

Twisted Calabi-Yau and Artin-Schelter regular properties for locally finite graded algebras

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BIRS — 9/15/2016

Joint work with Daniel Rogalski

- 1 Noncommutative polynomial algebras: two candidates
- 2 Basics of twisted Calabi-Yau algebras
- 3 Locally finite algebras
- 4 “Generalized AS regular” versus twisted CY algebras
- 5 Twisted CY algebras in dimensions 1 and 2

Noncommutative polynomial algebras

What kind of noncommutative graded algebras A deserve to be viewed as “noncommutative polynomials”? ($k =$ an arbitrary field.)

Notes: (1) We allow $\text{GKdim}(A) = \infty$.

(2) Our graded algebras are all \mathbb{N} -graded: $A = \bigoplus_{n=0}^{\infty} A_n$.

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- 1 Artin-Schelter regular algebras
- 2 Graded twisted Calabi-Yau algebras

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Q: How do these compare?

- Same if A is **connected**: $A_0 = k$.
- **Today's talk:** What happens when A is **not connected**?

Non-connected algebras: an apology

Why should we care about non-connected algebras?

“Intrinsic” examples: Quivers algebras with relations kQ/I have nontrivial idempotents. (And their associated derived categories can be useful.)

“Extrinsic” examples: Twisted group algebras (or smash products) constructed from A can contain idempotents, even if A does not.

While nontrivial idempotents make these much less “like polynomials,” it’s still useful to understand when they are “homologically nice.”

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Preliminaries: the enveloping algebra

The **enveloping algebra** of A is $A^e = A \otimes A^{\text{op}}$. A left/right A^e -module M is the same as a k -central (A, A) -bimodule:

$$(a \otimes b^{\text{op}}) \cdot m = a \cdot m \cdot b = m \cdot (b \otimes a^{\text{op}})$$

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- Resolutions of (A, A) -bimodules \iff resolutions of A^e -modules

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Def: A is **homologically smooth** if A has a projective resolution in $A^e\text{-Mod}$ of finite length whose terms are finitely generated over A^e . (A is a **perfect** A^e -module.)

This implies finite global dimension.

Definition

(i) A is **twisted Calabi-Yau of dimension d** if it is homologically smooth and there is an invertible (A, A) -bimodule U such that, as A^e -modules,

$$\mathrm{Ext}_{A^e}^i(A, A^e) \cong \begin{cases} 0 & \text{if } i \neq d, \\ U & \text{if } i = d. \end{cases}$$

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(ii) [Ginzburg] A is **Calabi-Yau of dimension d** if it is twisted CY of dimension d with $U = A$.

The CY condition is “self-duality” of sorts: if $P_\bullet \rightarrow A \rightarrow 0$ is a projective A^e -resolution, then $\mathrm{Hom}_{A^e}(P_\bullet, A^e)$ is also a resolution of A .

(1) Calabi-Yau varieties: Coordinate rings of smooth affine Calabi-Yau varieties are CY algebras [Ginzburg]



Commutative examples of Calabi-Yau algebras

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We can also consider *graded* Calabi-Yau algebras: take the projective A^e -resolution and Ext isomorphism to be in the graded category.

(2) Graded commutative examples: just direct sums of $k[x_1, \dots, x_n]$.

We emphasize **(2)**: So graded Calabi-Yau algebras are “noncommutative polynomial rings.”

But so are the Artin-Schelter regular algebras. How do these compare?

Artin-Schelter regular algebras

The more standard notion of “noncommutative polynomial algebra.”

Def: A connected graded algebra A is **Artin-Schelter (AS) regular** of dimension d if A has global dimension $d < \infty$ and

$$\mathrm{Ext}_A^i(k, A) \cong \begin{cases} 0, & i \neq d, \\ k(\ell), & i = d \end{cases}$$

in $\mathrm{Mod}\text{-}A$, and similarly for $\mathrm{Ext}_{A^{\mathrm{op}}}^i(k, A)$. (We allow $\mathrm{GKdim}(A) = \infty$.)

Many examples already discussed at this conference!

How does this compare with the CY condition?

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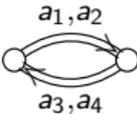
How does this compare with the CY condition?

Theorem [Yekutieli & Zhang], [R., Rogalski, Zhang]: A connected graded algebra is twisted CY- d if and only if it is AS regular of dimension d .

So **twisted** CY yields the expected “noncommutative polynomial algebras.”

