

# A quantum information trade-off for Augmented Index

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# Augmented Index ( $AI_n$ )



$x = x_1 x_2 \dots x_n$



$k, x[1, k-1], b$

Is  $x_k = b$  ?

Variant of **Index function**

Alice has an  $n$ -bit string  $x$

Bob has the prefix  $x[1, k-1]$ , and a bit  $b$

Goal: Compute  $x_k \oplus b$

# (Augmented) Index function

Fundamental problem with a rich history

- communication complexity [KN'97]
- data structures [MNSW'98]
- private information retrieval [CKGS'98]
- learnability of states [KNR'95, A'07]
- finite automata [ANTV'99]
- formula size [K'07]
- locally decodable codes [KdW'03]
- sketching e.g., [BJKK'04]
- information causality [PPKSWZ'09]
- non-locality and uncertainty principle [OW'10]
- quantum ignorance [VW'11] and more!

# Connection with streaming algorithms

Magniez, Mathieu, N. '10:

- For Dyck(2): is an expression in two types of parentheses is well-formed ?
  - $( [ ] ( ) )$  is well-formed
  - $( [ ] ) ( [ ] )$  is not well-formed
- Motivation: what is the complexity of problems beyond recognizing regular languages, say of context-free languages ?
- Dyck(2) is a canonical CFL, used in practice: e.g., checking well-formedness of large XML file

# Streaming algorithms for Dyck(2)

Magniez, Mathieu, N'10:

- A single pass randomized algorithm that uses  $O((n \log n)^{1/2})$  space,  $O(\text{polylog } n)$  time/ symbol
- 2-pass algorithm, uses  $O(\log^2 n)$  space,  $O(\text{polylog } n)$  time/ symbol, **second pass in reverse**
- Space usage of one-pass algorithm is optimal, **via an information cost trade-off for Augmented Index (two-round)**

Chakrabarti, Cormode, Kondapalli, McGregor '10; Jain, N'10:

- Space usage of unidirectional  $T$ -pass algorithm is  $n^{1/2} / T$
- Again, through information cost trade-off for Augmented Index, for an arbitrary number of rounds

# Classical information trade-offs for $AI_n$

rounds	error	Alice reveals	or Bob reveals	Ref.
two, Alice starts	$1/(n \log n)$	$\Omega(n)$	$\Omega(n \log n)$	MMN'10
any no.	constant	$\Omega(n)$	$\Omega(1)$	CCKM'10 JN'10
any no.	constant	$\Omega(n/2^m)$	$\Omega(m)$	CK'11

- trade-offs w.r.t. uniform distribution over 0-inputs
- Internal information cost for classical protocols

# Augmented Index $AI_n$



$x = x_1 x_2 \dots x_n$

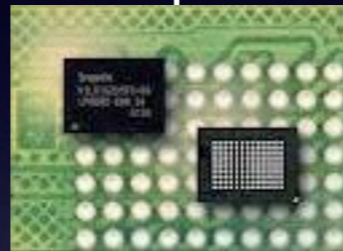
Is  $x_k = b$  ?

$k, x[1, k-1], b$

- **Simple protocols:** Alice sends  $x$  or Bob sends  $k, b$
- **Can interpolate between the two:**
  - Bob sends the  $m$  leading bits of  $k$
  - Alice sends the corresponding block of  $x$  of length  $n / 2^m$

# Streaming algorithms

...01011001010101110010...



device with small memory

## Attractive model for quantum computation

- initial quantum computers are likely to have few qubits
- captures fast processing of input, may cope with low coherence time
- goes beyond finite quantum automata



# Streaming quantum algorithms

## Advantage over classical

- Quantum finite automata: streaming algorithms with constant memory and time per symbol. Some are exponentially smaller than classical FA.
- Use exponentially smaller amount of memory for certain problems [LeG'06, GKRRdW'06]

## Advantage for natural problems ?

- For Dyck(2), checking if an expression in two types of parentheses is well-formed ?

