

# Systematic Uncertainties in Neutrino Oscillation analyses

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PhyStat Systematics, 2023

24<sup>th</sup> April

Imperial College  
London



Edward Atkin, PhyStat Systematics

# Introduction

There have been several PhyStats dedicated to neutrinos in the past:

- **IMPMU 2016, Fermilab 2016, CERN 2019 ... Somewhere else 202X??**
- **Excellent talk from Chrisophe Bronner at remote workshop PHYSTAT-Systematics 2021**
- If you're interested in learning more about systematics and statistical challenges for neutrino experiments take a look at the summaries.

## Disclaimers:

- **This is very focused on the T2K 3-flavour oscillation analysis**
  - **Other analysis techniques exist like NOvA and PRISM techniques for DUNE**
- **I'm not going to mention other interesting statistical areas in neutrinos such as cross-section measurements, BSM searches, reactor neutrinos, atmospheric neutrinos etc.**
- **Very much a Bayesian perspective**

# Neutrino Oscillations

Neutrinos have a strange property where their mass and weak eigenstates mix.

6 parameters which describe 3-flavour neutrino oscillation probability

- Three mixing angles:  $\theta_{23}, \theta_{13}, \theta_{12}$
- Two mass splittings:  $\Delta m_{32}^2, \Delta m_{13}^2$
- Complex-phase  $\delta_{CP}$
- Ordering of mass states also unknown ( $\Delta m_{32}^2 > 0$  ?)

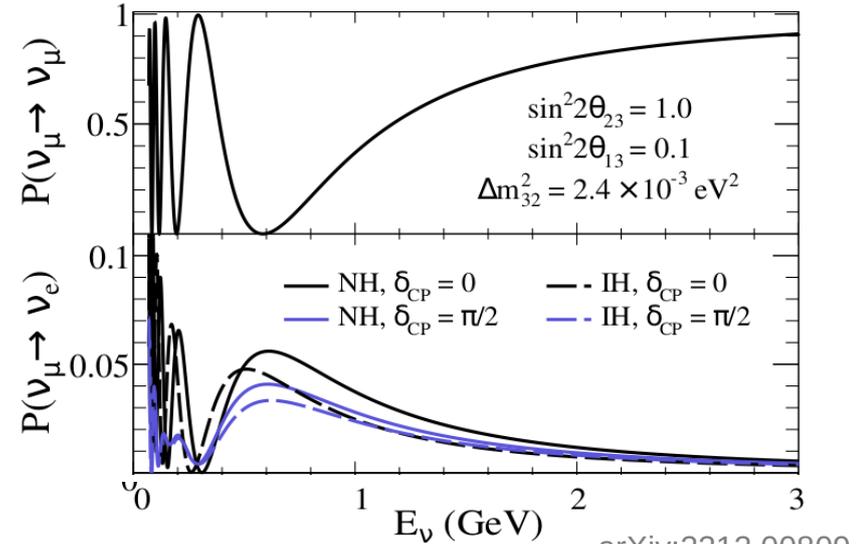
**Neutrinos only interact via the weak force**

- **Generally thought of as low stats experiments**
- **Huge amount of progress has been made since discovery**

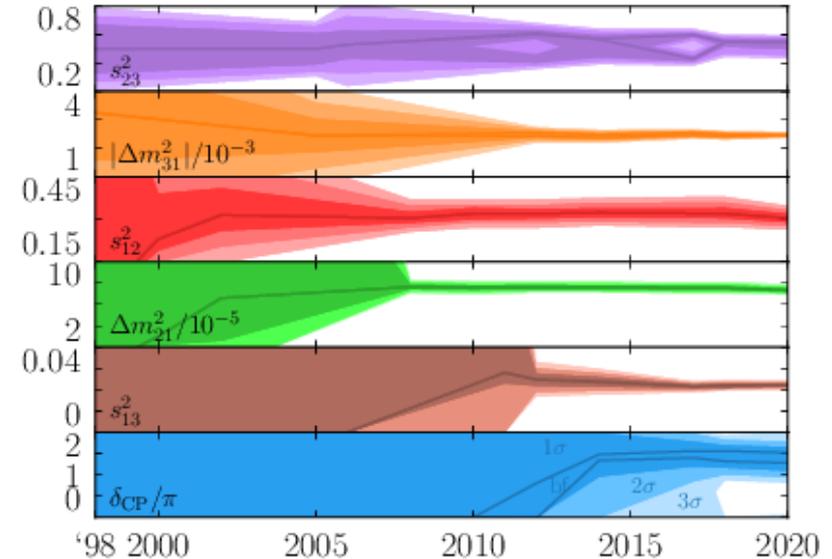
Current Generation long-baseline experiments are **T2K (Japan)** and **NOvA (US)**.

**Future experiments being built:** Hyper-K (Japan) and DUNE (US)

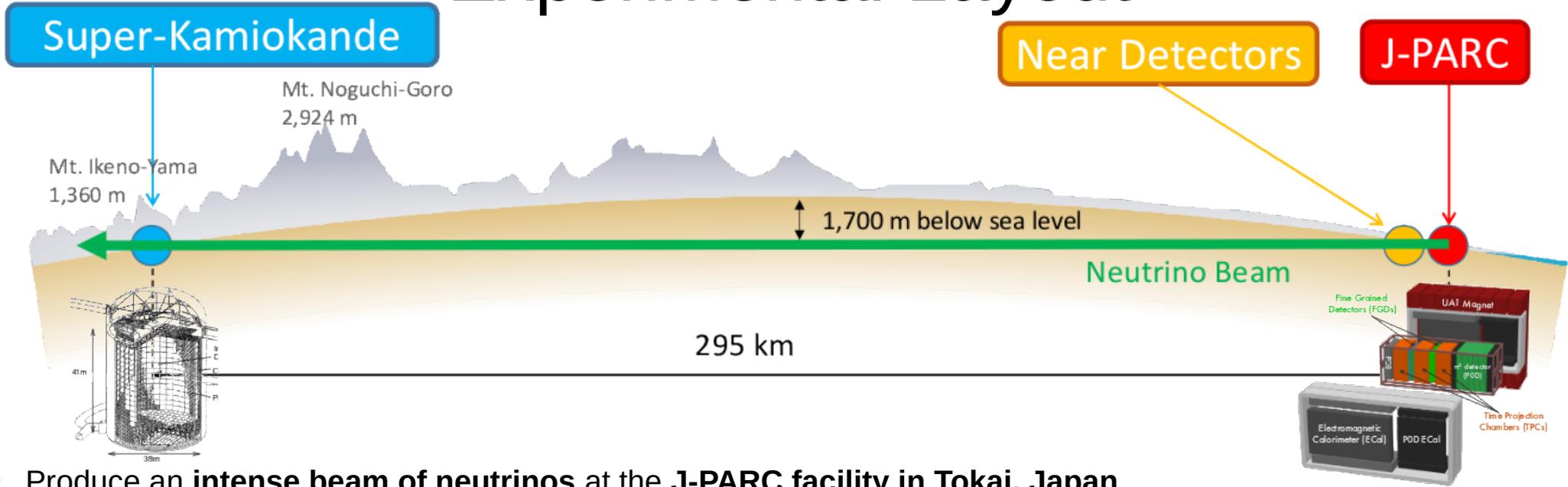
- These experiments aim to make **precision measurements of oscillation parameters**
- Hopes to rule out particular values at  $5\sigma$  e.g.  $\delta_{CP} \neq 0$
- **Understanding systematics is going to be key!**



arXiv:2212.00809



# Experimental Layout



- Produce an **intense beam of neutrinos** at the **J-PARC facility in Tokai, Japan**
- **Two detectors:** one **Near** the neutrino source, **Far** from the neutrino source
- **Far detector:**
  - Measures neutrinos after they have oscillated → this is where we **measure signal parameters**
  - **Lower statistics** due to distance from neutrino source (T2K FD has **~1,000 selected data events** total)
  - (High statistics atmospheric neutrino samples)
- **Near Detector:**
  - Measures unoscillated neutrino beam
  - **High(er) statistics, constrains sources of systematics uncertainty** (~200,000 selected data events)

$$N_{pred}^i = \int_{E_{min}}^{E_{max}} \underbrace{P(\nu_\alpha \rightarrow \nu_\beta)}_{\text{signal}} \times \underbrace{\Phi(E_\nu) \times \sigma(E_\nu, \vec{x})}_{\text{beam cross section}} \times \underbrace{\epsilon(\vec{x})}_{\text{detector}} dE_\nu$$

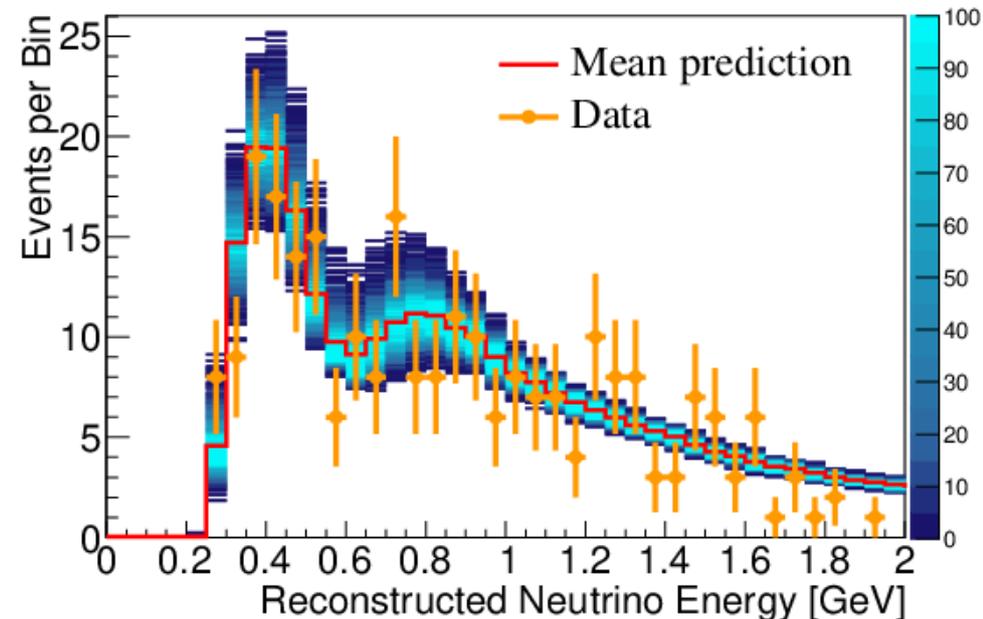
nuisance parameters

- $N_{pred}^i$  = number of predicted events in a bin,  $E_\nu$  is neutrino energy,  $\mathbf{x}$  are the kinematics quantities of particles in the detector (lepton momentum,  $Q^2$  etc.)
- **Signal:**  $P(\nu_\alpha \rightarrow \nu_\beta)$  is the oscillation probability for a set of oscillation parameters

Sources of nuisance parameters:

- **Beam:** how many neutrinos did we produce? What was their energy? What flavour?
- **Cross section / Interaction model:** probability of neutrino interacting? Energy, type and number of particles produced in the interaction?
- **Detector:** momentum scale, PID, acceptance, efficiency etc.
  - Different for ND and FD
- **Factorise** nuisance parameters

Total number of parameters **~700 of which 6 are signal**



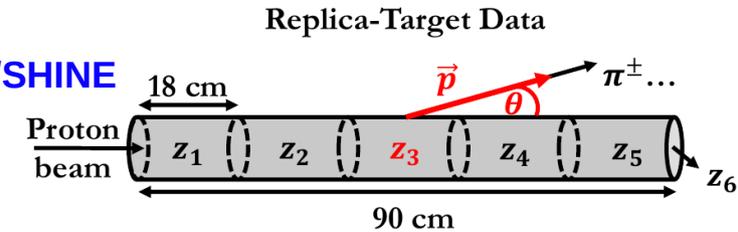
# The good, the bad and the ugly



The good(ish)...

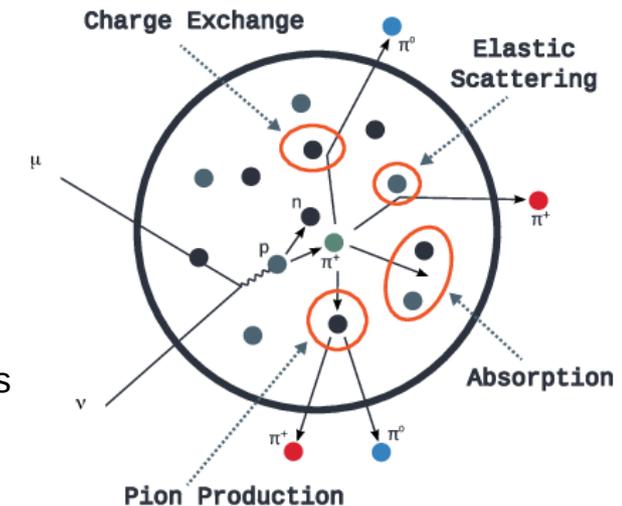
- **Beam systematics: ~100 nuisance parameters**
  - constrained by T2K beam monitors, a dedicated ND (INGRID) and external data
  - Underlying “physics parameters” such as hadron scattering not fitted in analysis
  - Throw underlying parameters to produce a distribution of events in an energy range
  - Build a covariance matrix from all these throws
- **Detector systematics: ~500 nuisance parameters**
  - Calibration and control samples
  - Again, don't directly fit parameters but throw toys to produce covariance matrix
  - Apply these uncertainties to each analysis bin
  - **N.B. moving away from this method and will fit detector systematics directly**

NA61/SHINE



The bad and the ugly...

- **Neutrino interaction systematics: ~50 nuisance parameters**
  - Not a lot of external datasets to constrain systematics
    - Often measurements taken with different neutrino beam energy, different target nuclei
  - Some systematics interpolate between different models
  - Often we add ad-hoc uncertainties motivated by differences seen in external datasets
  - Examples are uncertainties on nucleon form factors, nuclear effects
  - See [NuSTEC white paper](#) on Neutrino Interaction systematics for more information



# Likelihood function

$$\mathcal{L} = \prod_{bins} \left( \frac{Poisson(N_{obs}^i, N_{pred}^i(o, f))}{Poisson(N_{obs}^i, N_{obs}^i)} \right) \times \mathcal{L}_{penalty}(o, f)$$

Poisson likelihood ratio for ND and/or FD,  $o$  = signal parameters,  $f$  = nuisance

- (N.B. include MC stat uncertainty based on Barlow-Beeston method)

We evaluate this Likelihood using two different techniques:

## Simultaneous ND+FD fit

- Use MCMC to sample Likelihood.
  - Samples all ~700 parameters
- Marginalise across nuisance parameters to produce credible intervals in 1D and 2D
- Can perform ND-only fits as well

## Sequential fit

- 1) Fit ND data using MINUIT based fitter. Gives covariance matrix describing ND constraint and correlations between all nuisance parameters
- 2) Hybrid-frequentist fitter uses matrix to make marginalisation toys of systematics
- 3) Build confidence intervals using  $\Delta\chi^2$  method

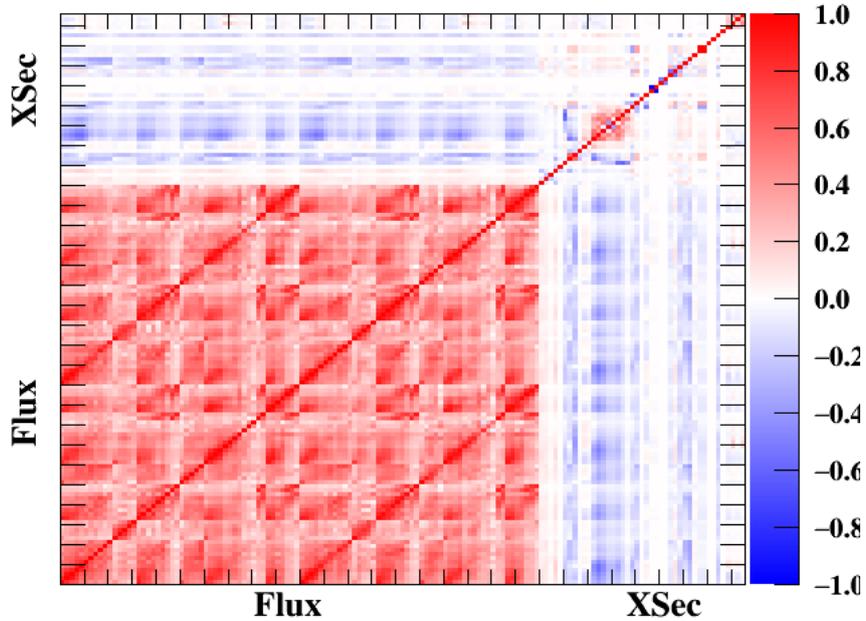
# Near Detector constraint

ND constrains systematics relative to priors.  
See some shifts away from prior central values.