Algebras from quivers and potentials

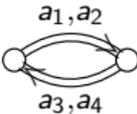
Quiver algebras: Quiver algebras with (twisted) superpotentials tend to give rise to (twisted) CY algebras.

Ex: [Bocklandt] For $Q =$  and the superpotential

$W = \sum \circlearrowleft (a_1 a_3 a_2 a_4 + a_1 a_4 a_2 a_3)$, the Jacobi algebra $B = \mathbb{C}Q/(\partial_a W)$ is Calabi-Yau of dimension 3.

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$$\partial_{a_1} W = 0 \quad \rightsquigarrow \quad a_3 a_2 a_4 = -a_4 a_2 a_3$$

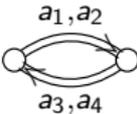
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Q: When is a superpotential “nice”? Hard in general, but answered for connected CY-3 algebras by [Mori & Smith], [Mori & Ueyama].

Direct and tensor products:

Theorem

Thm: *Let A_1 and A_2 be twisted Calabi-Yau algebras of dimension d_1 and d_2 , respectively.*

- *If $d_1 = d_2 = d$, then $A_1 \times A_2$ is twisted CY of dimension d .*
- *$A_1 \otimes A_2$ is twisted CY of dimension $d_1 + d_2$.*

Constructions preserving twisted CY property

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Extension of scalars and Morita equivalence:

Theorem

Thm: *Let A be twisted CY of dimension d .*

- *$A \otimes K$ is twisted CY- d for every field extension K/k .*
- *Every algebra Morita equivalent to A is twisted CY- d .*

An example with U nontrivial

How do we find examples with $U \neq {}^1A^\mu(I)$?

Ex: Set $B = k[x, y] \rtimes \mathbb{Z}_2$: twisted CY-2 with a Nakayama automorphism and $B_0 = ke_1 \oplus ke_2$ (here $\text{char}(k) \neq 2$).

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$\mathbb{M}_2(B)$ has $1 = f_1 + f_2 + f_3 + f_4$ for primitive idempotents

$$f_1 = \begin{pmatrix} e_1 & 0 \\ 0 & 0 \end{pmatrix}, f_2 = \begin{pmatrix} e_2 & 0 \\ 0 & 0 \end{pmatrix}, f_3 = \begin{pmatrix} 0 & 0 \\ 0 & e_1 \end{pmatrix}, f_4 = \begin{pmatrix} 0 & 0 \\ 0 & e_2 \end{pmatrix}$$

Set $e = f_1 + f_2 + f_3$ (full idempotent), then $A = e\mathbb{M}_2(B)e$ is Morita equivalent to A and thus is **twisted CY-2**.

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Have indecomposable decomposition as projective right modules

$$A_A \cong P \oplus P \oplus Q \quad \text{but} \quad U_A \cong P \oplus Q \oplus Q.$$

So U_A not free $\implies U \not\cong {}^1A^\mu(\ell)$.

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Working with locally finite algebras

We work in the setting of **locally finite** algebras: $A = \bigoplus A_n$ with all $\dim_k(A_n) < \infty$. So $A_0 =$ (arbitrary!) finite-dimensional algebra

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The “good” choice for graded Nakayama’s Lemma & minimal graded projective resolutions (after Minamoto & Mori):

Graded Jacobson radical: $J(A) = J(A_0) + A_{\geq 1}$.

We obtain a f.d. semisimple algebra $S = A/J(A) = A_0/J(A_0)$.

First problem: We’d like the f.d. algebra $B := A_0$ to be “well behaved.”

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Recall that twisted CY algebras must be homologically smooth.

Lemma: If A is homologically smooth, then so is A_0 .

Graded homologically smooth algebras

Lemma: If A is homologically smooth, then so is $B = A_0$.

How should we think about f.d. homologically smooth algebras?

Fact: If B is a f.d. algebra, then TFAE:

- 1 B is homologically smooth
- 2 $\text{pdim}({}_B B) < \infty$
- 3 B^e has finite global dimension
- 4 $B \otimes K$ has finite global dimension for every field extension K/k

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Reminiscent of **separable algebras** S , defined by the equivalent conditions:

- 1 S is projective as a left S^e -module
- 2 S^e is semisimple
- 3 $S \otimes K$ is semisimple for all field extensions K/k
- 4 $S \cong \prod_{i=1}^n \mathbb{M}_{n_i}(D_i)$ with all $Z(D_i)/k$ separable

For passage between A -modules and A^e -modules, it's important that A have $S = A/J(A) = A_0/J(A_0)$ separable. One example:

Lemma

If A is locally finite with S separable, then $\text{gl. dim}(A)$ is equal to

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Fortunately, this holds in our case. (Special thanks to MathOverflow!)

