

Almost invariant subspaces of operators in Banach spaces

Alexey I. Popov

March 8, 2012

Joint work with: L. Marcoux and H. Radjavi.

Joint work with: L. Marcoux and H. Radjavi.

Let X be a Banach space, $T \in L(X)$ operator.

Recall: A (closed) subspace Y of X is ***T-invariant*** if $TY \subseteq Y$.

Joint work with: L. Marcoux and H. Radjavi.

Let X be a Banach space, $T \in L(X)$ operator.

Recall: A (closed) subspace Y of X is ***T-invariant*** if $TY \subseteq Y$.

Definition (Androulakis, P., Tcaciuc, Troitsky, '09)

A subspace $Y \subseteq X$ is ***T-almost invariant*** if $TY \subseteq Y + F$ where $\dim(F) < \infty$.

Joint work with: L. Marcoux and H. Radjavi.

Let X be a Banach space, $T \in L(X)$ operator.

Recall: A (closed) subspace Y of X is **T -invariant** if $TY \subseteq Y$.

Definition (Androulakis, P., Tcaciuc, Troitsky, '09)

A subspace $Y \subseteq X$ is **T -almost invariant** if $TY \subseteq Y + F$ where $\dim(F) < \infty$.

Note: If $\dim Y < \infty$ or $\operatorname{codim} Y < \infty$ then Y is T -almost invariant for any T .

Joint work with: L. Marcoux and H. Radjavi.

Let X be a Banach space, $T \in L(X)$ operator.

Recall: A (closed) subspace Y of X is **T -invariant** if $TY \subseteq Y$.

Definition (Androulakis, P., Tcaciuc, Troitsky, '09)

A subspace $Y \subseteq X$ is **T -almost invariant** if $TY \subseteq Y + F$ where $\dim(F) < \infty$.

Note: If $\dim Y < \infty$ or $\operatorname{codim} Y < \infty$ then Y is T -almost invariant for any T .

Definition (Androulakis, P., Tcaciuc, Troitsky, '09)

Y is a **half-space** if $\dim Y = \operatorname{codim} Y = \infty$.

Question: Does every $T \in L(X)$ have almost invariant half-spaces?

Question: Does every $T \in L(X)$ have almost invariant half-spaces?

In Hilbert space: Y is T -almost invariant \iff for the decomposition $\mathcal{H} = Y \oplus Y^\perp$

$$T = \begin{bmatrix} * & * \\ R & * \end{bmatrix}, \quad \text{where rank } R < \infty.$$

Question: Does every $T \in L(X)$ have almost invariant half-spaces?

In Hilbert space: Y is T -almost invariant \iff for the decomposition $\mathcal{H} = Y \oplus Y^\perp$

$$T = \begin{bmatrix} * & * \\ R & * \end{bmatrix}, \quad \text{where } \text{rank } R < \infty.$$

Brown, Pearcy ('71): For any $T \in \mathcal{B}(\mathcal{H})$ and $\varepsilon > 0$, there is a half-space $Y \subseteq \mathcal{H}$ such that, for the decomposition $\mathcal{H} = Y \oplus Y^\perp$,

$$T = \begin{bmatrix} * & * \\ K & * \end{bmatrix}, \quad \text{where } K \in \mathcal{K}(\mathcal{H}) \text{ and } \|K\| \leq \varepsilon.$$

Question: Does every $T \in L(X)$ have almost invariant half-spaces?

In Hilbert space: Y is T -almost invariant \iff for the decomposition $\mathcal{H} = Y \oplus Y^\perp$

$$T = \begin{bmatrix} * & * \\ R & * \end{bmatrix}, \quad \text{where } \text{rank } R < \infty.$$

Brown, Pearcy ('71): For any $T \in \mathcal{B}(\mathcal{H})$ and $\varepsilon > 0$, there is a half-space $Y \subseteq \mathcal{H}$ such that, for the decomposition $\mathcal{H} = Y \oplus Y^\perp$,

$$T = \begin{bmatrix} * & * \\ K & * \end{bmatrix}, \quad \text{where } K \in \mathcal{K}(\mathcal{H}) \text{ and } \|K\| \leq \varepsilon.$$

Voiculescu ('76): In fact, can do

$$T = \begin{bmatrix} * & K_1 \\ K_2 & * \end{bmatrix}, \quad \text{where } K_1, K_2 \in \mathcal{K}(\mathcal{H}) \text{ and } \|K_1\|, \|K_2\| \leq \varepsilon.$$

Note: $T \in L(X)$ has an almost invariant half-space \iff a finite-rank perturbation of T has an invariant half-space.

Note: $T \in L(X)$ has an almost invariant half-space \iff a finite-rank perturbation of T has an invariant half-space.

