

Isogeny classes of rational squares of CM elliptic curves

Francesc Fité (UPC) and Xavier Guitart (UB)

BIRS, Banff, 31st May 2017.

A conjecture

- F is a number field.
- A/F is an abelian variety
- Call $\text{End}(A_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$ the *endomorphism algebra* of $A_{\overline{\mathbb{Q}}}$.
- For any $g, d \geq 1$, set

$$\mathcal{L}_{g,d} = \{ \text{End}(A_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q} \mid \dim(A) = g \text{ and } [F : \mathbb{Q}] = d \} / \simeq .$$

Conjecture

For every $g, d \geq 1$, the set $\mathcal{L}_{g,d}$ is finite.

(Attributed to Coleman; for example in a paper of Bruin-Flynn-González-Rotger.)

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An open question

Example: $g = d = 1$

$$\#\mathcal{L}_{1,1} = 10.$$

Indeed:

- $\text{End}(A_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$ is \mathbb{Q} if A does not have CM.
- If A/\mathbb{Q} has CM by M , then

$$\text{Cl}(M) \simeq \text{Gal}(H_M/M) \simeq \text{Gal}(K/M)$$

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What is the set $\mathcal{L}_{2,1}$?

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Endomorphism algebras of abelian surfaces

Let A be an abelian surface over \mathbb{Q} .

Dec. of $A_{\overline{\mathbb{Q}}}$	$\text{End}(A_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$	#Possibilities
$A_{\overline{\mathbb{Q}}}$ is simple	\mathbb{Q} real quad. field def. div. quat. alg./ \mathbb{Q} quartic CM field	1 ? ? 19 (Murabayashi-Umegaki)
$A_{\overline{\mathbb{Q}}} \sim E \times E'$ and $E \not\sim E'$	$\mathbb{Q} \times \mathbb{Q}$ $\mathbb{Q} \times M_1$, M_i quad. imag. $M_1 \times M_2$	1 9, since $\#\text{Cl}(M_i) = 1$ 36
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	def. div. quat. alg./ \mathbb{Q}	?
	quartic CM field	19 (Murabayashi-Umegaki)
$A_{\overline{\mathbb{Q}}} \sim E \times E'$ and $E \not\sim E'$	$\mathbb{Q} \times \mathbb{Q}$	1
	$\mathbb{Q} \times M_1, M_i$ quad. imag.	9, since $\#\text{Cl}(M_i) = 1$
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$A_{\overline{\mathbb{Q}}} \sim E^2$	$M_2(\mathbb{Q})$	1
	$M_2(M), M$ quad. imag.	?, since $\#\text{Cl}(M) = 1, 2, \dots$

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The goal of the talk is to find an upper bound for

$$N_2 = \#\{\text{ab. surf. } A/\mathbb{Q} \text{ such that } A_{\overline{\mathbb{Q}}} \sim E^2, \text{ where } E \text{ has CM}\} / \sim_{\overline{\mathbb{Q}}} .$$

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Actually, for any prime g , we will find an upper bound for

$$N_g = \#\{\text{ab. var. } A/\mathbb{Q} \text{ such that } A_{\overline{\mathbb{Q}}} \sim E^g, \text{ where } E \text{ has CM}\} / \sim_{\overline{\mathbb{Q}}} .$$

Main result

Theorem 1 (F.-Guitart)

Let A/\mathbb{Q} be an abelian variety of dimension $g \geq 1$ such that $A_{\overline{\mathbb{Q}}} \sim E^g$, where $E/\overline{\mathbb{Q}}$ is an elliptic curve with CM by M . Then:

- i) The class group $\text{Cl}(M)$ has exponent dividing g .
- ii) If moreover g is prime, then

$$\text{Cl}(M) = \begin{cases} 1, C_2, C_2 \times C_2 & \text{if } g = 2, \\ 1, C_g & \text{otherwise.} \end{cases}$$

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An upper bound

- Write:

$$\mathcal{M}^{g, \dots, g} := \{M \text{ quad. imag. field} \mid \text{Cl}(M) \simeq C_g \times \dots \times C_g\}.$$

- Theorem 1 implies:

$$N_2 \leq \#\mathcal{M}^1 + \#\mathcal{M}^2 + \#\mathcal{M}^{2,2} = 9 + 18 + 24 = 51.$$

$$N_g \leq \#\mathcal{M}^1 + \#\mathcal{M}^g, \quad \text{for } g \geq 3.$$

- On the other hand: $N_g \geq \#\mathcal{M}^1 + \#\mathcal{M}^g$ for $g \geq 2$.

