

Geometrizing Characters of Tori

David Roe
Clifton Cunningham

Department of Mathematics
University of Calgary/PIMS

Alberta Number Theory Days 2013

Outline

- 1 Introduction
- 2 Character Sheaves
- 3 Greenberg of Néron
- 4 Applications

Objective

K – a finite extension of \mathbb{Q}_p ,

\mathbf{T} – an algebraic torus over K (e.g. \mathbb{G}_m),

ℓ – a prime different from p .

Goal

Construct “geometric avatars” for characters in

$$\mathrm{Hom}(\mathbf{T}(K), \overline{\mathbb{Q}}_\ell^\times) :$$

sheaves on some space functorially associated to \mathbf{T} .

- Try to push characters forward along maps such as $\mathbf{T} \hookrightarrow \mathbf{G}$;
- Deligne-Lusztig representations \implies character sheaves;
- Give a new perspective on class field theory.

Approach

- 1 Generalize the notion of character sheaf from connected, commutative algebraic group to commutative group schemes locally of finite type.
- 2 Associate to \mathbf{T} a projective system \mathfrak{T} of commutative group schemes \mathfrak{T}_d over the residue field k of K .
- 3 Map from character sheaves on \mathfrak{T} to characters on $T(K)$.

Character Sheaves (G connected)

Two definitions of character sheaves for a connected (commutative) algebraic groups G over k :

Definition

- An ℓ -adic local system is a constructible sheaf of $\overline{\mathbb{Q}}_\ell$ -vector spaces on the étale site of G that becomes trivial after pulling back along a finite étale map $H \rightarrow G$.
- A *geometric character sheaf* on G is an ℓ -adic local system \mathcal{E}° on G equipped with an isomorphism $m^*\mathcal{E}^\circ \cong \mathcal{E}^\circ \boxtimes \mathcal{E}^\circ$, where $m: G \times G \rightarrow G$ is multiplication.

Character Sheaves 2 (G connected)

Definition

Alternatively, a character sheaf on G is a short exact sequence

$$1 \rightarrow A \rightarrow H \rightarrow G \rightarrow 1$$

together with a character $A \rightarrow \overline{\mathbb{Q}}_\ell^\times$, so that

- 1 $H \rightarrow G$ is a finite étale cover,
- 2 Fr_q acts trivially on A .

Rationality of character sheaves

Base change to \bar{k} yields a pair $(\bar{\mathcal{E}}^\circ, \text{Fr}_{\mathcal{E}^\circ})$, where $\bar{\mathcal{E}}^\circ$ is a character sheaf on \bar{G} and $\text{Fr}_{\mathcal{E}^\circ} : \text{Fr}_q^* \bar{\mathcal{E}}^\circ \xrightarrow{\sim} \bar{\mathcal{E}}^\circ$.

Proposition

In general this functor is faithful; when G is connected, base change defines an equivalence of categories

$$\left\{ \begin{array}{c} \text{character sheaves} \\ \text{on } G \end{array} \right\} \rightarrow \left\{ \text{pairs } (\bar{\mathcal{E}}^\circ, \text{Fr}_{\mathcal{E}^\circ}) \right\}$$

Characters in the connected case

- Suppose $(\overline{\mathcal{E}}^\circ, \text{Fr}_{\mathcal{E}^\circ})$ is a character sheaf on G . Define a character $\chi_{\mathcal{E}^\circ}^\circ$ of $G(k)$ by

$$\chi_{\mathcal{E}^\circ}^\circ(x) = \text{Tr}(\text{Fr}_{\mathcal{E}^\circ}, \overline{\mathcal{E}}_x^\circ)$$

for $x \in G(k)$.

- Suppose χ is a character of $G(k)$. Define a character sheaf on G using the Lang isogeny $L(x) = x^{-1} \text{Fr}_q(x)$,

$$1 \rightarrow G(k) \rightarrow G \xrightarrow{L} G \rightarrow 1,$$

together with the character χ of $G(k)$.

Theorem (Deligne, SGA 4.5)

The maps defined above are mutually inverse isomorphisms between character sheaves on G and $\text{Hom}(G(k), \overline{\mathbb{Q}}_\ell^\times)$.

