

Stable solutions to semilinear elliptic equations
are smooth up to dimension 9

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Joint work with Alessio Figalli, Xavier Ros-Oton, and Joaquim Serra. Acta Math. 2020

• Semilinear elliptic PDEs: $-\Delta u = f(u)$ in $\Omega \subset \mathbb{R}^n$, bdd domain

Energy: $E_{\Omega}(u) = \int_{\Omega} \frac{1}{2} |\nabla u|^2 - F(u)$, $F' = f$ ↗ 1st variation

↪ 2nd variation is $-\Delta - f'(u)$ = linearized operator at u
for the equation $-\Delta u = f(u)$

↓
it is nonnegative iff $-\Delta - f'(u) \geq 0$

iff $\int_{\Omega} f'(u) \xi^2 \leq \int_{\Omega} |\nabla \xi|^2 \quad \forall \xi \in C_c^{\infty}(\Omega)$ ← Def. of stability

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→ Competitors $u + \varepsilon \xi$ have all same boundary values as u

→ Our interest: nonlinearities f superlinear at $+\infty$ & $f \geq 0$

⇓
NO absolute minimizer exists

$$E_\Omega(t\mathcal{Y}) = t^2 \int_\Omega \frac{1}{2} |\nabla \mathcal{Y}|^2 - \int_\Omega F(t\mathcal{Y}) \xrightarrow{t \rightarrow +\infty} -\infty \quad (F(t\mathcal{Y}) \gg t^2 \mathcal{Y}^2)$$

• The Barenblatt-Gelfand problem 1963 :

$$\left\{ \begin{array}{l} -\Delta u = \lambda f(u) \quad \text{in } \Omega \subset \mathbb{R}^n \\ u > 0 \quad \text{in } \Omega \\ u = 0 \quad \text{on } \partial\Omega, \end{array} \right.$$

with $f(0) > 0$, nondecreasing, convex,
& superlinear at $+\infty$.

Model nonlinearities : $f(u) = e^u$ (combustion theory)
 $f(u) = (1+u)^p$, $p > 1$

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■ Then, $\exists \lambda^* \in (0, +\infty)$ & $0 < \lambda < \lambda^* \Rightarrow \exists u_\lambda > 0$ stable classical (L^∞) sol'n

■ $u_\lambda \nearrow u^*$ as $\lambda \nearrow \lambda^*$

\hookrightarrow $u^* \in L^1(\Omega)$ is a distributional stable
solution for $\lambda = \lambda^*$

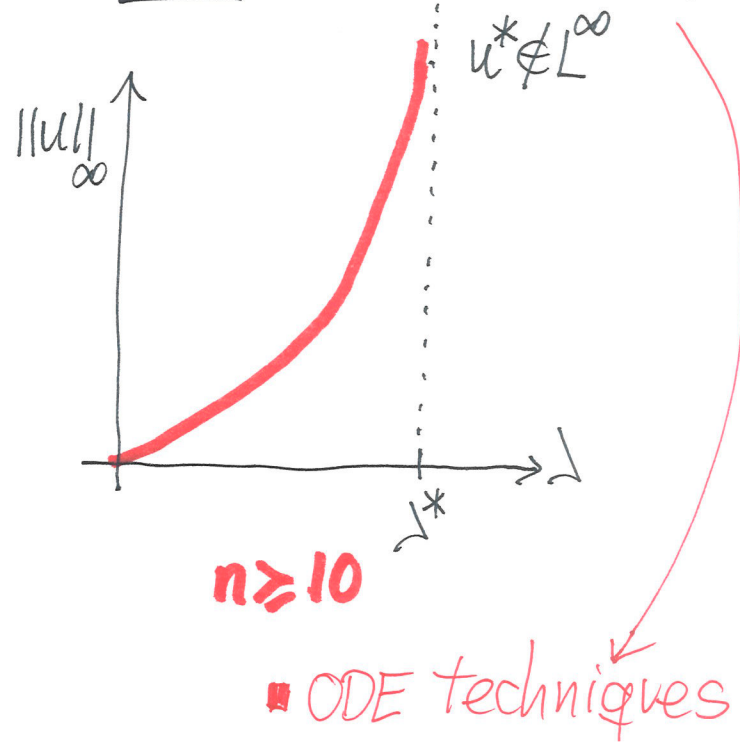
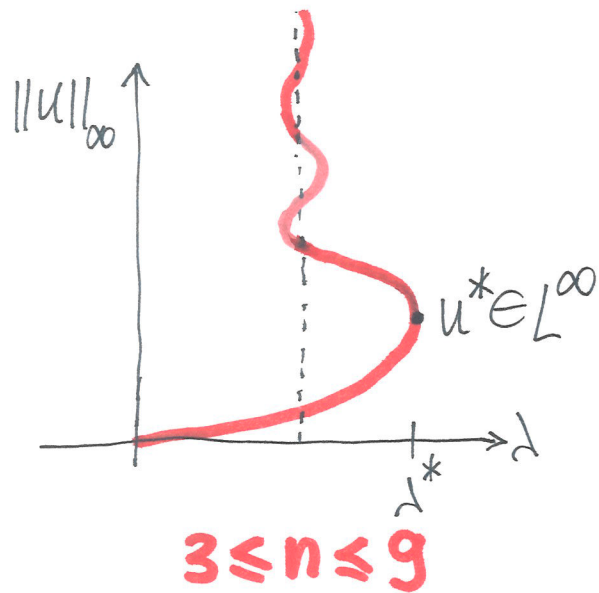
$u^* =$ the extremal solution of the pb.

