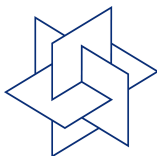


# A posteriori error estimators for Density Functional Theory and Hartree Fock

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# Basic model - electronic Schrödinger equation

Electronic Schrödinger equation

$N'$  nonrelativistic electrons +

Born Oppenheimer approximation

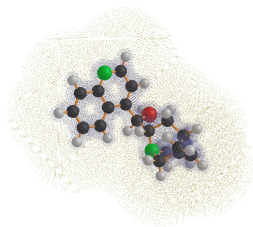
$$H\Psi = E\Psi$$

The Hamilton operator

$$H = -\frac{1}{2} \sum_i \Delta_i - \sum_i \sum_{\nu=1}^K \frac{Z_\nu}{|\mathbf{x}_i - \mathbf{a}_\nu|} + \frac{1}{2} \sum_{i \neq j} \frac{1}{|\mathbf{x}_i - \mathbf{x}_j|}$$

acts on *anti-symmetric* wave functions  $\Psi \in H^1((\mathbb{R}^3 \times \{\pm \frac{1}{2}\})^{N'})$ ,  
 $\Psi(x_1, s_1, \dots, x_{N'}, s_{N'})$ ,  $\mathbf{x}_i = (x_i, s_i) \in \mathbb{R}^3 \times \{\pm \frac{1}{2}\}$ .

Ground state energy  $E_0 = \min\{\langle \mathcal{H}\psi, \psi \rangle : \langle \psi, \psi \rangle = 1\}$  and  $n(x)$



# Effective single particle models - DFT and

- *Closed Shell Restr. HF (RHF) or Density Functional theory*

$N := \frac{N'}{2}$  number of electron pairs (spinfree formulations)

- **minimization of the energy functional  $\mathcal{J}_{KS}(\Phi)$**

$$\mathcal{J}_{KS}(\Phi) = \left\{ \int \frac{1}{2} \sum_{i=1}^N |\nabla \phi_i|^2 + \int n V_{core} + \frac{1}{2} \int \int \frac{n(x)n(y)}{|x-y|} dx dy - \alpha E_{xc}(n) + \beta EX_{HF}(D) \right\}$$

$\alpha = 0 \rightarrow$  Hartree Fock equations,  $\beta = 0 \rightarrow$  Kohn-Sham equations.

- **w.r.t. orthogonality constraints**

$\Phi = (\phi_i)_{i=1}^N \in H^1(\mathbb{R}^3)^N$  and  $\langle \phi_i, \phi_j \rangle = \delta_{i,j}$

- $\phi_i \in H^1(\mathbb{R}^3)$ , **electron density**  $n(x) := \sum_{i=1}^N |\phi_i(x)|^2$

Density matrix function  $D(x, y) = \sum_{i=1}^N \phi_i(x) \overline{\phi_i(y)}$

# Notations-Optimization with orthogonality constraints

- $\Phi := (\phi_1, \dots, \phi_N) \in (H^1(\mathbb{R}^3))^N = V^N = V \otimes \mathbb{K}^N$ ,  
 $\mathbb{K} = \mathbb{C}, \mathbb{R}^N$ . *In the sequel*  $\mathbb{K} := \mathbb{R}$ .
- $V := H^1(\mathbb{R}^3) \subseteq L^2(\mathbb{R}^3) \subseteq H^{-1}(\mathbb{R}^3) = V'$
- $\langle \Phi^T \Psi \rangle := (\langle \phi_i, \psi_j \rangle)_{i,j} \in \mathbb{K}^{N \times N}$
- *scalar product*  $\langle \langle \Phi, \Psi \rangle \rangle := \text{tr} \langle \Phi^T \Psi \rangle = \sum_{i=1}^N \langle \phi_i, \psi_i \rangle \in \mathbb{K}$
- $\mathcal{A}\Phi := A \otimes \mathbf{I} \Phi = (A\phi_1, \dots, A\phi_N)$ ,  $A: V \rightarrow V'$