# Quantum streaming complexity of Dyck(2) ?

Theorem [Jain, N. '11]

If a quantum protocol computes  $AI_n$  with probability  $1 - \epsilon$  on the uniform distribution, either

Alice reveals  $\Omega(n/t)$  information about  $x$ , or

Bob reveals  $\Omega(1/t)$  information about  $k$ ,

under the uniform distribution over 0-inputs, where  $t$  is the number of rounds.

- Specialized notion of information cost
- Connection to streaming algorithms breaks down
- Connection to *communication* complexity unclear
- Other notions: fixed above problems, but couldn't analyze

# Results



$x = x_1 x_2 \dots x_n$



Is  $x_k = b$  ?



$k, x[1, k-1], b$

## Theorem [N., Touchette '16]

\* If a **quantum** protocol computes  $AI_n$  with probability  $1 - \epsilon$  on the uniform distribution, either

Alice reveals  $\Omega(n / t^2)$  information about  $x$ , or

Bob reveals  $\Omega(1 / t^2)$  information about  $k$ ,

under the uniform distribution over 0-inputs, where  $t$  is the number of rounds.

\* Any  $T$ -pass unidirectional **quantum** streaming algorithm for Dyck(2) uses  $n^{1/2} / T^3$  **qubits** on instances of length  $n$

# Quantum information trade-off

- Uses a new notion, **Quantum Information Cost** [Touchette '15]
- High-level intuition and structure of proof similar to [Jain, N. '11], but new execution, uses new tools
- **Overcomes earlier difficulties in analysis:**
  - inputs to Alice and Bob are correlated
  - need to work with superpositions over inputs
  - superpositions leak information in counter-intuitive ways
- Develop a “fully-quantum” analogue of the “Average Encoding Theorem” [KNTZ'07, JRS'03]
- Use of tools needs special care

# Lower bound for quantum streaming algorithms

- Define general model for quantum streaming algorithms: allows for measurements / discarding qubits (non-unitary evolution)
- Quantum Information Cost allows us to lift the [MMN'10] connection between streaming and low-information protocols, even for this general model
- Proof of information cost trade-off requires protocols with pure (unmeasured) quantum states
- QIC does not increase, when we transform protocols with intermediate measurements to those without

# Main Result



$x = x_1 x_2 \dots x_n$



Is  $x_k = b$  ?



$k, x[1, k-1], b$

## Theorem [N., Touchette '16]

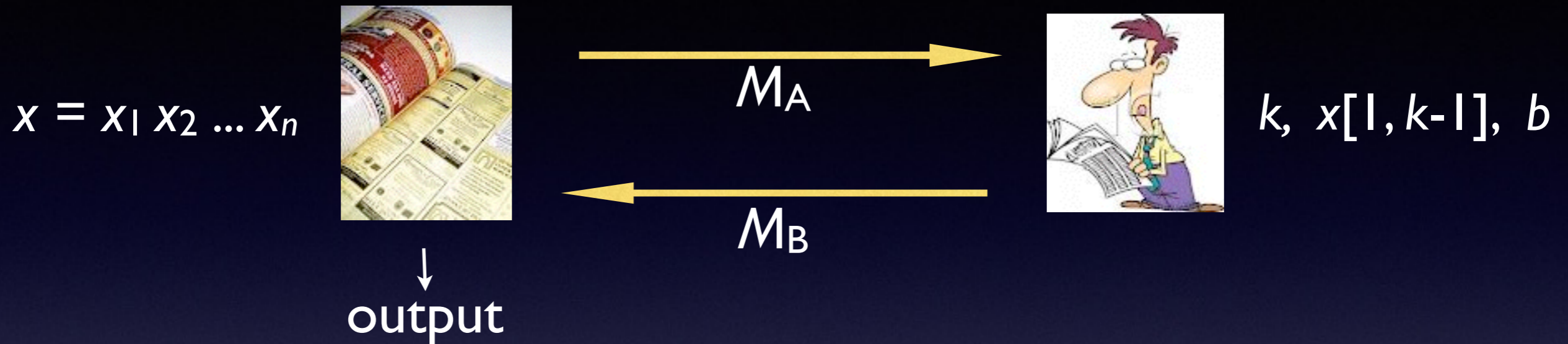
If a **quantum** protocol computes  $A|_n$  with probability  $1 - \epsilon$  on the uniform distribution, either

