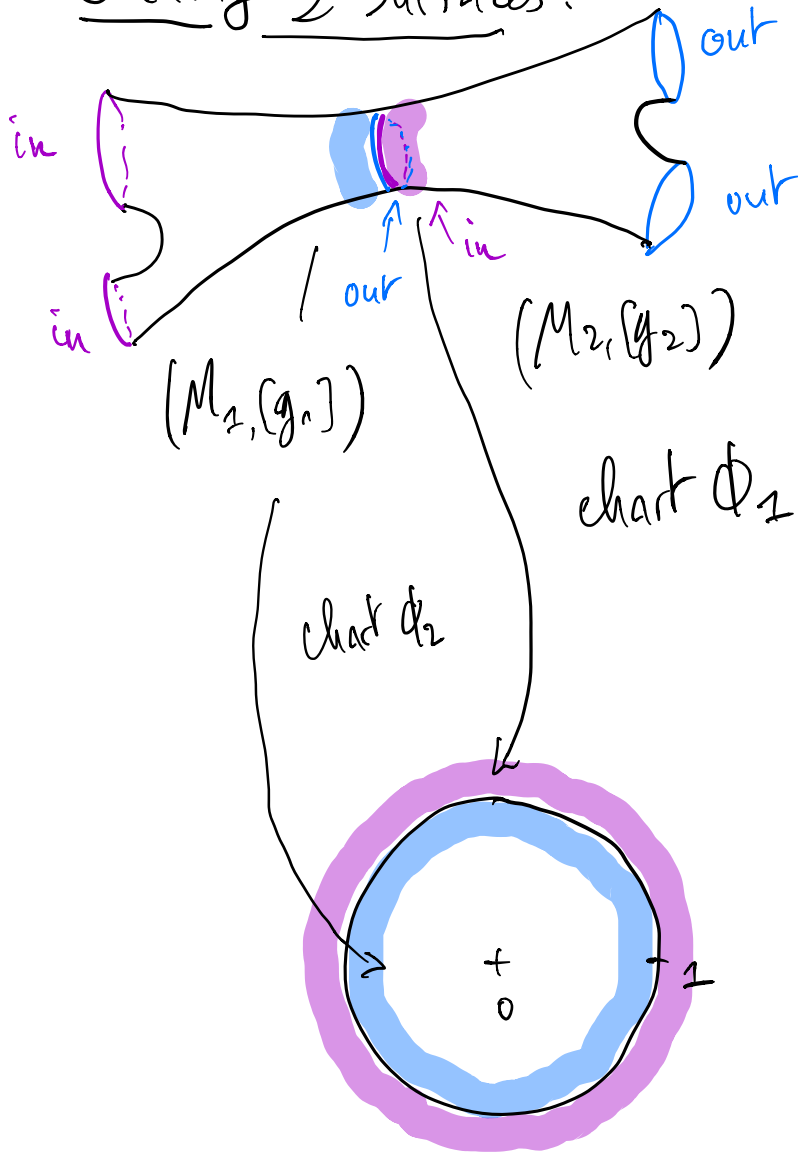
• Moreover,  $A_{M, \tilde{g}} = e^{\frac{c}{96\pi} \int_M (|dw|_g^2 + 2R_g w)} dw|_g \times A_{M,g}$   
(Weyl Anomaly)

Def:  $A_{M,g}$  called Amplitude  
of  $M, g, (x, \alpha)$

Moreover, Following rules for  
amplitudes need to hold :