Character Sheaves (G non-connected)

Definition

A character sheaf on G is a triple $\mathcal{E} = (\bar{\mathcal{E}}, \mu, F)$, where

- 1 $\bar{\mathcal{E}}$ is a constructible ℓ -adic sheaf on \bar{G} , locally constant of rank 1 on each connected component;
- 2 $\mu : m^* \bar{\mathcal{E}} \rightarrow \bar{\mathcal{E}} \boxtimes \bar{\mathcal{E}}$ is an isomorphism of sheaves on $\bar{G} \times \bar{G}$;
- 3 $F : \text{Fr}_G^* \bar{\mathcal{E}} \rightarrow \bar{\mathcal{E}}$ is an isomorphism of sheaves on \bar{G} .

μ and F are required to satisfy various compatibility diagrams.

We write $\mathcal{CS}(G)$ for the category of character sheaves on G .

Trace of Frobenius

Write $G(k)^*$ for $\text{Hom}(G(k), \overline{\mathbb{Q}}_\ell^\times)$. For any G , trace of Frobenius defines a map

$$\mathcal{CS}(G)_{/iso} \rightarrow G(k)^*.$$

Pullback then gives a diagram

$$\begin{array}{ccccccc}
 1 & \longrightarrow & \mathcal{CS}(G/G^\circ)_{/iso} & \longrightarrow & \mathcal{CS}(G)_{/iso} & \longrightarrow & \mathcal{CS}(G^\circ)_{/iso} \\
 & & \downarrow & & \downarrow & & \downarrow \\
 1 & \longrightarrow & (G/G^\circ)(k)^* & \longrightarrow & G(k)^* & \longrightarrow & G^\circ(k)^* \longrightarrow 1
 \end{array}$$

Character Sheaves 2 (G non-connected)

In the non-connected case, not every character sheaf can be realized in the second manner.

Definition

A *bounded character sheaf* on G is a short exact sequence

$$1 \rightarrow A \rightarrow H \rightarrow G \rightarrow 1$$

together with a character $A \rightarrow \overline{\mathbb{Q}}_\ell^\times$, so that

- 1 $H \rightarrow G$ is a finite étale cover, inducing an isomorphism on component groups
- 2 Fr_q acts trivially on A .

Extending character sheaves

Theorem

Every character sheaf on G° extends to a (bounded) character sheaf on G .

Proof.

Suppose that $1 \rightarrow A \rightarrow H \rightarrow G \rightarrow 1$ and $\chi: A \rightarrow \overline{\mathbb{Q}}_\ell^\times$ defines a bounded character sheaf. Suppose that $\text{Gal}(\bar{k}/k)$ acts on H and G through the finite quotient Γ . Restriction to $H^\circ \rightarrow G^\circ$ then defines a character sheaf on G° .

Extending character sheaves

Proof.

On extension classes, this map is the first in

$$\mathrm{Ext}_{\mathbb{Z}[\Gamma]}^1(G, A) \rightarrow \mathrm{Ext}_{\mathbb{Z}[\Gamma]}^1(G^\circ, A) \rightarrow \mathrm{Ext}_{\mathbb{Z}[\Gamma]}^2(G/G^\circ, A).$$

Since $\mathbb{Z}[\Gamma]$ is a product of Dedekind domains it has cohomological dimension 1 and thus $\mathrm{Ext}_{\mathbb{Z}[\Gamma]}^2(G/G^\circ, A)$ vanishes. So $\mathrm{Ext}_{\mathbb{Z}[\Gamma]}^1(G, A) \rightarrow \mathrm{Ext}_{\mathbb{Z}[\Gamma]}^1(G^\circ, A)$ is surjective. □

Character Sheaves (G étale)

- The category of étale group schemes is equivalent to the category of groups with with Galois action.
- A character sheaf on an étale group scheme G is a collection of 1-dimensional $\overline{\mathbb{Q}}_\ell$ -vectors spaces \mathcal{E}_x for $x \in G(\bar{k})$ together with $F_x : \mathcal{E}_{\text{Fr}(x)} \xrightarrow{\sim} \mathcal{E}_x$ and $\mu_{x,y} : \mathcal{E}_x \otimes \mathcal{E}_y \xrightarrow{\sim} \mathcal{E}_{x+y}$.

