

# Extremal lattices and codes

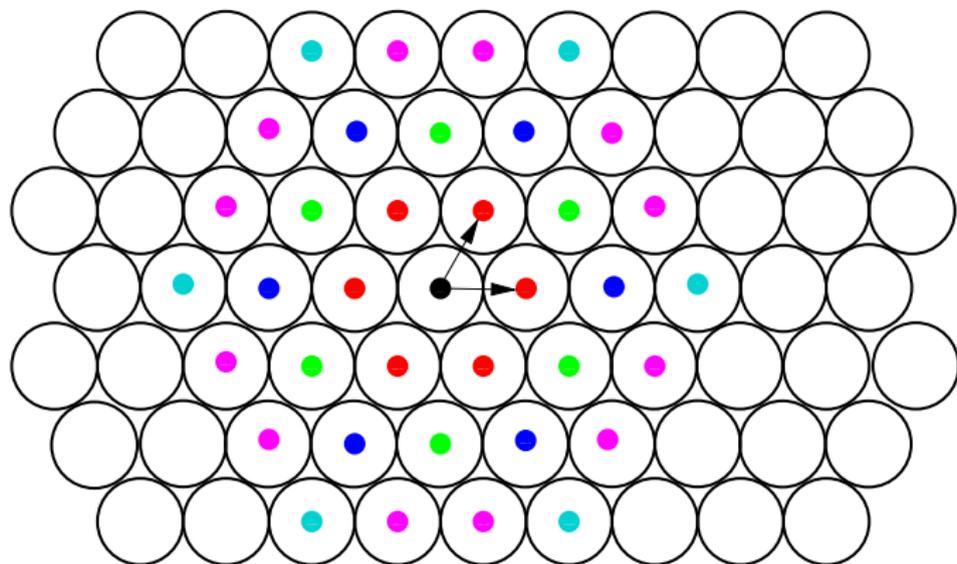
Gabriele Nebe

Lehrstuhl D für Mathematik

Banff November 2011



# Lattices and sphere packings



**Hexagonal Circle Packing**

$$\theta = 1 + 6q + 6q^3 + 6q^4 + 12q^7 + 6q^9 + \dots$$

# Even unimodular lattices

## Definition

- ▶ A **lattice**  $L$  in Euclidean  $n$ -space  $(\mathbb{R}^n, (\cdot, \cdot))$  is the  $\mathbb{Z}$ -span of an  $\mathbb{R}$ -basis  $B = (b_1, \dots, b_n)$  of  $\mathbb{R}^n$

$$L = \langle b_1, \dots, b_n \rangle_{\mathbb{Z}} = \left\{ \sum_{i=1}^n a_i b_i \mid a_i \in \mathbb{Z} \right\}.$$

- ▶ The **dual lattice** is

$$L^{\#} := \{x \in \mathbb{R}^n \mid (x, \ell) \in \mathbb{Z} \text{ for all } \ell \in L\}$$

- ▶  $L$  is called **unimodular** if  $L = L^{\#}$ .
- ▶  $Q : \mathbb{R}^n \rightarrow \mathbb{R}_{\geq 0}$ ,  $Q(x) := \frac{1}{2}(x, x)$  **associated quadratic form**
- ▶  $L$  is called **even** if  $Q(\ell) \in \mathbb{Z}$  for all  $\ell \in L$ .
- ▶  $\min(L) := \min\{Q(\ell) \mid 0 \neq \ell \in L\}$  **minimum** of  $L$ .

The **sphere packing density** of an even unimodular lattice is proportional to its minimum.

# Dense lattice sphere packings

- ▶ Classical problem to find densest sphere packings:
- ▶ Dimension 2: [Lagrange](#) (lattices), [Fejes Tóth](#) (general)
- ▶ Dimension 3: Kepler conjecture, proven by [T.C. Hales](#) (1998)
- ▶ Dimension  $\geq 4$ : open
- ▶ Densest **lattice** sphere packings:
- ▶ Voronoi algorithm ( $\sim 1900$ ) all locally densest lattices.
- ▶ Densest lattices known in dimension 1,2,3,4,5, [Korkine-Zolotareff](#) (1872) 6,7,8 [Blichfeldt](#) (1935) and **24** [Cohn, Kumar](#) (2003).
- ▶ Density of lattice measures error correcting quality.

## The densest lattices.

n	1	2	3	4	5	6	7	8	24
$L$	$\mathbb{A}_1$	$\mathbb{A}_2$	$\mathbb{A}_3$	$\mathbb{D}_4$	$\mathbb{D}_5$	$\mathbb{E}_6$	$\mathbb{E}_7$	$\mathbb{E}_8$	$\Lambda_{24}$

# Theta-series of lattices

Let  $(L, Q)$  be an even unimodular lattice of dimension  $n$  so a regular positive definite integral quadratic form  $Q : L \rightarrow \mathbb{Z}$ .

- ▶ The **theta series** of  $L$  is

$$\theta_L = \sum_{\ell \in L} q^{Q(\ell)} = 1 + \sum_{k=\min(L)}^{\infty} a_k q^k$$

where  $a_k = |\{\ell \in L \mid Q(\ell) = k\}|$ .