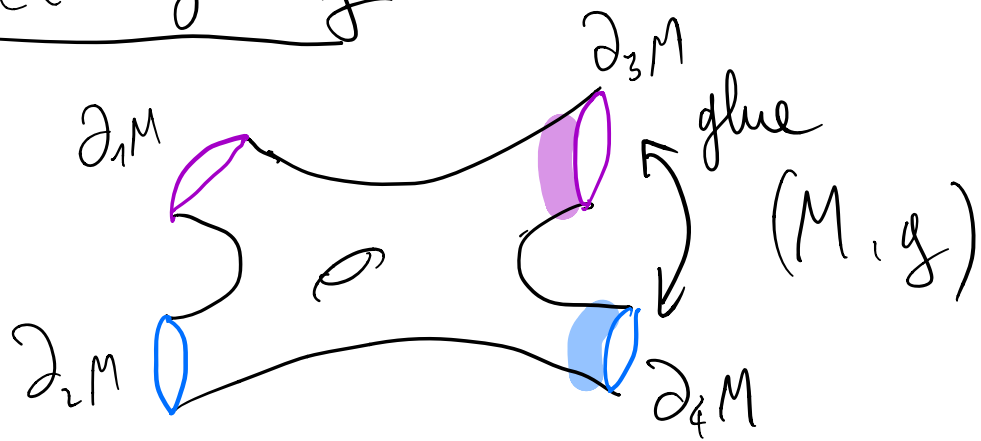
Gluing 2 surfaces:



Amplitudes must satisfy

$$A_{(M_1 \# M_2, g_1 \# g_2)} = A_{M_2, g_2} \circ A_{M_1, g_1}$$

Self-gluing :



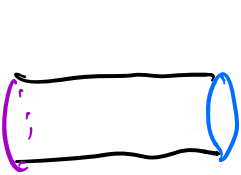
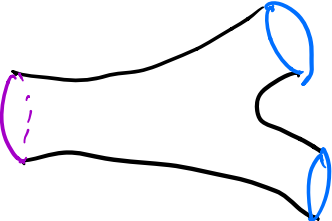
$$A_{\tilde{M}, \tilde{g}} = \text{Tr}_{3,4} (A_{M, g}) \quad \text{partial trace}$$

Remark: if  $\mathcal{H} = L^2(\Omega, \rho)$  for some measured space  $(\Omega, \rho)$ , Amplitude is an element

$$A_{M, g}(\psi_1, \dots, \psi_m; \psi'_1, \dots, \psi'_n) \in L^2(\Omega^{m+n}; \rho^{\otimes m+n})$$

if one views it as a Hilbert-Schmidt operator.

Physics:  $\Omega = \text{space of fields on } S^2 = E(S^2)$

example: composition of  
 $(M_1, g_1)$    $(M_2, g_2)$  

$$A_{M_1 \# M_2, g_1 \# g_2}(\psi_1, \psi_2; \psi_1')$$

$$= \int_{E(S^2)} A_{M_2, g_2}(\psi_1, \psi_2; \psi) A_{M_1, g_1}(\psi; \psi_1') d\mu(\psi)$$

# "Theorem" in physics

$$Z_{M, g}(\alpha_1, \dots, \alpha_n; d_1, \dots, d_n)$$

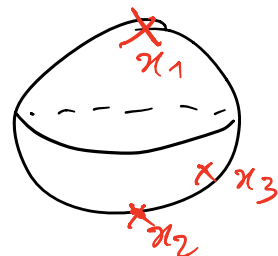
$$= \sum_{\Delta} P(\Delta, \alpha) \left| \mathcal{F}(\Delta, \alpha; g, \alpha) \right|^2$$

$$\Delta = (\Delta_1, \dots, \Delta_N) \\ \in \text{Spectrum(CFT)}$$

moduli  
space

where:  $N = 3 \underbrace{\text{genus}(M)}_h - 3 + n = \dim_{\mathbb{C}} \mathcal{M}_{h, n}$