Theorem (Rickard)

If B is a finite-dimensional homologically smooth algebra, then $S = B/J(B)$ is separable.

In particular, A twisted CY $\implies S$ separable.

Dualities and graded socles

It's well known that twisted CY- d algebras satisfy Van den Bergh duality:

$$\mathrm{Ext}_{A^e}^i(A, M) \cong \mathrm{Tor}_{d-i}^{A^e}(A, U \otimes_A M)$$

for left A^e -modules M .

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One important consequence allows us to “homologically compute” the socle of a module:

Proposition: For A locally finite twisted CY- d and ${}_A M$ a graded module, there is an isomorphism of graded left S -modules

$$\mathrm{Tor}_d^A(S, M) \cong U^{-1} \otimes_A \mathrm{soc}(M).$$

Twisted CY algebras of dimension 0

Socle formula: $\mathrm{Tor}_d^A(S, M) \cong U^{-1} \otimes_A \mathrm{soc}(M)$

Taking the case $M = A$ yields:

Cor: If A is locally finite twisted CY- d , if $d > 1$ then $\mathrm{soc}(A) = 0$.

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Taking the case $M = A$ yields:

Cor: If A is locally finite twisted CY- d , if $d > 1$ then $\mathrm{soc}(A) = 0$.

For the $d = 0$ case we have:

Cor: For a (not necessarily graded) algebra A , TFAE:

- 1 A is (twisted) CY-0
- 2 A is twisted CY and is a finite-dimensional k -algebra
- 3 A is a separable k -algebra.

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Existing notions of generalized regularity

What should play the role of the AS regular property for non-connected algebras? There is precedent in the work of:

Martinez-Villa: $\text{gl. dim}(A) = d$, the functors $\text{Ext}_A^d(-, A)$ and $\text{Ext}_{A^{\text{op}}}^d(-, A)$ interchange graded simple modules, and other $\text{Ext}_A^i(S, A) = 0 = \text{Ext}_{A^{\text{op}}}^i(T, A)$.

Minamoto & Mori: $\text{gl. dim}(A) = d$ and there is a bimodule isomorphism:

$$\text{Ext}_A^i(A_0, A) \cong \begin{cases} 0, & i \neq d, \\ (A_0^*)^\sigma(\ell), & i = d. \end{cases}$$

Note: The Ext condition can be written as $\text{RHom}_A(A_0, A) \cong (A_0^*)^\sigma(\ell)[d]$.

Generalized regularity properties

For our purposes, we wish to allow a “twist” by a general invertible ${}_A U_A$.

Definitions: Let A be a locally finite graded algebra of (graded) global dimension $d < \infty$.

- (a) A is **MV-regular** if there is a bijection π from the iso-classes of graded simple left modules to the graded simple right modules with $\mathrm{RHom}_A(M, A) \cong \pi(M)[d]$ for all graded simple ${}_A M$.
- (b) A is **MM-regular** if $\mathrm{RHom}_A(A_0, A) \cong A_0^* \otimes_A U[d]$ as A^e -complexes for some invertible U .
- (c) A is **J-regular** if $\mathrm{RHom}_A(S, A) \cong S \otimes_A U[d]$ as A^e -complexes for some invertible U , where $S = A/J(A)$.

Equivalence of twisted CY and AS regular properties

These properties are exactly what we need to characterize twisted CY algebras:

Theorem

Let A be a locally finite graded algebra, and set $S = A/J(A)$. Then TFAE:

- 1 A is twisted Calabi-Yau of dimension d .
- 2 A is MV-regular of dimension d and S is separable.
- 3 A is MM-regular of dimension d and S is separable.
- 4 A is J -regular of dimension d and S is separable.

So the twisted CY property (involving *bimodules*) can be verified using one of these AS regular properties (involving *one-sided modules*).

AS regular properties for quivers with relations

Q: Suppose $A = kQ/I$ is a graded quotient for a connected quiver Q . How do these regularity properties translate?

Here $S = A_0 = ke_1 \oplus \cdots \oplus ke_n$, with non-iso. simple modules $S_i = ke_i$.

Lemma: For such A , every invertible ${}_A U_A$ is “boring”: $U = {}^1 A^\mu(\ell)$.

Such μ permutes the vertices $\{1, \dots, n\}$; call this permutation μ also.

