

# Message Lengths for Noisy Network Coding

Gerhard Kramer\* and Jie Hou\*  
Institute for Communications Engineering  
Technische Universität München, Germany

BIRS Workshop on Algebraic Structure in Network Information Theory  
Banff, Canada, Aug. 16, 2011

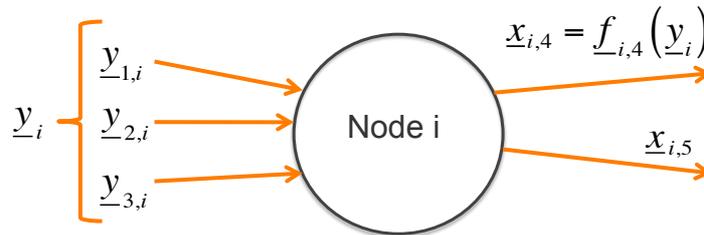


- **A serious problem with this talk:**  
No lattices! No finite field algebra!
- On the other hand: the methods directly **link** the fields of network coding (classic networks) and (classic) network information theory for general networks
- **Fun quote** on some topics of this workshop from David Slepian's Shannon Lecture "On Bandwidth" October 31, 1974, Notre Dame University. Section "On Models and Reality":  
"Most of us would treat with great suspicion a model that predicts stable flight for an airplane if some parameter is irrational but predicts disaster if that parameter is a nearby rational number. Few of us would board a plane designed from such a model."



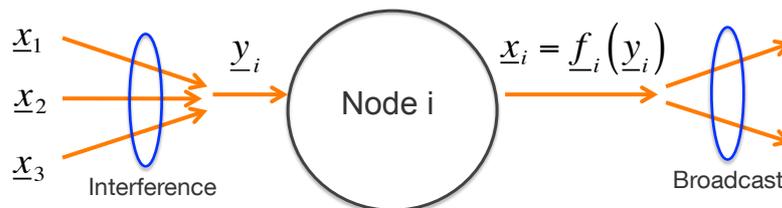
## High-Level View of Network Coding

- Consider **classic** networks\*
- For each **edge** (i,j), choose  $f_{i,j}(\cdot)$  to “uniformly” map  $\{y_i\}$  to  $x_{i,j}$
- **Linear algebraic** or **random** coding is preferred, i.e.,  $f_{i,j}(\cdot)$  is a linear map ( $x_{i,j} = A_{i,j} y_i$  where  $A_{i,j}$  may be random) but these choices may not be best for **non-multicast** or **wireless** networks



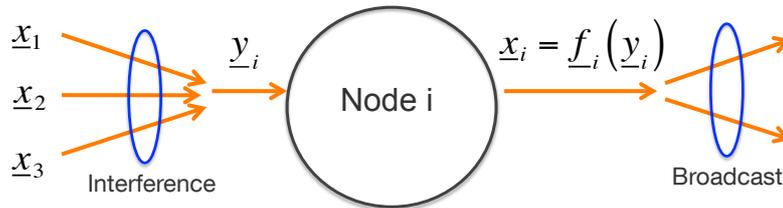
## Network Coding for Wireless

- Nodes have interference and broadcast constraints (which may correspond to classic networks)
- For each **node** i, choose  $f_i(\cdot)$  to “uniformly” map  $y_i$  to an  $x_i$
- **Note:** the symbols of  $x_i$  and  $y_i$  can be vectors. Non-linear  $f_i(\cdot)$  needed in general (network & channel code).  $x_i$  are **independent** due to uniform map.



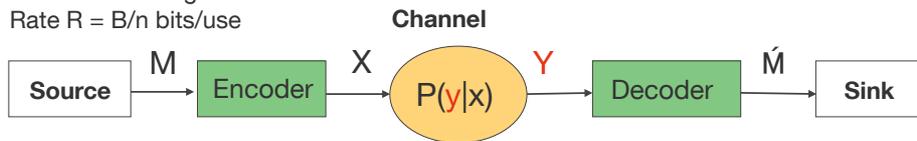
## Open Problems

- Does **linear** network-coding (not channel coding) suffice for multicast? Likely yes (guess, speculation, conjecture?)
- How to **co-ordinate** network coding across nodes to achieve **dependent**  $\underline{x}_i$ ? Can (dependent) algebraic/lattice structures play a role?