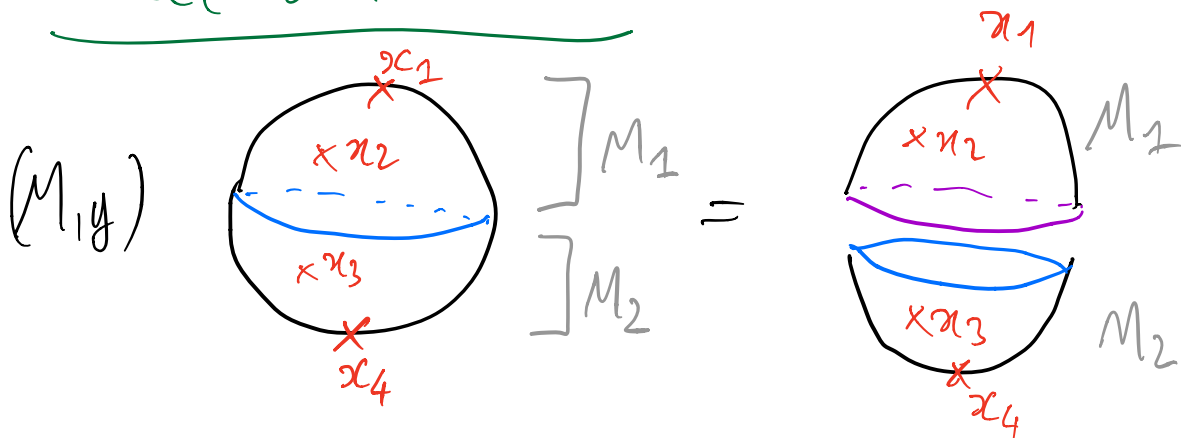
- $P(\Delta, \alpha) =$  product of 3-points correlations functions on  $S^2$



•  $\mathcal{F}(\Delta, \alpha; q, x) =$  conformal blocks are holomorphic functions of  $(q, x)$  where  $(q, x)$  are complex coordinates on moduli space  $\mathcal{M}_{h, n}$

•  $\Delta \in$  spectrum of  $H_0 = L_0 + L_0^*$  where  $L_0$  is a representation of the Virasoro element into operators on  $\mathcal{H}$

Idea behind this :



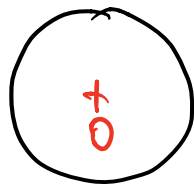
$$Z_{M_1, g}(x, \alpha) = \int_{\Omega} A_{M_1, g}(\varphi) A_{M_2, g}(\varphi) d\varphi$$

$$= \langle A_{M_1, g}, A_{M_2, g} \rangle_{L^2(\Omega)}$$

$$= \sum_{\nu, \tilde{\nu} \in \text{Young Tableaux} \approx \mathbb{N}} \int_{\Delta \in \text{Sp}(H_0)} \langle A_{M_1, g}, \Psi_{\Delta, \nu, \tilde{\nu}} \rangle_{L^2} \langle \Psi_{\Delta, \nu, \tilde{\nu}}, A_{M_2, g} \rangle_{L^2} d\Delta$$

with  $H_0 \Psi_{\Delta, \nu, \tilde{\nu}} = (\Delta + |\nu| + |\tilde{\nu}|) \Psi_{\Delta, \nu, \tilde{\nu}}$  eigenbasis of  $\mathcal{H}$  for  $H_0$

But  $\Psi_{\Delta, \emptyset, \emptyset}(\varphi) = A_{\mathbb{D}, [0, i\sqrt{\Delta - \Delta_0}]}$



amplitude of the unit disk with marked point at  $x=0$  and complex weight  $i\sqrt{\Delta - \Delta_0}$

$\Delta_0 = \text{bottom of spectrum}(H_0)$

thus  $\langle A_{M_1, \phi}, \Psi_{\Delta, \phi, \phi} \rangle_{L^2(\Omega)}$   
 = Amplitude of



= 3 points  
 Correl  
 Function  
 with complex  
 weight

•  $\Psi_{\Delta, \nu, \tilde{\nu}} = L_{-\nu_h} \dots L_{-\nu_2} \tilde{L}_{-\tilde{\nu}_j} \dots \tilde{L}_{-\tilde{\nu}_1} \Psi_{\Delta, \phi, \phi}$

where  $\nu = (\underbrace{\nu_1, \dots, \nu_h}_{\mathbb{N}})$      $\tilde{\nu} = (\underbrace{\tilde{\nu}_1, \dots, \tilde{\nu}_j}_{\mathbb{N}})$

•  $L_{-\nu_j}, \tilde{L}_{-\tilde{\nu}_j}$  are two representations of  
 Virasoro generators as operators on  $\mathcal{H}$

$\Rightarrow$  similar to creation operators for Harmonic oscillator

• Ward identities :

$$\langle A_{M_1, g}, L_{-\nu_2} \dots L_{-\nu_1} \tilde{L}_{-\tilde{\nu}_j} \dots \tilde{L}_{-\tilde{\nu}_1} \Psi_{\Delta, \phi, \phi} \rangle$$

$$= f_{\nu, \tilde{\nu}}(\alpha) \underbrace{W_{\nu, \tilde{\nu}}(\alpha_1, \alpha_2, \Delta)}_{\text{"explicit" algebraic factors only}} \langle A_{M_1, g}, \Psi_{\Delta, \phi, \phi} \rangle$$

related to Virasoro algebra

powers of  $\alpha_1, \alpha_2 \in \mathbb{D}^c$

These produce the conformal blocks

Ward: "Vertex operator algebra".