- ▶  $\theta_L$  defines a holomorphic function on the upper half plane by substituting  $q := \exp(2\pi iz)$ .
- ▶ Then  $\theta_L$  is a modular form of weight  $\frac{n}{2}$  for the full modular group  $\mathrm{SL}_2(\mathbb{Z})$ .
- ▶  $n$  is a multiple of 8.
- ▶  $\theta_L \in \mathcal{M}_{\frac{n}{2}}(\mathrm{SL}_2(\mathbb{Z})) = \mathbb{C}[E_4, \Delta]_{\frac{n}{2}}$  where  $E_4 := \theta_{E_8} = 1 + 240q + \dots$  is the normalized Eisenstein series of weight 4 and

$$\Delta = q - 24q^2 + 252q^3 - 1472q^4 + \dots \text{ of weight 12}$$

# Extremal modular forms

Basis of  $\mathcal{M}_{4k}(\mathrm{SL}_2(\mathbb{Z}))$ :

$$\begin{aligned} E_4^k &= 1 + 240kq + *q^2 + \dots \\ E_4^{k-3} \Delta &= q + *q^2 + \dots \\ E_4^{k-6} \Delta^2 &= q^2 + \dots \\ &\vdots \\ E_4^{k-3m_k} \Delta^{m_k} &= \dots q^{m_k} + \dots \end{aligned}$$

where  $m_k = \lfloor \frac{n}{24} \rfloor = \lfloor \frac{k}{3} \rfloor$ .

## Definition

This space contains a unique form

$$f^{(k)} := 1 + 0q + 0q^2 + \dots + 0q^{m_k} + a(f^{(k)})q^{m_k+1} + b(f^{(k)})q^{m_k+2} + \dots$$

$f^{(k)}$  is called the **extremal modular form** of weight  $4k$ .

$$f^{(1)} = 1 + 240q + \dots = \theta_{E_8}, \quad f^{(2)} = 1 + 480q + \dots = \theta_{E_8}^2,$$

$$f^{(3)} = 1 + 196,560q^2 + \dots = \theta_{\Lambda_{24}},$$

$$f^{(6)} = 1 + 52,416,000q^3 + \dots = \theta_{P_{48p}} = \theta_{P_{48q}} = \theta_{P_{48n}},$$

$$f^{(9)} = 1 + 6,218,175,600q^4 + \dots = \theta_{\Gamma}.$$

# Extremal even unimodular lattices

## Theorem (Siegel)

$a(f^{(k)}) > 0$  for all  $k$

## Corollary

Let  $L$  be an  $n$ -dimensional even unimodular lattice. Then

$$\min(L) \leq 1 + \lfloor \frac{n}{24} \rfloor = 1 + m_{n/8}.$$

Lattices achieving this bound are called **extremal**.

## Extremal even unimodular lattices $L \leq \mathbb{R}^n$

$n$	8	16	24	32	40	48	72	80	$\geq 163, 264$
$\min(L)$	1	1	2	2	2	3	4	4	
number of extremal lattices	1	2	1	$\geq 10^7$	$\geq 10^{51}$	$\geq 3$	$\geq 1$	$\geq 4$	0

# Extremal even unimodular lattices

## Theorem (Siegel)

$a(f^{(k)}) > 0$  for all  $k$

## Corollary

Let  $L$  be an  $n$ -dimensional even unimodular lattice. Then

$$\min(L) \leq 1 + \lfloor \frac{n}{24} \rfloor = 1 + m_{n/8}.$$

Lattices achieving this bound are called **extremal**.

## Extremal even unimodular lattices $L \leq \mathbb{R}^n$

$n$	8	16	24	32	40	48	72	80	$\geq 163, 264$
$\min(L)$	1	1	2	2	2	3	4	4	
number of extremal lattices	1	2	1	$\geq 10^7$	$\geq 10^{51}$	$\geq 3$	$\geq 1$	$\geq 4$	0

# Extremal even unimodular lattices

## Theorem (Siegel)

$a(f^{(k)}) > 0$  for all  $k$  and  $b(f^{(k)}) < 0$  for large  $k$  ( $k \geq 20408$ ).

## Corollary

Let  $L$  be an  $n$ -dimensional even unimodular lattice. Then

$$\min(L) \leq 1 + \lfloor \frac{n}{24} \rfloor = 1 + m_{n/8}.$$

Lattices achieving this bound are called **extremal**.

## Extremal even unimodular lattices $L \leq \mathbb{R}^n$

$n$	8	16	24	32	40	48	72	80	$\geq 163, 264$
$\min(L)$	1	1	2	2	2	3	4	4	
number of extremal lattices	1	2	1	$\geq 10^7$	$\geq 10^{51}$	$\geq 3$	$\geq 1$	$\geq 4$	0

# Extremal even unimodular lattices in jump dimensions

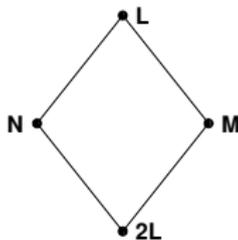
$$f^{(3)} = 1 + 196,560q^2 + \dots = \theta_{\Lambda_{24}}.$$

$$f^{(6)} = 1 + 52,416,000q^3 + \dots = \theta_{P_{48p}} = \theta_{P_{48q}} = \theta_{P_{48n}}.$$

$$f^{(9)} = 1 + 6,218,175,600q^4 + \dots = \theta_{\Gamma}.$$

Let  $L$  be an extremal even unimodular lattice of dimension  $24m$  so  $\min(L) = m + 1$