## 1) Channel and Source Coding: Notation

Message  $M$  has  $B$  bits  
 Vector  $X$  has length  $n$   
 Rate  $R = B/n$  bits/use

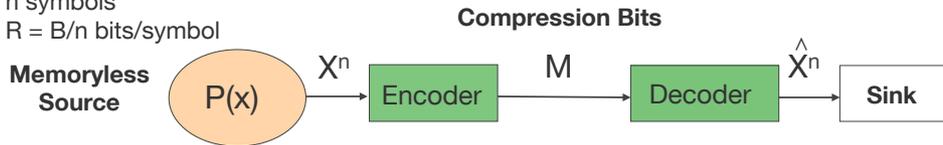


- Cost constraint:  $\sum_i E[s(X_i, Y_i)] \leq nS$
- Problem: find the maximum  $R$  for **reliable** communications (small  $\Pr[M \neq \hat{M}]$ ) under cost constraint (e.g. energy  $s(x,y)=x^2$ )
- Shannon's **Capacity-Cost** Function:

$$C(S) = \max_{P(x) : E[s(X, Y)] \leq S} I(X; Y)$$

## Source Coding

B compression bits  
 n symbols  
 $R = B/n$  bits/symbol

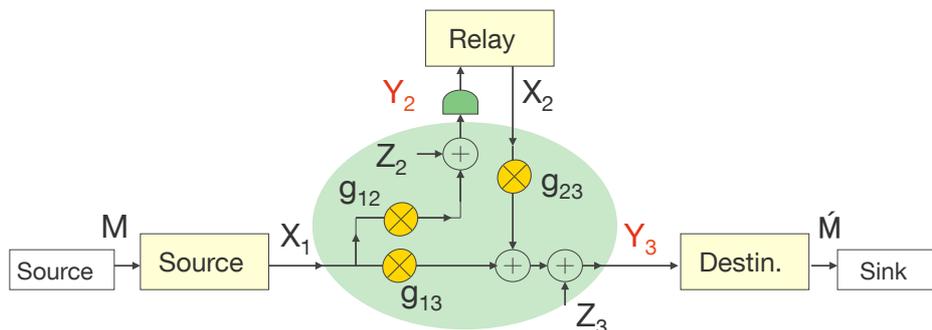


- Distortion constraint:  $\sum_i E[d(X_i; \hat{X}_i)] \leq nD$
- Problem: find the minimum R under distortion constraint
- Shannon's **Rate-Distortion** Function:

$$R(D) = \min_{P_{\hat{X}|X}(\cdot): E[d(X, \hat{X})] \leq D} I(X; \hat{X})$$

## 2) Cooperative Relaying Strategies

- Single-Relay Channel (capacity an open problem)



- Gaussian noise  $Z_t$  (var. N),  $t=2,3$
- Cost:  $\sum_i |X_{ti}|^2/n \leq P_t$ ,  $t=1,2$
- 2 Strategies: Amplify-Forward, Decode-Forward

## Next: Review of Compression Strategies (all with i.i.d. Random Coding)

- 1) Classic Compress-Forward (CF), 1979
- 2) Quantize-Map-and-Forward (QF), 2007
- 3) Noisy Network Coding (NNC), 2010
- 4) **Short-Message QF/NNC** (SQF/SNNC), 2010  
(also called “Cumulative encoding/block-by-block backward decoding”)

### 1) Classic QF

	Block 1	Block 2
Source	$x_{11}(m_1)$	$x_{12}(m_2)$
Relay	$\underline{0}$	$x_{22}(q)$
	$\hat{y}_{21}(q)$	$\underline{0}$

- Relay quantizes  $\underline{Y}_2$  to bits  $q$  representing  $\hat{Y}_2$  and transmits  $x_{22}(q)$
- Simple: use **scalar** quantization (good for high-rate quantization)  
Better: use **vector** quantization with distortion  $D$  after canceling effect of  $X_2$ :  $I(\underline{Y}_2; \hat{Y}_2 | X_2) < R_Q(D)$
- Reliable transmission rate:  $R_Q(D) < I(X_2; Y_3)$

## Classic CF

	Block 1	Block 2
Source	$x_{11}(m_1)$	$x_{12}(m_2)$
Relay	$\underline{0}$	$x_{22}(h(q))$
	$\hat{y}_{21}(q)$	$\underline{0}$