Example. $S \in L(\ell_2)$ the unilateral shift, $S(x_1, x_2, \dots) = (0, x_1, x_2, \dots)$.

Note: $T \in L(X)$ has an almost invariant half-space \iff a finite-rank perturbation of T has an invariant half-space.

Example. $S \in L(\ell_2)$ the unilateral shift, $S(x_1, x_2, \dots) = (0, x_1, x_2, \dots)$.
Obvious invariant subspaces: $\overline{\text{span}}\{e_k : k \geq n\}$ where $n \in \mathbb{N}$.

Note: $T \in L(X)$ has an almost invariant half-space \iff a finite-rank perturbation of T has an invariant half-space.

Example. $S \in L(\ell_2)$ the unilateral shift, $S(x_1, x_2, \dots) = (0, x_1, x_2, \dots)$.

Obvious invariant subspaces: $\overline{\text{span}}\{e_k : k \geq n\}$ where $n \in \mathbb{N}$.

Fact: S has invariant half-spaces.

Note: $T \in L(X)$ has an almost invariant half-space \iff a finite-rank perturbation of T has an invariant half-space.

Example. $S \in L(\ell_2)$ the unilateral shift, $S(x_1, x_2, \dots) = (0, x_1, x_2, \dots)$.

Obvious invariant subspaces: $\overline{\text{span}}\{e_k : k \geq n\}$ where $n \in \mathbb{N}$.

Fact: S has invariant half-spaces.

Example. $D \in L(\ell_2)$ is the *Donoghue shift* if

$D(x_1, x_2, \dots) = (0, w_1x_1, w_2x_2, \dots)$ where $0 \neq |w_i| \downarrow 0$.

Note: $T \in L(X)$ has an almost invariant half-space \iff a finite-rank perturbation of T has an invariant half-space.

Example. $S \in L(\ell_2)$ the unilateral shift, $S(x_1, x_2, \dots) = (0, x_1, x_2, \dots)$.
Obvious invariant subspaces: $\overline{\text{span}}\{e_k : k \geq n\}$ where $n \in \mathbb{N}$.

Fact: S has invariant half-spaces.

Example. $D \in L(\ell_2)$ is the *Donoghue shift* if
 $D(x_1, x_2, \dots) = (0, w_1x_1, w_2x_2, \dots)$ where $0 \neq |w_i| \downarrow 0$.

Fact: All invariant subspaces for D are of the form $\overline{\text{span}}\{e_k : k \geq n\}$
where $n \in \mathbb{N}$.

In particular: D has no invariant half-spaces.

Note: $T \in L(X)$ has an almost invariant half-space \iff a finite-rank perturbation of T has an invariant half-space.

Example. $S \in L(\ell_2)$ the unilateral shift, $S(x_1, x_2, \dots) = (0, x_1, x_2, \dots)$.
Obvious invariant subspaces: $\overline{\text{span}}\{e_k : k \geq n\}$ where $n \in \mathbb{N}$.

Fact: S has invariant half-spaces.

Example. $D \in L(\ell_2)$ is the *Donoghue shift* if
 $D(x_1, x_2, \dots) = (0, w_1x_1, w_2x_2, \dots)$ where $0 \neq |w_i| \downarrow 0$.

Fact: All invariant subspaces for D are of the form $\overline{\text{span}}\{e_k : k \geq n\}$
where $n \in \mathbb{N}$.

In particular: D has no invariant half-spaces.

Remark. D is a compact quasinilpotent operator without eigenvalues.

Theorem (Androulakis, P., Tcaciuc, Troitsky, '09)

Let $T \in L(X)$ is such that

- 1 T has no eigenvalues;
- 2 for some $\varepsilon > 0$, the unbounded component of the resolvent set contains $\{0 < |z| < \varepsilon\}$;
- 3 there is $e \in X$ such that $T^n e \notin \overline{\text{span}\{T^k e : k \neq n\}}$ for all $n \in \mathbb{N}$.

Then T has an almost invariant half-space.

Theorem (Androulakis, P., Tcaciuc, Troitsky, '09)

Let $T \in L(X)$ is such that

- 1 T has no eigenvalues;
- 2 for some $\varepsilon > 0$, the unbounded component of the resolvent set contains $\{0 < |z| < \varepsilon\}$;
- 3 there is $e \in X$ such that $T^n e \notin \overline{\text{span}}\{T^k e : k \neq n\}$ for all $n \in \mathbb{N}$.

Then T has an almost invariant half-space.

Corollary (Androulakis, P., Tcaciuc, Troitsky, '09)

Every Donoghue shift has an almost invariant half-space.