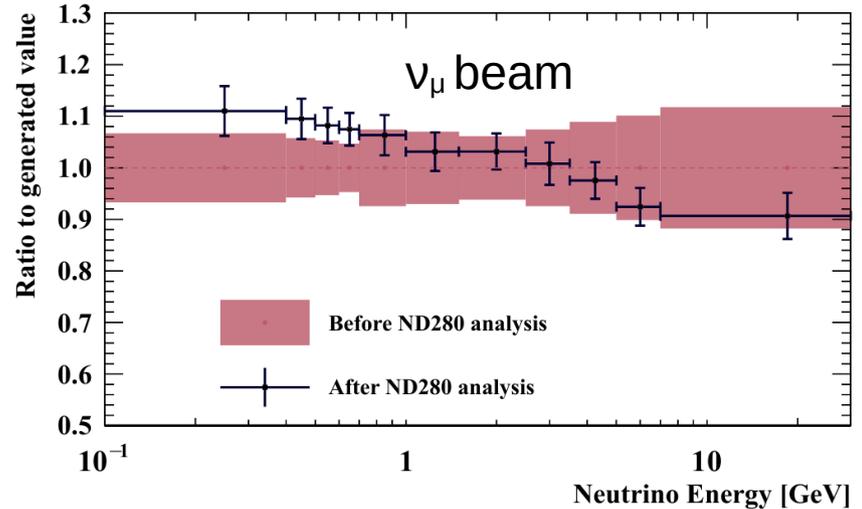
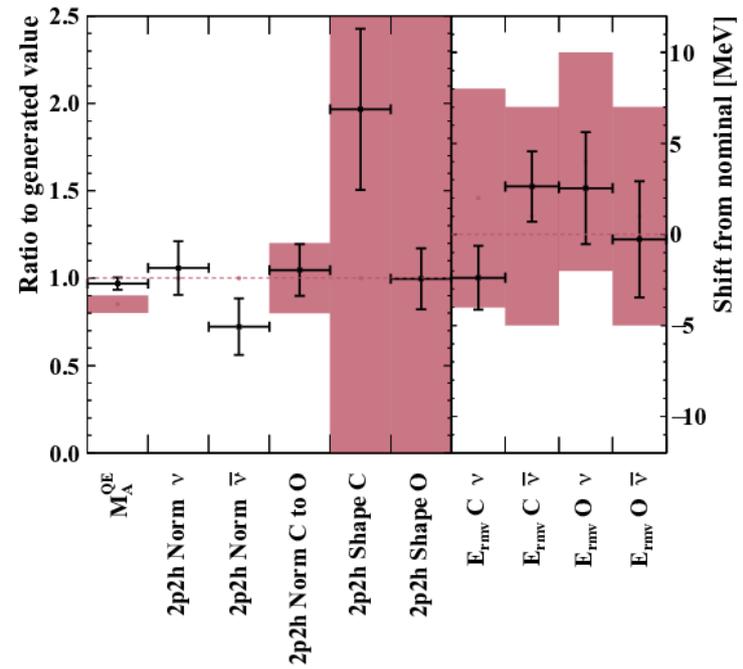
- Flat priors on some parameters
- Some systematics deliberately not fitted at ND, unconstrained uncertainty propagated to far detector

Cross-section and flux parameters become **highly (anti-)correlated**

Flux and Xsec Postfit Correlation Matrix

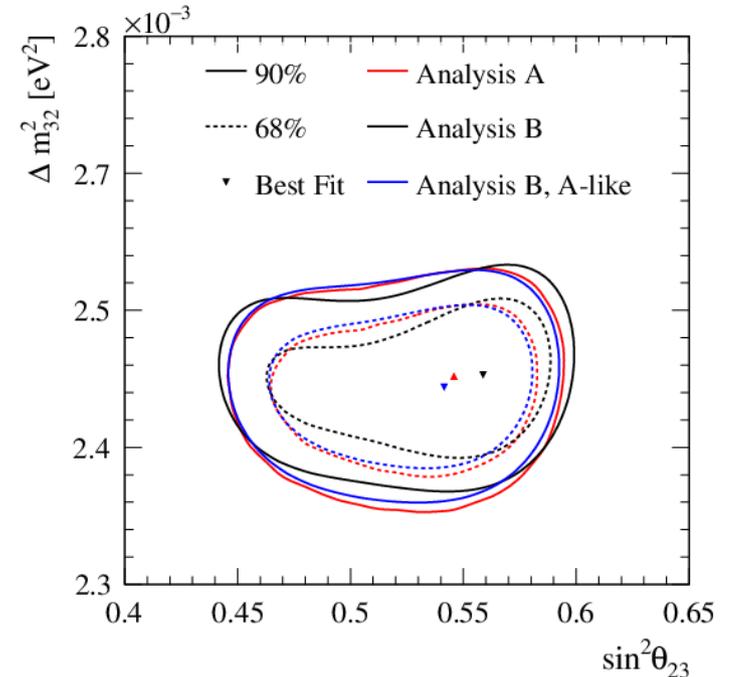
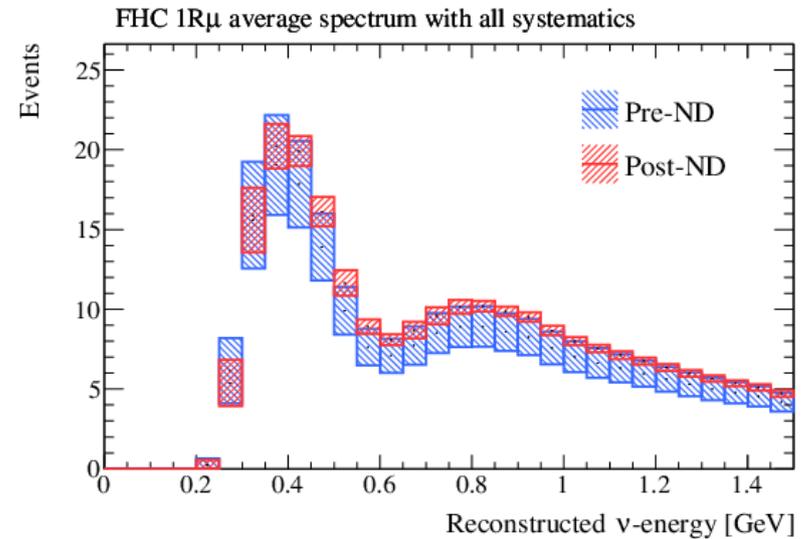


Preliminary



# ND constraint at FD

- The Near detector constraint significantly improves measurement at FD
- FD barely constrains any nuisance parameters
- See slight change in oscillation parameter constraints in simultaneous vs. sequential fits
  - **Analysis A** is simultaneous MCMC fit
  - Analysis B is sequential fit using Hybrid fitter
  - **Analysis B, A-like** is if Hybrid fitter throws toys from ND-only MCMC posteriors
- Statistics limited at far detector but choice of how propagate nuisance parameters does have a visible effect on our contours
  - Not a systematic uncertainty as such
  - Both results are officialised



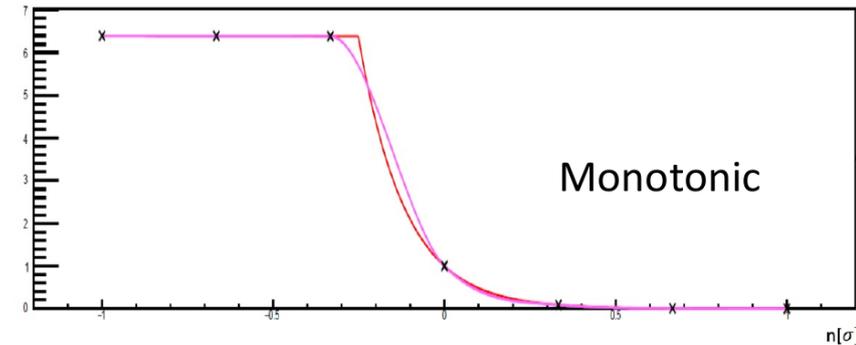
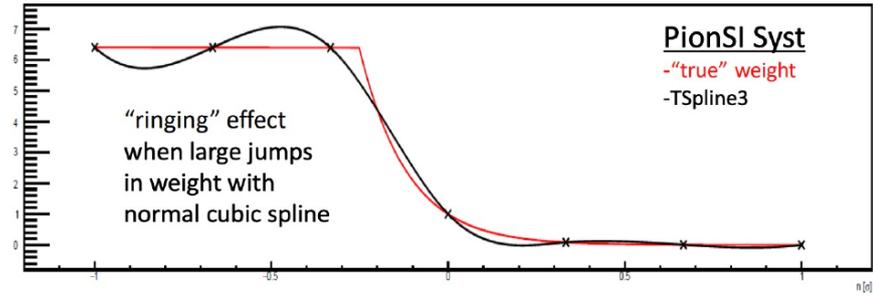
# Implementation of nuisance parameters in fitters

- Implementation of nuisance parameters for all fitters fall into three broad types:
  - **Normalisation:** simple weight applied to some bin, events of particular types or a range of a kinematic parameter
    - Beam and detector systematics implemented like this
  - Splined **response functions:**
    - Most neutrino interaction systematics
  - **Kinematics shifts:**
    - directly modify individual MC events reconstructed quantities
    - Some specific systematics
  - **Reweight MC event-by-event**

# Splined response functions

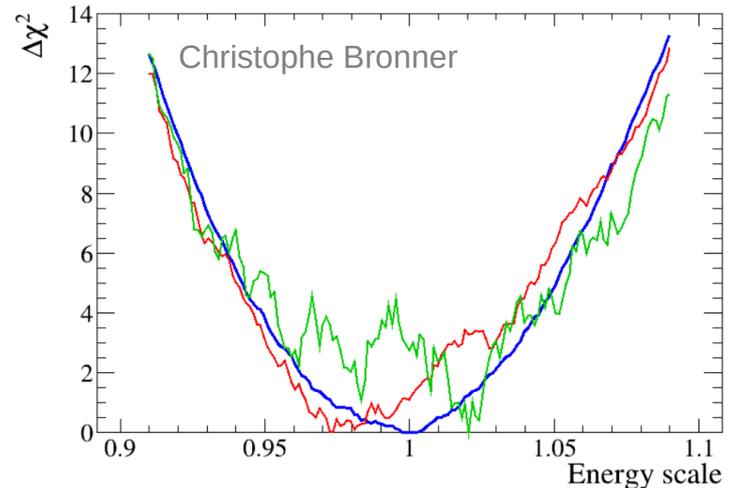
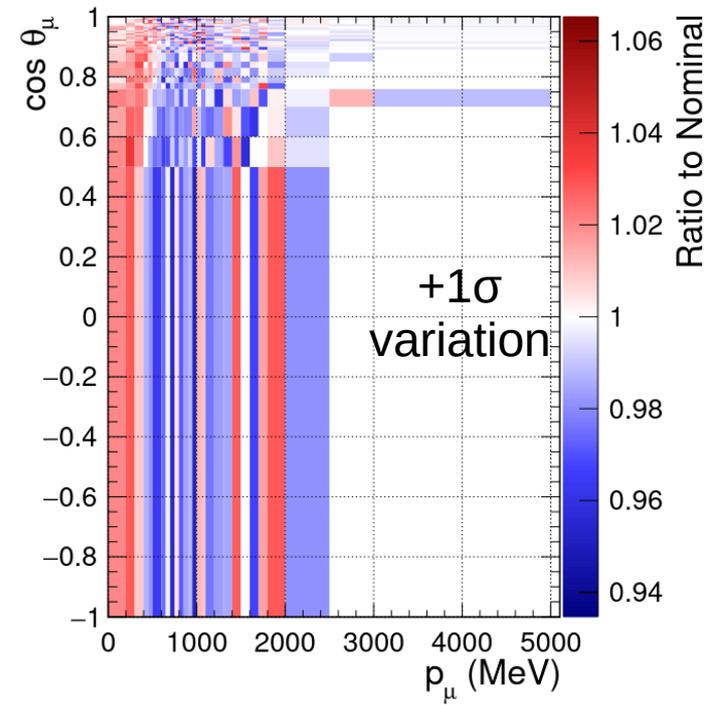
Ewan Miller

- Change parameters and evaluate change relative to nominal prediction
  - Typically evaluate change up to **3 sigma** of prior uncertainty
- **Interpolate** between these points using cubic splines
  - Cubic response not always ideal, can lead to “ringing”
  - Moving more to **monotonic cubic splines**
- Then have two choices for the splines:
  - **Bin all your splines** to create a mean response function for a given analysis bin
  - **Keep all splines for all events**
- At the Near Detector evaluate all splines for every event for every change of nuisance parameters
  - For T2K MC (~**2M events**) this is not a problem
  - On average 3.5 splines per event gives 1.3GB of RAM
  - Accelerate this on a GPU. Evaluation time is very small (~**0.05s**)
  - **Is this feasible for future experiments with O(100M) MC events?**
- At the Far detector, less worried about the averaging splines since constraint on systematics is negligible.
  - Use a binned the splined response per analysis bin, per systematic, per interaction type



# Kinematic Shifts

- Momentum scale systematics and Nuclear effects impact reconstructed variables directly
- Implement these systematics by directly modifying reconstructed variable
  - $X_{\text{simulated}} \pm F(f_i)$
- Individual MC events migrate across analysis bins
- Finding the bin an event migrates to can be computationally expensive
  - Cache the original bin an MC event falls in
  - After shift, first check adjacent bins only then
  - Computationally expensive to find bin for every MCMC step
- Bin migration will cause discontinuities in your likelihood
  - Gradient based fitters have to find alternative implementations
    - Such as a splined responses, regularisation of bin widths
  - Metropolis-Hastings algorithm for MCMC doesn't care if likelihood is discontinuous as acceptance probability is a ratio of likelihoods

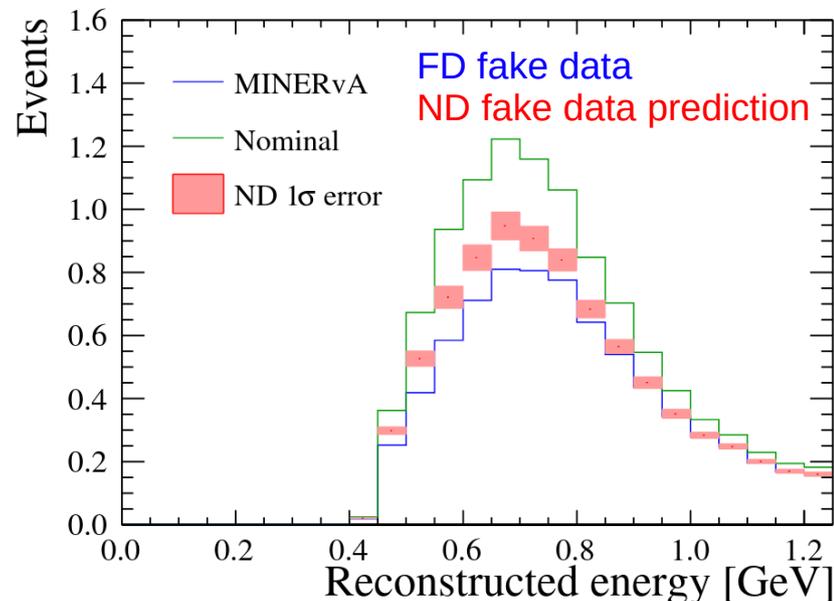


# Fake Data Studies

We want to check our systematic model is robust to discrete changes to our model.

