

# Restricted Dualities and First-Order Definable Colorings

Jaroslav NEŠETŘIL

Patrice OSSONA DE MENDEZ

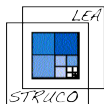
Charles University  
Praha, Czech Republic

LIA STRUCO

CAMS, CNRS/EHESSE  
Paris, France

Algebraic and Model Theoretical Methods in Constraint  
Satisfaction

— BIRS — November 2014 —



# Outline

## Problem

Given a fixed class  $\mathcal{C}$  of relational structures, determine which  $H$ -coloring problems are first-order definable in  $\mathcal{C}$ .

$$\exists \phi_H \quad \forall G \in \mathcal{C} : (G \models \phi_H) \iff (G \rightarrow H)$$



# Outline

## Problem

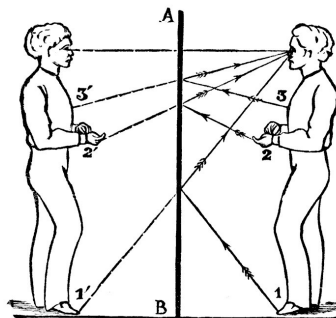
Given a fixed class  $\mathcal{C}$  of relational structures, determine which  $H$ -coloring problems are first-order definable in  $\mathcal{C}$ .

$$\exists \phi_H \quad \forall G \in \mathcal{C} : (G \models \phi_H) \iff (G \rightarrow H)$$

- $\mathcal{C}$  = all finite structures;
- smaller  $\mathcal{C}$ ;
- bigger  $\mathcal{C}$ .



# First-Order definable coloring and Duality



# Finite Structures

Theorem (Atserias '05; Rossman '08)

Every  $H$ -coloring which is first-order definable on finite structures corresponds to a finite duality.



# Finite Structures

## Theorem (Atserias '05; Rossman '08)

Every  $H$ -coloring which is first-order definable on finite structures corresponds to a finite duality.

**finite duality**: pair  $(\mathcal{F}, D)$  with  $\mathcal{F}$  finite, such that

$$\forall \text{ finite } G : \quad (\forall F \in \mathcal{F}, F \not\rightarrow G) \quad \iff \quad (G \rightarrow D).$$



# Finite Structures

## Theorem (Atserias '05; Rossman '08)

Every  $H$ -coloring which is first-order definable on finite structures corresponds to a finite duality.

**finite duality**: pair  $(\mathcal{F}, D)$  with  $\mathcal{F}$  finite, such that

$$\forall \text{ finite } G : \quad (\forall F \in \mathcal{F}, F \twoheadrightarrow G) \quad \iff \quad (G \rightarrow D).$$

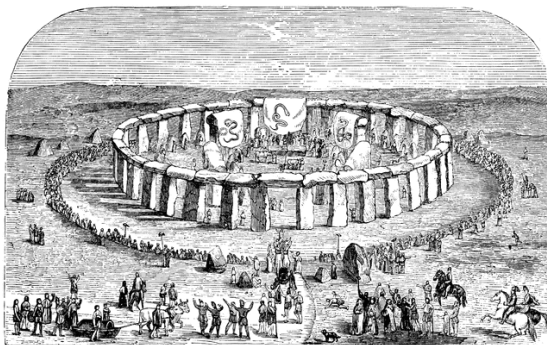
## Theorem (Larose, Loten, Tardif '07)

$H$ -coloring is first-order definable if and only if  $H$  has a retract whose square dismantles to its diagonal.

(Deciding whether  $H$ -coloring is first-order definable is NP-complete)



## Restricting the Class





## Smaller Classes (Trivial Case)

### Remark

If a class  $\mathcal{C}$  is **well quasi-ordered** by homomorphism order then every  $H$ -coloring problem on  $\mathcal{C}$  is first-order definable.

### Example

On the class of  **$m$ -partite cographs**, every hereditary property (for instance existence of a  $H$ -coloring) is first-order definable and can be tested in linear time.



# Smaller Classes (Monotone Case)

## Definition

Let  $\mathcal{C}$  be a class of graphs.

- For  $p \in \mathbb{N}$ ,  $\mathcal{C} \tilde{\nabla} p$  is the class of all depth  $p$  topological minors of  $G \in \mathcal{C}$ ;
- $\mathcal{C}$  is **nowhere dense** if

$$\forall p \quad \sup\{\omega(H) : H \in \mathcal{C} \tilde{\nabla} p\} < \infty;$$

$\mathcal{C}$  has **bounded expansion** if

$$\forall p \quad \sup\{\bar{d}(H) : H \in \mathcal{C} \tilde{\nabla} p\} < \infty;$$



# Nowhere Dense Classes

## Theorem (Adler, Adler '14)

Let  $\mathcal{C}$  be a monotone class of coloured digraphs of a fixed finite signature. The following conditions are equivalent.