*Simplified Problem* (e.g. appear in SC iteration): *minimize*

$$\mathcal{J}_A^{ES}(\Phi) := \sum_{i=1}^N \langle A\phi_i, \phi_i \rangle = \text{tr} \langle \Phi^T \mathcal{A}\Phi \rangle = \langle \langle \Phi, \mathcal{A}\Phi \rangle \rangle$$

- $\mathcal{J} = \mathcal{J}_{KS}, \mathcal{J}_{HF}, \mathcal{J}_{ES}$  are *invariant under unitary transformations*  $\mathbf{U} \in \mathcal{U}$

$$\mathcal{J}(\Phi) = \mathcal{J}(\Phi \mathbf{U}), \quad \Phi \mathbf{U} := \left( \sum_{i=1}^N \phi_i U_{ij} \right)_{j=1, \dots, N}$$

- *gradient*  $\mathcal{J}'(\Phi) = \mathcal{A}_{[\Phi]} \Phi, \quad \mathcal{A}_{[\Phi]} : V \rightarrow V'$

$$\mathcal{A}_{\Phi} \varphi_i = -\frac{1}{2} \Delta \varphi_i + \mathcal{V}_{core} \varphi_i + \mathcal{V}_H \varphi_i + \alpha \mathcal{V}_{xc} \varphi_i - \beta \frac{1}{2} \mathcal{W} \varphi_i$$

*density matrix op.* projects onto  $\text{span} \Phi := \text{span} \{ \phi_i : i \leq N \}$

$$D_{\Phi} := \sum_{i=1}^N \langle \phi_i, \cdot \rangle_{L^2} \phi_i$$

it satisfies  $D^2 = D, \text{tr} D = N, D^T = D$

# Geometry of admissible set

see [Edelman, Arias, Smith] for  $V = \mathbb{R}^n$ .

## Definition

*Stiefel manifold* (orthogonality constraints)

$$\mathcal{S}_{V,N} := \mathcal{S} := \{ \Phi = (\phi_i)_{i=1}^N \mid \phi_i \in V, \langle \Phi^T \Phi \rangle - I_{N \times N} = \mathbf{0} \in \mathbb{R}^{N \times N} \}$$

*Grassmann manifold* is a quotient manifold

$$\mathcal{G}_{V,N} := \mathcal{G} := \mathcal{S}_{V,N} / \sim, \quad \Phi \sim \tilde{\Phi} \Leftrightarrow \tilde{\Phi} = \Phi \mathbf{U}, \quad \mathbf{U} \in \mathcal{U}(N)$$

*There is a one-to-one correspondence between*

$[\Phi] \in \mathcal{G} \iff D_\Phi$  (density matrix operator) resp. the subspace

$$V_\Phi = \text{span}\{\phi_1, \dots, \phi_N\}$$

$\mathcal{G}$  is also fundamental in tensor product approximation

# Tangent space

## Lemma

- *tangent space*  $\mathcal{T}_{[\Phi]}\mathcal{G} = \{\delta\Psi \in V^N \mid \langle (\delta\Psi)^T \Phi \rangle = 0 \in \mathbb{R}^{N \times N}\}$
- $(I - \mathcal{D}_\Phi) : V^N \rightarrow \mathcal{T}_{[\Phi]}\mathcal{G}$ , is an orthogonal *projection* onto the tangent space  $\mathcal{T}_{[\Phi]}\mathcal{G}$
- *tangent space*  $\mathcal{T}_\Phi \mathcal{S} = \mathcal{T}_{[\Phi]}\mathcal{G} + \{\Phi \mathbf{A} : \mathbf{A}^T = -\mathbf{A}\}$   
$$= \{\Theta \in V^N : \langle \Theta^T \Phi \rangle = -\langle \Phi^T \Theta \rangle\}$$

Edelman et al. (98); Blauert et al. (08), Maday, Turinici (02), Cances, et al. (10)

error measure on  $\mathcal{G}$  :

$$\|[\Phi] - [\Psi]\| := \inf\{U \in \mathcal{U}(N) : \|\Phi - \Psi U\|_{V^N}\} \sim \|(I - \mathcal{D}_\Psi)\Phi\| \text{ loc.}$$