On T2K we have a home brewed procedure to do this:

- Create “fake data” at ND and FD with change to our model
- Fit this fake data at the ND and propagate to FD
- If our systematic model is robust we still extract the oscillation parameters with a small bias
- If 1D interval on signal parameters change by more than 50% of our systematic uncertainty “action” is taken
  - We might add in an ad-hoc parameter to inflate our systematic uncertainty
  - We might smear our final contours
- Calculate systematic uncertainty as  $\sqrt{(\sigma_{\text{total}}^2 - \sigma_{\text{stat}}^2)}$  ... not reliable to do around physical boundaries
- If any of our statements on excluding values also has to be true in the fake data studies
  - e.g.  $d_{\text{CP}} = 0$  is excluded at  $3\sigma$  has to be true in all our fake data studies as well as the real data fits



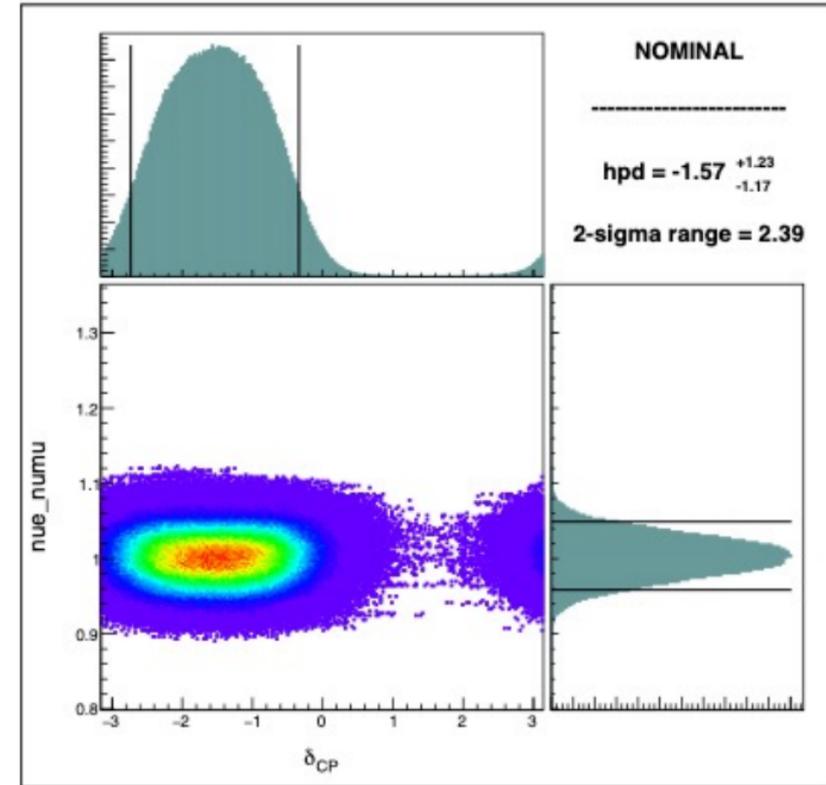
arXiv:2303.03222 [hep-ex]

| Simulated data set | Relative to | $\sin^2 \theta_{23}$ | $\Delta m_{32}^2$ | $\delta_{\text{CP}}$ |
|--------------------|-------------|----------------------|-------------------|----------------------|
| CCQE 3-comp nom.   | Total       | 1.0%                 | 0.4%              | 0.8%                 |
|                    | Syst.       | 2.5%                 | 1.1%              | 3.1%                 |
| CCQE 3-comp high   | Total       | 1.3%                 | 0.7%              | 0.3%                 |
|                    | Syst.       | 3.2%                 | 1.8%              | 1.1%                 |
| CCQE 3-comp low    | Total       | 0.7%                 | 0.2%              | 0.2%                 |
|                    | Syst.       | 1.7%                 | 0.6%              | 0.8%                 |
| CCQE z-exp nom.    | Total       | 2.5%                 | 0.2%              | 0.6%                 |
|                    | Syst.       | 6.1%                 | 0.6%              | 2.2%                 |
| CCQE z-exp high    | Total       | 0.3%                 | 2.1%              | 0.4%                 |
|                    | Syst.       | 0.7%                 | 5.7%              | 1.7%                 |
| CCQE z-exp low     | Total       | 3.1%                 | 0.2%              | 0.1%                 |
|                    | Syst.       | 7.5%                 | 0.6%              | 0.6%                 |

# Shrink and Pull studies

- From the MCMC analysis, we then have a large posterior to study
- One way to assess the impact of key systematics is to reweight steps in the Markov chain to have a tighter prior
  - Weight =  $p_{\text{new}} / p_{\text{old}}$
  - i.e. “what we happen is constraint on systematics was tighter?”
  - Caveat: we can only do this for single systematics at a time due to MCMC statistics
- Shows how oscillation parameter constraints change for particular systematics changes

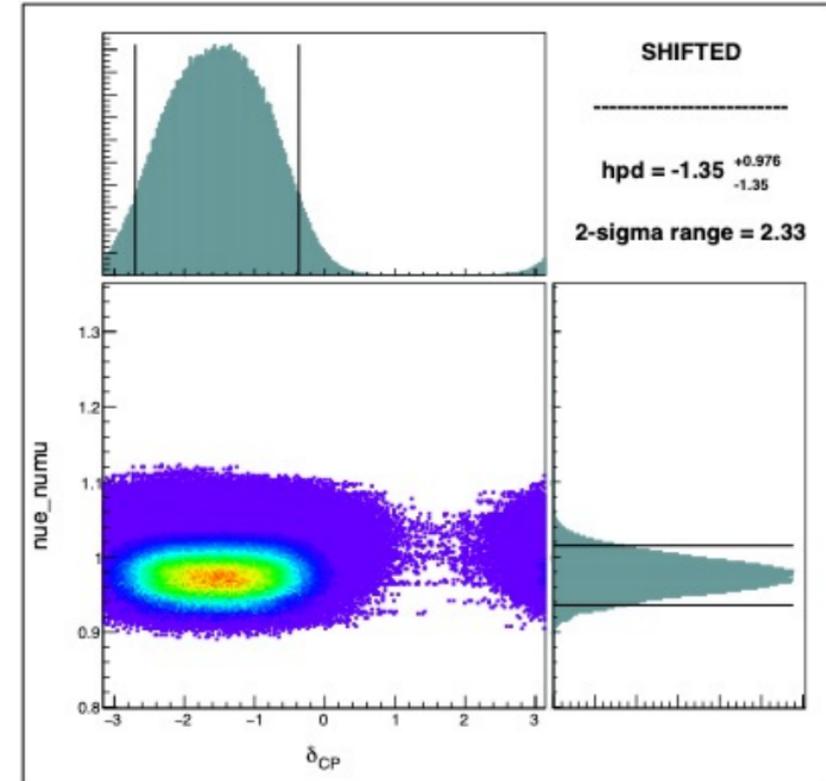
P. Dunne



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P. Dunne



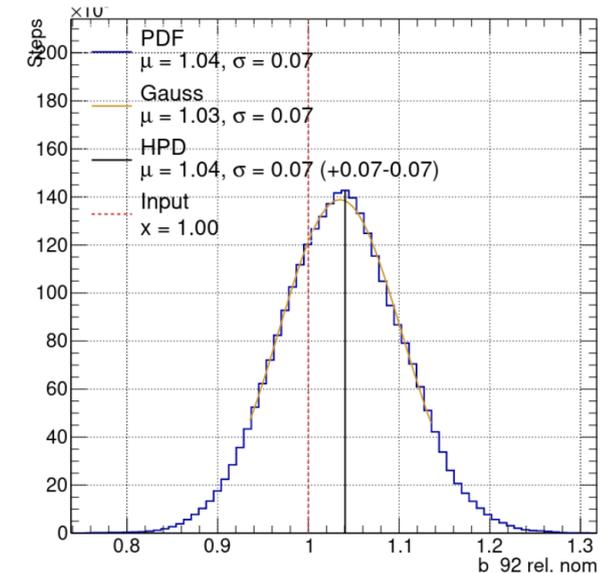
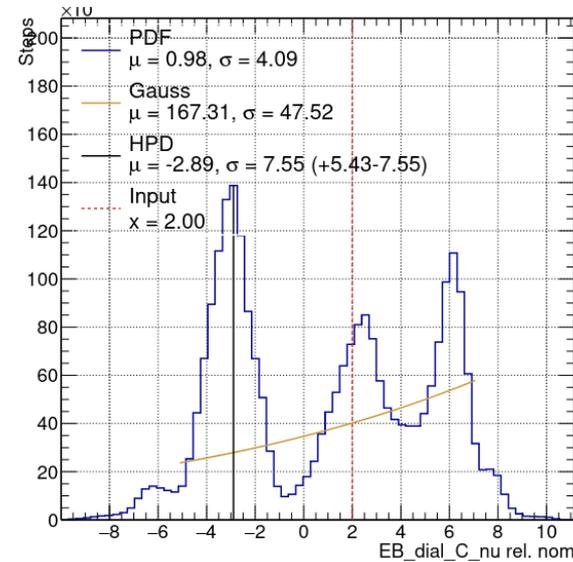
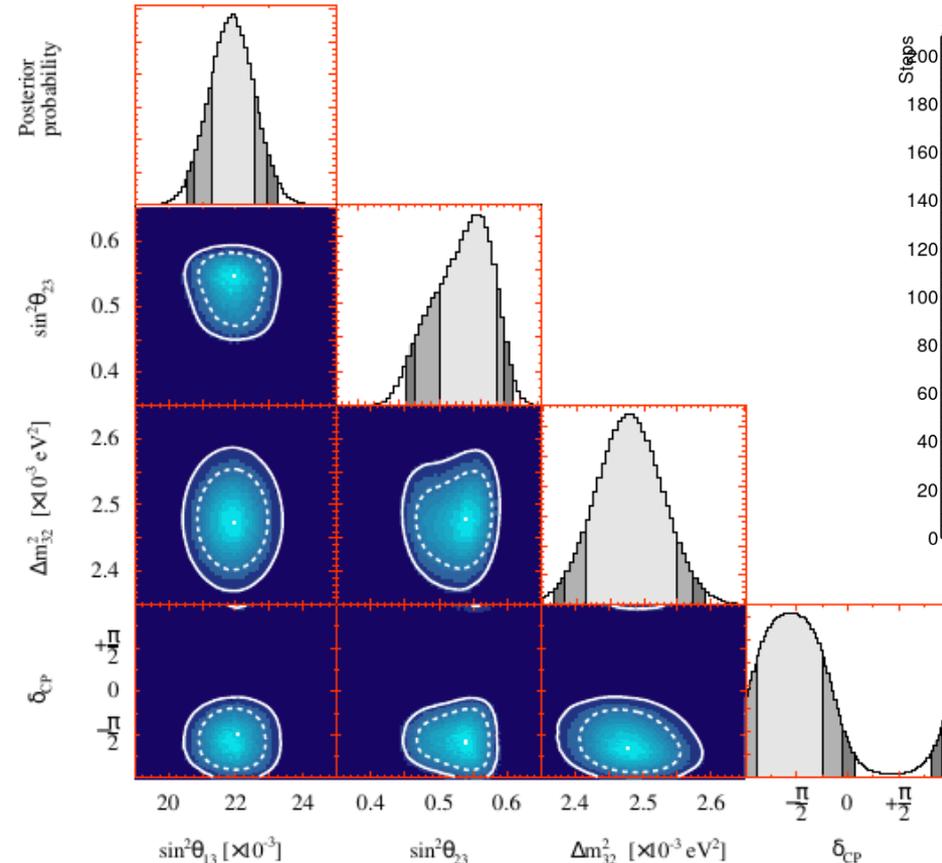
# Summary

- Neutrino Oscillation analyses
  - Near detector has high statistics and constrains our systematics
  - Far detector has much fewer statistics but is sensitive to signal parameters
  - We have to be **careful how we propagate our systematic constraint** to the far detector
- Systematics parameters in fitters:
  - Event-by-event treatment of systematics parameters
  - Marginalise over large number of nuisance parameters
- Post-fit studies of systematics
  - Fake data studies can be used to check robustness of our systematic model
  - Shrink and pull studies are a nice simplistic method for checking how tighter systematic constraints would affect our result
- In the next 5-10 years new experiments will collect 100 times more data.
  - Results will not be statistics limited for much longer!
  - We want to make sure our treatment of systematics and statistical techniques are up to the challenge!



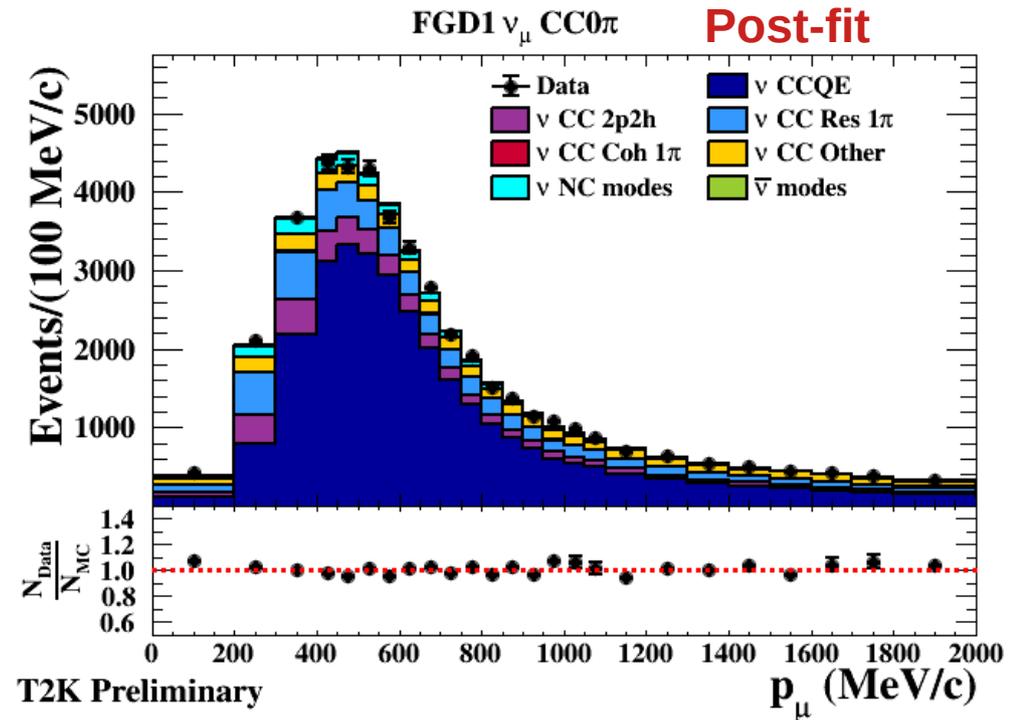
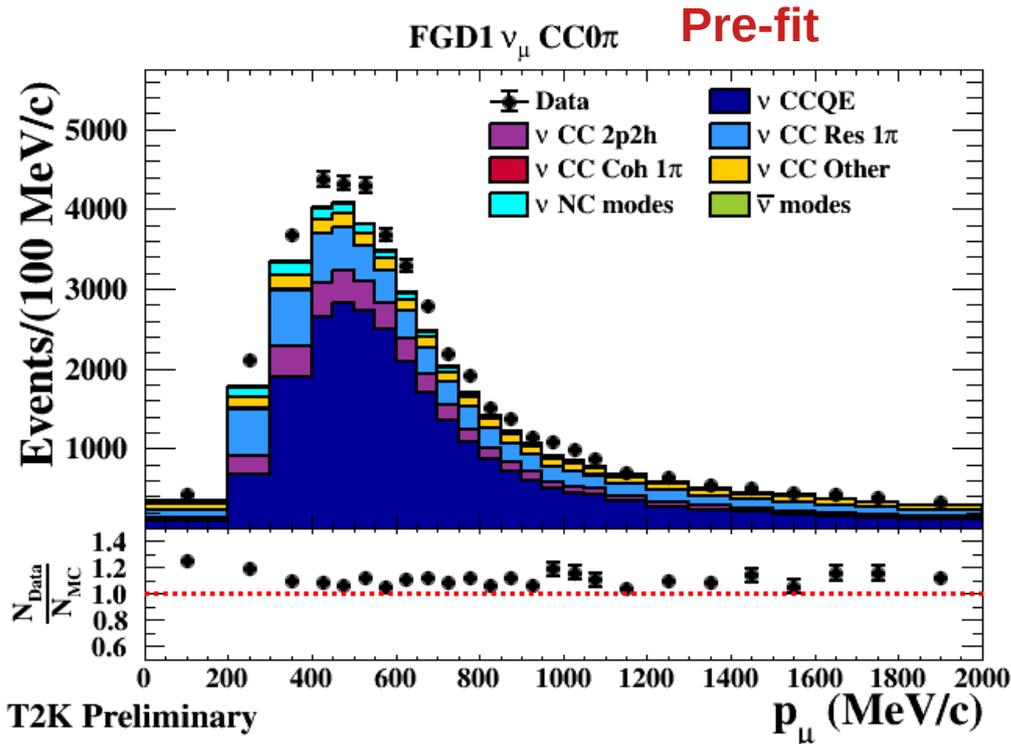
# Marginalisation of nuisance parameters

- For all the fitters we marginalise across all nuisance parameters
  - marginalise across  $\sim 700$  nuisance parameters down to 1D or 2D posteriors on signal param
  - Report a 4D highest posterior density point as well
- Some nuisance parameters are very gaussian others can be very non-gaussian