- ▶ All non-empty layers  $\emptyset \neq \{\ell \in L \mid Q(\ell) = a\}$  form spherical 11-designs.
- ▶ The density of the associated sphere packing realises a local maximum of the density function on the space of all  $24m$ -dimensional lattices.
- ▶ If  $m = 1$ , then  $L = \Lambda_{24}$  is unique,  $\Lambda_{24}$  is the **Leech lattice**.
- ▶ The 196560 minimal vectors of the Leech lattice form the unique tight spherical 11-design and realise the maximal kissing number in dimension 24.
- ▶  $\Lambda_{24}$  is the densest 24-dimensional lattice (**Cohn, Kumar**).
- ▶ For  $m = 2, 3$  these lattices are the densest known lattices and realise the maximal known kissing number.

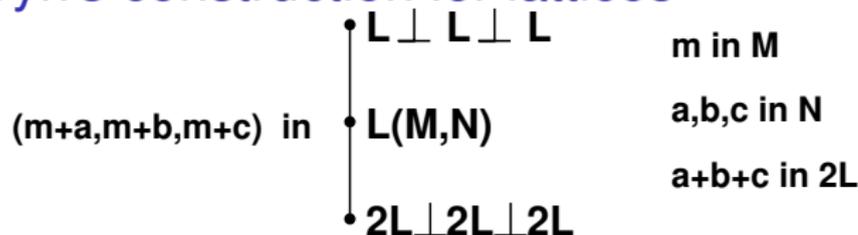


## Turyn's construction

- ▶ Let  $(L, Q)$  be an even unimodular lattice of dimension  $n$ .
- ▶ Choose sublattices  $M, N \leq L$  such that  $M + N = L$ ,  $M \cap N = 2L$ , and  $(M, \frac{1}{2}Q)$ ,  $(N, \frac{1}{2}Q)$  even unimodular.
- ▶ Such a pair  $(M, N)$  is called a **polarisation** of  $L$ .
- ▶ For  $k \in \mathbb{N}$  let  $\mathcal{L}(M, N) :=$ 

$$\{(m + a, m + b, m + c) \in \perp^3 L \mid m \in M, a, b, c \in N, a + b + c \in 2L\}.$$
- ▶ Define  $\tilde{Q} : \mathcal{L}(M, N) \rightarrow \mathbb{Z}$ ,
$$\tilde{Q}(y_1, y_2, y_3) := \frac{1}{2}(Q(y_1) + Q(y_2) + Q(y_3)).$$
- ▶  $(\mathcal{L}(M, N), \tilde{Q})$  is an even unimodular lattice of dimension  $3n$ .

# Turyn's construction for lattices



$$d := \min(L, Q) = \min(M, \frac{1}{2}Q) = \min(N, \frac{1}{2}Q)$$

$$\text{Then } \lceil \frac{3d}{2} \rceil \leq \min(\mathcal{L}(M, N)) \leq 2d.$$

Proof:

$$(a, 0, 0) \quad a = 2\ell \in 2L \text{ with } \frac{1}{2}Q(2\ell) = 2Q(\ell) \geq 2d.$$

$$(a, b, 0) \quad a, b \in N \text{ with } \frac{1}{2}Q(a) + \frac{1}{2}Q(b) \geq 2d.$$

$$(a, b, c) \text{ then } \frac{1}{2}(Q(a) + Q(b) + Q(c)) \geq \frac{3}{2}d.$$

## Theorem (Lepowsky, Meurman; Elkies, Gross)

Let  $(L, Q) \cong E_8$  be the unique even unimodular lattice of dimension 8. Then for any polarisation  $(M, N)$  of  $E_8$  the lattice  $\mathcal{L}(M, N)$  has minimum  $\geq 2$ .

# Turyn's construction for lattices

$$\begin{array}{l} \bullet \mathbf{L} \perp \mathbf{L} \perp \mathbf{L} \\ \bullet \mathbf{L}(M, N) \\ \bullet \mathbf{2L} \perp \mathbf{2L} \perp \mathbf{2L} \end{array} \quad \begin{array}{l} \mathbf{m} \text{ in } M \\ \mathbf{a, b, c} \text{ in } N \\ \mathbf{a+b+c} \text{ in } 2L \end{array}$$

$(\mathbf{m+a, m+b, m+c})$  in

$$d := \min(L, Q) = \min(M, \frac{1}{2}Q) = \min(N, \frac{1}{2}Q)$$

$$\text{Then } \lceil \frac{3d}{2} \rceil \leq \min(\mathcal{L}(M, N)) \leq 2d.$$

## Theorem (Lepowsky, Meurman; Elkies, Gross)

Let  $(L, Q) \cong E_8$  be the unique even unimodular lattice of dimension 8. Then for any polarisation  $(M, N)$  of  $E_8$  the lattice  $\mathcal{L}(M, N)$  has minimum  $\geq 2$ .