Theorem (Androulakis, P., Tcaciuc, Troitsky, '09)

Let $T \in L(X)$ is such that

- ② *for some $\varepsilon > 0$, the unbounded component of the resolvent set contains $\{0 < |z| < \varepsilon\}$;*
- ③ *there is $e \in X$ such that $T^n e \notin \overline{\text{span}}\{T^k e : k \neq n\}$ for all $n \in \mathbb{N}$.*

Then T has an almost invariant half-space.

Corollary (Androulakis, P., Tcaciuc, Troitsky, '09)

Every Donoghue shift has an almost invariant half-space.

Theorem (Marcoux, P., Radjavi)

Every polynomially compact on a reflexive Banach space has an almost invariant half-space.

Theorem (Marcoux, P., Radjavi)

Every polynomially compact operator on a reflexive Banach space has an almost invariant half-space.

Recall: $T \in L(X)$ is **triangularizable** if there is a chain \mathcal{C} of subspaces in X such that

- 1 \mathcal{C} is maximal;
- 2 every $Y \in \mathcal{C}$ is T -invariant.

Theorem (Marcoux, P., Radjavi)

Every polynomially compact on a reflexive Banach space has an almost invariant half-space.

Recall: $T \in L(X)$ is **triangularizable** if there is a chain \mathcal{C} of subspaces in X such that

- 1 \mathcal{C} is maximal;
- 2 every $Y \in \mathcal{C}$ is T -invariant.

Theorem (Marcoux, P., Radjavi)

Let $T \in L(X)$ be quas-nilpotent, triangularizable and injective. Then T has an almost invariant half-space.

If X is reflexive, injectivity is not needed.

Theorem (Marcoux, P., Radjavi)

Every polynomially compact on a reflexive Banach space has an almost invariant half-space.

Recall: $T \in L(X)$ is **triangularizable** if there is a chain \mathcal{C} of subspaces in X such that

- 1 \mathcal{C} is maximal;
- 2 every $Y \in \mathcal{C}$ is T -invariant.

Theorem (Marcoux, P., Radjavi)

Let $T \in L(X)$ be quasinilpotent, triangularizable and injective. Then T has an almost invariant half-space.

If X is reflexive, injectivity is not needed.

This shows in particular: For the class of quasinilpotent operators on the reflexive spaces, the problem of existence of almost invariant half-spaces is a *weakening* of the Invariant Subspace Problem.

Question: Does every triangularizable operator have almost invariant half-spaces?

Question: Does every triangularizable operator have almost invariant half-spaces?

Definition

$T \in \mathcal{B}(\mathcal{H})$ is **triangular** if the matrix of T is upper-triangular with respect to some ONB $(e_n)_{n=1}^{\infty}$.

Question: Does every triangularizable operator have almost invariant half-spaces?

Definition

$T \in \mathcal{B}(\mathcal{H})$ is **triangular** if the matrix of T is upper-triangular with respect to some ONB $(e_n)_{n=1}^{\infty}$.

Definition

$T \in \mathcal{B}(\mathcal{H})$ is **bitriangular** if both T and T^* are triangular, perhaps with respect to different bases.

Question: Does every triangularizable operator have almost invariant half-spaces?

Definition

$T \in \mathcal{B}(\mathcal{H})$ is **triangular** if the matrix of T is upper-triangular with respect to some ONB $(e_n)_{n=1}^\infty$.

Definition

$T \in \mathcal{B}(\mathcal{H})$ is **bitriangular** if both T and T^* are triangular, perhaps with respect to different bases.

Davidson, Herrero ('90): T is bitriangular $\iff T$ is quasisimilar to its Jordan form $J(T)$,

$$J(T) = \bigoplus_{n \geq 1} \left(\bigoplus_{k \geq 1} (\lambda_n I_k + J_k)^{\alpha_{n,k}} \right), \quad \text{where } (\lambda_n)_{n \geq 1} = \sigma_p(T).$$

Theorem (Marcoux, P., Radjavi)

If $T \in \mathcal{B}(\mathcal{H})$ is bitriangular then either $T = \lambda I + F$ with $F \in \mathcal{F}(\mathcal{H})$ or T has a hyperinvariant half-space. In both cases, T admits an invariant half-space.

Let $\mathcal{A} \subseteq L(X)$ be an algebra.

Let $\mathcal{A} \subseteq L(X)$ be an algebra.

Definition

A subspace $Y \subseteq X$ is \mathcal{A} -**almost invariant** if for any $T \in \mathcal{A}$ there is F_T with $\dim F_T < \infty$ such that $TY \subseteq Y + F_T$.

Let $\mathcal{A} \subseteq L(X)$ be an algebra.

Definition

A subspace $Y \subseteq X$ is \mathcal{A} -**almost invariant** if for any $T \in \mathcal{A}$ there is F_T with $\dim F_T < \infty$ such that $TY \subseteq Y + F_T$. Minimal dimension of F_T is called the **defect** of Y for T .