■ \nexists solutions for $\lambda > \lambda^*$

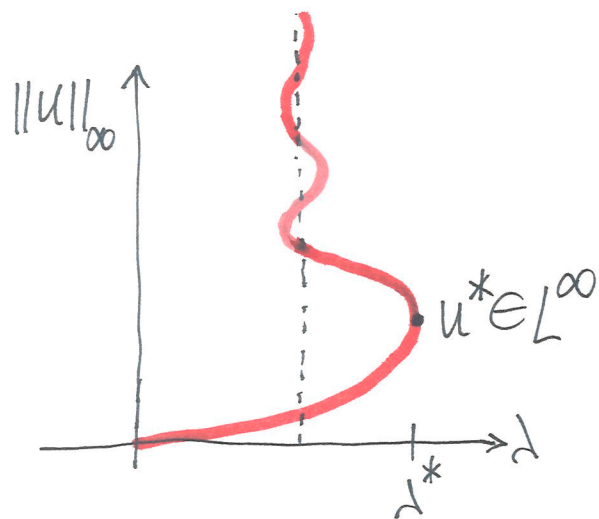
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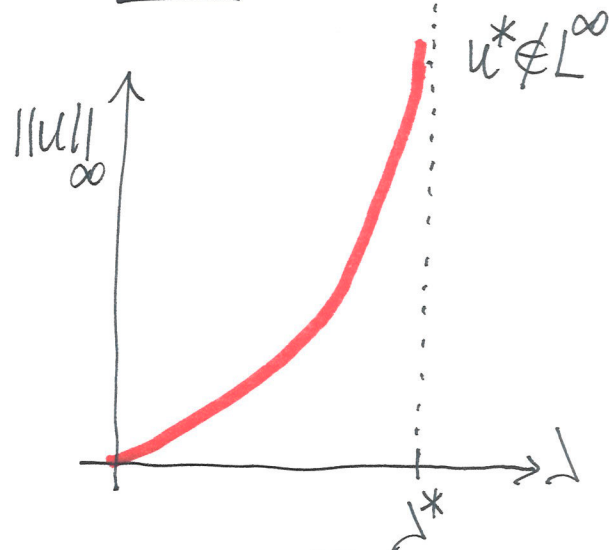
• [Joseph-Lundgren '72] $f(u) = e^u$ & $\Omega = B_1$ (RADIAL case) :



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$3 \leq n \leq 9$



$n \geq 10$

■ ODE techniques

■ Explicit singular solution :