## 72-dimensional lattices from Leech (Griess)

If  $(L, Q) \cong (M, \frac{1}{2}Q) \cong (N, \frac{1}{2}Q) \cong \Lambda_{24}$  then  $3 \leq \min(\mathcal{L}(M, N)) \leq 4$ .

## The vectors $v$ with $Q(v) = 3$

Assume that  $(L, Q) \cong (M, \frac{1}{2}Q) \cong (N, \frac{1}{2}Q) \cong \Lambda_{24}$

- ▶ All 4095 non-zero classes of  $M/2L$  are represented by vectors  $m$  with  $Q(m) = 4$ .
- ▶ For  $m \in M$  let  $N_m := \{a \in N \mid (a, m) \in 2\mathbb{Z}\}$  and  $N^{(m)} := \langle N_m, m \rangle$ .
- ▶  $(N^{(m)}, \frac{1}{2}Q)$  is even unimodular lattice with root system  $24A_1$ .
- ▶  $y := (y_1, y_2, y_3) = (m + a, m + b, m + c) \in \mathcal{L}(M, N)$  with  $\tilde{Q}(y) = 3$  then  $y_i \in N^{(m)}$  are roots and  $m + y_1 + y_2 + y_3 \in 2L$ .

### Enumerate short vectors in $\mathcal{L}(M, N)$

For all 4095 nonzero classes  $m + 2L \in M/2L$  and all  $24^2$  pairs  $(y_1, y_2)$  of roots in  $N^{(m)}$  check if  $\langle 2L, m + y_1 + y_2 \rangle$  has minimum  $\geq 3$ .

Closer analysis reduces number of pairs  $(y_1, y_2)$  to  $8 \cdot 16$ .

$$4095 \cdot 8 \cdot 16 = 524,160$$

May restrict to representatives of the  $S$ -orbits on  $M/2L \cong L/N$ , where  $S := \text{Stab}_{\text{Aut}(L)}(M, N)$ .

E.g. 6 orbits for the extremal lattice so need to compute the minimum of  $6 \cdot 8 \cdot 16 = 768$  lattices of dimension 24.

# Stehlé, Watkins proof of extremality

## Theorem (Stehlé, Watkins (2010))

Let  $L$  be an even unimodular lattice of dimension 72 with  $\min(L) \geq 3$ . Then  $L$  is extremal, if and only if it contains at least 6, 218, 175, 600 vectors  $v$  with  $Q(v) = 4$ .

Proof:  $L$  is an even unimodular lattice of minimum  $\geq 3$ , so its theta series is

$$\theta_L = 1 + a_3q^3 + a_4q^4 + \dots = f^{(9)} + a_3\Delta^3.$$

$$f^{(9)} = 1 + 6, 218, 175, 600q^4 + \dots$$

$$\Delta^3 = q^3 - 72q^4 + \dots$$

So  $a_4 = 6, 218, 175, 600 - 72a_3 \geq 6, 218, 175, 600$  if and only if  $a_3 = 0$ .

## Remark

A similar proof works in all jump dimensions  $24k$  (extremal minimum =  $k + 1$ ) for lattices of minimum  $\geq k$ .

For dimensions  $24k + 8$  and lattices of minimum  $\geq k$  one needs to count vectors  $v$  with  $Q(v) = k + 2$ .

# The history of Turyn's construction.

1967 Turyn: Constructed the Golay code  $\mathcal{G}_{24}$  from the Hamming code  $h_8$

78,82,84 Tits; Lepowsky, Meurman; Quebbemann:  
Construction of the Leech lattice  $\Lambda_{24}$  from  $E_8$

1996 Gross, Elkies:  $\Lambda_{24}$  from Hermitian structure of  $E_8$

1996 N.: Tried similar construction of extremal 72-dimensional lattices (Bordeaux).

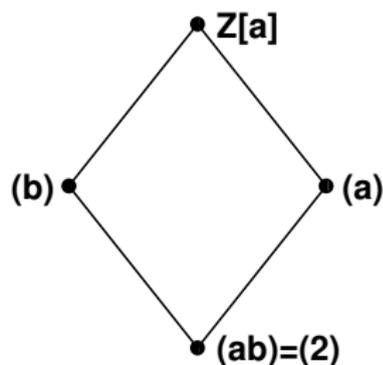
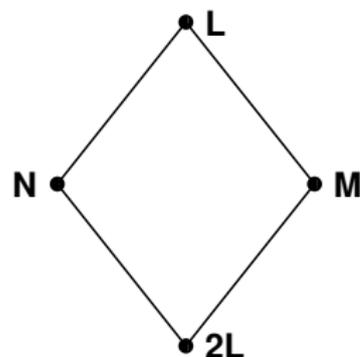
1998 Bachoc, N.: 2 extremal 80-dimensional lattices using Quebbemann's generalization and the Hermitian structure of  $E_8$

2010 Griess: Reminds Lepowsky, Meurman construction of Leech. proposes to construct 72-dimensional lattices from  $\Lambda_{24}$

2010 N.: Used one of the nine  $\mathbb{Z}[\alpha = \frac{1+\sqrt{-7}}{2}]$  structures of  $\Lambda_{24}$  to find extremal 72-dimensional lattice  $\Gamma_{72} = \mathcal{L}(\alpha\Lambda_{24}, \bar{\alpha}\Lambda_{24})$

2011 Parker, N.: Check all other polarisations of  $\Lambda_{24}$  to show that  $\Gamma_{72}$  is the unique extremal lattice of the form  $\mathcal{L}(M, N)$   
Chance:  $1 : 10^{16}$  to find extremely good polarisation.