Open question

Is $N_2 > 9 + 18$?

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$$A = \text{Res}_{\mathbb{Q}}^{\mathbb{Q}(j_E)}(E)$$

satisfies $\dim(A) = [\mathbb{Q}(j_E) : \mathbb{Q}] = \#\text{Cl}(M) = g$ and $A_{\mathbb{Q}} \sim E_{\mathbb{Q}}^g$.

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Proof of Theorem 1

Definition

Let B/F be an abelian variety. The minimal extension K/F over which

$$\text{End}(B_K) \simeq \text{End}(B_{\overline{\mathbb{Q}}})$$

is called the *endomorphism field of B* .

- K/F is finite and Galois.
- Recast of the setting of Theorem 1:
 - (H) A/\mathbb{Q} is an abelian variety of dimension $g \geq 1$ such that $A_K \sim E^g$, where E/K is an elliptic curve with CM by M .

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Theorem 2 (F.-Guitart)

Under (H), there exist a subextension $M \subseteq L \subseteq K$ and an elliptic curve E'/L such that:

- $E'_{\mathbb{Q}} \sim E_{\mathbb{Q}}$
- L/M is Galois and $\text{Gal}(L/M)$ has exponent dividing g .

- Part i) of Theorem 1 follows from Theorem 2

$$\text{Gal}(L/M) \rightarrow \text{Gal}(M(j_{E'})/M) \simeq \text{Gal}(H_M/M) \simeq \text{Cl}(M).$$

Theorem 3 ('from' Guralnick-Kedlaya)

Under (H), if g is prime, the maximal power of g dividing $\#\text{Gal}(K/M)$ is 2 if $g = 2$ and 1 if $g > 2$.

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A refined version of Theorem 1 for $g = 2$

Theorem 1* (F.-Guitart)

Let A/\mathbb{Q} be an abelian surface such that $A_{\overline{\mathbb{Q}}} \sim E^2$, where $E/\overline{\mathbb{Q}}$ is an elliptic curve with CM by M . Then, the set of possibilities for M provided that $\text{Gal}(K/M) \simeq G$ is contained in $\mathcal{M}(G)$, where

$\text{Gal}(K/M)$	$\mathcal{M}(\text{Gal}(K/M))$
C_1	\mathcal{M}^1
C_2	$\mathcal{M}^1 \cup \mathcal{M}^2$
C_3	\mathcal{M}^1
C_4	$\{\mathbb{Q}(\sqrt{-1}), \mathbb{Q}(\sqrt{-2})\} \cup \mathcal{M}^2$
C_6	$\{\mathbb{Q}(\sqrt{-3})\} \cup \mathcal{M}^2$
D_2	$\mathcal{M}^1 \cup \mathcal{M}^2 \cup \mathcal{M}^{2,2}$
D_3	$\mathcal{M}^1 \cup \mathcal{M}^2$
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D_6	$\{\mathbb{Q}(\sqrt{-3})\} \cup \mathcal{M}^2 \cup \mathcal{M}^{2,2}$
A_4	$\mathcal{M}^1 \setminus \{\mathbb{Q}(\sqrt{-7})\}$
S_4	$\{\mathbb{Q}(\sqrt{-2})\} \cup \mathcal{M}^2 \setminus \{\mathbb{Q}(\sqrt{-15}), \mathbb{Q}(\sqrt{-35}), \mathbb{Q}(\sqrt{-51}), \mathbb{Q}(\sqrt{-115})\}$

Proof of Theorem 2: abelian F -varieties

Definition (Ribet)

Let $B/\overline{\mathbb{Q}}$ be an abelian variety and F a number field.