# 1st order optimality conditions

*Nec. cond.:* If  $[\Psi] = \operatorname{argmin} \{ \mathcal{J}(\Phi) : [\Phi] \in \mathcal{G} \} \in V^N(V_h^N)$  then

$$\langle \langle \mathcal{A}_{[\Psi]} \Psi, \delta \Phi \rangle \rangle = 0 \quad \forall \delta \Phi \in \mathcal{T}_{[\Psi]} \mathcal{G} \subset V^N(V_h^N)$$

$$\langle \langle (I - \mathcal{D}_{\Psi}) \mathcal{A}_{[\Psi]} \Psi, \delta \Phi \rangle \rangle = 0 \quad \forall \delta \Phi \in V^N(V_h^N)$$

For  $[\Phi] \in \mathcal{G}$  there hold  $\mathcal{J}'(\Phi) = 2\mathcal{A}_{[\Phi]} \Phi \in (V')^N$  where e.g.

$$A_{[\Phi]}^{KS} := -\frac{1}{2}\Delta + V_{core} + \left( n \star \frac{1}{|\cdot|} \right) + v_{xc}(n) = -\frac{1}{2}\Delta + V(n)$$

Lagrangian  $\mathcal{L}(\Phi, \Lambda) := \mathcal{J}(\Phi) - \operatorname{tr} \Lambda (\langle \Phi^T \Phi \rangle - I)$

At stationary points  $(\Psi, \lambda)$  there holds  $\Lambda = \langle \mathcal{A}_{[\Psi]} \psi_i, \psi_j \rangle$ .



# Goal oriented error estimation - revisited

Constr. optimization problem:  $u = \operatorname{argmin}\{J(v) : G(v) = 0\}$

Lagrangian:  $L(x) := L(u, \Lambda) = J(u) - \Lambda G(u)$  ( $x = (u, \Lambda) \in X$ )

Theorem (- dual weighted residual method - Rannacher)

Let  $X_h \subset X$  closed, consider the weak solutions (Galerkin)

$L'(x)y = 0 \forall y \in X$ ,  $L'(x_h)y_h = 0 \forall y_h \in X_h$ , then

$$L(x) - L(x_h) = \frac{1}{2}L'(x_h)(x - y_h) + \mathcal{O}(\|x - x_h\|_X^3) \forall y_h \in X_h$$

$$J(u) - J(u_h) = \frac{1}{2} [J'(u_h)(u - u_h) - \Lambda_h G'(u_h)(u - u_h) - (\Lambda - \Lambda_h)G(u_h)] .$$

Remainder  $\mathcal{R}_3 = \frac{1}{2} \int_0^1 L^{(3)}(u_h + se)(e, e, e)s(s-1)ds = \mathcal{O}(e^3)$ .

# Orbital based functional

First attempt Maday & Turinici (2002) for HF

$$\mathcal{L}(\Phi, \Lambda) = \mathcal{J}^{HF}(\Phi) + \text{tr} \Lambda (\langle \Phi^T, \Phi \rangle - I)$$

## Theorem

If  $\Psi \in V$  and  $\Psi_h \in V_h$  are the corresponding stationary points

$$\begin{aligned} 0 \geq \mathcal{J}(\Psi) - \mathcal{J}(\Psi_h) &= \langle \langle (\Psi - \tilde{\Phi}_h), (\mathcal{A}_{[\Psi_h]} \Psi_h - \Psi_h \Lambda_h) \rangle \rangle + \mathcal{R}_3 \\ &= \langle \langle (\Psi - \tilde{\Phi}_h), (\mathcal{A}_{[\Psi_h]} - \Pi_h \mathcal{A}_{[\Psi_h]}) \Psi_h \rangle \rangle + \mathcal{R}_3 \\ &= \eta(\Psi_h) + \mathcal{R}_3 \quad \forall \tilde{\Phi}_h \in \mathcal{V}_h \end{aligned}$$

where  $\Pi_h : V \rightarrow V_h$  is the  $L_2$ -orthogonal projection.

Truncation error at  $|\mathbf{x}| \rightarrow \infty$  must be estimated separately.