# How to find polarisations



## Hermitian polarisations

- ▶  $\alpha, \beta \in \text{End}(L)$  such that  $(\alpha x, y) = (x, \beta y)$  and  $\alpha\beta = 2$ .
- ▶  $M := \alpha L, N := \beta L$ .
- ▶  $\alpha^2 - \alpha + 2 = 0$  ( $\mathbb{Z}[\alpha] = \text{integers in } \mathbb{Q}[\sqrt{-7}]$ ).
- ▶  $(\alpha x, y) = (x, \beta y)$  where  $\beta = 1 - \alpha = \bar{\alpha}$ .
- ▶ Then  $M := \alpha L, N := \beta L$  defines a polarisation of  $L$  such that  $(L, Q) \cong (M, \frac{1}{2}Q) \cong (N, \frac{1}{2}Q)$ .

# Hermitian structures of the Leech lattice

## Theorem (M. Hentschel, 2009)

There are exactly nine  $\mathbb{Z}[\alpha]$ -structures of the Leech lattice.

	group S	order	# S orbits on $M/2L$
1	$SL_2(25)$	$2^4 3 \cdot 5^2 13$	6
2	$2.A_6 \times D_8$	$2^7 3^2 5$	12
3	$SL_2(13).2$	$2^4 3 \cdot 7 \cdot 13$	9
4	$(SL_2(5) \times A_5).2$	$2^6 3^2 5^2$	8
5	$(SL_2(5) \times A_5).2$	$2^6 3^2 5^2$	8
6	<b>soluble</b>	$2^9 3^3$	11
7	$\pm PSL_2(7) \times (C_7 : C_3)$	$2^4 3^2 7^2$	9
8	$PSL_2(7) \times 2.A_7$	$2^7 3^3 5 \cdot 7^2$	3
9	$2.J_2.2$	$2^9 3^3 5^2 7$	2

# Hermitian polarisations yield tensor products

## Remark

$\mathcal{L}(\alpha L, \beta L) = L \otimes_{\mathbb{Z}[\alpha]} P_b$  where

$$P_b = \langle (\beta, \beta, 0), (0, \beta, \beta), (\alpha, \alpha, \alpha) \rangle \leq \mathbb{Z}[\alpha]^3$$

with the half the standard Hermitian form

$$h : P_b \times P_b \rightarrow \mathbb{Z}[\alpha], h((a_1, a_2, a_3), (b_1, b_2, b_3)) = \frac{1}{2} \sum_{i=1}^3 a_i \bar{b}_i.$$

$P_b$  is Hermitian unimodular and  $\text{Aut}_{\mathbb{Z}[\alpha]}(P_b) \cong \pm \text{PSL}_2(7)$ . So  $\text{Aut}(\mathcal{L}(\alpha L, \beta L)) \geq \text{Aut}_{\mathbb{Z}[\alpha]}(L) \times \text{PSL}_2(7)$ .

In particular  $\text{Aut}(\Gamma) \geq \text{SL}_2(25) \times \text{PSL}_2(7)$ .

# Hermitian structures of the Leech lattice

	group	$\#\{v \in \mathcal{L}(\alpha L, \beta L) \mid Q(v) = 3\}$
1	$SL_2(25)$	0
2	$2.A_6 \times D_8$	$2 \cdot 20,160$
3	$SL_2(13).2$	$2 \cdot 52,416$
4	$(SL_2(5) \times A_5).2$	$2 \cdot 100,800$
5	$(SL_2(5) \times A_5).2$	$2 \cdot 100,800$
6	$2^9 3^3$	$2 \cdot 177,408$
7	$\pm PSL_2(7) \times (C_7 : C_3)$	$2 \cdot 306,432$
8	$PSL_2(7) \times 2.A_7$	$2 \cdot 504,000$
9	$2.J_2.2$	$2 \cdot 1,209,600$

# The extremal 72-dimensional lattice $\Gamma$

## Main result

- ▶  $\Gamma$  is an extremal even unimodular lattice of dimension 72.
- ▶  $\text{Aut}(\Gamma)$  contains  $\mathcal{U} := (\text{PSL}_2(7) \times \text{SL}_2(25)) : 2$ .
- ▶  $\mathcal{U}$  is an absolutely irreducible subgroup of  $\text{GL}_{72}(\mathbb{Q})$ .
- ▶ All  $\mathcal{U}$ -invariant lattices are similar to  $\Gamma$ .
- ▶  $\text{Aut}(\Gamma)$  is a maximal finite subgroup of  $\text{GL}_{72}(\mathbb{Q})$ .
- ▶  $\Gamma$  is an ideal lattice in the 91st cyclotomic number field.
- ▶  $\Gamma$  realises the **densest known sphere packing**
- ▶ and **maximal known kissing number** in dimension 72.
- ▶ Structure of  $\Gamma$  can be used for decoding ([Annika Meyer](#))
- ▶  $\Gamma$  is a  $\mathbb{Z}[\frac{1+\sqrt{5}}{2}]$ -lattice. This gives  $(n^2 + 5n + 5)$ -modular lattices of minimum  $8 + 4n$  ( $n \in \mathbb{N}_0$ ).