Proposition

Suppose that G is an étale commutative group scheme and $G(\bar{k})$ is finitely generated. Then there is a canonical isomorphism

$$\mathcal{CS}(G)_{/iso} \cong H^1(W_k, G(\bar{k})^*).$$

Trace of Frobenius Diagram

$$\begin{array}{ccccccc} & & & & & & 1 \\ & & & & & & \downarrow \\ 1 & \longrightarrow & \mathcal{CS}(G/G^\circ)_{/iso} & \longrightarrow & \mathcal{CS}(G)_{/iso} & \longrightarrow & \mathcal{CS}(G^\circ)_{/iso} \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & (G/G^\circ)(k)^* & \longrightarrow & G(k)^* & \longrightarrow & G^\circ(k)^* \longrightarrow 1 \\ & & & & & & \downarrow \\ & & & & & & 1 \end{array}$$

Trace of Frobenius (G étale)

Theorem

If G is an étale, commutative group scheme with $G(\bar{k})$ finitely generated then trace of Frobenius is an isomorphism.

Corollary

If G is a commutative group scheme with finitely generated component group then trace of Frobenius gives an isomorphism

$$\mathcal{CS}(G)_{/iso} \cong G(k)^*.$$

Surjectivity (G étale)

Proof.

Since $\overline{\mathbb{Q}}_\ell^\times$ is divisible it is injective as a \mathbb{Z} -module and thus $\text{Ext}_{\mathbb{Z}}^1(G(\bar{k})/G(k), \overline{\mathbb{Q}}_\ell^\times) = 0$ so restriction

$$G(\bar{k})^* \rightarrow G(k)^*$$

is surjective. The map $\mathcal{CS}(G)_{/iso} \cong H^1(W_k, G(\bar{k})^*) \rightarrow G(k)^*$ is given by evaluation at Frobenius and restriction. □

Injectivity (G étale)

Proof.

Suppose \mathcal{E} is in the kernel of trace-of-Frobenius, and suppose $\phi \in G(\bar{k})^*$ is the image of Frobenius under a corresponding cocycle. By assumption $\phi(x) = 1$ for $x \in G(k)$; it suffices to construct $\psi \in G(\bar{k})^*$ with $\phi(x) = \frac{\psi(x)}{\psi(\text{Fr}(x))}$ for all $x \in G(\bar{k})$.

Again, let $\text{Gal}(\bar{k}/k)$ act through the finite quotient Γ . Since $\mathbb{Z}[\Gamma]$ is a product of Dedekind domains, any $\mathbb{Z}[\Gamma]$ -module decomposes as the direct sum of cyclic modules (generated by one element), so we may assume that $G(\bar{k})$ is a cyclic $\mathbb{Z}[\Gamma]$ -module with generator y , isomorphic to $\mathbb{Z}[\Gamma]/I$.

Injectivity (G étale)

Proof.

Suppose $I = (\text{Fr}^d - a_{d-1} \text{Fr}^{d-1} - \dots - a_0)$. Choose $\alpha \in \overline{\mathbb{Q}}_\ell^\times$ with

$$\phi(\text{Fr}^{d-1}(y)) = \frac{\prod_{i=0}^{d-1} \left(\alpha \prod_{j=0}^{i-1} \phi(\text{Fr}^j(y)) \right)^{a_i}}{\alpha \prod_{j=0}^{d-2} \phi(\text{Fr}^j(y))}$$

Define

$$\psi(\text{Fr}^i(y)) = \alpha \prod_{j=0}^{i-1} \phi(\text{Fr}^j(y))$$

for $0 \leq i < d$ and extend by linearity to all of $G(\bar{k})$. We have

$\phi(x) = \frac{\psi(x)}{\psi(\text{Fr}(x))}$ for $x = \text{Fr}^i(y)$ and thus combinations.

And if I is non-principal....



The Néron model of a torus

R – ring of integers of K with uniformizer π

R_d – $R/\pi^{d+1}R$

\mathbf{T}_R – The Néron model of \mathbf{T} : a separated, smooth commutative group scheme over R , locally of finite type with the Néron mapping property.

$$\mathbf{T}_R(R) = \mathbf{T}(K)$$

In the \mathbb{G}_m case the Néron model is a union of copies of \mathbb{G}_m/R , glued along the generic fiber.

\mathbf{T}_d – $\mathbf{T}_R \times_R R_d$.