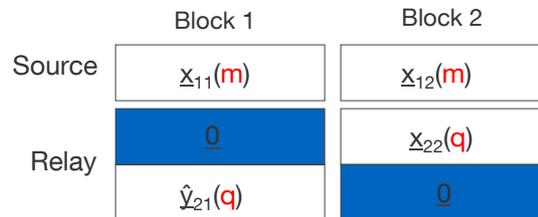
- **Improvement #1:** relay **hashes q** (Wyner-Ziv coding)  
Quantization bound improves to:  $I(Y_2; \hat{Y}_2 | X_2 Y_3) < R_Q(D)$
- **Improvement #2:** **bursty** transmission helps at **low SNR**, i.e., use high power for short time intervals. Formally take into account via a “time-sharing” random variable **T**.

## CF Rate

	Block 1	Block 2
Source	$x_{11}(m_1)$	$x_{12}(m_2)$
Relay	$\underline{0}$	$x_{22}(h(q))$
	$\hat{y}_{21}(q)$	$\underline{0}$

- **Final CF Rate\***:  $R < \max I(X_1; Y_3 \hat{Y}_2 | X_2 T)$   
subject to  $I(Y_2; \hat{Y}_2 | X_2 Y_3 T) < I(X_2; Y_3 | T)$
- Alternative expression\*\* with a **cut** interpretation:  
 $R < \max \min [ I(X_1; \hat{Y}_2 Y_3 | X_2 T), I(X_1 X_2; Y_3 | T) - I(Y_2; \hat{Y}_2 | X_1 X_2 Y_3 T) ]$

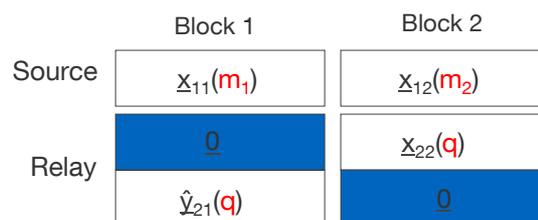
## 2),3) QF/NNC\*: Network Coding for Wireless and Beyond



- Source repetitively encodes a **long message  $m$**
- Relay **quantizes  $y_{21}$**  to bits  $q$  representing  $\hat{y}_{21}$  and transmits  $x_{22}(q)$
- Destination decodes  **$m$  and  $q$  jointly**
- Advantage: extends to many relays and includes network coding
- Issues:** long (en/de)coding delay, limited DF possibilities



## 4) SQF/SNNC\*



- Recent result\***: classic **short** messages  $m_1, m_2$  and backward decoding achieves the same rates (see proof on next page)
- Minor generalization\*\***: use **long** message  $m$  but hash  $m$  to **short** messages  $h_1(m)$  and  $h_2(m)$
- Advantage\*\***: enable **DF** to improve reliability for slow fading



## Proof of Equivalence for 1 Relay

- Consider a fixed coding distribution
- QF/NNC rate (long or short messages):

$$\max\{ I(X_1; Y_3)^*, \min [ I(X_1; \hat{Y}_2 Y_3 | X_2 T), I(X_1 X_2; Y_3 | T) - I(Y_2; \hat{Y}_2 | X_1 X_2 Y_3 T) ] \} \quad (1)$$

- Additionally for backward decoding if destination decodes  $X_2$ :

$$0 \leq I(X_2; Y_3 | X_1 T) - I(Y_2; \hat{Y}_2 | X_1 X_2 Y_3 T) \quad (2)$$

- Suppose (2) is violated. Subtract the negative of (2) (with strict inequality) from the 3<sup>rd</sup> expression in (1) to get

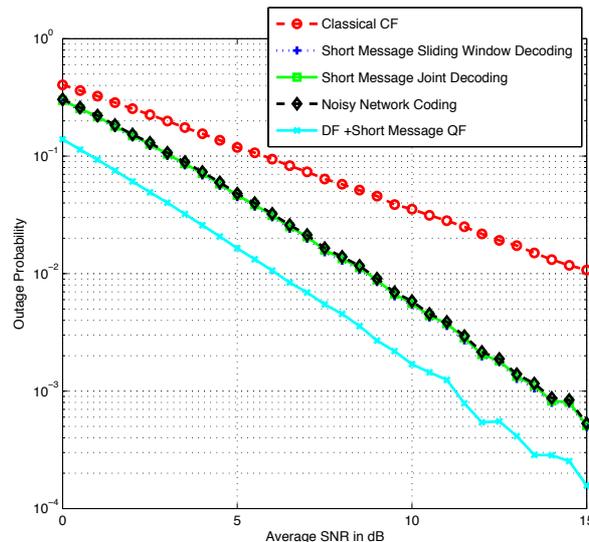
$$R < I(X_1; Y_3 | T) \leq I(X_1; Y_3)$$