(Schwinger 2011)

# Orbital based functional - Remainders

## Theorem (Schwinger 2011)

The remainder term for linear eigenspace problem  $\mathcal{J}^{ES}$  is given by

$$\mathcal{R}_3^{ES} = \frac{1}{2} \langle\langle (\Psi - \Psi_h)(\Lambda - \Lambda_h), \Psi - \Psi_h \rangle\rangle \leq 0.$$

and

$$|\mathcal{R}_3^{ES}| = \frac{1}{2} \|\Lambda - \Lambda_h\|_2 \|(\Psi - \Psi_h)\|_{L_2}^2.$$

If  $\mathcal{A} + \mu \geq 1 - \Delta$  for some  $\mu > 0$ , then

$$\begin{aligned} |\mathcal{R}_3^{ES}| &= \frac{1}{2} \|\Lambda - \Lambda_h\|_2 \|(\Psi - \Psi_h)\|_{L_2}^2 \\ &\lesssim \|(\Psi - \Psi_h)\|_{L_2}^2 \|(\Psi - \Psi_h)\|_V \end{aligned}$$

## Lemma (Remainders)

$$\mathcal{R}_3^{HF} = \mathcal{R}_3^{ES} + \mathcal{R}_3^H - \mathcal{R}_3^W, \quad \mathcal{R}_3^{KS} = \mathcal{R}_3^{ES} + \mathcal{R}_3^H + \mathcal{R}_3^{EX}$$

where

$$\mathcal{R}_3^H = \sum_{k,l} \int \int 2 \frac{(\psi_{h,k} + \psi_k)(\psi_{h,k} - \psi_k)(\mathbf{x})(\psi_{h,l} - \psi_l)(\psi_{h,l} - \psi_l)(\mathbf{y})}{|\mathbf{x} - \mathbf{y}|}$$

$$\mathcal{R}_3^W = \sum_{k,l} 2 \int \int \frac{(\psi_{h,k} + \psi_k)(\psi_{h,l} - \psi_l)(\mathbf{x})(\psi_{h,k} - \psi_k)(\psi_{h,l} - \psi_l)(\mathbf{y})}{|\mathbf{x} - \mathbf{y}|}$$

$$|\mathcal{R}_3^{HF}| \lesssim \|(\Psi - \Psi_h)\|_V^3 \sup_h \|\Psi_h\|_{L^\infty} \leq \|(\Psi - \Psi_h)\|_V^3 \sup_h \|\Psi_h\|_{H^2}$$

But  $\mathcal{R}_3^{EX} = \mathcal{O}(\|\Psi - \Psi_h\|_{L_2})$  due to non-differentiability of Dirac Slater exchange at  $n(\mathbf{x}) \rightarrow 0$ . Otherwise  $\mathcal{R}_3^{EX} = \mathcal{O}(\|\Psi - \Psi_h\|_V^3)$

# Ambiguity - unitary invariance ( $N > 1$ )

Error measure on  $\mathcal{G}$  :

$\|[\Phi] - [\Psi]\| := \inf\{\mathbf{U} \in \mathcal{U}(N) : \|\Phi - \Psi\mathbf{U}\|_{V^N}\}$  is invariant w.r.t. to  $\mathbf{U} \in \mathcal{U}(N)$ , as well as  $\mathcal{J}(\Psi) - \mathcal{J}(\Psi_h) = \mathcal{J}([\Psi]) - \mathcal{J}([\Psi_h])$ . But in fact  $\eta(\Psi_h) = \eta(\Psi_h, \mathbf{U}, \mathbf{V})$  and  $\mathcal{R}_3 = \mathcal{R}_3(\Psi_h, \mathbf{U}, \mathbf{V})$  for  $\mathbf{U}, \mathbf{V} \in \mathcal{U}(N)$

## Lemma (Schwinger)

$$\eta(\Psi_h, \mathbf{U}, \mathbf{V}) = \eta(\Psi_h, \mathbf{U}), \quad \mathcal{R}_3(\Psi_h, \mathbf{U}, \mathbf{V}) = \mathcal{R}_3(\Psi_h, \mathbf{U})$$

for  $\mathcal{R}_3^{ES}, \mathcal{R}_3^{HF}, \mathcal{R}_3^{KS}$ .