1.  $\underline{\mathcal{C}}$  is **nowhere dense**;
2.  $\underline{\mathcal{C}}$  is superflat.
3.  $\mathcal{C}$  is stable.
4.  $\underline{\mathcal{C}}$  is stable.
5.  $\mathcal{C}$  is dependent.
6.  $\underline{\mathcal{C}}$  is dependent.



# Restricted dualities

## $\mathcal{C}$ -restricted duality

$$\begin{cases} \forall G \in \mathcal{C} : (\forall F \in \mathcal{F}, F \not\rightarrow G) \iff (G \rightarrow D) \\ \forall F \in \mathcal{F} : F \not\rightarrow D. \end{cases}$$

Example 1 (Naserasr):  $\forall$  **planar**  $G$



Example 2 (Thomassen):  $\forall$  **toroidal**  $G$



# Classes with all restricted dualities

## Definition

A class  $\mathcal{C}$  has *all restricted dualities* if every connected  $F$  has a dual  $D$  for  $\mathcal{C}$ :  $F \dashv D$  and

$$\forall G \in \mathcal{C}, \quad (F \dashv G) \iff (G \rightarrow D).$$



# Classes with all restricted dualities

## Definition

A class  $\mathcal{C}$  has *all restricted dualities* if every connected  $F$  has a dual  $D$  for  $\mathcal{C}$ :  $F \dashv D$  and

$$\forall G \in \mathcal{C}, \quad (F \dashv G) \iff (G \rightarrow D).$$

## Theorem (Nešetřil, POM)

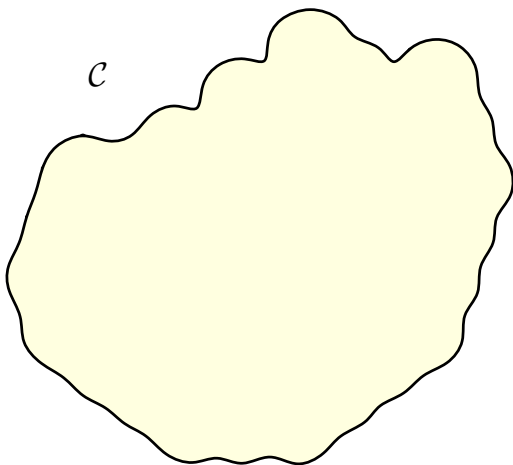
A **bounded** class  $\mathcal{C}$  has all restricted dualities if and only if

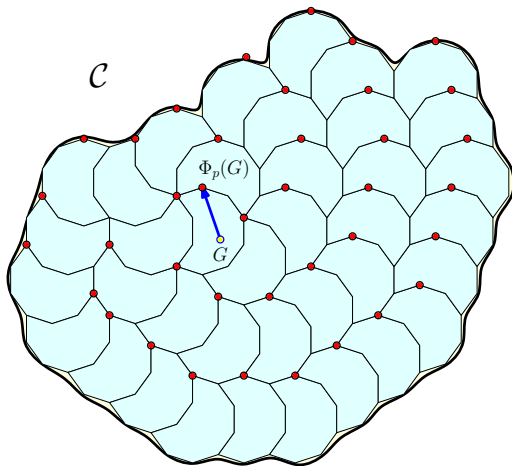
$\forall p \in \mathbb{N} \quad \forall G \in \mathcal{C} \quad \exists \Phi_p(G)$  such that:

1.  $|\Phi_p(G)| \leq F(\mathcal{C}, p)$ ;
2.  $G \rightarrow \Phi_p(G)$ ;
3.  $\forall F, |F| \leq p: \quad (F \rightarrow G) \iff (F \rightarrow \Phi_p(G))$ .