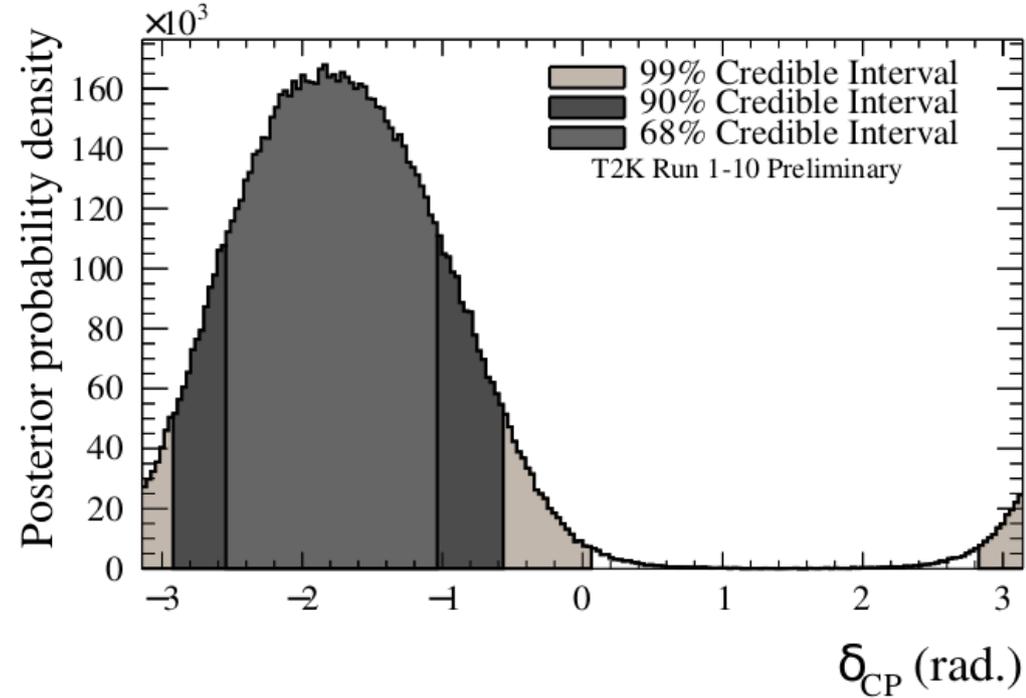
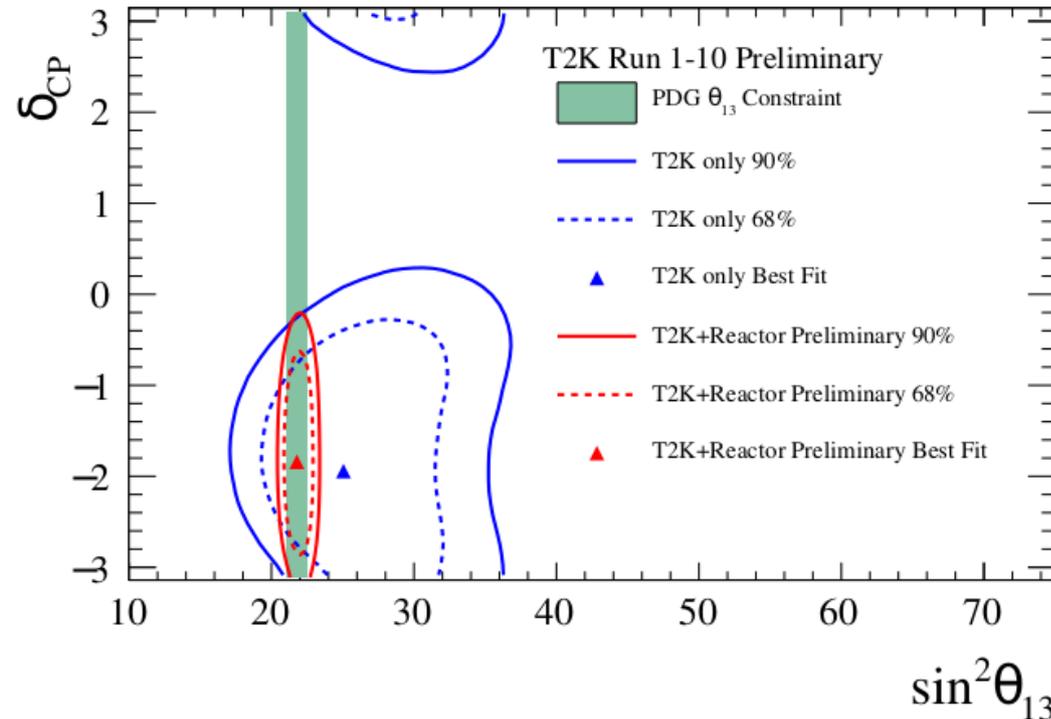
# ND280 fit results

- ND280 data constrains systematic uncertainties before oscillations
- Significantly reduces uncertainty on prediction at SK
- The ND280 fit matches our data well (prior model p-value of 74%)



# $\nu_e$ appearance results

- T2K prefers value of  $\delta_{CP} \approx -\pi/2$
- Disfavour CP conserving values of 0 and  $\pi$  at **90%** confidence



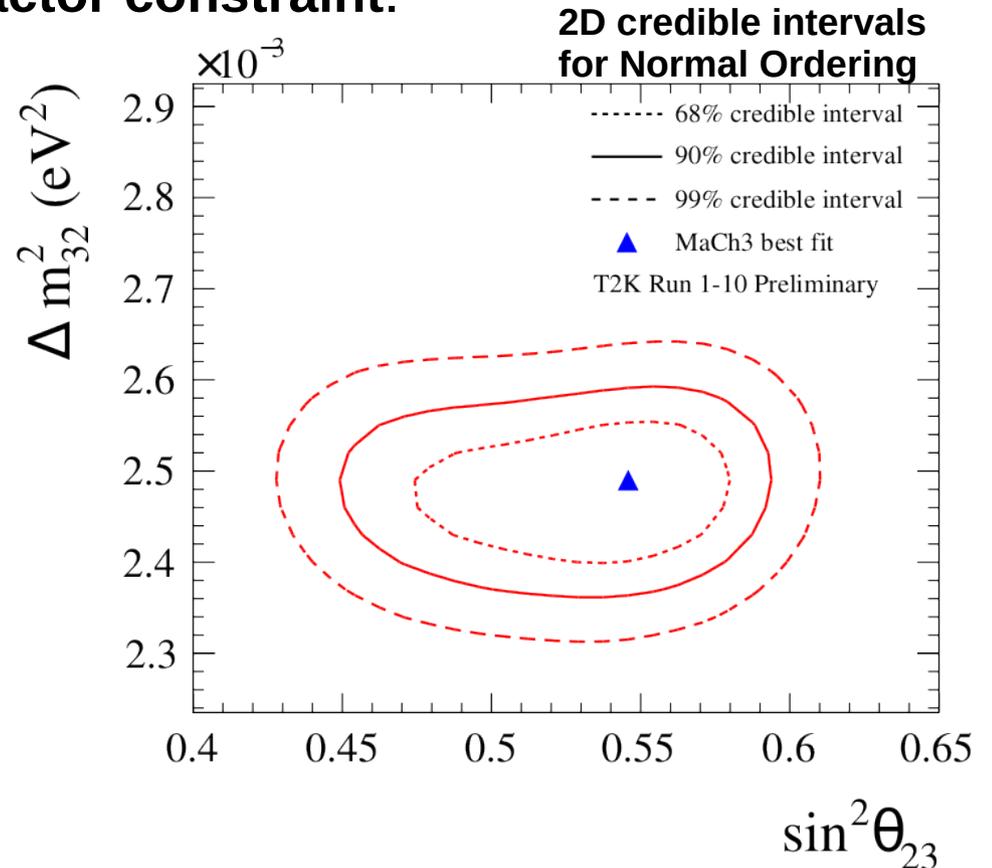
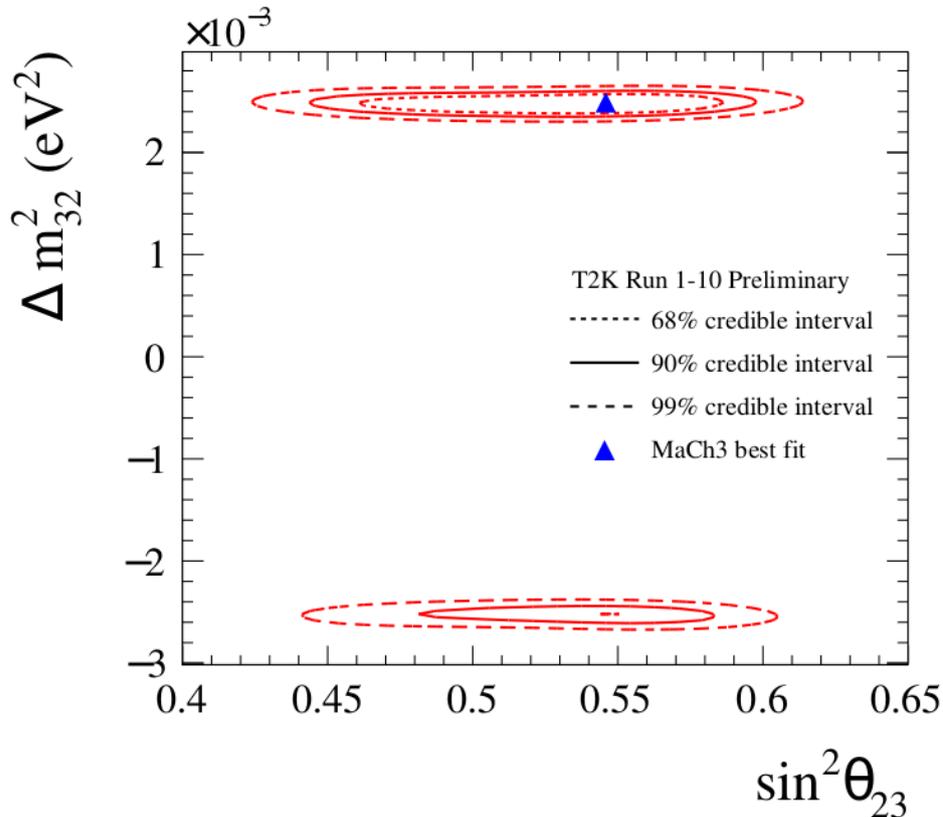
- T2K-only measurement of  $\theta_{13}$  compatible with PDG average.

# $\nu_\mu$ disappearance results

T2K prefers Normal Ordering.

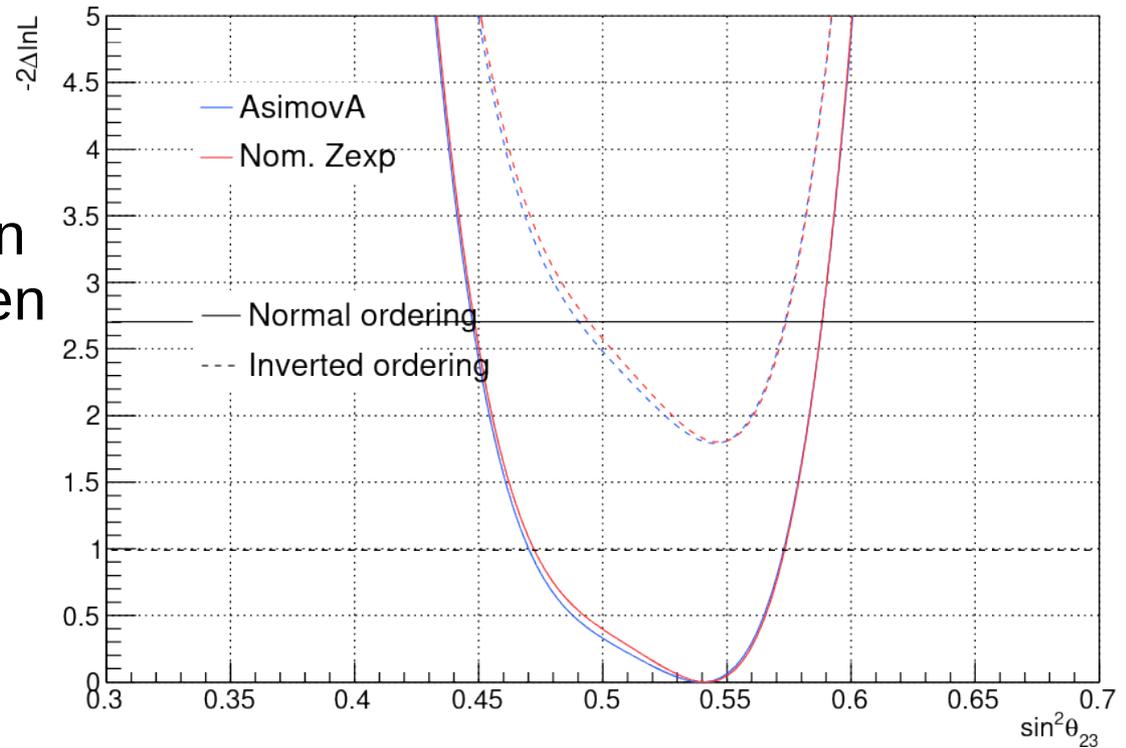
T2K prefers **Upper octant** of  $\sin^2\theta_{23}$  and slight preference for **non-maximal**  $\sin^2\theta_{23}$ .

Results shown here are using the **PDG reactor constraint**.



# Robustness Studies

- Want to check analysis is robust to choice of MC.
- Simulate data using alternative interaction models e.g. alternate form factors for CCQE, change in pion production model, data-driven changes to the model
- Small changes in  $\delta_{CP}$  limits.  
Largest bias causes left (right) edge of 90% interval to move by 0.073 (0.080)
- Apply smearing to  $\Delta m_{32}^2$  contours of  $8.65 \times 10^{-6} \text{ eV}^2/c^4$  from largest bias seen.

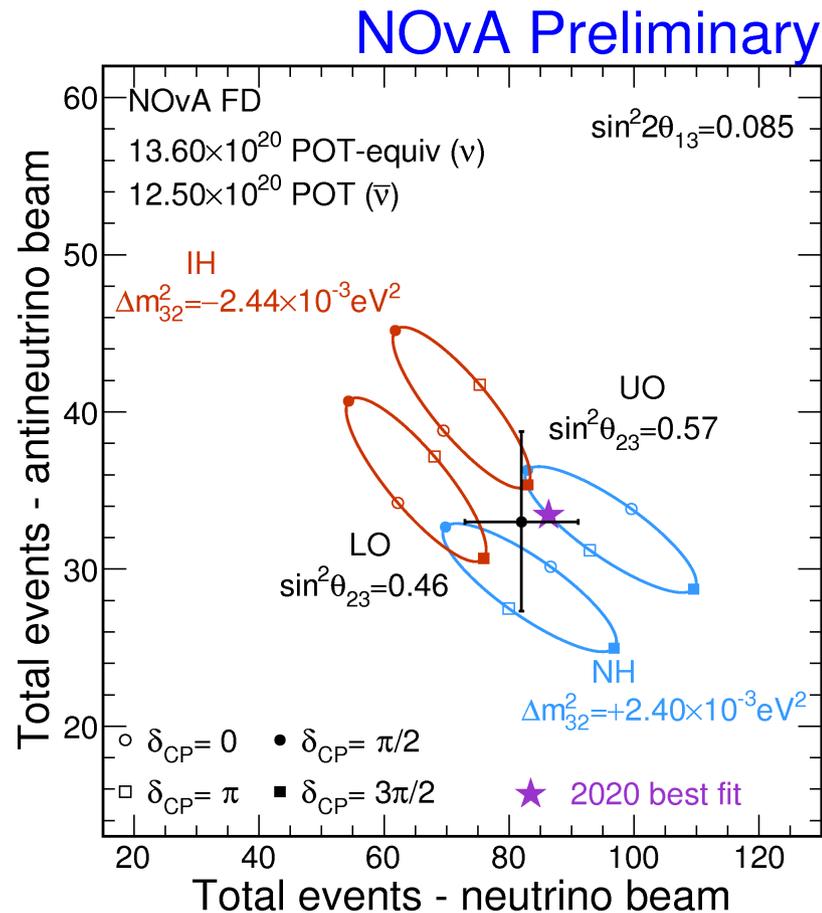
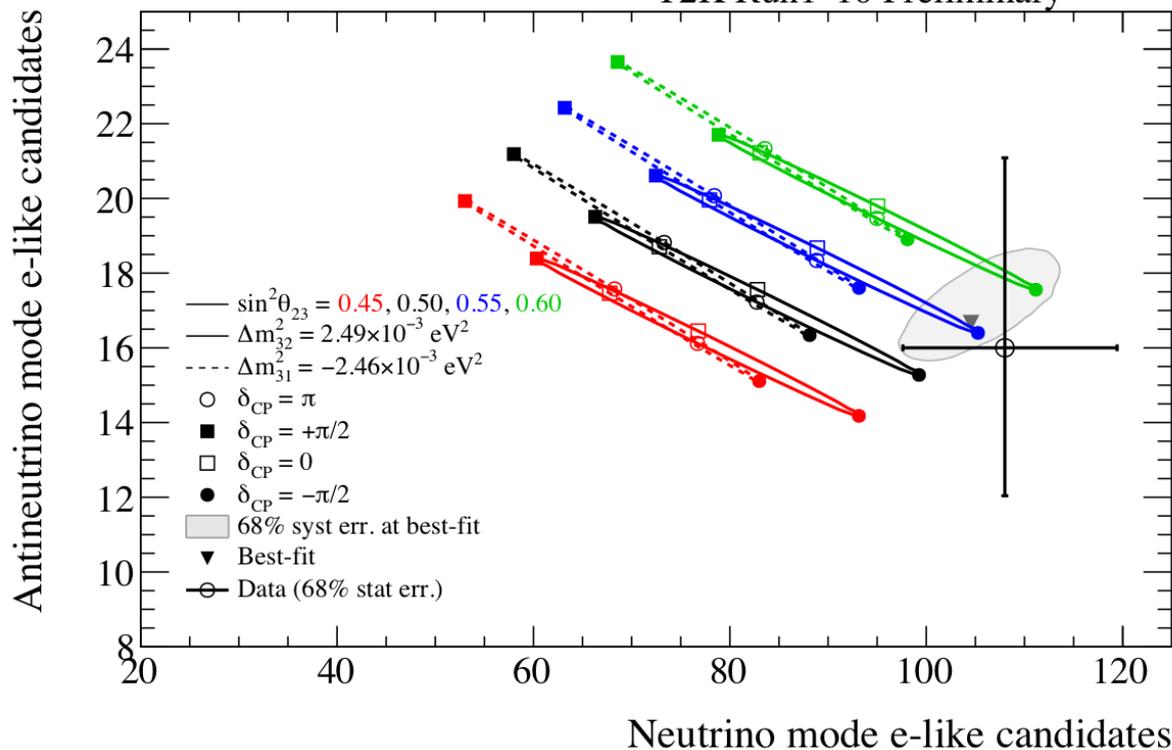