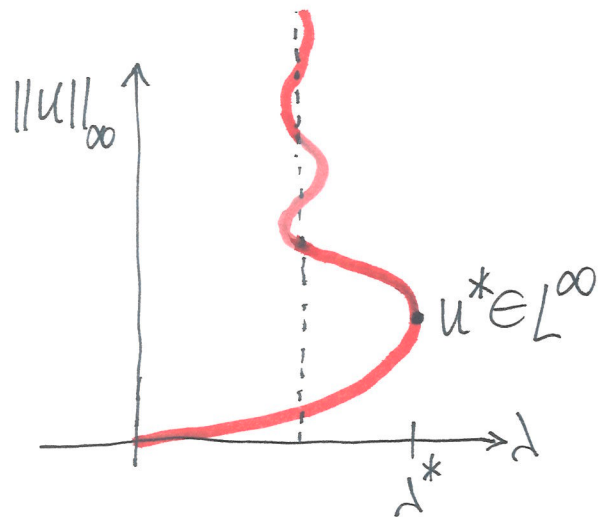
$u(x) = -2 \log|x|$ $\in W_0^{1,2}(B_1)$

solves $-\Delta u = 2(u-2)e^u$ in B_1 , $n \geq 3$

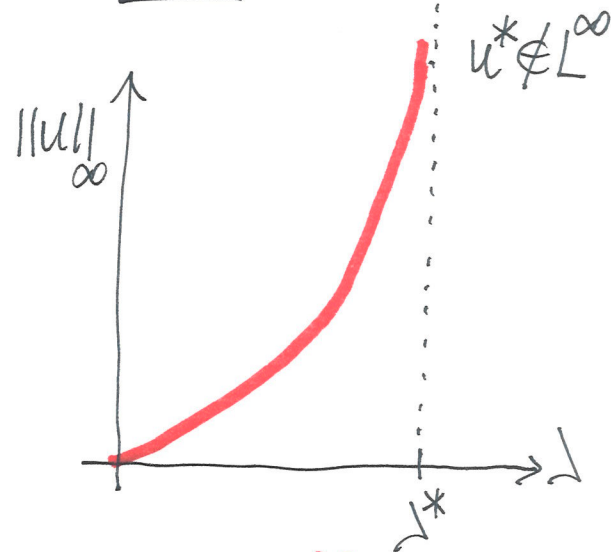
Linearized operator = $-\Delta - 2(u-2)\frac{1}{|x|^2}$

(Hardy's ineq) \rightarrow u stable $\Leftrightarrow 2(n-2) \leq \frac{(n-2)^2}{4} \Leftrightarrow$ $n \geq 10$

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- ODE techniques
- Similar for $f(u) = (1+u)^p$

↓
explicit solutions
 $u(x) = |x|^{-\alpha_p} - 1$
($\alpha_p > 0$)

• Questions: When is $\overline{u^*} \in L^\infty(\Omega)$?

When are $W_0^{1,2}$ stable solutions bounded?

■ For general solutions, L^∞ estimates exist for

f subcritical or critical : $|f(u)| \leq C(1+|u|)^p$, $p \leq \frac{n+2}{n-2}$

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■ PDE analogue of "regularity of stable minimal surfaces in \mathbb{R}^n ":

→ Not true for $n \geq 8$

→ True for $n=3$ ([Fischer-Colbrie & Schoen '80]
[DoCarmo & Peng '79])

→ Open pb for $4 \leq n \leq 7$!!

(→ Known for $n \leq 7$ for minimizing minimal surfaces)

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When are $W_0^{1,2}$ stable solutions bounded?

■ For general solutions, L^∞ estimates exist for f subcritical or critical : $|f(u)| \leq C(1+|u|)^p$, $p \leq \frac{n+2}{n-2}$

■ 1st result $\forall \Omega$: [Crandall-Rabinowitz '75]

$u^* \in L^\infty(\Omega)$ if $n \leq 9$ and $f(u) \sim e^u$ or $f(u) \sim (1+u)^p$

- [Brezis-Vázquez '97] Is it always $u^* \in W_0^{1,2}(\Omega)$?
- [Brezis '03] Is there something "sacred" about dim 10 ?
Is it possible to construct a singular stable soln
for $n \leq 9$, in some domain & for some f ?