But  $\mathbf{U} = \operatorname{argmin}_{\mathbf{U} \in \mathcal{U}(N)} |\mathcal{R}_3(\Psi_h, \mathbf{U})|$ ,  $\mathcal{R}_3 = \mathcal{O}(\|\Psi_h - \Psi\mathbf{U}\|_{V^N}^3)$  is unknown.

# A posteriori error estimates - ambiguities

- For  $\mathcal{J}^{ES}$ , since  $\mathcal{R}_3 \leq 0$ , we obtain

$$\max_{\mathbf{U} \in \mathcal{U}(N)} \eta(\Psi_h, \mathbf{U}) = \min_{\mathbf{U}} \mathcal{R}_3^{ES}(\Psi_h, \mathbf{U})$$

And therefore, we get efficiency! and reliability

$$C_1 |\mathcal{J}(\Psi) - \mathcal{J}(\Psi_h)| \leq \max_{\mathbf{U}} \eta(\Psi_h, \mathbf{U}) \leq |\mathcal{J}(\Psi) - \mathcal{J}(\Psi_h)|$$

- In the general case we have either this result, or only

$$|\mathcal{J}(\Psi) - \mathcal{J}(\Psi_h)| \leq \max_{\mathbf{U}} \eta(\Psi_h, \mathbf{U}).$$

- $\hat{\mathbf{U}} = \arg \max_{\mathbf{U}} \frac{|\eta(\mathbf{U})|}{|\mathcal{J}(\Psi) - \mathcal{J}(\Psi_h)|}$  could be computed  
(S. Schwinger) - expensive!).

# Interpolation estimates and $H^2$ regularity

For local basis functions like Finite Elements or wavelets

$\eta$  could be computed  $\approx$  by post- processing  $\Psi \approx \widetilde{\Psi}_h$  or by

**interpolation estimates**: Let  $K$  be an element  $K \subset \Omega_k := \text{supp}\Psi_k \subset \widetilde{\Omega}_k$ ,

$V_h := \text{span}\{\Phi_k\}$ ,  $h_k \sim \text{diam}K \sim \text{diam}\Omega_k \sim \text{diam}\widetilde{\Omega}_k$ , then there ex. an operator

$i_h : V \rightarrow V_h$  reproducing polynomials s.t.

$$\|u - i_h u\|_{L_2(K)} \leq \tilde{C}_I h_k^2 \|u\|_{H^2(\widetilde{\Omega}_k)}$$

## Lemma ( $H^2$ regularity)

The minimizer  $\Psi = (\psi_j)$  of  $\mathcal{J}^{HF}$ ,  $\mathcal{J}^{ES}$ ,  $\mathcal{J}^{KS}$  is in  $(H^2(\mathbb{R}^3))^N$ ,  
there exists a shift  $\mu \geq 0$  s.t.

$$\|\Phi\|_{H^2} \leq C_{H^2} \|(\mathcal{A}_{[\Psi]} + \mu)\Phi\|_{L_2}, \forall \Phi \in V$$

# A posteriori error estimates

## Theorem

If  $[\Psi] \in \mathcal{G}$ ,  $\Psi \in V^N$ ,  $\Psi_h \in V_h^N$ , be the minimizers of  $\mathcal{J} = \mathcal{J}^{HF}, \mathcal{J}^{KS}, \mathcal{J}^{ES}$ ,  $\mathbf{U} \in U(N)$ , assume  $H^2$  regularity

$$\|\Phi\|_{H^2} \leq C_{H^2} \|(\mathcal{A}_{[\Psi]} + \mu)\Phi\|_{L_2} \quad \forall \Phi \in V,$$

and  $\mathcal{R}_3 < \epsilon$  suff. small, then  $\mathcal{J}(\Psi_h) - \mathcal{J}(\Psi) = \eta(\Psi_h, \mathbf{U}) + \mathcal{R}_3$   
where