# $\Gamma$ as $\mathbb{Z}[\frac{1+\sqrt{5}}{2}]$ lattice

## Observation

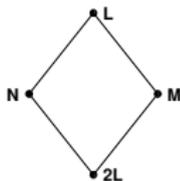
The Hermitian Leech lattice  $L$  with  $\text{Aut}(L) \cong SL_2(25)$  and hence also  $\Gamma$  has a structure over  $R := \mathbb{Z}[\frac{1+\sqrt{5}}{2}]$ , so  $(\Gamma, Q) = (\Gamma, \text{Tr}(q))$  with  $q : \Gamma \rightarrow R[\frac{1}{5}]$  quadratic form.

For any totally positive  $a \in R$  we obtain  $N(a)$ -modular lattice  $(\Gamma, \text{Tr}(aq))$ . Let  $\wp := \frac{5+\sqrt{5}}{2}$ . Then  $(\Gamma, \wp q)$  is unimodular  $R$ -lattice and its theta series is a Hilbert modular form of weight 36 for the full modular group.

$$\theta(\Gamma, \wp q) \in \mathbb{C}[A, B, C]$$

## Theorem

Let  $(\Lambda, q)$  be a 36-dimensional  $R$ -lattice, such that  $(\Lambda, \text{Tr}(q))$  is an even unimodular lattice of minimum 4 and  $\wp := (5 + \sqrt{5})/2$ . For  $n \in \mathbb{Z}_{\geq 0}$  put  $L_n := (\Lambda, \text{Tr}(\wp + n)q)$ . Then  $L_n$  is an even  $(n^2 + 5n + 5)$ -modular lattice of minimum  $8 + 4n$ .



## How to obtain all polarisations

A rough estimate shows that there are about  $10^{10}$  orbits of  $\text{Aut}(\Lambda_{24})$  on the set of polarisations  $(M, N)$  such that  $(M, \frac{1}{2}Q) \cong (N, \frac{1}{2}Q) \cong \Lambda_{24}$ .

### Theorem (Richard Parker, N.)

There is a unique orbit of  $\text{Aut}(\Lambda_{24}) \cong 2.C_01$  for which  $\mathcal{L}(M, N)$  is extremal.

Computation: Compute representatives for the 16  $\text{Aut}(\Lambda_{24})$ -orbits on  $\{N \mid (N, \frac{1}{2}Q) \cong \Lambda_{24}\}$ , and find all **good** complements  $M$  such that  $\mathcal{L}(M, N)$  is extremal.

$N$  defines a set of **bad vectors**  $B(N) \subset \Lambda_{24}/2\Lambda_{24}$ , so that  $\mathcal{L}(M, N)$  extremal iff  $M \cap B(N) = \emptyset$ .

The total computation took about 2 CPU years.

# Bad vectors

$\mathcal{L}(M, N) = \{(a + m, b + m, c + m) \mid a, b, c \in N, m \in M, a + c + b \in 2L\}$

Start with one of the 16 orbit representatives  $N$ . Then any nonzero class  $0 \neq f + N \in \Lambda_{24}/N$  contains exactly 24 pairs  $\{\pm v_1, \dots, \pm v_{24}\}$  of minimal vectors in  $\Lambda_{24}$ . The set

$$B(N, f) := \{(v_i + v_j + v_k) + 2\Lambda_{24} \mid 1 \leq i, j, k \leq 24\} \subset \Lambda_{24}/2\Lambda_{24}$$

is called the set of **bad vectors** for  $N$  and  $f$ . Their union

$$B(N) := \bigcup_{0 \neq f + N \in \Lambda_{24}/N} B(N, f)$$

is called the set of **bad vectors** for  $N$ .

## Remark

The lattice  $\mathcal{L}(M, N)$  is extremal if and only if  $M/2L \cap B(N) = \emptyset$ .