Components

- The geometric component group of \mathbf{T}_R fits in a sequence

$$1 \rightarrow H^1(\mathcal{I}_K, X^*(\mathbf{T}))^* \rightarrow \pi_0(\mathbf{T}_R) \rightarrow \mathrm{Hom}(X^*(\mathbf{T})^{\mathcal{I}_K}, \mathbb{Z}) \rightarrow 1.$$

- $\pi_0(\mathbf{T}_R)$ is a constant group scheme after base change to the maximal unramified extension of K , but Frobenius may act nontrivially.
- The sequence of commutative R -group schemes

$$1 \rightarrow \mathbf{T}_R^\circ \rightarrow \mathbf{T}_R \rightarrow \pi_0(\mathbf{T}_R) \rightarrow 1.$$

The Greenberg functor

The Greenberg functor Gr takes a group scheme over an Artinian local ring A (locally of finite type) and produces a group scheme over the residue field k whose k points are canonically identified with the A -points of the original scheme. We set

$$\mathfrak{T}_d = \text{Gr}(\mathbf{T}_d)$$

and

$$\mathfrak{T} = \varprojlim \mathfrak{T}_d.$$

\mathfrak{T} is a commutative group scheme over k with

$$\mathfrak{T}(k) = \mathbf{T}(K).$$

Character sheaves on \mathfrak{T}

We write $\mathcal{CS}(\mathfrak{T})$ for the projective limit of the categories $\mathcal{CS}(\mathfrak{T}_d)$.

Theorem

$$T(K)^* \cong \mathcal{CS}(\mathfrak{T})_{/iso}$$

and this isomorphism preserves depth.

Local class field theory

Suppose that L/K is a totally ramified abelian extension of local fields and we're given a character of $\text{Gal}(L/K)$. The Artin reciprocity map gives a character of K^\times vanishing on $\text{Nm}_{L/K}(L^\times)$. We'd like to give a different description of this map, passing through character sheaves. Let $\mathbf{T} = \mathbb{G}_m$ and \mathfrak{T} the Greenberg transform of \mathbf{T}_R .

An Isogeny

U_K – the connected Néron model of \mathbb{G}_m .

U_L – the connected Néron model of $\text{Res}_{L/K} \mathbb{G}_m$.

H – the kernel of $\text{Nm}_{L/K}: U_L \rightarrow U_K$.

H_0 – the subgroup of H generated by $\frac{\sigma(u)}{u}$ for $\sigma \in \text{Gal}(L/K)$ and $u \in U_L$.

$$\begin{array}{ccccccc}
 & & H_0 & & H_0 & & \\
 & & \downarrow & & \downarrow & & \\
 1 & \longrightarrow & H & \longrightarrow & U_L & \longrightarrow & U_K \longrightarrow 1 \\
 & & \downarrow & & \downarrow & & \parallel \\
 1 & \longrightarrow & H/H_0 & \longrightarrow & U_L/H_0 & \longrightarrow & U_K \longrightarrow 1
 \end{array}$$

A Character of \mathcal{O}_K^\times

The Greenberg transform is exact on commutative algebraic groups, so we get a finite étale cover of \mathfrak{T}° . Write \mathfrak{T}_L° for the Greenberg transform of U_L/H_0 , and note that $H/H_0 \cong \text{Gal}(L/K)$. Then the sequence

$$1 \rightarrow \text{Gal}(L/K) \rightarrow \mathfrak{T}_L^\circ \rightarrow \mathfrak{T}^\circ \rightarrow 1,$$

together with a character of $\text{Gal}(L/K)$, yields a character sheaf on \mathfrak{T}° . From this character sheaf, we can recover a character of \mathcal{O}_K^\times .

Local Langlands

\mathbf{G} – connected quasi-split reductive group over K

E – splitting field of \mathbf{G}

$\hat{\mathbf{G}}$ – dual group over $\overline{\mathbb{Q}}_\ell$

${}^L\mathbf{G}$ – $\hat{\mathbf{G}} \rtimes \text{Gal}(E/K)$

φ – a tame discrete Langlands parameter $W_K \rightarrow {}^L\mathbf{G}$

A construction of DeBacker and Reeder produces from φ an unramified anisotropic torus \mathbf{T} in \mathbf{G} and a depth 0 character χ of $\mathbf{T}(K)$. They then describe supercuspidal representations of $\mathbf{G}(K)$ as compact inductions of Deligne-Lusztig representations determined by \mathbf{T} and χ .

Geometrizing Local Langlands

In contrast to the Néron model of \mathbf{T} , there's no canonical integral model of \mathbf{G} . Instead there are many models, parameterized by the Bruhat-Tits building of \mathbf{G} . We hope to obtain “representation sheaves” on the Greenberg transforms of these models from character sheaves on \mathfrak{T} by an analogue of Lusztig induction. Ideally, this process would allow

- the generalization of DeBacker and Reeder's methods beyond the depth 0 case,
- better understanding of the functoriality of the local Langlands correspondence,
- new descriptions of L-packets.

Clifton and I are currently pursuing these questions.

Thank you.