### 3] Liouville CFT

Action is that of uniformisation of surfaces

$$S_g(\varphi) := \frac{1}{\pi} \int_M \left( |d\varphi|_g^2 + \frac{Q}{4} R_g \varphi + \pi e^{\gamma \varphi} \right) d\text{vol}_g$$

with  $Q = \frac{\delta}{2} + \frac{2}{\delta}$ ,  $\delta \in (0, 2)$

$$Z_{M,g}(x, \alpha) = \int_{\mathbb{E}(M)} \prod_{i=2}^n e^{\alpha_i \varphi(x_i)} e^{-S_g(\varphi)} d\varphi$$

Theorem 1 : (David, Kupiainen, Rhodes, Vargas 2016)  
(Gwllaramou, Rhodes, Vargas 2018)

There is a probabilistic definition for correlations functions in all genus

if  $\sum \alpha_i > Q \chi(M)$

$$Z_{M,g}(\alpha, \alpha) \stackrel{\text{def}}{=} \frac{\sqrt{\text{vol}_g(M)}}{\sqrt{\det \Delta_g}} \times$$

$$\int_{\mathbb{R}} \mathbb{E} \left[ \prod_j e^{\alpha_i (c + X(G_i)) - \frac{\alpha_i^2}{2} \mathbb{E}(X^2(G_i))} e^{-\frac{\alpha}{4\pi} \langle c + X, R_g \rangle} e^{-\pi \int_M \delta(c + X) - \frac{\alpha^2}{2} \mathbb{E}(X^2) dv_g} \right] dc$$

where  $X :=$  Gaussian free-field  $\in H^{-\varepsilon}(M)$

$$= \sqrt{2\pi} \sum_{k=1}^{\infty} w_k \frac{U_k}{\sqrt{\lambda_k}}$$

$w_k \in N(0,1)$   
i.i.d Gaussian

$$\Delta_g U_k = \lambda_k U_k$$

with covariance

$$\mathbb{E}(X(x) X(x')) = 2\pi G_g(x, x') \quad \text{Green's fct}^0$$

- $e^{\delta X - \frac{\delta^2}{2} \mathbb{E}(X^2)} dv_g$  makes sense as a random measure

### Kahane multiplicative chaos

- field is  $\mathcal{Y} = \underset{\substack{\uparrow \\ \text{constant}}}{c} + X \leftarrow \text{random field } \perp \text{ to constants}$

Theorem 2 : (Guillarmou-Kupiainen-Rhodes-Vargas 2020 + 2021)

①  $\exists$  Hilbert space  $\mathcal{H} = L^2(\mathbb{R}_c \times \Omega, dc \otimes d\mathbb{P})$   
with  $L^2(\Omega, \mathbb{P}) =$  Fock space  
 $\Omega = (\mathbb{R}^2)^{\mathbb{N}^*}$ ,  $d\mathbb{P} = \bigotimes_{n \geq 1} \frac{1}{2\pi} e^{-\frac{1}{2}(x_n^2 + y_n^2)} dx_n dy_n$   
representing a measure on  $H^{-s}(\mathbb{S}^1)$  for  $s > 0$   
via the random field  $\varphi(\theta) = \sum_{n \neq 0} \frac{1}{2\sqrt{|n|}} (x_n + iy_n) e^{in\theta}$   $\rightarrow \varphi_n$

②  $\exists$  probabilist definition for  
amplitude  $A_{M,g}$  of Riemann surfaces  
with geodesic bdris & marked points  
using conditioning on boundary  $\partial M$