# Orbits on the rescaled Leech sublattices

	stabilizer	order	orbit length
1	$PSL_2(25) : 2$	$2^4 3 \cdot 5^2 13$	$2.7 \cdot 10^{14}$
2	$A_7 \times PSL_2(7)$	$2^6 3^3 5 \cdot 7^2$	$9.8 \cdot 10^{12}$
3	$S_3 \times PSL_2(13)$	$2^3 3^2 7 \cdot 13$	$6.3 \cdot 10^{14}$
4	$3.A_6 \times A_5$	$2^6 3^4 5^2$	$3.2 \cdot 10^{13}$
5	$PSL_2(7) \times PSL_2(7)$	$2^6 3^2 7^2$	$1.5 \cdot 10^{14}$
6	$A_5 \times$ soluble	$2^{15} 3^3 5$	$9.4 \cdot 10^{11}$
7	$G_2(4) \times A_4$	$2^{15} 3^4 5^2 7 \cdot 13$	$6.9 \cdot 10^8$
8	$PSL_2(23)$	$2^3 3 \cdot 11 \cdot 23$	$6.9 \cdot 10^{14}$
9	soluble	$2^{11} 3$	$6.8 \cdot 10^{14}$
10	soluble	$2^{12} 3^2$	$1.1 \cdot 10^{14}$
11	soluble	$2^8 3 \cdot 7$	$7.7 \cdot 10^{14}$
12	soluble	$2^{11} 3^2$	$2.3 \cdot 10^{14}$
13	$3.A_7.2$	$2^4 3^3 5 \cdot 7$	$2.7 \cdot 10^{14}$
14	soluble	$2^9 3 \cdot 5$	$5.4 \cdot 10^{14}$
15	soluble	$2^8 3 \cdot 7$	$7.7 \cdot 10^{14}$
16	soluble	$2^{14} 3^3$	$9.3 \cdot 10^{12}$

# Doubly-even self-dual codes

## Definition

- ▶ A linear binary **code**  $C$  of length  $n$  is a subspace  $C \leq \mathbb{F}_2^n$ .
- ▶ The **dual code** of  $C$  is

$$C^\perp := \{x \in \mathbb{F}_2^n \mid (x, c) := \sum_{i=1}^n x_i c_i = 0 \text{ for all } c \in C\}$$

- ▶  $C$  is called **self-dual** if  $C = C^\perp$ .
- ▶ The **Hamming weight** of a codeword  $c \in C$  is  $\text{wt}(c) := |\{i \mid c_i \neq 0\}|$ .
- ▶  $C$  is called **doubly-even** if  $\text{wt}(c) \in 4\mathbb{Z}$  for all  $c \in C$ .
- ▶ The **minimum distance**  $d(C) := \min\{\text{wt}(c) \mid 0 \neq c \in C\}$ .
- ▶ The **weight enumerator** of  $C$  is  $p_C := \sum_{c \in C} x^{n-\text{wt}(c)} y^{\text{wt}(c)} \in \mathbb{C}[x, y]_n$ .

The minimum distance measures the error correcting quality of a self-dual code.

# Self-dual codes

## Remark

- ▶ The **all-one vector**  $\mathbf{1}$  lies in the dual of every even code since  $\text{wt}(c) \equiv_2 (c, c) \equiv_2 (c, \mathbf{1})$ .
- ▶ If  $C$  is self-dual then  $n = 2 \dim(C)$  is even and

$$\mathbf{1} \in C^\perp = C \subset \mathbf{1}^\perp = \{c \in \mathbb{F}_2^n \mid \text{wt}(c) \text{ even}\}.$$

- ▶ Self-dual doubly-even codes correspond to totally isotropic subspaces in the quadratic space  $\mathbf{1}^\perp / \langle \mathbf{1} \rangle$ .
- ▶ **Annika Meyer, N.**  $C = C^\perp$  doubly-even  $\Rightarrow$   
 $\text{Aut}(C) := \text{Stab}_{S_n}(C) \leq A_n$ .

$$h_8 : \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 \end{bmatrix} \text{ extended Hamming code,}$$

the unique doubly-even self-dual code of length 8

$$p_{h_8}(x, y) = x^8 + 14x^4y^4 + y^8 \text{ and } \text{Aut}(h_8) = 2^3 : \text{GL}_3(2).$$

# Extremal codes

The binary **Golay code**  $\mathcal{G}_{24}$  is the unique doubly-even self-dual code of length 24 with minimum distance  $\geq 8$ .  $\text{Aut}(\mathcal{G}_{24}) = M_{24}$

$$p_{\mathcal{G}_{24}} = x^{24} + 759x^{16}y^8 + 2576x^{12}y^{12} + 759x^8y^{16} + y^{24}$$

## Theorem (Gleason)

Let  $C = C^\perp \leq \mathbb{F}_2^n$  be doubly even. Then

- ▶  $n \in 8\mathbb{Z}$
- ▶  $p_C \in \mathbb{C}[p_{h_8}, p_{\mathcal{G}_{24}}] = \text{Inv}(G_{192})$
- ▶  $d(C) \leq 4 + 4\lfloor \frac{n}{24} \rfloor$

Doubly-even self-dual codes achieving this bound are called **extremal**.

length	8	16	24	32	48	72	80	$\geq 3952$
$d(C)$	4	4	8	8	12	16	16	
extremal codes	$h_8$	$h_8 \perp h_8, d_{16}^+$	$\mathcal{G}_{24}$	5	$QR_{48}$	?	$\geq 4$	0

# Extremal polynomials

$$\mathbb{C}[p_{h_8}, p_{\mathcal{G}_{24}}] = \mathbb{C}[\underbrace{x^8 + 14x^4y^4 + y^8}_f, \underbrace{x^4y^4(x^4 - y^4)^4}_g] = \text{Inv}(G_{192})$$

Basis of  $\mathbb{C}[f(1, y), g(1, y)]_{8k}$

$$\begin{aligned} f^k &= 1 + 14ky^4 + *y^8 + \dots \\ f^{k-3}g &= y^4 + *y^8 + \dots \\ f^{k-6}g^2 &= y^8 + \dots \\ &\vdots \\ f^{k-3m_k}g^{m_k} &= \dots y^{4m_k} + \dots \end{aligned}$$

where  $m_k = \lfloor \frac{n}{24} \rfloor = \lfloor \frac{k}{3} \rfloor$ .