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& Interior L^∞ bound if $n \leq 4$ $\forall f$

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& Interior L^∞ bound if $n \leq 4 \forall f$
- [Villegas '13] $u^* \in L^\infty(\Omega)$ if $n \leq 4$; $u^* \in W_0^{1,2}(\Omega)$ if $n \leq 6$
- [Cabré & Ros-Oton '13] L^∞ if $n \leq 7$ & Ω of double revolution
- [Cabré-Sanchón-Spruck '16] L^∞ if $n \leq 5$ & $f'_{f^{1+\varepsilon}} \leq C(\varepsilon) \forall \varepsilon > 0$

■ [Cabré, Figalli, Ros-Oton, Serra '19]

Thm 1 $u \in C^2(B_1)$ stable sol'n of $-\Delta u = f(u)$ in B_1 & $f \geq 0 \Rightarrow$

$$\|\nabla u\|_{L^{2+\gamma}(B_{1/2})} \leq C(n) \|u\|_{L^1(B_1)} \quad (\gamma = \gamma(n) > 0)$$

& if $n \leq 9$ then $\|u\|_{C^\alpha(\overline{B_{1/2}})} \leq C(n) \|u\|_{L^1(B_1)} \quad (\alpha = \alpha(n) > 0)$

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Corol 1 $L^\infty(\Omega)$ estimate for $n \leq 9$ (if $f \geq 0$) and any stable sol'n
 of $\begin{cases} -\Delta u = f(u) & \text{in } \Omega \subset \mathbb{R}^n \\ u = 0 & \text{on } \partial\Omega \end{cases}$ if Ω is bdd convex C^1 domain.

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Thm 1 $u \in C^2(B_1)$ stable sol'n of $-\Delta u = f(u)$ in B_1 & $f \geq 0 \Rightarrow$

$$\left\{ \begin{array}{l} \|\nabla u\|_{L^{2+\delta}(B_{1/2})} \leq C(n) \|u\|_{L^1(B_1)} \quad (\delta = \delta(n) > 0) \\ \& \text{if } \underline{n \leq 9} \text{ then } \|u\|_{C^\alpha(\overline{B_{1/2}})} \leq C(n) \|u\|_{L^1(B_1)} \quad (\alpha = \alpha(n) > 0). \end{array} \right.$$

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Thm 2 Ω bdd C^3 domain, $\underline{f \geq 0}$, $\underline{f' \geq 0}$, $\underline{f'' \geq 0}$.
 $u \in C^2(\Omega) \cap C^0(\overline{\Omega})$ stable sol'n. of $\begin{cases} -\Delta u = f(u) & \text{in } \Omega \\ u = 0 & \text{on } \partial\Omega. \end{cases} \Rightarrow$

$$\left\{ \begin{array}{l} \|\nabla u\|_{L^{2+\delta}(\Omega)} \leq C(\Omega) \|u\|_{L^1(\Omega)} \quad (\delta = \delta(n) > 0) \\ \& \text{if } \underline{n \leq 9} \text{ then } \|u\|_{C^\alpha(\overline{\Omega})} \leq C(\Omega) \|u\|_{L^1(\Omega)} \quad (\alpha = \alpha(n) > 0). \end{array} \right.$$

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Corol 2 Ω bdd C^3 domain \Rightarrow $\left\{ \begin{array}{l} \underline{u^* \in W_0^{1,2+\delta}(\Omega)} \quad (\delta = \delta(n) > 0) \\ \text{if } n \leq 9, \underline{u^* \in L^\infty(\Omega)}. \end{array} \right.$

Thm 3 Sharp Morrey $M^{p,q}(\Omega)$ estimates for stable solns
when $n \geq 10$.

RELATED WORK:

■ p-Laplacian $-\Delta_p u = f(u)$, $1 < p < 2$

• [Cabré - Miraglio - Sanchez '20] Optimal result for $p > 2$:
regularity if $n < p + \frac{4p}{p-1}$.

• Optimal result is open for $1 < p < 2$.