$\Rightarrow$  Use  $X = Y + P\varphi - c$   
 $Y =$  GFF with Dirichlet condition and  
 $P\varphi =$  harmonic extension of  $\varphi = X|_{\partial M}$   
 $c =$  constant  
and integrate away  $Y$  variable

③ Segal Axioms for gluing amplitudes holds for Liouville CFT

④  $\exists$  unitary representation of  $H_0 = L_0 + L_0^*$  as a self adjoint op. on  $\mathcal{H}$

$$H_0 = \frac{1}{2}(\partial_c^2 + Q^2) + 2 \sum_{n \neq 0} \underbrace{A_{-n} A_n + \tilde{A}_{-n} \tilde{A}_n}_{P_0} + e^{\gamma c} V(\varphi)$$

$A_n = \frac{i}{2} \partial_{\varphi_n}, \tilde{A}_n = \frac{i}{2} \partial_{\varphi_{-n}}$ 
 $P_0 =$  infinite dim harmonic oscillator  
 $V \in L^2_{\gamma^2}(\Omega)$  positive "potential" spec =  $\mathbb{N}$

+ with a full spectral decomposition in terms of scattering eigenstates  
 $Sp(H_0) = [\frac{Q^2}{2}, \infty)$  purely continuous

generalized eigenvectors are analytic extensions in  $\alpha \in \mathbb{Q} + i\mathbb{R}$  of amplitude of  $\mathbb{D}$  with marked pt

at  $x=0$  and weight  $\alpha$

⑤ Correlations Functions can be decomposed using Segal decompositions

$$Z_{M,g}(\alpha, \alpha) = \int_{P \in \mathbb{R}^{3h-3+n}} P(P, \alpha) \left| \mathcal{F}_{P, \alpha}(g) \right|^2 dP$$

• where  $P(P, \alpha) = \prod_{j=1}^{3h-3+n} C_j^{DOZZ}(P, \alpha)$

$C_j(P, \alpha)$  are 3-points correlations function on  $(S^2, 0, 1, \infty)$

•  $\mathcal{F}_{P, \alpha}(g)$  are the conformal blocks

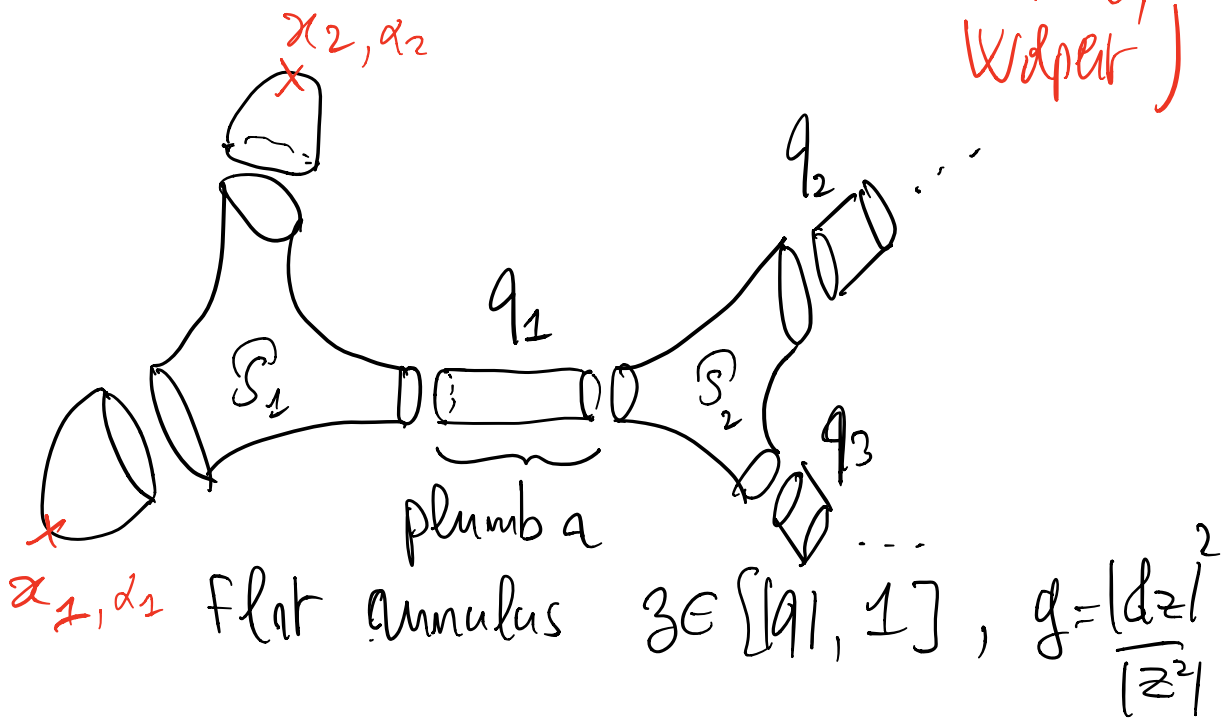
$$= \sum_{N \in \mathbb{N}^{3h-3+n}} \prod_{j=1}^{3h-3+n} g_j^{\frac{Q_j^2 + P_j^2}{4} + N_j} \underbrace{\text{Tr}(B_N)}$$