Alice reveals  $\Omega(n / t^2)$  information about  $x$ , or

Bob reveals  $\Omega(1 / t^2)$  information about  $k$ ,

under the uniform distribution over 0-inputs, where  $t$  is the number of rounds.

# Intuition behind proof (2 classical messages, [JN'10])



Consider uniformly random  $X$ ,  $K$ , let  $B = X_K$  (0-input)

- Consider  $K$  in  $[n/2]$ . If  $M_A$  has  $o(n)$  information about  $X$ , then it is nearly independent of  $X_L$ ,  $L > n/2$ . Flipping Alice's  $L$ -th bit does not perturb  $M_A$  much.
- If  $M_B$  has  $o(1)$  information about  $K$ , then  $M_B$  is nearly the same, on average, for pairs  $J \leq n/2$ ,  $L > n/2$ . Switching Bob's index from  $J$  to  $L$  does not perturb  $M_B$  much.

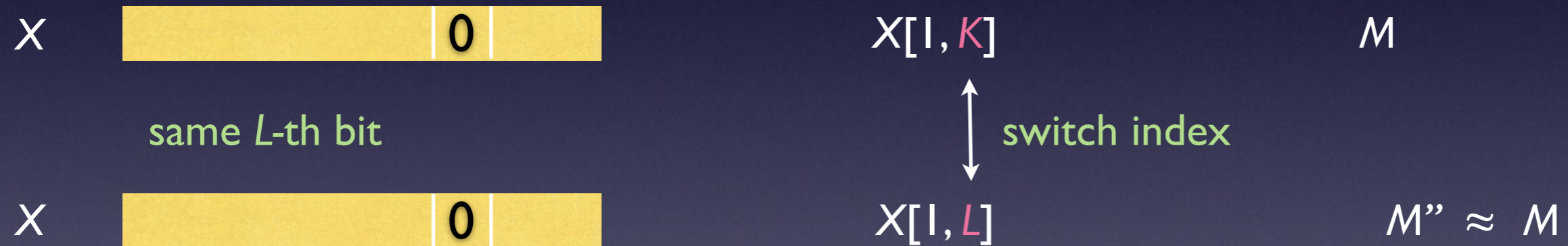
Consequences of Average Encoding Theorem [KNTZ'07, JRS'03]