$$\begin{aligned} \eta(\Psi_h, \mathbf{U}) &\leq C_I \sum_{i,k} \|(\Pi_h \mathcal{A}_{[\Psi_h]} - \mathcal{A}_{[\Psi_h]})\Psi_h\|_{L_2(K)}^2 h_K^4 \|\mathbf{U}\Phi\|_{(H^2)^N} \\ &\leq C_I C_{H^2} \sqrt{\text{tr}(\Lambda^* \Lambda)} \sum_{i,k} \|(\Pi_h \mathcal{A}_{[\Psi_h]} - \mathcal{A}_{[\Psi_h]})\Psi_h\|_{L_2(K)}^2 h_K^4 \\ &=: \eta(\Psi_h) \quad \text{indep. of } \mathbf{U}. \end{aligned}$$



# A posteriori error estimates

- The error estimator  $\eta$  depends on the representation  $\Psi_h \in \mathcal{V}$  of  $[\Psi_h] \in \mathcal{G}$ .
- $\sqrt{\text{tr}(\Lambda^* \Lambda)} \sim \text{tr}|\Lambda|$ , and  $\sqrt{\text{tr}(\Lambda^* \Lambda)} \approx \sqrt{\text{tr}(\Lambda_h^* \Lambda_h)}$
- if  $\Psi_h \notin H^2$  - e.g. Finite Elements

$$\eta \leq C_I C_{H^2} C_{\text{tr}} |\Lambda_h| \sum_K (\|\Pi_K \mathcal{A}_{[\Psi_h]} - \mathcal{A}_{[\Psi_h]} \Psi_h\|_{L_2(K)}^2 h_K^4 + \|[\partial_n \Psi_h]\|_{L_2(e_K)}^2 h_K^3)$$

$[\partial_n \psi_{h,i}]|_{e_K}$  - jump of the normal derivatives across  $\partial K$

- resembles eigenvalue error estimator of Larsen ( $N = 1$ )
- $H^2$  regularity is provided for HF etc. it simplifies proofs
- it allows an individual discretization of  $\psi_{h,i}$ ,  $i = 1, \dots, N$ .

# Further assumptions

- 1 For DFT (LDA - Dirac-Slater term)  $n(x) > 0 \forall x$  for regularity
- 2  $\Phi_h \in U_\delta(\Phi)$ ,  $\mathcal{J}'(\Phi) = 0$  and

$$\langle\langle (\mathcal{J}''(\Phi)\Psi - \Psi\Lambda), \Psi \rangle\rangle \geq \gamma \|\Psi\|_{V^N}^2 \quad \text{for all } \Psi \in \mathcal{T}_{[\Phi]}\mathcal{G},$$

for a priori analysis (A. Zhou et al, Cancès & Maday et al. , Ortner et al. )

## Theorem

*Under assumption (2), we obtain locally  $\forall \Phi_h \in V_h^N$ ,*

$$\|[\Psi] - [\Psi_h]\|^2 \lesssim \|(I - \mathcal{D}_\Psi)\Psi_h\|_V^2 \lesssim \langle\langle \mathcal{A}_{[\Psi_h]}\Psi_h - \Psi_h\Lambda_h, \Psi - \Phi_h \rangle\rangle = \eta(\Psi_h).$$

# Alternatively - Density operator based functional

*Hartee-Fock energy:*  $\mathcal{E}(D) := \text{tr}(-\Delta + 2V_{\text{core}})D + \text{tr}(\mathcal{G}(D)D)$

$$\mathcal{G}(D)\phi := (n * \frac{1}{|\cdot|})\phi - \frac{1}{2} \int \frac{\rho(\cdot, y)}{|\cdot - y|} \phi(y) dy$$

Fock operator  $\mathcal{F}(D) = A_\Psi = -\frac{1}{2}\Delta + V_{\text{core}} + \mathcal{G}(D)$ .