- Proof method generalizes to many users and sources

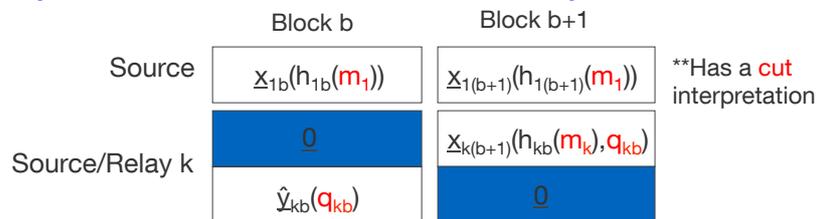


## Experiment

- Single-relay, 1/2 way between source and destination
- Attenuation exponent 3, slow Rayleigh fading, Gaussian noise
- Per-node power constraint
- Rate = 1 bit/s/Hz
- SQF/SNNC gains 2 dB at outage prob.  $10^{-3}$
- Gains reduce for higher rates



## Many Nodes, either Sources or Relays



- **NNC properly extends** Ahlswede-Cai-Li-Yeung network coding\*
- Let node set  $S$  include the source but not the destination  $d$ . Let  $\hat{S}$  be the complement of  $S$ . Then  $(S, \hat{S})$  is a **cut**. Achieve\*\*  

$$R_S < \min_{(S, \hat{S})} I(X_S; \hat{Y}_{\hat{S}} Y_d | X_{\hat{S}} T) - I(Y_S; \hat{Y}_S | X_S X_{\hat{S}} Y_{\hat{S}} Y_d T)$$
- **SQF/SNNC** achieves same rates (Wu-Xie 2010, Kramer-Hou 2011) and facilitates DF (Kramer-Hou 2011)



## Discussion

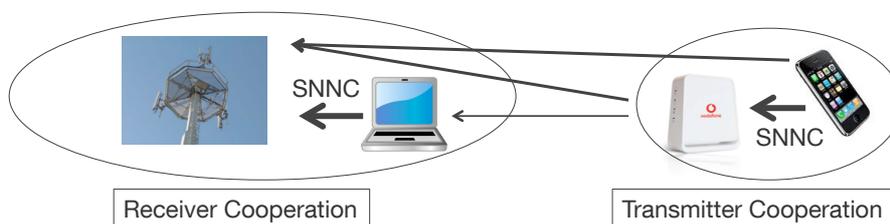
- $R < \max \min_{(S, \hat{S})} I(X_S; \hat{Y}_{\hat{S}} Y_d | X_{\hat{S}} T) - I(Y_S; \hat{Y}_S | X_S X_{\hat{S}} Y_{\hat{S}} Y_d T)$
- **Deterministic** (e.g. classic) networks: choose  $\hat{Y}_i = Y_i$  and achieve cut-set bound with independent inputs
- **Gaussian** networks: choose  $\hat{Y}_k = Y_k + \hat{Z}_k$ ,  $\hat{Z}_k \sim \text{CN}(0, N_k)$ , to get within  $0.63|V|$  bits of the cut-set bound (here a **true** upper bound with dependent inputs)
- Problems inherent to long messages:
  - Encoding and decoding **delays** are large (latter problem also for joint or backward decoding)
  - Must hash **w** for reasonable modulation set sizes
  - **Inflexible**: relays cannot use multihop or DF



## Application Question

Does QF/NNC have a practical future?

- relays can operate in a **distributed** and **autonomous** fashion
- achieves the “multi-output” gains of MIMO
- SQF/SNNC with DF achieves “multi-input” gains of MIMO
- method applies to **more** than radio, e.g., classic & optical networks
- **Difficulty and Research:** how to design practical codes and decoders?



## Open Problems

- It's time to attack and solve the following problem (whose solution may or may not be difficult!):  
Find the **capacity** of **deterministic** relay networks with multicast
- Note: the **source** node controls everything, and the **destination** node can experiment with all (finite number of) possibilities
- Which techniques for classic networks extend to wireless? (Algebra, Grassmanians, etc?)  
First and practical step: separate channel and network coding