$\sin^2 \theta_{23}$  with reactor constraint

# Comparison of results to NOvA

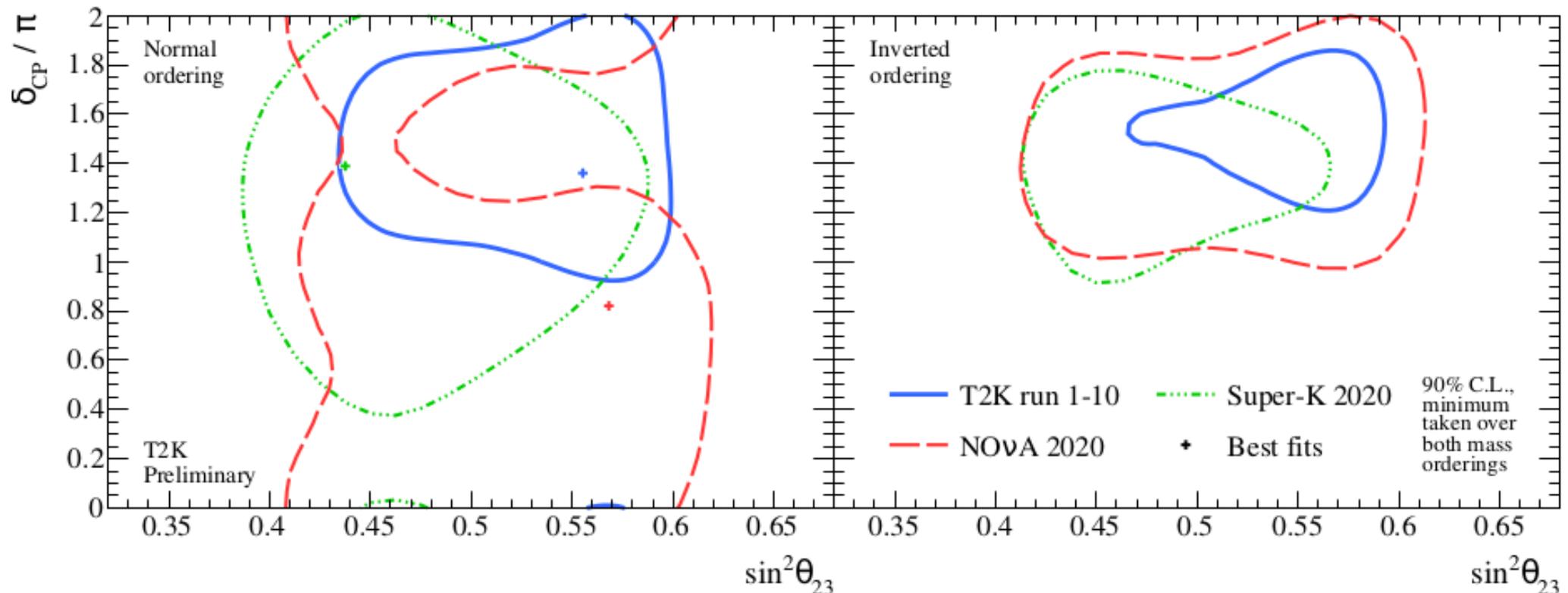
- NOvA experiment is a long-baseline neutrino experiment in the USA.  
See Erika's talk next!

- Baseline of 810 km
- Higher energy and broader neutrino flux



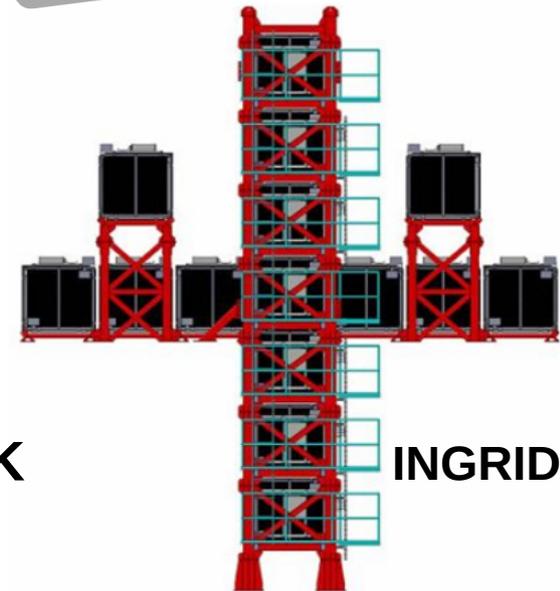
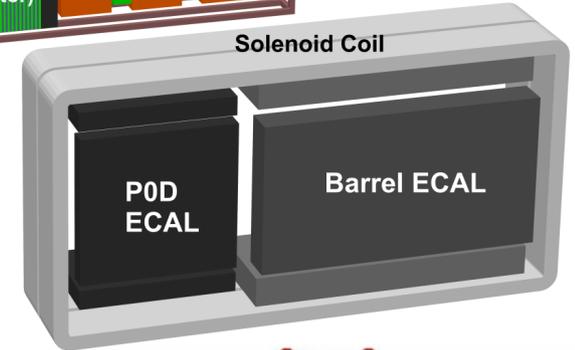
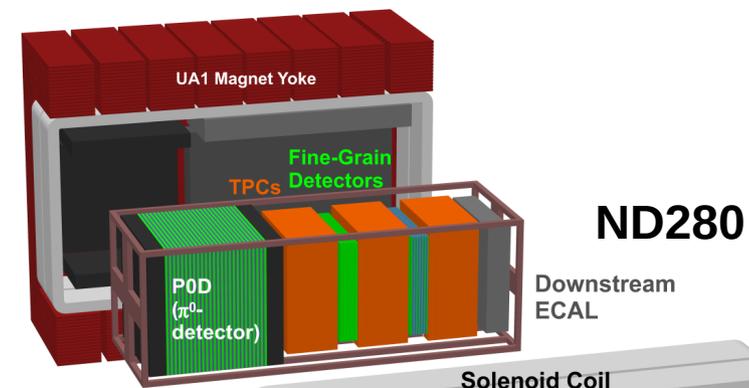
# Comparison of results to NOvA

- T2K prefers  $\delta_{\text{CP}} \approx -\pi / 2$  and NOvA disfavours this region slightly.
- In Normal Ordering slight disagreement. Inverted Ordering agrees well.
- **Reminder:** both experiments have different sensitivities and both experiments still statistics limited.



# Near Detectors

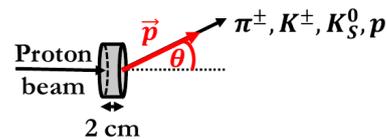
- **Near Detector at 280m (ND280)** is situated 280m downstream of neutrino production point
  - Fine Grain Detectors (FGDs) - Plastic scintillator based
  - Time Projection Chambers (TPCs) – measures momentum and gives excellent PID
  - All inside UA1 magnet provides 0.2 T field
- **Interactive Neutrino Grid (INGRID)** monitors neutrino beam position and direction. Made from 14 scintillator modules
- **Measure neutrino beam characteristics before oscillations**
- **Very active cross-section measurement program at T2K**



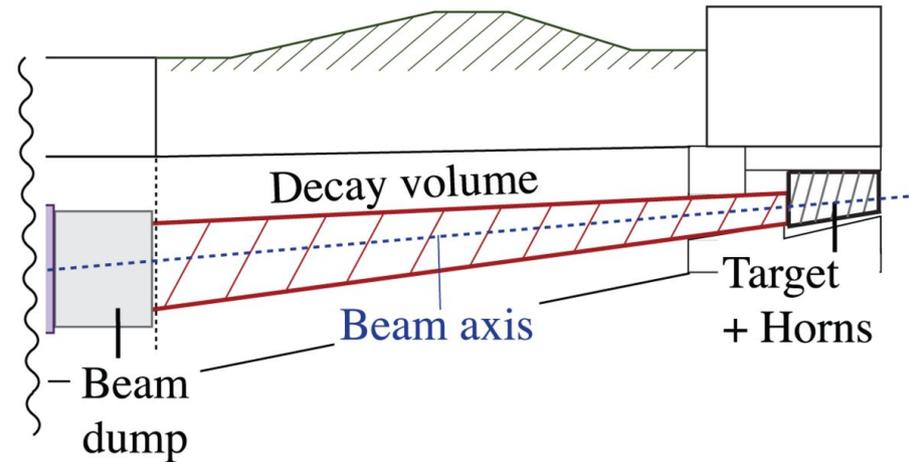
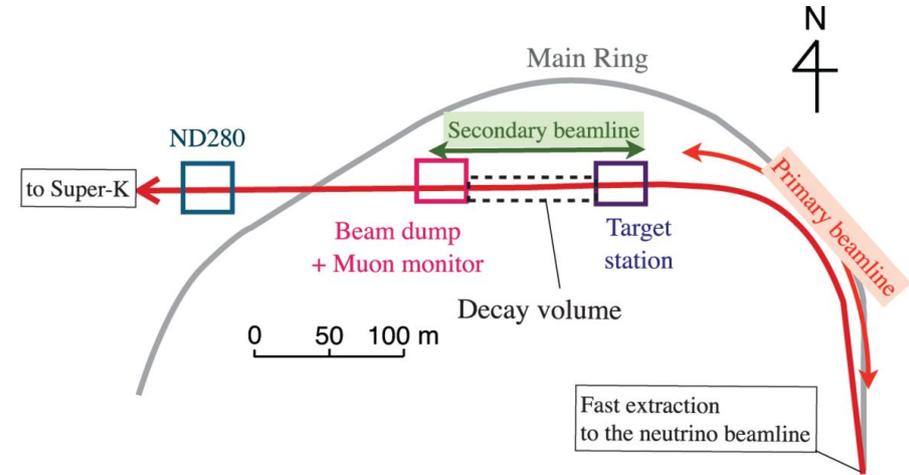
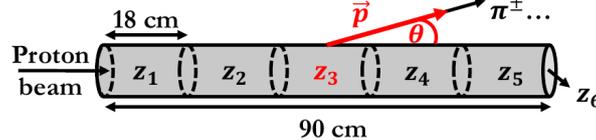
# Neutrino Flux

- Neutrino beam is produced by colliding protons from J-PARC facility with graphite target
- Many hadrons are produced in collision
- Hadrons are focussed by a series of **magnetic horns**
- These hadrons (mainly  $\pi$ , K) **decay** to produce neutrinos
- Ideally we would like a pure muon (anti-)neutrino beam
- Can run in **neutrino mode** and **anti-neutrino mode** by changing direction of field in horns
- Proton beam and neutrino beam are measured by a series of **beamline monitors**
- **External constraints** on production of hadrons on/in target used from **NA61 experiment**

Thin-Target Data



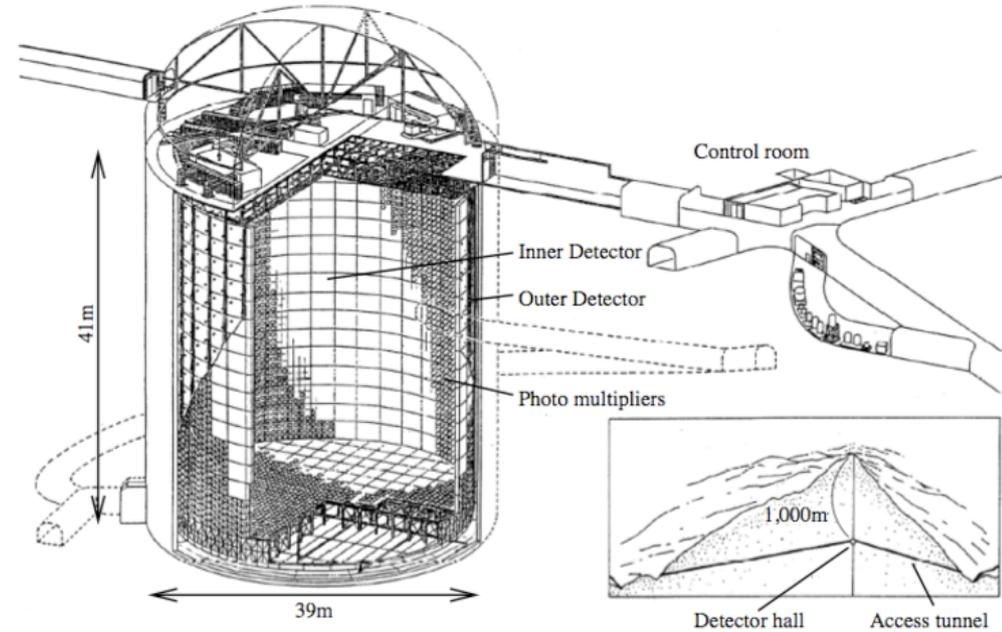
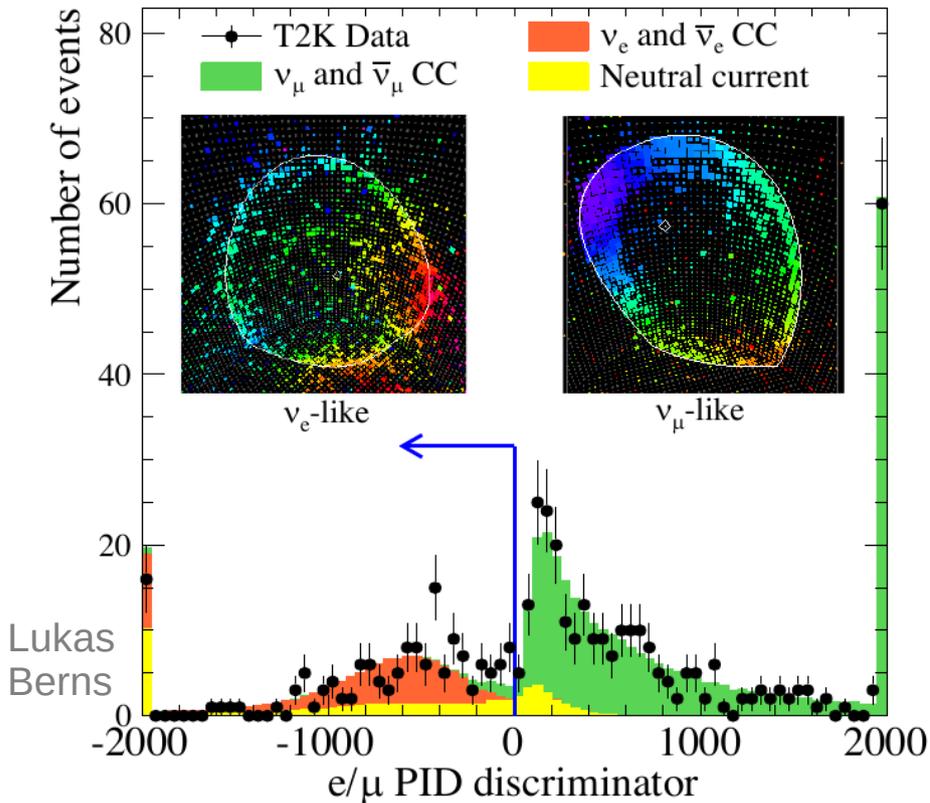
Replica-Target Data



# Super-Kamiokande

- **50 kt water-Cherenkov detector**
- Split into two regions: inner and outer detector
- Instrumented with **PMTs**

Adapted from [arXiv:1910.03887v2](https://arxiv.org/abs/1910.03887v2)



- **Particles are identified by their Cherenkov rings**
  - **Muons** produce **sharp** Cherenkov rings
  - **Electrons** scatter more so produce “fuzzier” rings
- Pions tagged by looking for **Michel electrons**

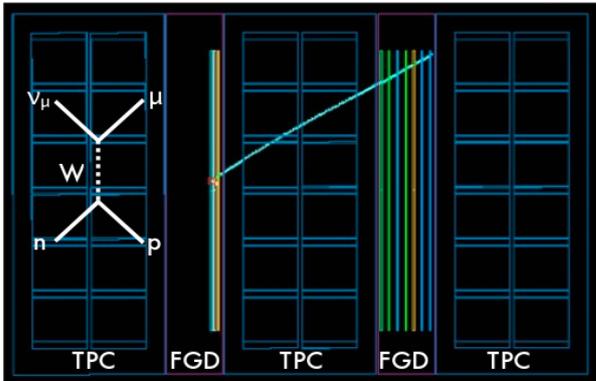
# ND280 data samples

Always require one reconstructed muon.