■ Fractional Laplacian $(-\Delta)^s u = f(u)$, $0 < s < 1$

• Optimal dimensions: open even in the radial case

↓
involved relation on T -function: only known for
 $f(u) = e^u$ in convex symmetric domains [Ros-Oton '14]

• PROOFS

$$\Delta u + f(u) = 0$$

(EQUATION)

$$\downarrow$$

$$\Delta + f'(u)$$

(LINEARIZED
OPERATOR ≤ 0)



$$\int_{\Omega} f'(u) \xi^2 \leq \int_{\Omega} |\nabla \xi|^2 \quad \forall \xi \in C_c^1(\Omega) \quad \text{(STABILITY)}$$



$$\xi = c \cdot \eta \quad \text{with } \eta|_{\partial\Omega} = 0.$$

$$\int_{\Omega} \underline{c(\Delta c + f'(u)c)} \eta^2 \leq \int_{\Omega} c^2 |\nabla \eta|^2.$$

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[Cabré-Capella '05]
 motivated by
 Simons' lemma on
 minimal cones
 ↑
 $c = \| \text{second fund. form} \|$



• Proofs $\xi = c \cdot \eta \Rightarrow \int_{\Omega} c(\Delta c + f'(u)c) \eta^2 \leq \int_{\Omega} c^2 |\nabla \eta|^2. \quad (c|_{\partial\Omega} = 0)$

■ [Crandall-Rabinowitz] & [Nedev] : $\xi = h(u)$

■ [Cabré-Capella] : $\xi = \underbrace{r u_r}_{\substack{|| \\ c \\ ||}} \cdot \underbrace{r^{-a} \eta}_{\substack{|| \\ \eta \\ ||}} \quad , \quad \eta \text{ cut-off near } \partial B_1$
 $(\Omega = B_1)$
 $\underbrace{x \cdot \nabla u}_{||} \quad \underbrace{|x|^{-a} \eta}_{||}$

■ [Cabré '10] : $\xi = \underbrace{|\nabla u|}_{||} \cdot \underbrace{g(u)}_{||}$
 $(n \leq 4)$
 $\underbrace{c}_{||} \quad \underbrace{\eta}_{||}$

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■ [Crandall-Rabinowitz] & [Nedev] : $\xi = h(u)$

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← For our interior result ($n \leq 9$) we will use both
 $c = x \cdot \nabla u$
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$(\Delta + f'(u))(x \cdot \nabla u) = 2\Delta u$

& $(\Delta + f'(u))|\nabla u| = \frac{1}{|\nabla u|} \left\{ \sum_{i,j} u_{ij}^2 - \sum_i \left(\sum_j u_{ij} \frac{u_j}{|\nabla u|} \right)^2 \right\}$

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Curvature of level sets \oplus
 Michael-Simon Sobolev ineq.

Using $\xi = c\eta = (x \cdot \nabla u) \eta(x) \rightsquigarrow \int_{\Omega} (x \cdot \nabla u) 2\Delta u \eta^2$

\rightsquigarrow
Pohozaev
trick

Lemma 1 $\forall n \forall f \forall u$ stable sol'n $\forall \eta \in C_c^1(B_1) \Rightarrow$

$$\int_{B_1} \{ (n-2)\eta + 2x \cdot \nabla \eta \} \eta \underbrace{|\nabla u|^2}_{(1)} - 2 \underbrace{(x \cdot \nabla u)}_{(2)} \underbrace{\nabla u \cdot \nabla(\eta^2)}_{(1)} \quad (1)$$

$$\underbrace{-|x \cdot \nabla u|^2}_{(2)} |\nabla \eta|^2 \leq 0.$$

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$$(2) \quad \underbrace{|x \cdot \nabla u|^2} |\nabla \eta|^2 \leq 0.$$

$$\xi = (x \cdot \nabla u) \underbrace{|x|^{\frac{2-n}{2}} \eta(x)}_{\eta(x)}$$

so that
(3) $\{ \dots \} \geq 0$

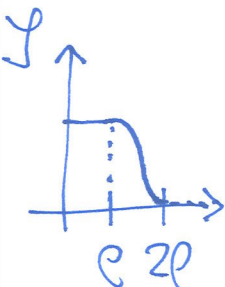
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$\xi = (x \cdot \nabla u) |x|^{\frac{2-n}{2}} \eta(x)$ so that
" $\eta(x)$ {...} \geq 0