## Problem

*minimize*  $\{\mathcal{E}(D) : D \in \mathcal{P}\}$  w.r.t.  $\mathcal{P} = \{D = D^*, D^2 = D, \text{tr}D = N\}$

ext. Lag.  $\mathcal{L}(\lambda, D, \widehat{D}, \widehat{N}) = \lambda\mathcal{E}(D) - \langle \widehat{D}, D^2 - D \rangle - \widehat{N}(\text{tr}D - N)$

## Theorem (Schwinger)

*If*  $D$  *is a minimizer of*  $\mathcal{E}$ , *then there exists*  $D \in \mathcal{P}$  *such that with*  
 $\lambda = 1, \widehat{N} = 0, \widehat{D} := -D\mathcal{F}(D)D + (1 - D)\mathcal{F}(D)(I - D)$  *(Lag. mult.*  
*is a diff.-operator!)*  $(\lambda, D, \widehat{D}, \widehat{N})$  *is a stationary point of*  $\mathcal{L}$ .

## Theorem

Let  $D, D_h$  be corresp. minimizers, then

$$\begin{aligned} & \mathcal{E} - \mathcal{E}_h + \mathcal{R}_3^D \\ &= \langle \langle \mathcal{F}(D_h), D \rangle \rangle + \frac{1}{2} \langle \langle \widehat{D}_h D_h + D_h \widehat{D}_h - \widehat{D}_h, D - \widetilde{D}^h \rangle \rangle \\ &= \langle \langle \mathcal{F}(D_h) - \mathcal{F}_h(D_h), D - \widetilde{D}^h \rangle \rangle \quad \forall \widetilde{D}^h \in \mathcal{P}_h \\ &= \langle \langle (\mathcal{F}(D_h) - \mathcal{F}_h(D_h)) \Psi, \Psi \rangle \rangle \quad \text{choosen } \widetilde{D}^h := 0 \end{aligned}$$

## Corollary

Provided that  $|\mathcal{R}_3|$  suff. small there holds

$$|\mathcal{E} - \mathcal{E}_h| \approx \langle \langle \mathcal{F}(D_h) - \mathcal{F}_h(D_h), D - D^h \rangle \rangle \forall D^h \in V_h^D .$$

Approximate version

$$|\mathcal{E} - \mathcal{E}_h| \approx \langle \langle \mathcal{F}_h(D_h) - \mathcal{F}_h(D_h), D_H - D^h \rangle \rangle \forall D^h \in \mathcal{P}_h$$

Remainder becomes (independent of ES, HF or KS)

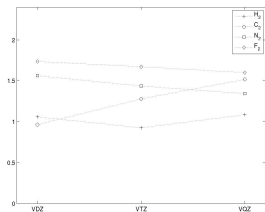
$$\mathcal{R}_3^D = -\frac{1}{12} \langle \langle \hat{D} - \hat{D}_h, D - D_h D - D D_h + D_h \rangle \rangle .$$

# Numerical results

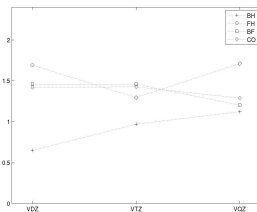
(PhD thesis of S. Schwinger submitted (2011))

Numerical tests by S. Schwinger — VXZ bases.

Plot of efficiency indices  $\frac{\eta(\Psi_h)}{\mathcal{J}_h - \mathcal{J}}$

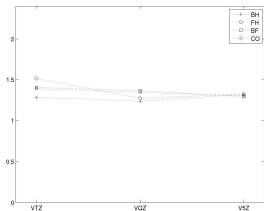


Hartree Fock

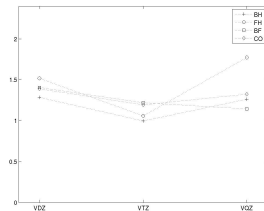


Hartree Fock

# Numerical results

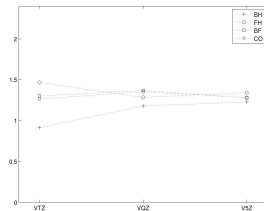
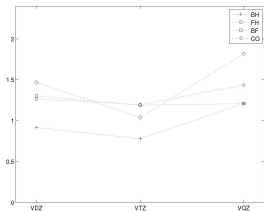


Kohn Sham with Dirac Slater exchange



exchange

# Numerical results



Kohn Sham with Perdew-Kohn Sham with Perdew-  
Wang Wang