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The regularity conditions (and equivalently, the twisted CY condition) amount to:

$$\mathrm{Ext}_A^i(S_j, A) \cong \begin{cases} S_{\mu(j)}(\ell), & i = d, \\ 0, & i \neq d, \end{cases}$$

for all the graded simple S_j , and similar condition as simple right modules.

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How to show an algebra is noetherian? The following is essentially part of “Cohen-type” arguments to establish that a ring is (left) noetherian.

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Proof: Suppose A weren't left noetherian. “Zornify” to obtain left ideal I maximal w.r.t. not being finitely generated. Then A/I is not finitely presented (Schanuel's Lemma). But every $J \supsetneq I$ finitely generated implies A/I is noetherian, a contradiction. \square

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But how? The “socle formula” $\mathrm{Tor}_d^A(S, M) \cong U^{-1} \otimes \mathrm{soc}(M)$ is handier than it might seem...

Fact: If M has minimal resolution $\cdots \rightarrow P_2 \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$, then each P_i is f.g. (or zero) if and only if $\mathrm{Tor}_i^A(S, M)$ is f.d. (or zero).

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Cor: If A is twisted CY- d and ${}_A M$ is graded noetherian, then the term P_d in the resolution above is finitely generated.

Proof: M is noetherian $\Rightarrow \mathrm{soc}(M)$ is f.d. $\Rightarrow \mathrm{Tor}_d^A(S, M)$ is f.d. □

Twisted CY-1 algebras

This is already enough for algebras of dimension 1:

Theorem: If A is locally finite twisted Calabi-Yau of dimension 1, then A is noetherian.

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Proof: If M is noetherian with minimal resolution $0 \rightarrow P_1 \rightarrow P_0 \rightarrow M$, we have P_1 f.g. by the “socle argument.” So M is finitely presented. \square

Twisted CY-1 algebras

This is already enough for algebras of dimension 1:

Theorem: If A is locally finite twisted Calabi-Yau of dimension 1, then A is noetherian.

Proof: If M is noetherian with minimal resolution $0 \rightarrow P_1 \rightarrow P_0 \rightarrow M$, we have P_1 f.g. by the “socle argument.” So M is finitely presented. \square

By the way, what do twisted CY-1 algebras look like?

Theorem: A locally finite graded algebra A is twisted Calabi-Yau of dimension 1 if and only if $A \cong T_S(V)$ is a tensor algebra, where S is a separable algebra and V is an invertible positively graded S^e -module.

But note that the noetherian result is proved *without* the structure theorem!

The noetherian argument in dimension 2

We don't expect *all* twisted CY-2 algebras to be noetherian:

Zhang: studied non-noetherian AS regular algebras A of dimension 2. He found that such A is noetherian $\iff \text{GKdim}(A) < \infty$

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We found that a similar result holds for twisted CY algebras:

Theorem

Let A be a locally finite twisted Calabi-Yau algebra of dimension 2. Then A is noetherian if and only if A has finite GK dimension.

Again, this is proved *without* first classifying the iso-types of A .

The noetherian argument in dimension 2

Theorem

Let A be a locally finite twisted Calabi-Yau algebra of dimension 2. Then A is noetherian if and only if A has finite GK dimension.

Idea of Proof: Suppose M is a graded noetherian A -module.

Projective resolution: $0 \rightarrow P_2 \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$.

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Open Q: If A above is not graded, must A still be noetherian?

Presentation of twisted CY-2 algebras

So what do twisted CY-2 algebras actually look like?

Zhang: AS regular algebras of dimension 2 are “free algebras in $n \geq 2$ indeterminates modulo twisted potentials.”

If A is a graded quotient of a quiver algebra kQ , obtain a similar description as follows.

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Denote:

- Vertex space: $(kQ)_0 = ke_1 \oplus \cdots \oplus ke_n$
- Arrow space: $V = (kQ)_1$; space of arrows $j \rightarrow i$ is $e_i Ve_j$

Required data:

- Permutation μ of $\{1, \dots, n\}$
- Linear automorphism τ of V such that $\tau(e_j Ve_i) = e_{\mu(i)} Ve_j$

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Theorem: Every twisted CY-2 algebra that is a graded quotient of kQ is of the form $A = A(Q, \tau)$, such that the incidence matrix M of Q has spectral radius $\rho(M) \geq 2$. ($\text{GKdim}(A) < \infty \iff \rho(M) = 2$.)

(The converse should hold, too.)

Thank you!