$(1) \& (2) \rightarrow 2(n-2) - \frac{(n-2)^2}{4} = \frac{1}{4} \{ 8(n-2) - (n-2)^2 \}$
 $= \frac{1}{4} (n-2)(10-n)$

$\frac{1}{4} (n-2)(10-n) \int_{B_c} |x|^{2-n} u_r^2 \leq C \int_{B_{2c} \setminus B_c} |x|^{2-n} |\nabla u|^2$

NOTE: $\int_{B_{1/2}} |x-y|^{2-n} |\nabla u(x) \cdot \frac{x-y}{|x-y|}|^2 dx \leq C \quad \forall y \in B_{1/2}$

\implies $u \in \text{BMO}$ if $n \leq 9$
easy

WE HAVE:

$$\int_{B_e} |x|^{2-n} u_r^2 \leq C \int_{B_{2e} \setminus B_e} |x|^{2-n} |\nabla u|^2$$

NOTE: $\int_{B_{1/2}} |x-y|^{2-n} \left| \nabla u(x) \cdot \frac{x-y}{|x-y|} \right|^2 dx \leq C \quad \forall y \in B_{1/2}$

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WE HAVE:

$$\int_{B_e} |x|^{2-n} u_r^2 \leq C \int_{B_{2e} \setminus B_e} |x|^{2-n} |\nabla u|^2$$

If we had $\int_{B_{2e} \setminus B_e} |x|^{2-n} |\nabla u|^2 \leq C' \int_{B_{2e} \setminus B_e} |x|^{2-n} u_r^2$, then

$$\rightarrow \int_{B_e} |x|^{2-n} u_r^2 \leq C'' \int_{B_{2e} \setminus B_e} |x|^{2-n} u_r^2$$

adimensional quantity

$$\rightarrow \int_{B_e} |x|^{2-n} u_r^2 \leq \frac{C''}{1+C''} \int_{B_{2e}} |x|^{2-n} u_r^2$$

\Rightarrow Algebraic decay & Hölder continuity of u

We would like

$$\int_{B_{1/2} \setminus B_{1/4}} |\nabla u|^2 \leq C(n) \int_{B_{1/2} \setminus B_{1/4}} u_r^2. \quad (*)$$

May it be true ?

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May it be true ?

If false, in the extreme case we would have

$$\int_{B_{1/2} \setminus B_{1/4}} |\nabla u|^2 = 1 \quad \& \quad \int_{B_{1/2} \setminus B_{1/4}} u_r^2 = 0$$

CONTRADICTION

$$u = c|t| \leftarrow$$

\rightarrow u is 0-homogeneous

$$\Downarrow -\Delta u = f(u) \geq 0$$

u is a superharmonic fcn
on the sphere S^{n-1}

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CONTRADICTION

\rightarrow u is 0-homogeneous

$$\Downarrow -\Delta u = f(u) \geq 0$$

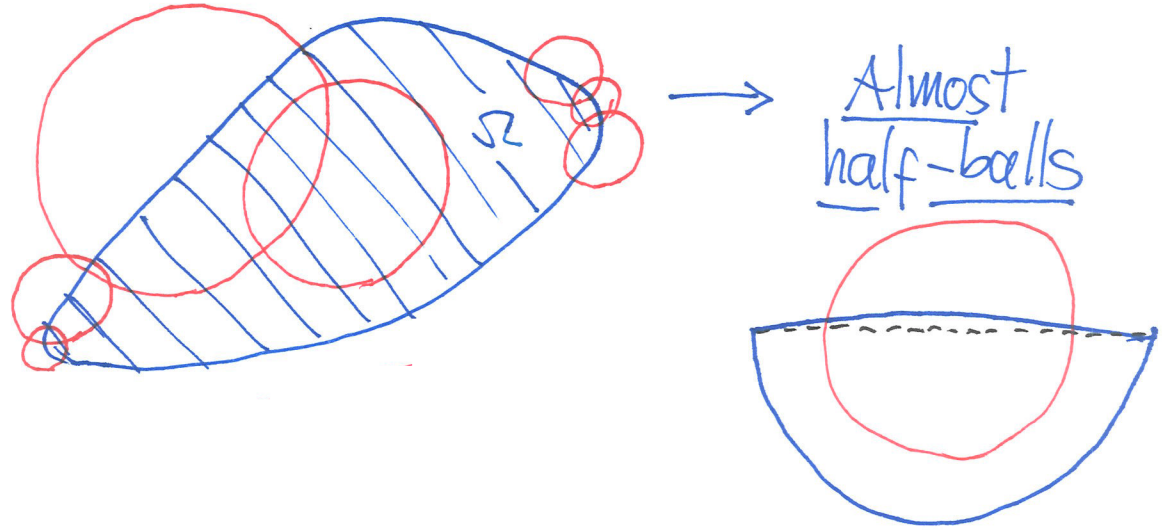
u is a superharmonic fcn on the sphere S^{n-1}