$B_N$  are amplitudes on  $\mathcal{Y}$ , the set of Young tableaux.

$$q = (q_1, \dots, q_{3h-3+n}) \in \mathbb{D}(0,1)^{3h-3+n}$$

are complex parameters for moduli space  $\mathcal{M}_{h,n}$  of genus  $h$  and  $n$  marked points

"Plumbing parameters" (Marden, Kra, Wipperfurth)



of length  $\log|q_1|$ , twist  $\arg(q_1)$

Remark: Result associated to a pants decomposition

Tools : Amplitude of Annulus

$$\mathbb{C}^{\mathbb{Z}}_2 = \text{cylinder} \quad \text{is} \quad q^{L_0} \bar{q}^{\tilde{L}_0}$$

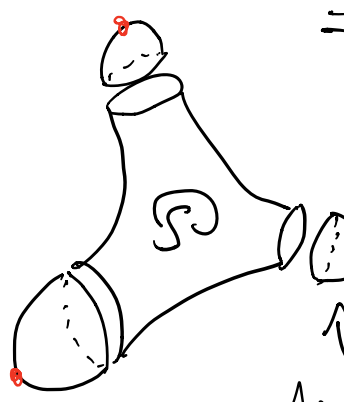
$$= e^{-tH_0 + i\theta\mathbb{P}}$$

if  $q = e^{-t + i\theta}$

propagator

$$\mathbb{P} := \frac{L_0 - L_0^*}{i} \quad H_0 := L_0 + L_0^*$$

•



$$= \langle A_{\mathbb{S}^2}, \psi_{Q+ip, \phi, \phi} \otimes \psi_{\alpha_1, \phi, \phi} \otimes \psi_{\alpha_2, \phi, \phi} \rangle$$

= amplitude of  $\mathbb{S}^2$  with 3 marked point

Amplitude of  $\mathbb{D}, 0, Q+ip = \psi_{Q+ip, \phi, \phi}$

Theorem (Kupiainen - Rhodes - Vargas 2019)

$$Z_{\mathbb{S}^2, \text{can}}(0, 1, \infty; \alpha_1, \alpha_2, \alpha_3) = C^{\text{DOZZ}}(\alpha_1, \alpha_2, \alpha_3)$$

is an explicit constant  
in terms of special function

$\Rightarrow$  Dorn-Otto-Zamolodchikov-Zamolodchikov  
Formula in physics



Remark For b-community :

$$H_0 = -\frac{1}{2}\partial_c^2 + \frac{Q^2}{2} + \underset{\uparrow}{P_0} + e^{\delta c} V$$