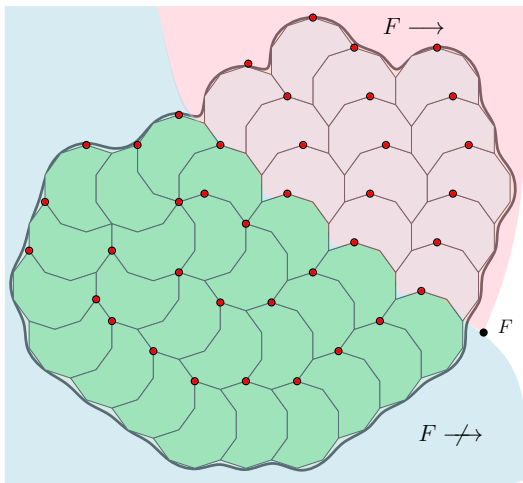


$\Phi_p \implies$  restricted dualities

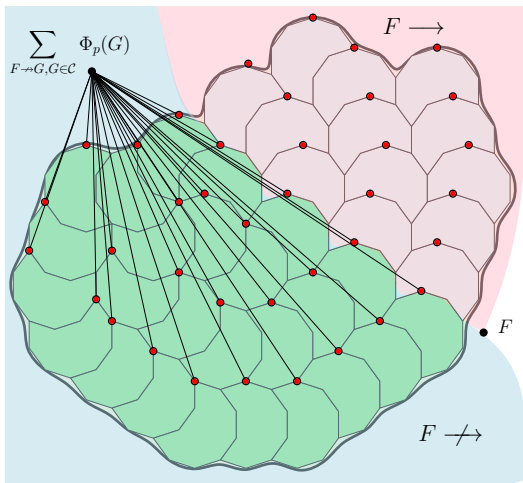


$\Phi_p \implies$  restricted dualities



$\Phi_p \implies$  restricted dualities

$\Phi_p \implies$  restricted dualities



# Classes with all restricted dualities

Theorem (Nešetřil, POM)

$\mathcal{C}$  has bounded expansion  $\implies \mathcal{C}$  has all restricted dualities.



# Classes with all restricted dualities

## Theorem (Nešetřil, POM)

$\mathcal{C}$  has bounded expansion  $\implies \mathcal{C}$  has all restricted dualities.



## Theorem (Nešetřil, POM)

Let  $\mathcal{C}$  be a class of directed graphs **closed under reorientations**.

$\mathcal{C}$  has all restricted dualities  $\iff \mathcal{C}$  has bounded expansion.

Let  $\mathcal{C}$  be a class of undirected graphs **closed under subdivisions**.

$\mathcal{C}$  has all restricted dualities  $\iff \mathcal{C}$  has bounded expansion.



# First order definable $H$ -coloring

$H$ -coloring is *first-order definable* on  $\mathcal{C}$  if there exists a first-order formula  $\varphi_H$  such that

$$\forall G \in \mathcal{C} \quad (G \models \varphi_H) \iff (G \rightarrow H).$$

## FO-BE Conjecture

Let  $\mathcal{C}$  be a hereditary addable class of graphs closed by subdivisions. The following are equivalent:

- there exists in  $\mathcal{C}$  *first-order* definable  $H$ -colorings for non bipartite  $H$  of arbitrarily large odd-girth;
- the class  $\mathcal{C}$  has *bounded expansion*.



## Reduction Step

- Reduction from first-order definable  $H$ -coloring to restricted duality by Rossman '08 or Dawar '10;
- Idea: if  $\mathcal{C}$  has not bounded expansion, for  $H$  with sufficiently large girth, find  $G \in \mathcal{C}$  such that

$$(\forall F \in \mathcal{F}) F \not\rightarrow G \quad \text{and} \quad G \not\rightarrow H.$$



# Reduction Step

- Reduction from first-order definable  $H$ -coloring to restricted duality by Rossman '08 or Dawar '10;
- Idea: if  $\mathcal{C}$  has not bounded expansion, for  $H$  with sufficiently large girth, find  $G \in \mathcal{C}$  such that

$$(\forall F \in \mathcal{F}) F \not\rightarrow G \quad \text{and} \quad G \rightarrow H.$$



## Conjecture

Let  $\mathcal{C}$  be a monotone **nowhere dense** class that does not have bounded expansion. Then there exists an integer  $p$  such that  $\mathcal{C}$  includes  $p$ -subdivisions of graphs with arbitrarily large chromatic number and girth.



# Two ways to the FO–BE conjecture...

Would follow (in a non-trivial way) from any of the two following conjectures:

## Erdős–Hajnal

$\forall c, g, \exists f(c, g)$  s.t.

$\chi(G) \geq f(c, g) \Rightarrow \exists H \subseteq G$

- $\chi(H) \geq c$ ;
- $\text{girth}(H) \geq g$ .

$g = 4$ : Rődl '77

## Thomassen

$\forall c, g, \exists f(c, g)$  s.t.

$\bar{d}(G) \geq f(c, g) \Rightarrow \exists H \subseteq G$

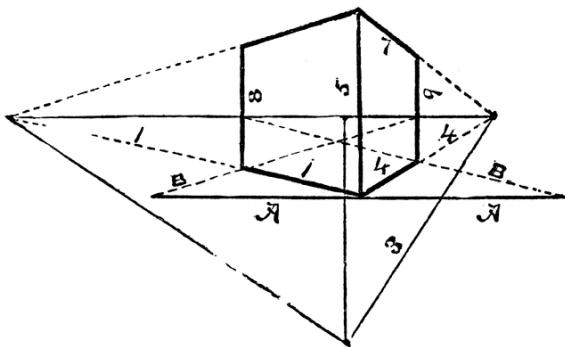
- $\bar{d}(H) \geq c$ ;
- $\text{girth}(H) \geq g$ .