Let $\mathcal{A} \subseteq L(X)$ be an algebra.

Definition

A subspace $Y \subseteq X$ is \mathcal{A} -**almost invariant** if for any $T \in \mathcal{A}$ there is F_T with $\dim F_T < \infty$ such that $TY \subseteq Y + F_T$. Minimal dimension of F_T is called the **defect** of Y for T .

Theorem (P., '10)

Let \mathcal{A} be norm closed. The defects for a (fixed) \mathcal{A} -almost invariant half-space corresponding to different $T \in \mathcal{A}$ are uniformly bounded.

Let $\mathcal{A} \subseteq L(X)$ be an algebra.

Definition

A subspace $Y \subseteq X$ is \mathcal{A} -**almost invariant** if for any $T \in \mathcal{A}$ there is F_T with $\dim F_T < \infty$ such that $TY \subseteq Y + F_T$. Minimal dimension of F_T is called the **defect** of Y for T .

Theorem (P., '10)

Let \mathcal{A} be norm closed. The defects for a (fixed) \mathcal{A} -almost invariant half-space corresponding to different $T \in \mathcal{A}$ are uniformly bounded.

Theorem (P., '10)

Let \mathcal{A} be norm-closed, finitely generated, commutative. If \mathcal{A} has an almost invariant half-space then \mathcal{A} has an invariant half-space.

Theorem (Marcoux, P., Radjavi)

Let $\mathcal{A} \subseteq L(X)$ be norm-closed. If \mathcal{A} has an almost invariant half-space that is complemented in X then \mathcal{A} has an invariant half-space.

In Hilbert space:

Recall: A subspace $Y \subseteq \mathcal{H}$ is **reducing** for $T \in \mathcal{B}(\mathcal{H})$ if Y is invariant of both T and T^* .

In Hilbert space:

Recall: A subspace $Y \subseteq \mathcal{H}$ is **reducing** for $T \in \mathcal{B}(\mathcal{H})$ if Y is invariant of both T and T^* .

Definition

A subspace $Y \subseteq \mathcal{H}$ is **T -almost reducing** if Y is almost invariant for both T and T^* .

In Hilbert space:

Recall: A subspace $Y \subseteq \mathcal{H}$ is **reducing** for $T \in \mathcal{B}(\mathcal{H})$ if Y is invariant of both T and T^* .

Definition

A subspace $Y \subseteq \mathcal{H}$ is ***T-almost reducing*** if Y is almost invariant for both T and T^* .

Example (Marcoux, P., Radjavi)

There exists an operator $T \in \mathcal{B}(\mathcal{H})$ without reducing subspaces such that the norm-closed algebra $\mathcal{A}(T)$ generated by T has plenty of almost reducing half-spaces.

Question: Suppose T has many almost invariant subspaces. What can we say about T ?

Question: Suppose T has many almost invariant subspaces. What can we say about T ?

Johnson, Parrott ('72): If $TP - PT \in \mathcal{K}(\mathcal{H})$ for every projection P in a masa then $T = D + K$ for some D in the masa and $K \in \mathcal{K}(\mathcal{H})$.

Question: Suppose T has many almost invariant subspaces. What can we say about T ?

Johnson, Parrott ('72): If $TP - PT \in \mathcal{K}(\mathcal{H})$ for every projection P in a masa then $T = D + K$ for some D in the masa and $K \in \mathcal{K}(\mathcal{H})$.

Theorem (Marcoux, P., Radjavi)

Let \mathcal{M} be a masa and $T \in \mathcal{B}(\mathcal{H})$ be such that $TP - PT \in \mathcal{F}(\mathcal{H})$ for all projections $P \in \mathcal{M}$. Then $T = D + F$ for some $D \in \mathcal{M}$ and $F \in \mathcal{F}(\mathcal{H})$.

Question: Suppose T has many almost invariant subspaces. What can we say about T ?

Johnson, Parrott ('72): If $TP - PT \in \mathcal{K}(\mathcal{H})$ for every projection P in a masa then $T = D + K$ for some D in the masa and $K \in \mathcal{K}(\mathcal{H})$.

Theorem (Marcoux, P., Radjavi)

Let \mathcal{M} be a masa and $T \in \mathcal{B}(\mathcal{H})$ be such that $TP - PT \in \mathcal{F}(\mathcal{H})$ for all projections $P \in \mathcal{M}$. Then $T = D + F$ for some $D \in \mathcal{M}$ and $F \in \mathcal{F}(\mathcal{H})$.

Corollary (Marcoux, P., Radjavi)

If $T \in \mathcal{B}(\mathcal{H})$ is such that every half-space in \mathcal{H} is T -almost invariant then $T = \lambda I + F$ where $F \in \mathcal{F}(\mathcal{H})$.