# Intuition continued...

Alice's input

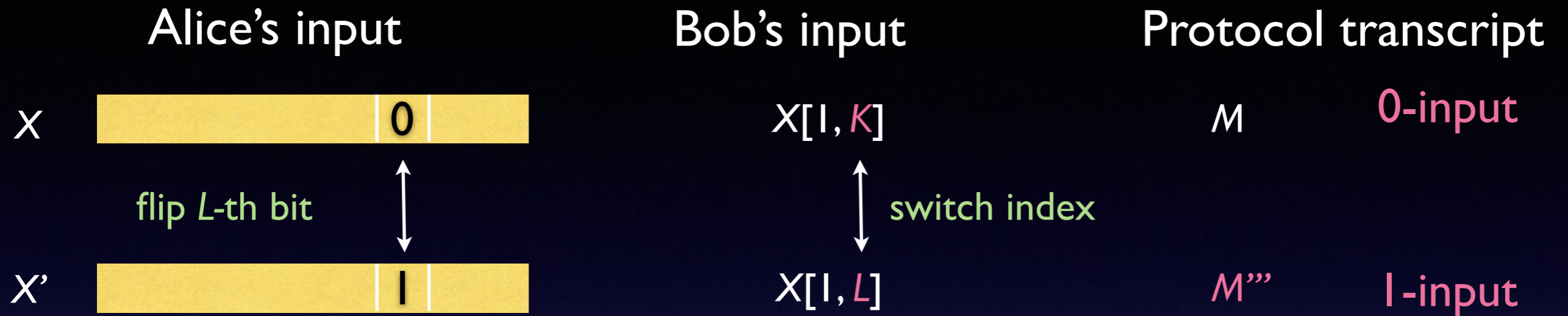
Bob's input

Protocol transcript





# Finally...



We have  $M \approx M'$  and  $M \approx M''$ . Therefore,  $M' \approx M''$  (triangle inequality)

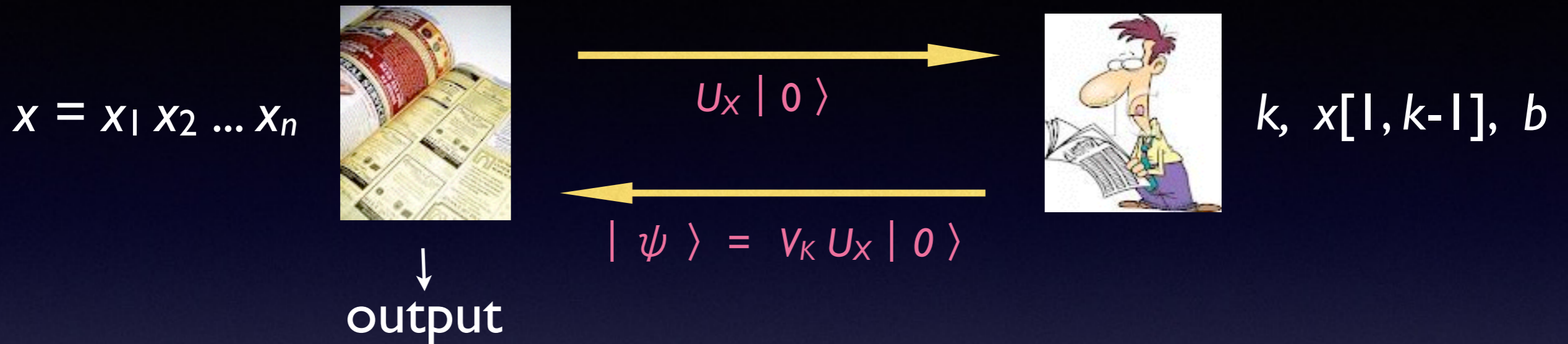
Cut and paste lemma [BJKS'04]

In any (private coin) randomized protocol, the Hellinger distance between message transcripts on inputs  $(u, v)$  and  $(u', v')$  is the same as that between  $(u', v)$  and  $(u, v')$

Therefore,  $M \approx M'''$  and the (low-information) protocol errs.

# Quantum case

(2 messages, both superpositions)



Uniformly random  $X$ ,  $K$ , let  $B = X_K$  (0-input)

- Assume no party retains private qubits
- $K$  in  $[n/2]$ ,  $L > n/2$
- first message has  $o(n)$  information about  $X$  (given prefix), second message has little information about  $K$  (given  $X$ )

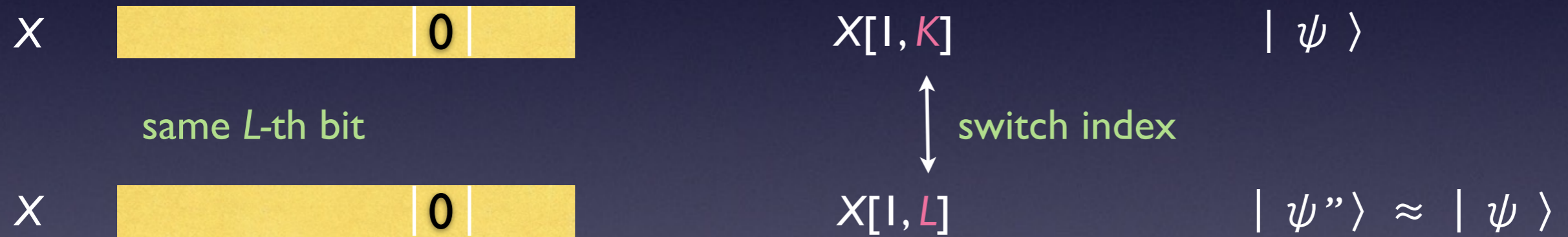
In this case, can use (quantum) mutual information, and Average Encoding Theorem [KNTZ'07, JRS'03]