$$u = c t^{\frac{n-2}{2}} \leftarrow$$

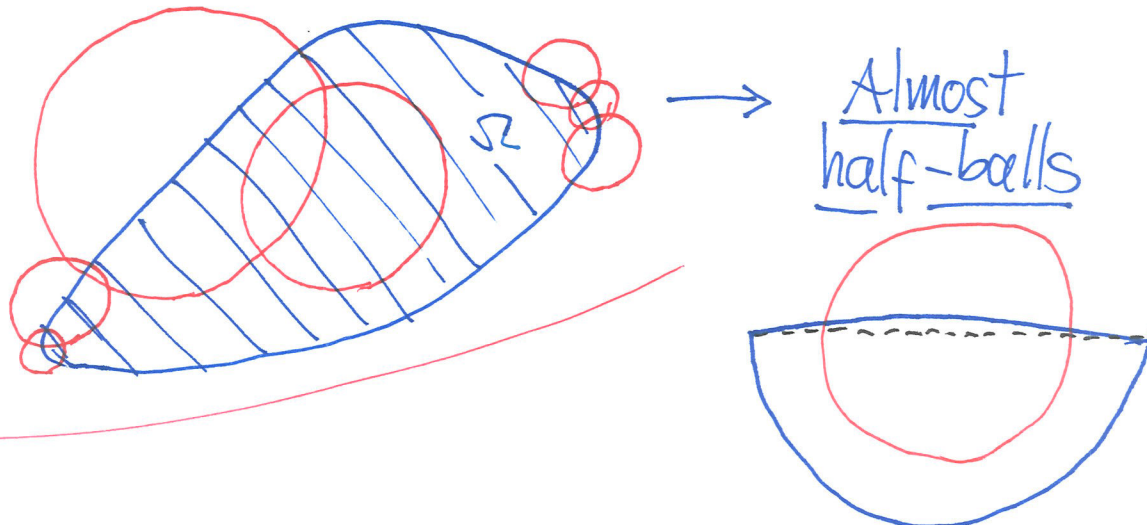
\rightarrow We prove (*) (under a doubling assumption that suffices) by COMPACTNESS using the higher integrability estimate

$$\underline{C = |\nabla u|} \Rightarrow \underline{\|\nabla u\|_{L^{2+\delta}} \leq C(n) \|\nabla u\|_{L^2}}$$

• Boundary regularity

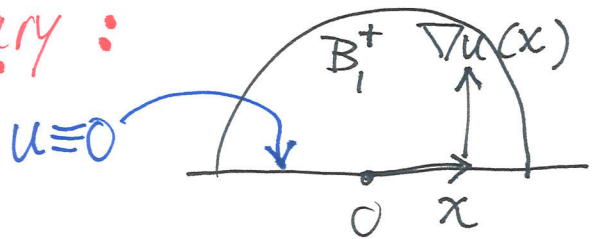


• Boundary regularity



Simplest case:

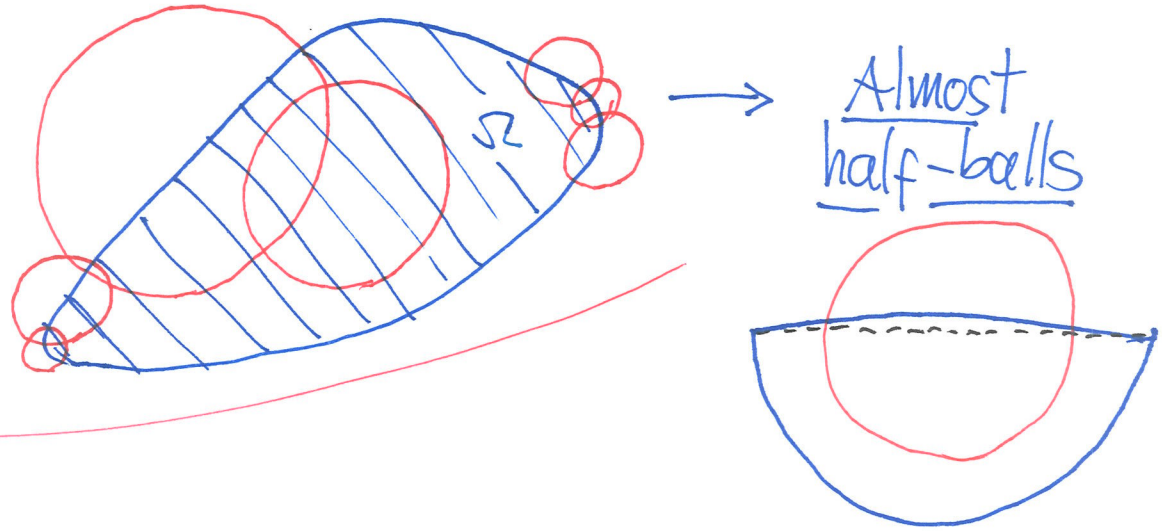
Half-balls; flat boundary:



$\xi(x) = (x \cdot \nabla u) |x|^{-\frac{2-n}{2}} \psi(x)$ vanishes on the flat bdry 😊

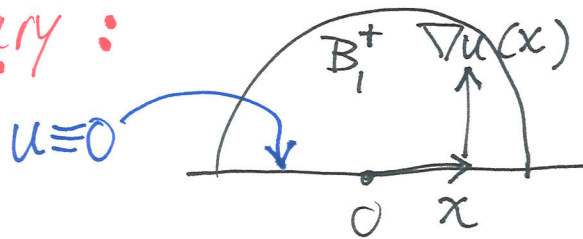
$$(n-2)(10-n) \int_{B_{2\rho}^+} |x|^{2-n} u_r^2 \leq C \int_{B_{2\rho}^+ \setminus B_\rho^+} |x|^{2-n} |\nabla u|^2$$

• Boundary regularity



Simplest case:

Half-balls; flat boundary:



$\xi(x) = (x \cdot \nabla u) |x|^{2-n} \psi(x)$ vanishes on the flat bdry $\ddot{\smile}$

$$(n-2)(n-1) \int_{B_{2\rho}^+} |x|^{2-n} u_r^2 \leq C \int_{B_{2\rho}^+ \setminus B_\rho^+} |x|^{2-n} |\nabla u|^2$$

We ask $\exists u, u=0$ on $\{x_n=0\}, \Delta u \leq 0$ in $\{x_n>0\}$,

Yes $\ddot{\smile}$

$$\int_{B_{2\rho}^+ \setminus B_\rho^+} |\nabla u|^2 = 1 \quad \& \quad \int_{B_{2\rho}^+ \setminus B_\rho^+} u_r^2 = 0 \quad ?$$

$$u(r, \theta) = \sin \theta$$

key remark: u cannot solve $-\Delta u = f(u)$ if $u = u(\theta)$

\downarrow
0 homogeneous \leftarrow
 \rightarrow -2 homogeneous

Question: Can one pass to the limit the condition $-\Delta u = f(u)$?

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Thm 4 Let u_k be stable solns of $-\Delta u_k = f_k(u_k)$ in $U \subset \mathbb{R}^n$ open,

with $\underline{f_k'} \geq 0$, $\underline{f_k''} \geq 0$; $u_k \in W_{loc}^{1/2}(U)$

Then \downarrow
 u in $L_{loc}^1(U)$.

$u \in W_{loc}^{1/2}(U)$ is a stable solution of $-\Delta u = f(u)$ in U

for some f nondecreasing and convex, $f: (-\infty, M) \rightarrow \mathbb{R}$.

Thanks for your attention