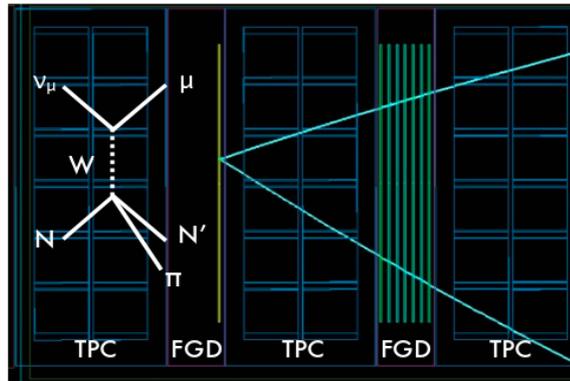
Select events in FGD1 or FGD2.

Three topologies based on number of pions.

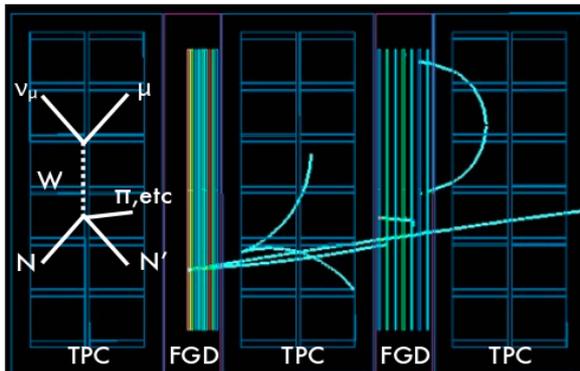
CC0 $\pi$



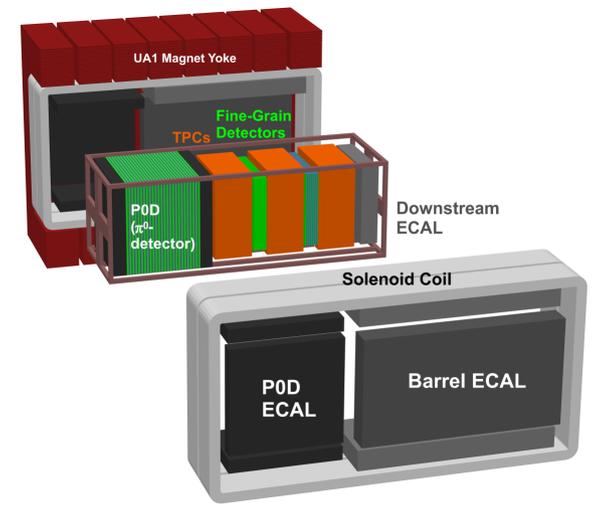
CC1 $\pi^+$



CC other



Asher Kaboth

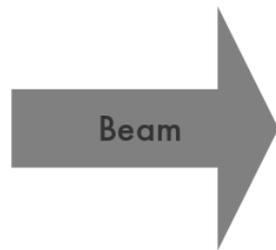


**CC0 $\pi$**  – no  $\pi$  in the final state

**CC1 $\pi^{+(-)}$**  – a charged pion in the final state

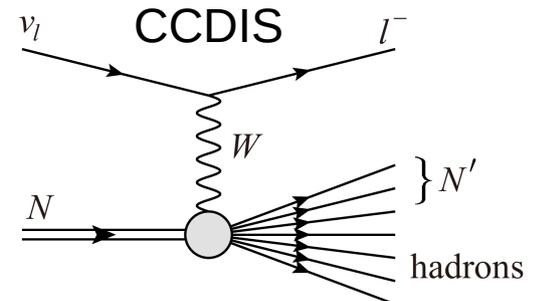
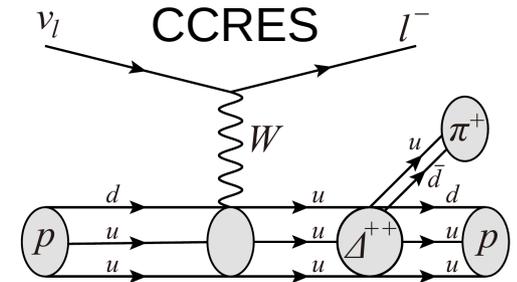
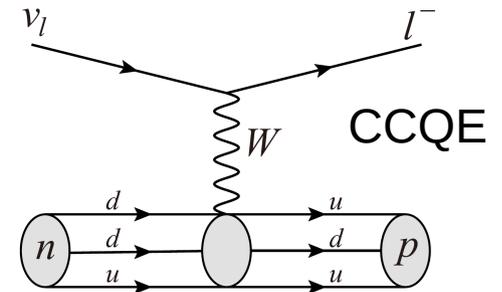
**CC-Other** – everything else!  
Multiple  $\pi$ s, gammas,  $\pi^0$ ...

Selections in neutrino and anti-neutrino mode; 18 in total.



# Neutrino interaction modelling

- Important to understand how neutrino interact otherwise we can't accurately reconstruct neutrino energy
- Interactions occur within a nucleus, propagation of particles through nucleus also needs to be modelled. Commonly referred to as Final State Interactions (FSI)
- At T2K energies, Charged Current (CC) Quasi-Elastic (QE) interactions are most dominant type, significant number of multi-nucleon interactions (2p-2h) and resonant pion production (RES). Some Deep Inelastic Scattering (DIS)
- T2K uses the NEUT (5.4.0) neutrino event generator for simulations
- Prior uncertainties motivated by external data sets (e.g. bubble chamber data) and theory

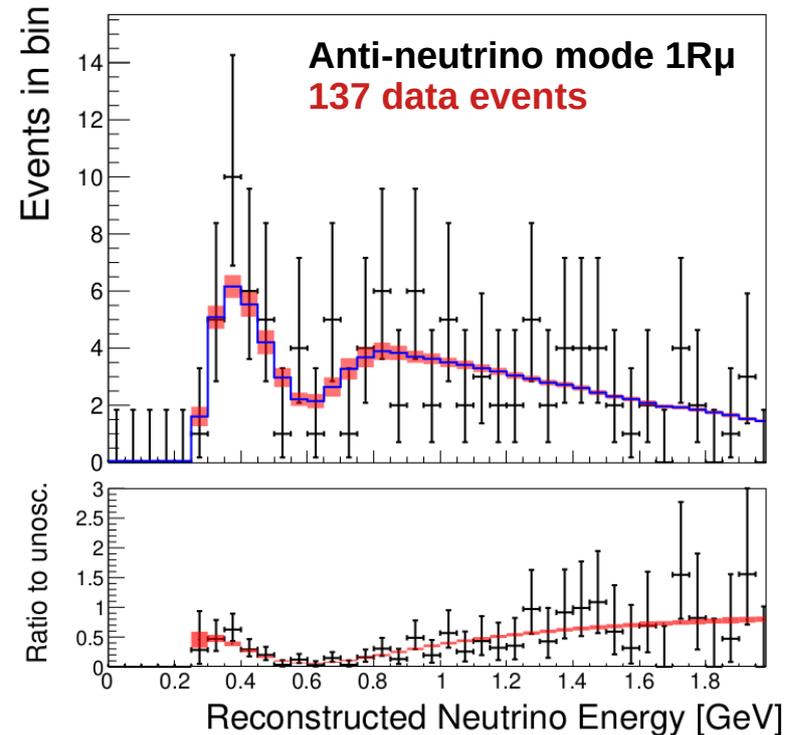
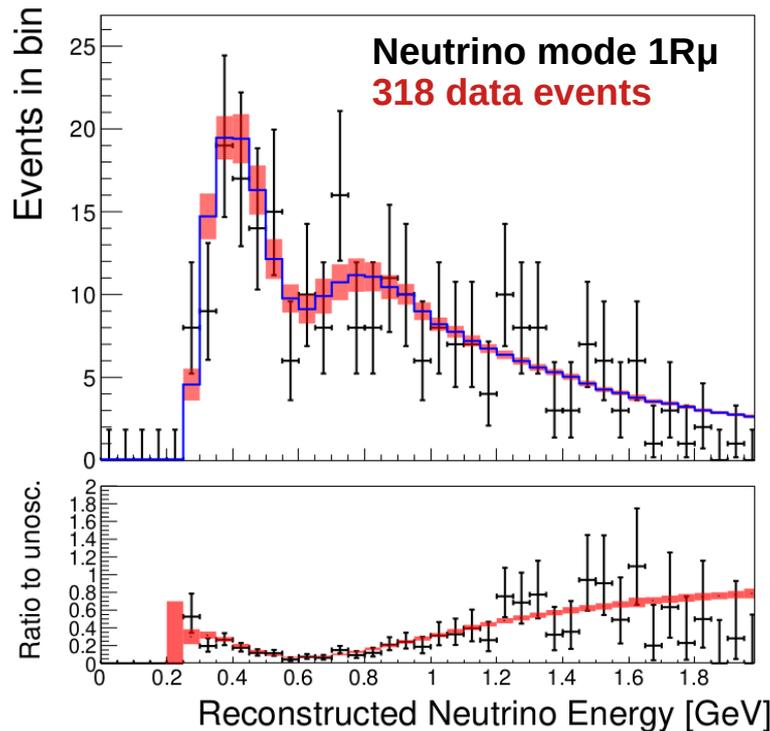


# SK data fit results

Two samples with 1 muon-like cherenkov ring: neutrino mode and anti-neutrino mode.

Systematic uncertainty band is given by red band and statistical uncertainty on data given by error bars.

Systematic uncertainty on rate is 3% for neutrino mode and 4% for anti-neutrino mode.

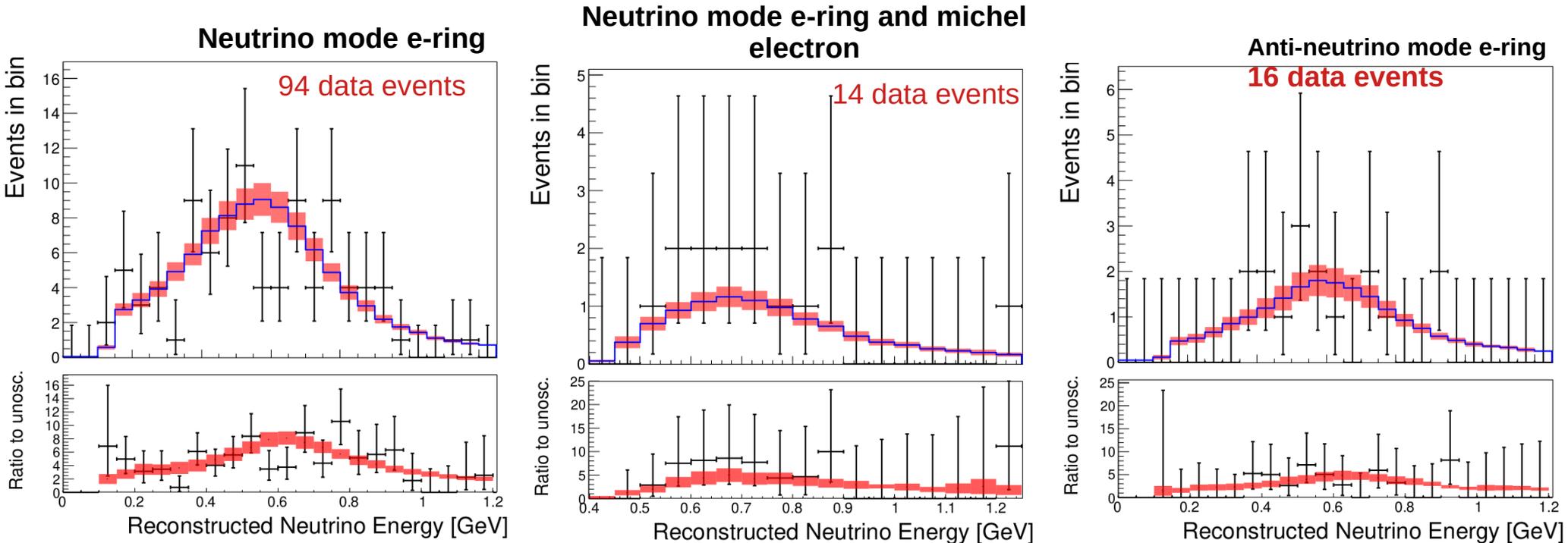


# SK data fit results

Three samples with e-like cherenov rings:

- Two samples with one e-like ring; one in neutrino mode and one in anti-neutrino mode
- One sample with one e-like ring and Michel electron from pion below cherenkov threshold

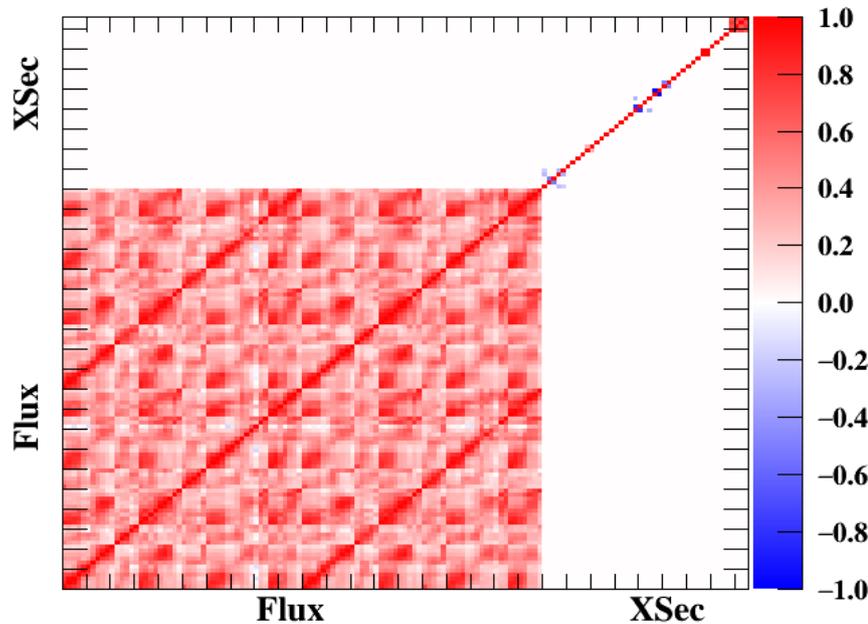
Uncertainty on rate is 4.7%-5.9% for single ring e-like samples and 14.3% for Michel electron sample.