# Quantum case continued...

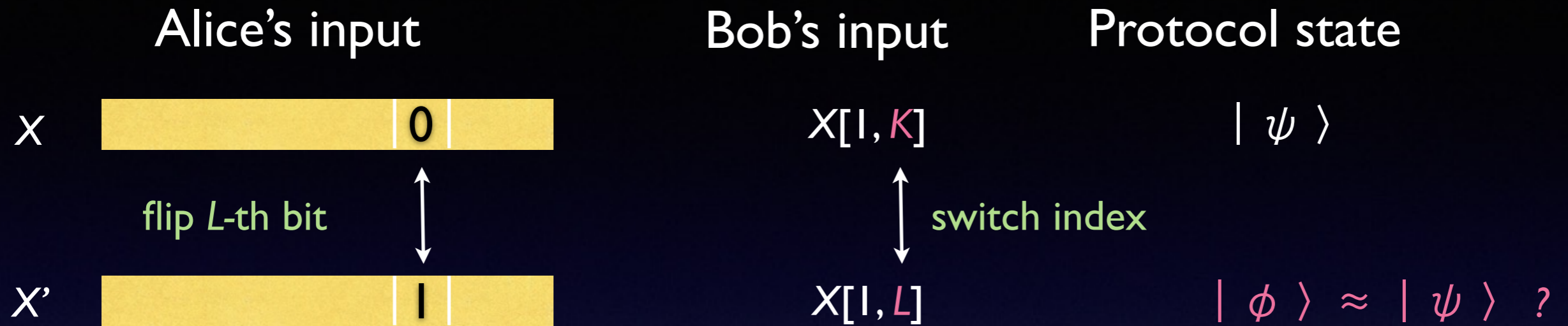
Alice's input

Bob's input

Final protocol state



# Finally...



$$|\psi\rangle = v_K U_X |0\rangle, \quad |\psi'\rangle = v_K U_{X'} |0\rangle, \quad |\psi''\rangle = v_L U_X |0\rangle$$

$$|\phi\rangle = v_L U_{X'} |0\rangle$$

$$\begin{aligned} |\phi - \psi| &\leq |\psi - \psi''| + |\phi - \psi''| \\ &\leq \delta + |v_L U_{X'} |0\rangle - v_L U_X |0\rangle| \\ &= \delta + |v_K U_{X'} |0\rangle - v_K U_X |0\rangle| \\ &= \delta + |\psi - \psi'| \leq 2\delta \end{aligned}$$

# Details omitted

- Alice and Bob may maintain private workspace, communicate over more rounds
- Need to use QIC to quantify information, work with superpositions over inputs
- Use “superposed average encoding theorem”, building on a 2015 breakthrough by Fawzi-Renner
- Perturbation of message due to switching of input depends on the number of rounds
- Hybrid argument conducted round by round à la [\[JRS'03\]](#)
- Leads to round-dependant trade-off
- Trade-off can be strengthened using ideas from [Lauriere and Touchette'16], can then work with Average Encoding Theorem

# Final remarks

- Established a trade-off for quantum information cost for Augmented Index
- Round dependence probably an artefact of the proof; eliminating this is related to question about Disjointness
- Implies a space lower bound for streaming algorithms for Dyck(2): matches classical case, up to round-dependence
- Tools may be useful more generally in quantum communication complexity