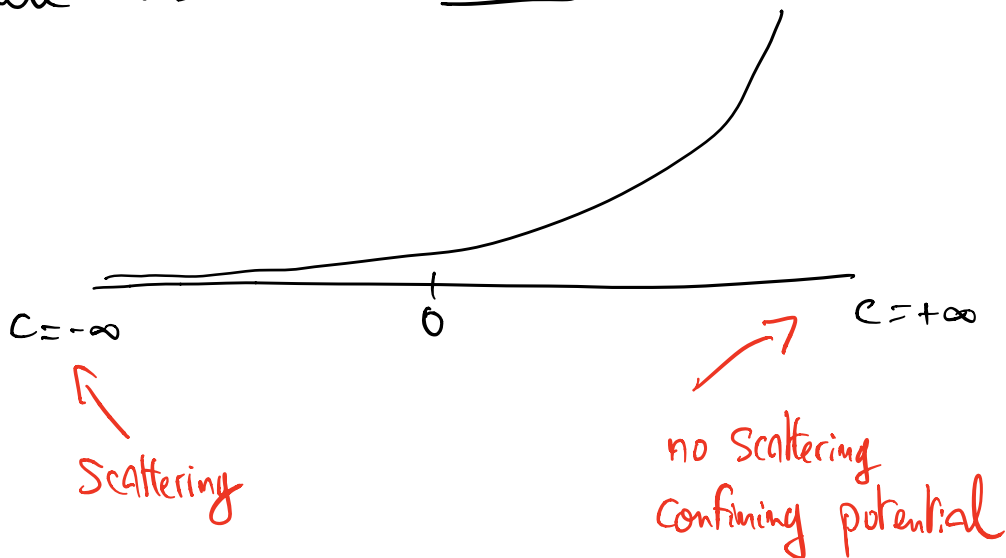
$\infty$  dim harmonic oscillator

has discrete spectrum on  $L^2(\Omega)$

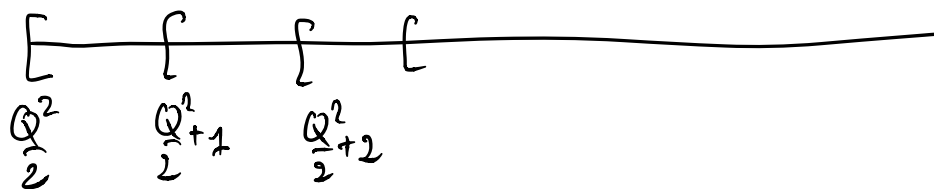
Fock Space  $\rightarrow$  Young Tableaux

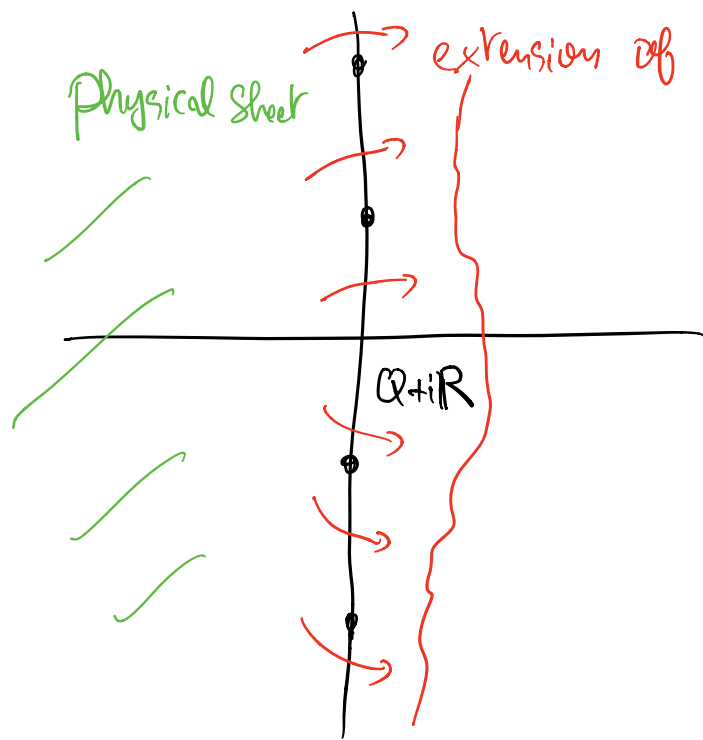
$\Leftrightarrow$  Thus  $H_0$  look formally like a  
b-Schrödiny operator with potential  $e^{\delta c} V$

here  $V > 0$  but unbounded, not bounded below



Spectrum





Physical sheet

extension of resolvent in  
neighb of  
continuous sp  
on a Riemann  
surface  
like b-Laplacian