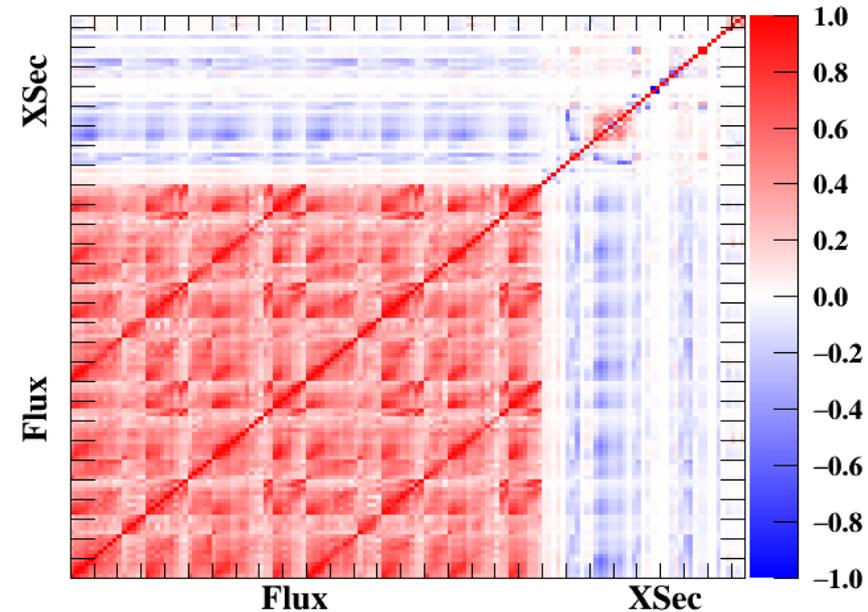
# ND280 fit results

- ND280 data constraints uncertainties on neutrino interactions and neutrino flux before oscillations have occurred
- Significantly reduces uncertainty on prediction at SK
- ND280 constrains systematics to the  $\sim 3\%$  level
- The ND280 fit matches our data well (prior model p-value of 74%)

**Flux and Xsec Prefit Correlation Matrix**

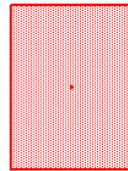
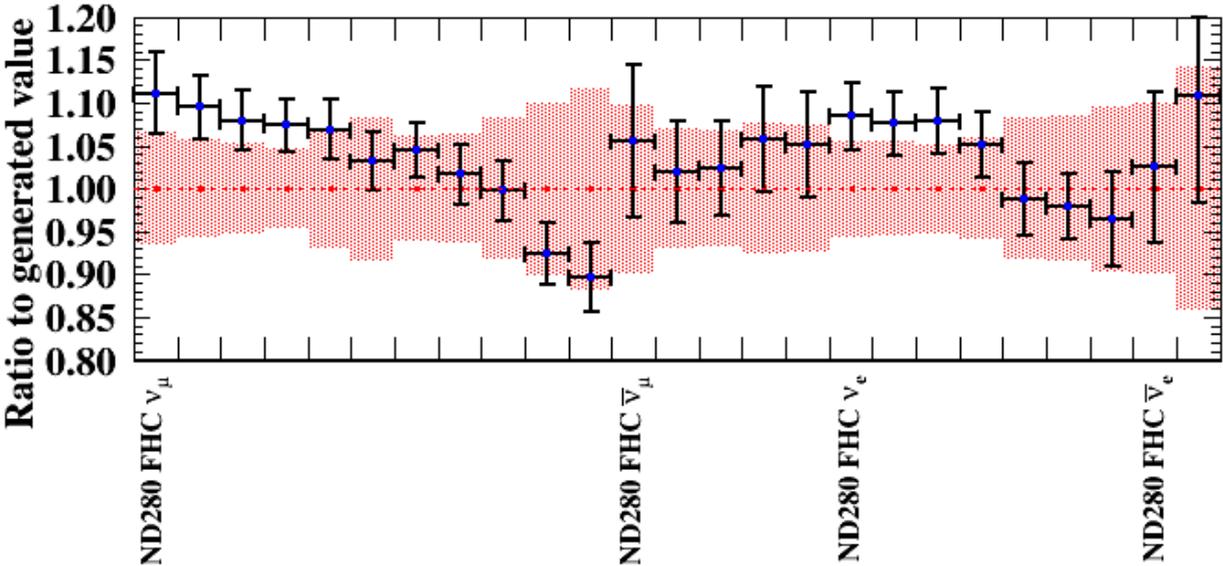


**Flux and Xsec Postfit Correlation Matrix**

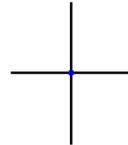


# ND280 $\nu$ Mode Flux T2K Preliminary

## ND280 post-fit parameters: flux

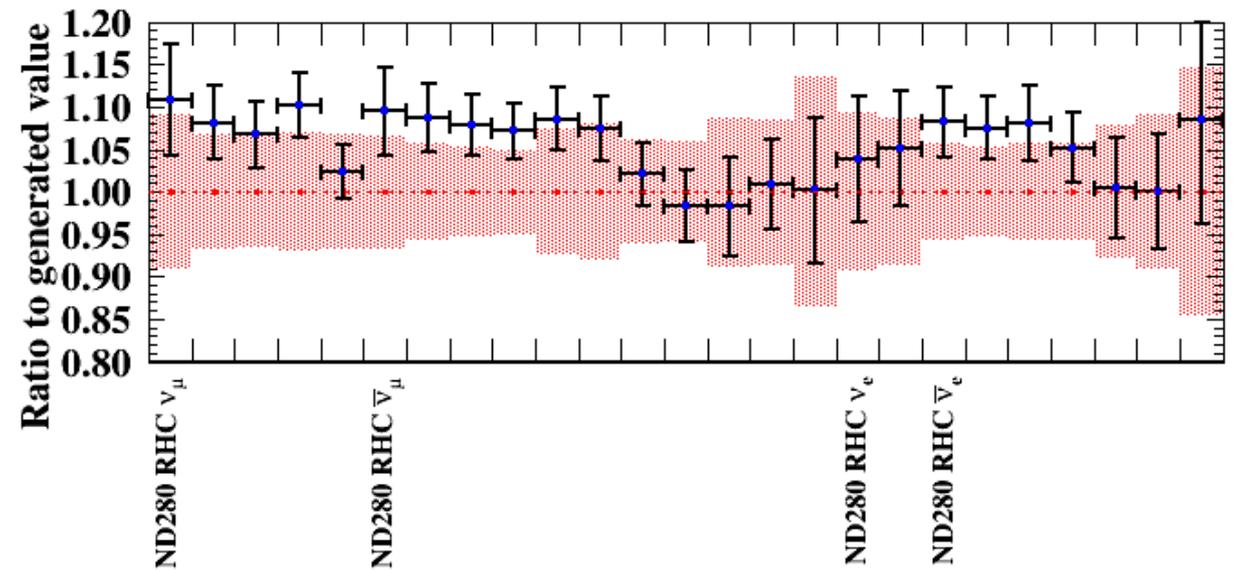


Prior to ND280 constraint



After ND280 constraint

# ND280 $\bar{\nu}$ Mode Flux T2K Preliminary



# Systematic uncertainty at SK

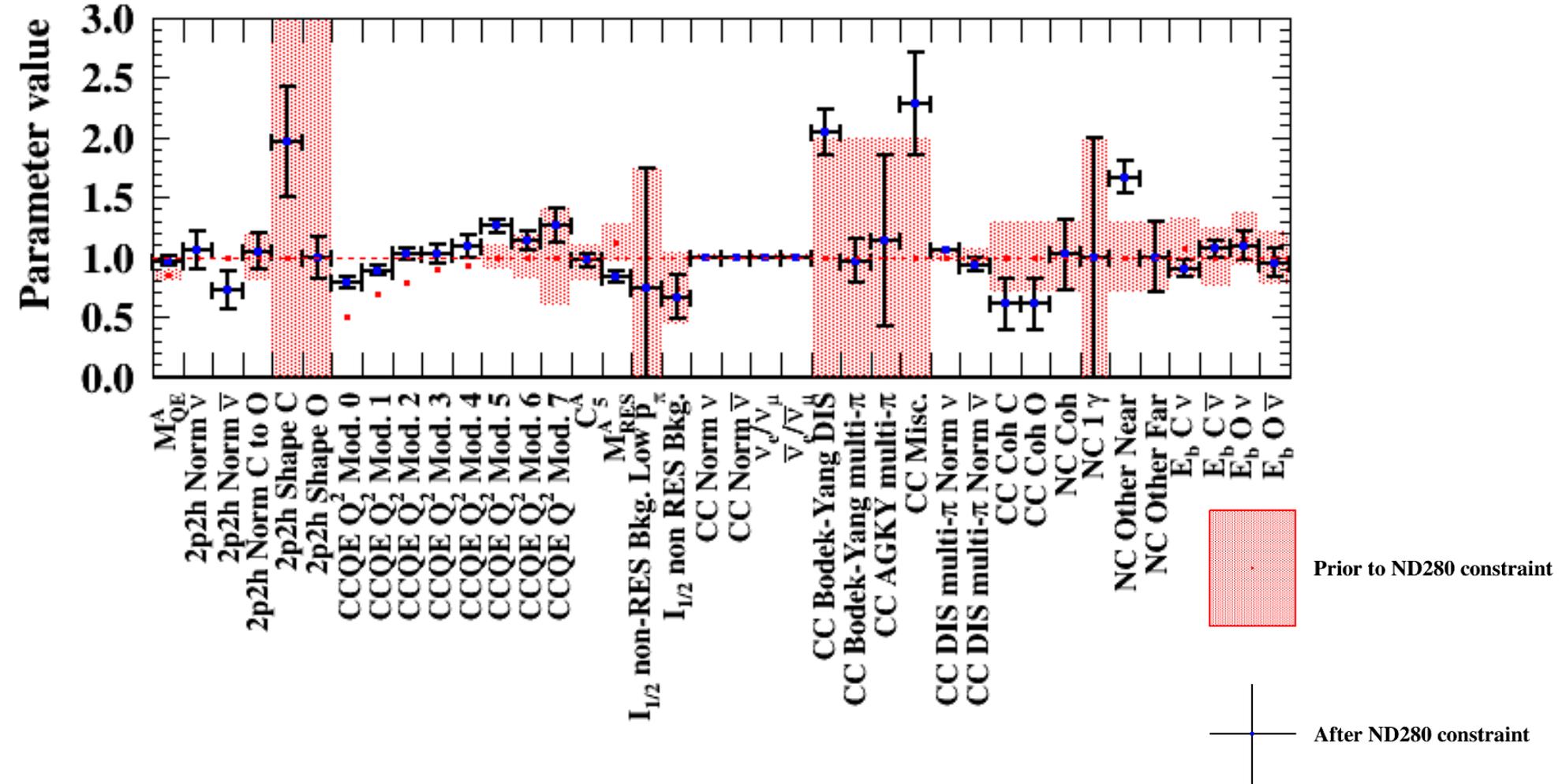
| Systematic Uncertainty |                    |               |                      |                    |               |
|------------------------|--------------------|---------------|----------------------|--------------------|---------------|
|                        | Neutrino Mode      |               |                      | Anti-neutrino Mode |               |
|                        | 1 ring $\mu$ -like | 1 ring e-like | 1 ring e-like 1 d.e. | 1 ring $\mu$ -like | 1 ring e-like |
| Before ND280 fit       | 11.1%              | 13.0%         | 18.7%                | 11.3%              | 12.1%         |
| After ND280 fit        | 3.0%               | 4.7%          | 14.3%                | 4.0%               | 5.9%          |

| Sources of uncertainty before ND280 fit | 1R $\mu$    |             | 1Re         |             |                 |             |
|---|-------------|-------------|-------------|-------------|-----------------|-------------|
|   | FHC         | RHC         | FHC         | RHC         | FHC CC1 $\pi^+$ | FHC/RHC     |
| Flux                                    | 5.1         | 4.7         | 4.8         | 4.7         | 4.9             | 2.7         |
| Cross-section (all)                     | 10.1        | 10.1        | 11.9        | 10.3        | 12.0            | 10.4        |
| SK+SI+PN                                | 2.9         | 2.5         | 3.3         | 4.4         | 13.4            | 1.4         |
| <b>Total</b>                            | <b>11.1</b> | <b>11.3</b> | <b>13.0</b> | <b>12.1</b> | <b>18.7</b>     | <b>10.7</b> |

# ND280 post-fit parameters: xsec

Cross-section

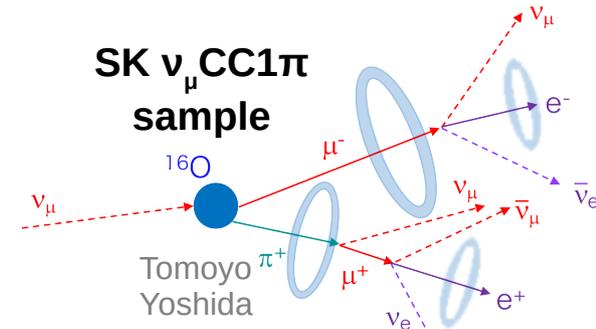
T2K Preliminary



# Future plans at T2K

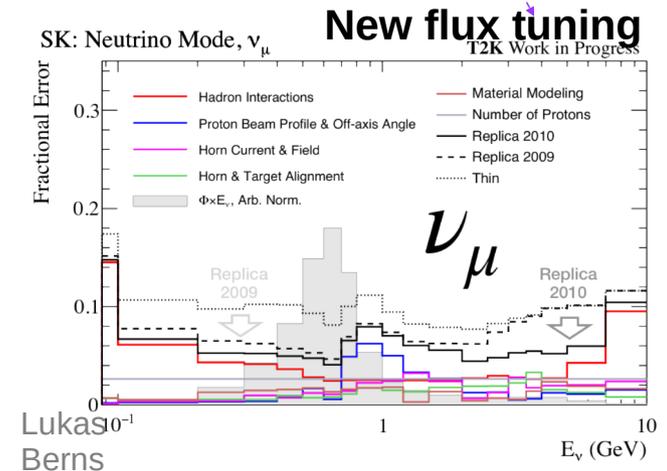
## T2K

- More data samples at ND280 and SK; **muon-like sample with pion** at SK, ND280 samples using **proton and photon tagging**
- Improved systematics; **new neutrino flux tuning and neutrino interaction model**
- Cross-section measurements with multiple Near Detectors



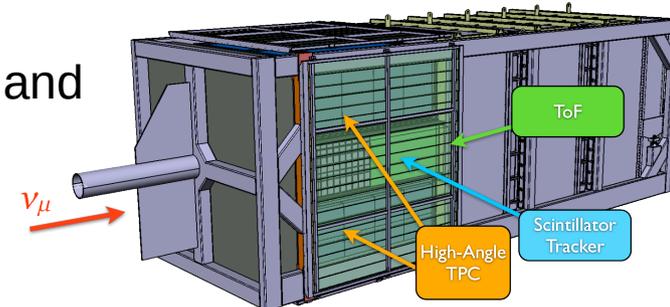
## T2K phase-II

- **Upgraded ND280** – high angular coverage, 3D scintillator readout, better hadron tagging and reconstruction
- **SK being doped with Gd** – neutron tagged samples for oscillation analysis
- J-PARC beam upgrade to **0.75 MW and then 1 MW**



## Joint-fits

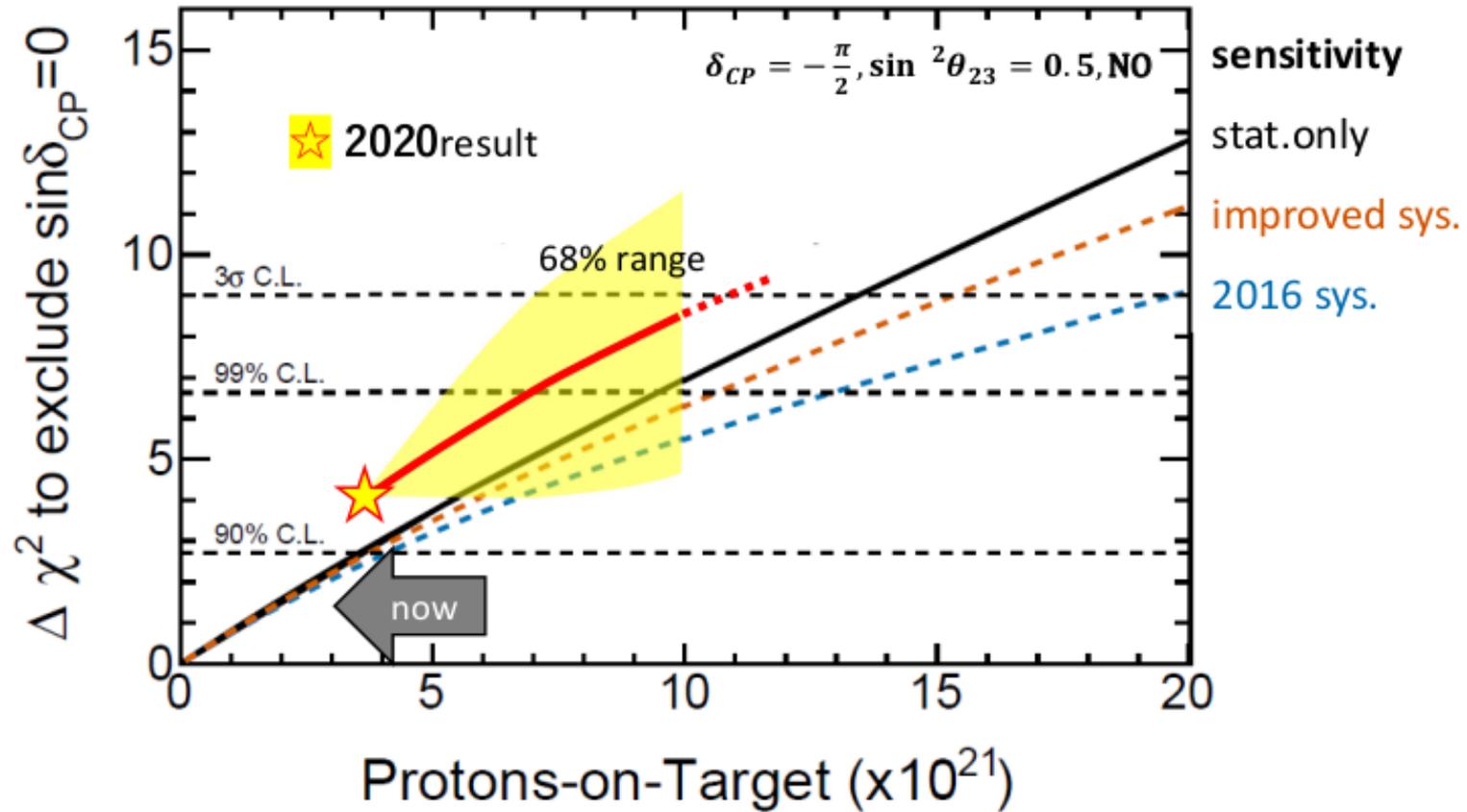
- Joint-fits between T2K and **SK atmospheric** as well as T2K and **NOvA**
- These joint-fits should allow some of the **most precise constraints** on neutrino oscillation parameters.