$g = 6$ : Kuhn and Osthus '04

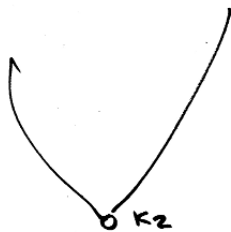




## Extending the Class

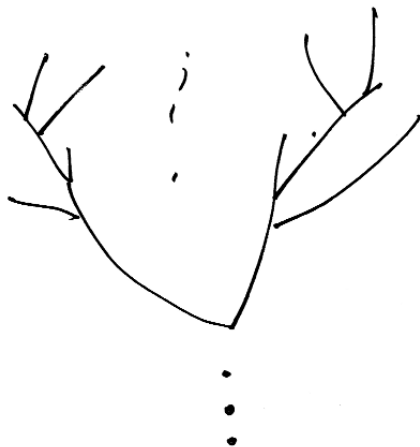


# Homomorphism Order



$o$   
 $k_1$

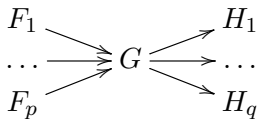
UNDIRECTED



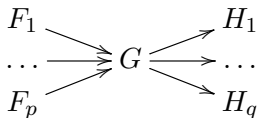
DIRECTED



# Homomorphism Order and Distance



# Homomorphism Order and Distance

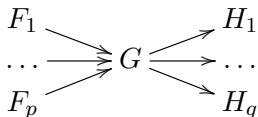


$$(\rightarrow G) = \{F : F \rightarrow G\}$$

$$(G \rightarrow) = \{H : G \rightarrow H\}$$



# Homomorphism Order and Distance



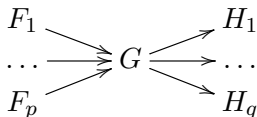
$$(\rightarrow G) = \{F : F \rightarrow G\}$$

$$(G \rightarrow) = \{H : G \rightarrow H\}$$

$$G \leq H \iff (\rightarrow G) \subseteq (\rightarrow H) \text{ and } (G \rightarrow) \supseteq (H \rightarrow).$$



# Homomorphism Order and Distance



$$(\rightarrow G) = \{F : F \rightarrow G\}$$

$$(G \rightarrow) = \{H : G \rightarrow H\}$$

$$G \leq H \iff (\rightarrow G) \subseteq (\rightarrow H) \text{ and } (G \rightarrow) \supseteq (H \rightarrow).$$

$$\text{dist}(A, B) = 2^{-\min\{|F| : F \in (\rightarrow A) \Delta (\rightarrow B) \cup (A \rightarrow) \Delta (B \rightarrow)\}}$$



# Limits

Consider the completion of the homomorphism order.

## Theorem (Nešetřil, POM)

Objects  $\mathbb{L}$  are the pairs  $(A, B)$ , where

- $A$  is an **ideal**;
- $B$  is a **filter**;
- $\forall (G, H) \in A \times B$ , it holds  $G \rightarrow H$ ;
- if  $T$  is a tree and  $T \rightarrow H$  holds for all  $H \in B$  then  $T \in A$ .



# Limits

Consider the completion of the homomorphism order.

## Theorem (Nešetřil, POM)

Objects  $\mathbb{L}$  are the pairs  $(A, B)$ , where

- $A$  is an **ideal**;
- $B$  is a **filter**;
- $\forall (G, H) \in A \times B$ , it holds  $G \rightarrow H$ ;
- if  $T$  is a tree and  $T \rightarrow H$  holds for all  $H \in B$  then  $T \in A$ .



We note  $(\rightarrow \mathbb{L}) = A$  and  $(\mathbb{L} \rightarrow) = B$ .





# Full Duality

$$\mathbb{A} \leq \mathbb{B} \iff (\rightarrow \mathbb{A}) \subseteq (\rightarrow \mathbb{B}) \text{ and } (\mathbb{A} \rightarrow) \supseteq (\mathbb{B} \rightarrow).$$

## Definition

A **full duality** is a pair  $(\mathbb{F}, \mathbb{D})$  such that  $\forall \mathbb{L}$  it holds

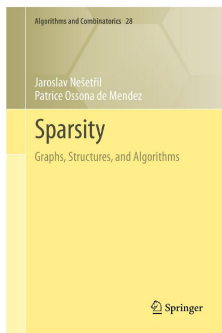
$$\mathbb{F} \not\leq \mathbb{L} \iff \mathbb{L} \leq \mathbb{D},$$

## Theorem (Nešetřil, POM)

Every **connected finite** structure has a full dual,  
 every **multiplicative finite** structure is a full dual,  
 and these are the only full dualities.



# Conclusion





Thank you for your  
attention.