## Definition

This space contains a unique polynomial

$$p^{(k)} := 1 + 0y^4 + 0y^8 + \dots + 0y^{4m_k} + a_k y^{4m_k+4} + b_k y^{4m_k+8} + \dots$$

$p^{(k)}$  is called the **extremal polynomial** of degree  $8k$ .

$$p^{(1)} = p_{h_8}, \quad p^{(2)} = p_{h_8}^2, \quad p^{(3)} = p_{\mathcal{G}_{24}}, \quad p^{(6)} = p_{QR48}$$

$$p^{(9)} = 1 + 249849y^{16} + 18106704y^{20} + 462962955y^{24} + \dots$$

# Turyn's construction of the Golay code

## Construction of Golay code

Choose two copies  $C$  and  $D$  of  $h_8$  such that

$$C \cap D = \langle \mathbf{1} \rangle, \quad C + D = \mathbf{1}^\perp \leq \mathbb{F}_2^8$$

$\mathcal{G}_{24} := \{(c + d_1, c + d_2, c + d_3) \mid c \in C, d_i \in D, d_1 + d_2 + d_3 \in \langle \mathbf{1} \rangle\}$

(a)  $\mathcal{G}_{24} = \mathcal{G}_{24}^\perp$ .

(b)  $\mathcal{G}_{24}$  is doubly-even.

(c)  $d(\mathcal{G}_{24}) = 8$ .

Proof: (a) unique expression if  $c$  represents classes in  $h_8/\langle \mathbf{1} \rangle$ , so

$$|\mathcal{G}_{24}| = 2^3 \cdot 2^4 \cdot 2^4 \cdot 2 = 2^{12}$$

Suffices  $\mathcal{G}_{24} \subseteq \mathcal{G}_{24}^\perp$ :  $((c + d_1, c + d_2, c + d_3), (c' + d'_1, c' + d'_2, c' + d'_3)) =$

$$3(c, c') + (c, d'_1 + d'_2 + d'_3) + (d_1 + d_2 + d_3, c') + (d_1, d'_1) + (d_2, d'_2) + (d_3, d'_3) = 0$$

(b) Follows since  $C$  and  $D$  are doubly-even, so generators have weight divisible by 4.

# Turyn's construction of the Golay code

## Construction of Golay code.

Choose two copies  $C$  and  $D$  of  $h_8$  such that

$$C \cap D = \langle \mathbf{1} \rangle, \quad C + D = \mathbf{1}^\perp \leq \mathbb{F}_2^8$$

$\mathcal{G}_{24} := \{(c + d_1, c + d_2, c + d_3) \mid c \in C, d_i \in D, d_1 + d_2 + d_3 \in \langle \mathbf{1} \rangle\}$   
(c)  $d(\mathcal{G}_{24}) = 8$ .

Proof: (c)

$\text{wt}(c + d_1, c + d_2, c + d_3) = \text{wt}(c + d_1) + \text{wt}(c + d_2) + \text{wt}(c + d_3)$ .

- ▶ 1 non-zero component:  $(d, 0, 0)$  with  $d \in \langle \mathbf{1} \rangle$ , weight 8.
- ▶ 2 non-zero components:  $(d_1, d_2, 0)$  with  $d_1, d_2 \in D \cong h_8$ , weight  $\geq d(h_8) + d(h_8) = 4 + 4 = 8$ .
- ▶ 3 non-zero components: All have even weight, so weight  $\geq 2 + 2 + 2 = 6$ . By (b) the weight is a multiple of 4, so  $\geq 8$ .

Turyn applied to Golay will not yield an extremal code of length 72. Such an extremal code has no automorphism of order 2 which has fixed points.

# Automorphisms of extremal codes

## Theorem (Bouyuklieva; O'Brien, Willems; N. Feulner)

Let  $C \leq \mathbb{F}_2^{72}$  be an extremal doubly even code,

$G := \text{Aut}(C) := \{\sigma \in S_{72} \mid \sigma(C) = C\}$

- ▶ Let  $p$  be a prime dividing  $|G|$ ,  $\sigma \in G$  of order  $p$ .
- ▶  $p \leq 7$ .
- ▶ If  $p = 2$  or  $p = 3$  then  $\sigma$  has no fixed points.
- ▶ If  $p = 5$  or  $p = 7$  then  $\sigma$  has 2 fixed points.
- ▶  $G$  has no element of odd order  $> 7$ .
- ▶  $G$  is solvable.
- ▶ No subgroup  $C_3 \times C_3$ ,  $C_7$ ,  $D_{10}$ ,  $C_{10}$ .
- ▶ No subgroup  $C_4 \times C_2$ ,  $C_8$ ,  $Q_8$ .
- ▶ Summarize:  $|G| = 5$  or  $|G|$  divides 24.

Existence of an extremal code of length 72 is still open.