We say that B is an (*abelian*) F -variety if for every $\sigma \in G_F$:

- 1 There exists an isogeny $\mu_\sigma: \sigma B \rightarrow B$,
- 2 For every $\varphi \in \text{End}(B)$, the following diagram commutes

$$\begin{array}{ccc} \sigma B & \xrightarrow{\mu_\sigma} & B \\ \downarrow \sigma\varphi & & \downarrow \varphi \\ \sigma B & \xrightarrow{\mu_\sigma} & B \end{array}$$

- If $\dim(B) = 1$, then B is called an (*elliptic*) F -curve.
- If $\dim(B) = 1$, observe that
 - ▶ If B does not have CM, then 2) is always satisfied.
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Let $B/\overline{\mathbb{Q}}$ be an abelian variety and F a number field.

We say that B is an (*abelian*) F -variety if for every $\sigma \in G_F$:

- 1 There exists an isogeny $\mu_\sigma: \sigma B \rightarrow B$,
- 2 For every $\varphi \in \text{End}(B)$, the following diagram commutes

$$\begin{array}{ccc} \sigma B & \xrightarrow{\mu_\sigma} & B \\ \downarrow \sigma\varphi & & \downarrow \varphi \\ \sigma B & \xrightarrow{\mu_\sigma} & B \end{array}$$

- If $\dim(B) = 1$, then B is called an (*elliptic*) F -curve.
- If $\dim(B) = 1$, observe that
 - ▶ If B does not have CM, then 2) is always satisfied.
 - ▶ If B has CM (by M), then 1) automatic and 2) amounts to $M \subseteq F$.

Weil's descent criterion

- Let B be a F -variety.
- We may assume B/K , where K is a number field.
- We may assume that K is a *field of complete definition* for B , i.e.:
 - ▶ K/F is finite and Galois,
 - ▶ All the isogenies μ_σ are defined over K .
- Set $G = \text{Gal}(K/F)$ and define

- Denote by $\gamma_B = [c_B] \in H^2(G, R^\times)$.

Weil's descent criterion (Ribet)

If $F \subseteq L \subseteq K$ is such that

$$\gamma_B \in \text{Ker}(H^2(G, R^\times) \xrightarrow{\text{res}} H^2(\text{Gal}(K/L), R^\times)),$$

then there exists B'/L such that $B'_\mathbb{Q} \sim B_\mathbb{Q}$.

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$$c_B: G \times G \rightarrow (\text{End}(B) \otimes \mathbb{Q})^\times$$
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Recall the setting of Theorem 2

Theorem 2 (F.-Guitart)

Let A/\mathbb{Q} be an abelian variety of dimension $g \geq 1$ such that:

- $A_K \sim E^g$
- E/K has CM by M .

Here, K the endomorphism field of A .

Then, there exists a subextension $M \subseteq L \subseteq K$ and an elliptic curve E'/L such that:

- $E'_{\mathbb{Q}} \sim E_{\mathbb{Q}}$,
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- Key observation:
 E is an M -curve and K is a field of complete definition for E .

$$\forall \sigma \in G_M: \quad {}^{\sigma}E^g \sim {}^{\sigma}A_K \sim A_K \sim E^g \quad \rightsquigarrow \quad \mu_{\sigma}: {}^{\sigma}E \rightarrow E.$$

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Sketch of proof of Theorem 2

It follows 'Ribet's strategy':

- One shows that $\gamma_E \in H^2(G, M^\times)[g]$, where $G = \text{Gal}(K/M)$ (by relating γ_E , γ_{E^σ} , and γ_A).
- Write $P = M^\times/U$, where $U \subseteq M^\times$ denotes the roots of unity in M^\times .
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- For any subgroup $H \subseteq G$, one shows that

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Final comments

Theorem (Elkies-Ribet)

Let $E/\overline{\mathbb{Q}}$ be an F -curve *without CM*. Then E admits a model over a polyquadratic extension of F .

- Ribet shows that

$$\gamma_E \in H^2(G, \mathbb{Q}^\times)[2],$$

(for different reasons as ours). The other steps of the proof are analogous.

Corollary

Let A be an abelian variety over F such that $A_{\overline{\mathbb{Q}}} \sim E^g$, where E is an elliptic curve *without CM* and g is *odd*. Then E admits a model over F .

$$\left. \begin{array}{l} \gamma_E^g = 1 \\ \gamma_E^2 = 1 \end{array} \right\} \Rightarrow \gamma_E = 1 \Rightarrow E \text{ admits a model over } F.$$