ND280 Upgrade

# T2K Future Sensitivity

Expected evolution of CPV sensitivity for maximal CPV case

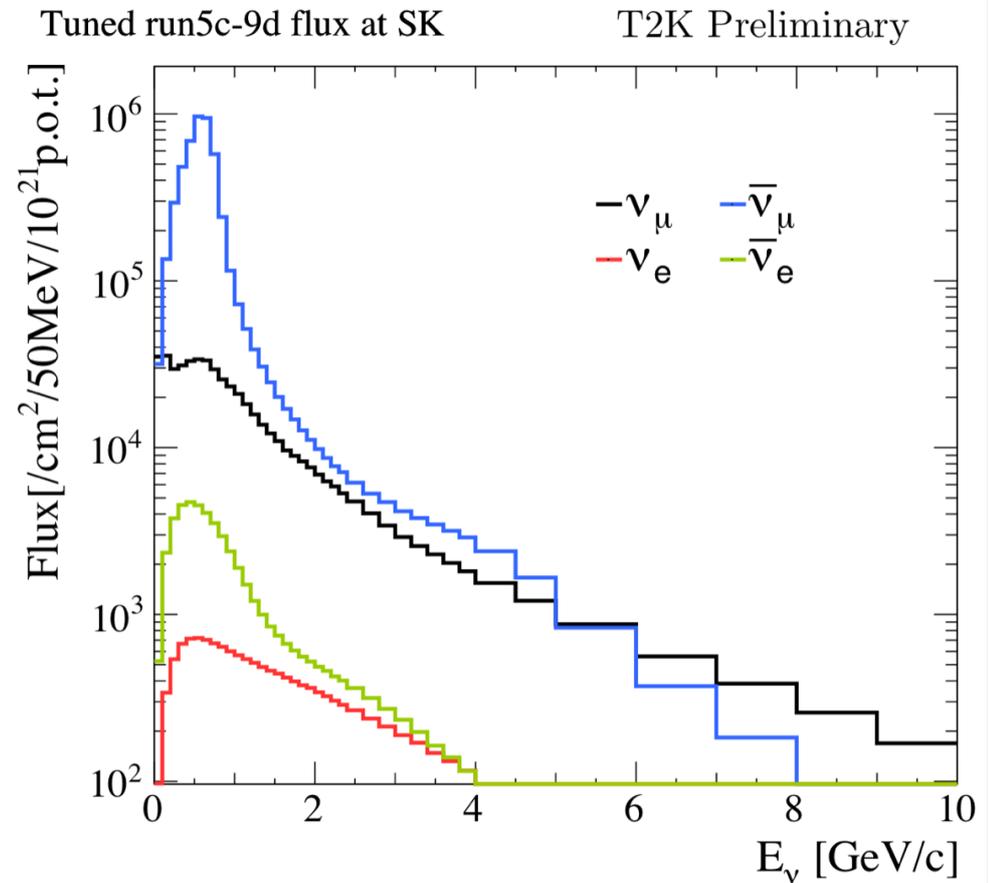
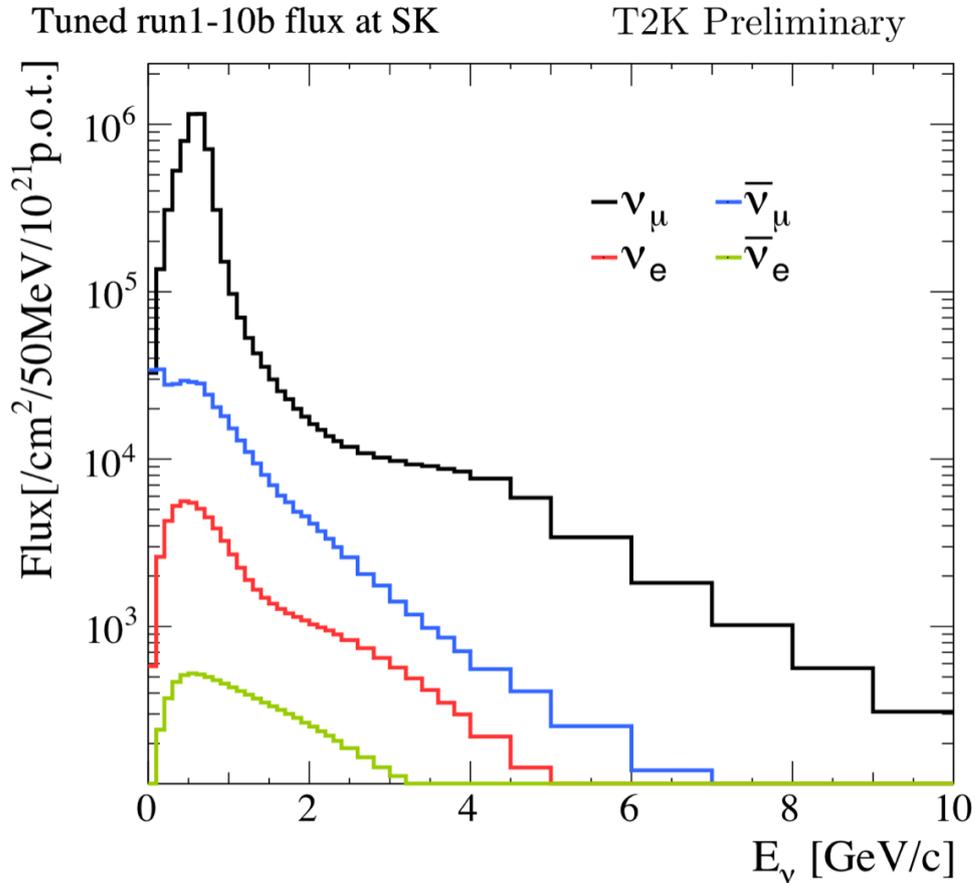


# Summary of Data at SK

| Selection    | Run 1-10 POT              | Events in Data |
|--------------|---------------------------|----------------|
| FHC 1R $\mu$ | $19.644 \times 10^{20}$   | 318            |
| FHC 1Re      |                           | 94             |
| FHC 1Re1d.e  |                           | 14             |
| RHC 1R $\mu$ | $16.34556 \times 10^{20}$ | 137            |
| RHC 1Re      |                           | 16             |

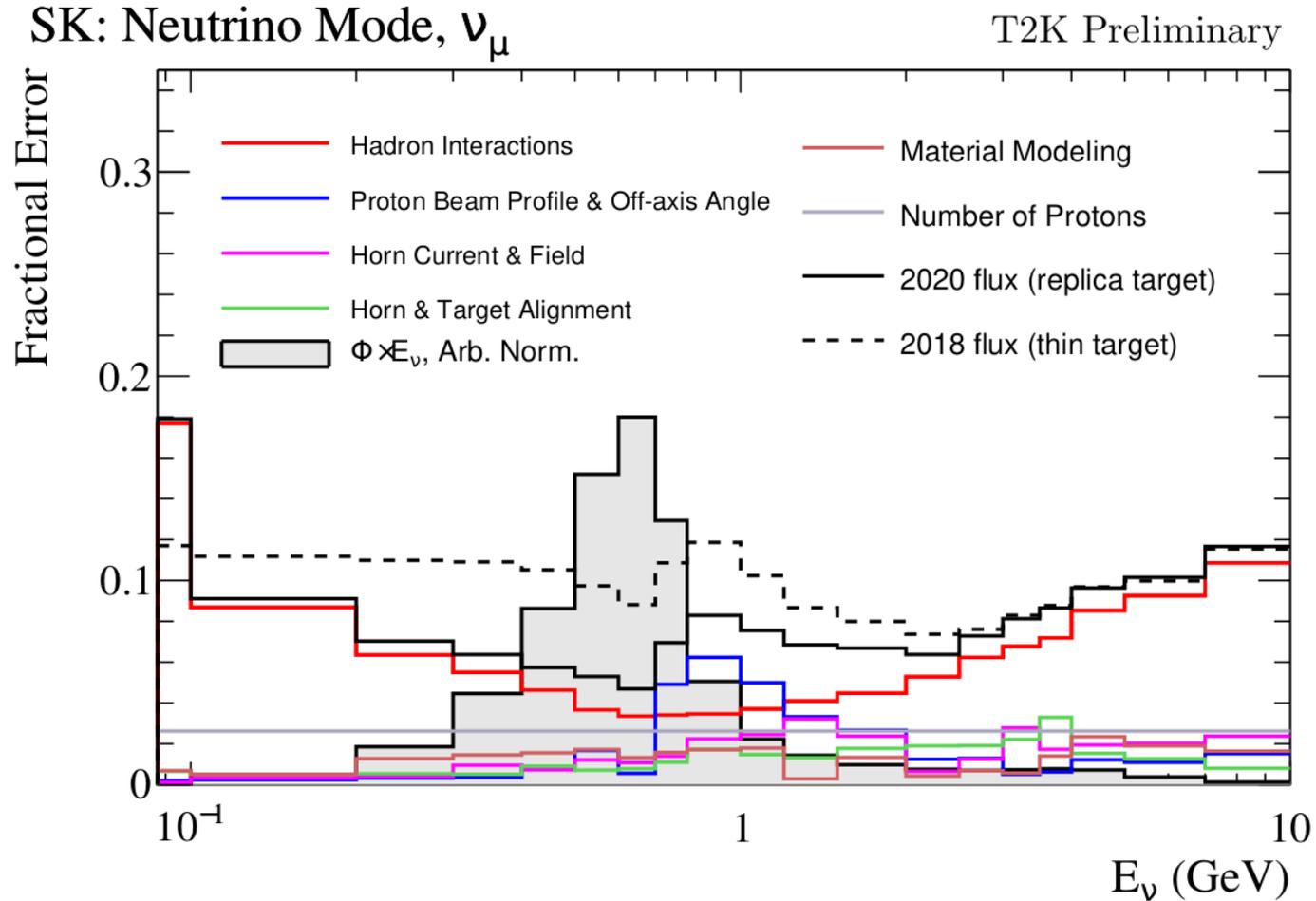
# SK flux prediction

Flux predictions at SK for different flavour components for neutrino mode (left) and anti-neutrino mode (right).



# Flux Uncertainties

- Flux uncertainties come from a variety of sources; hadron interactions, proton beam, horn current, target alignment etc.
- Use beam monitors and external data from NA61 to make pre-fit flux prediction.



# Neutrino energy reconstruction at SK

Neutrino energy reconstructed assuming CCQE interaction for single-ring samples.

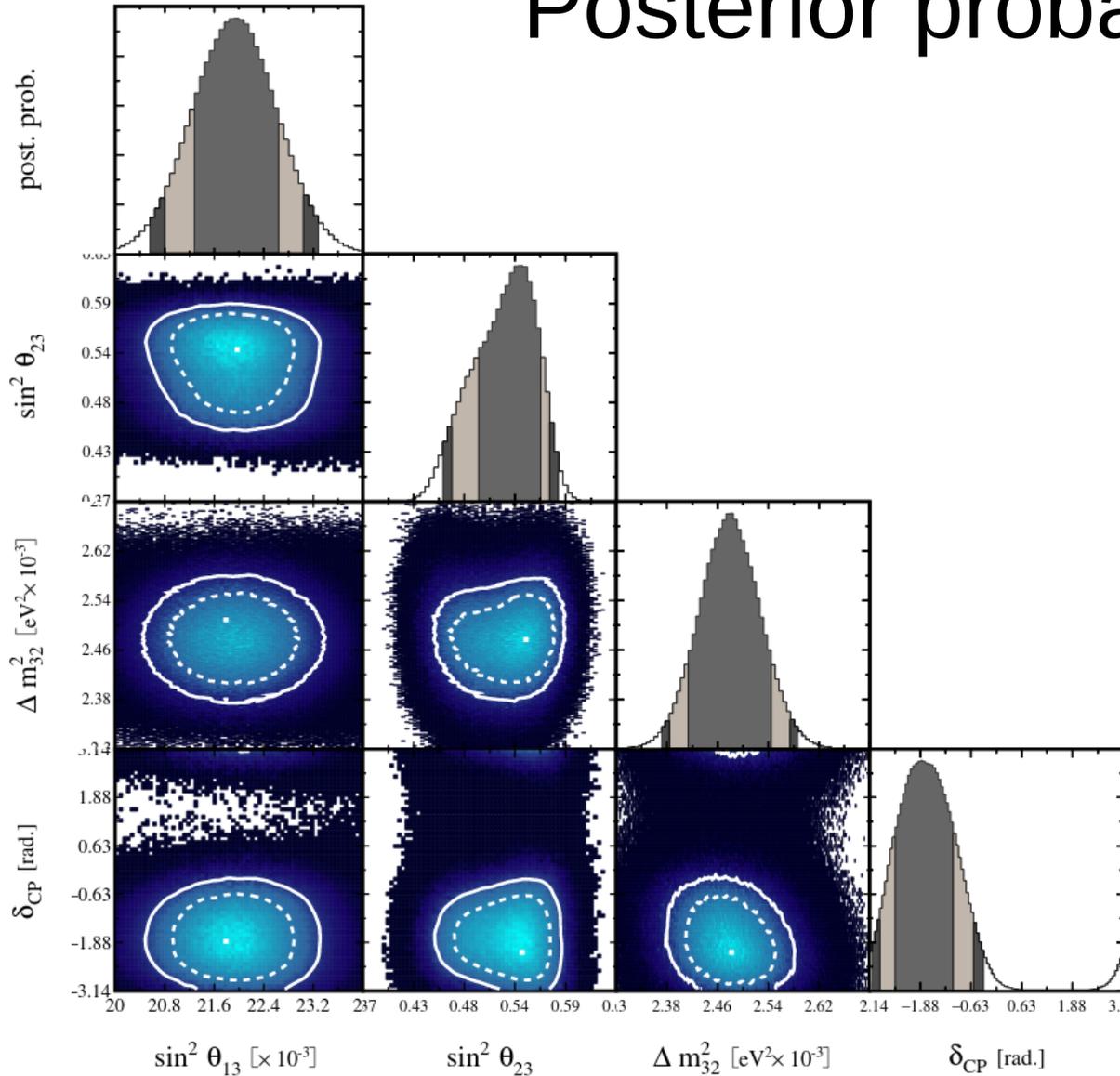
Only uses lepton kinematics, particle masses and nuclear model.

$$E_{reco} = \frac{m_p^2 - m_n^2 - m_l^2 + 2m_n E_l}{2(m_n - E_l + p_l \cos \theta_{\nu l})}$$

For single-ring with 1 michel electron sample, events assumed to have come from delta++ decay.

$$E_{reco} = \frac{m_{\Delta^{++}}^2 - m_p^2 - m_l^2 + 2m_p E_l}{2(m_p - E_l + p_l \cos \theta_{\nu l})}$$

# Posterior probabilities



Bayesian “triangle plot” of all oscillation parameters.

2D posteriors:

- Dashed lines 68% credible interval
- Solid lines 90% credible interval

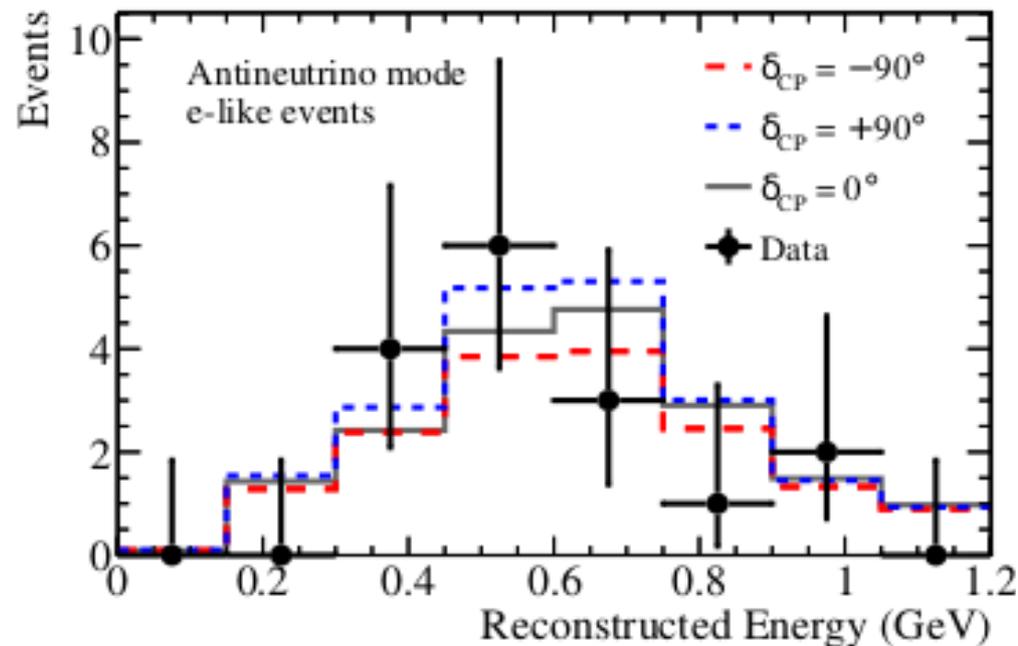
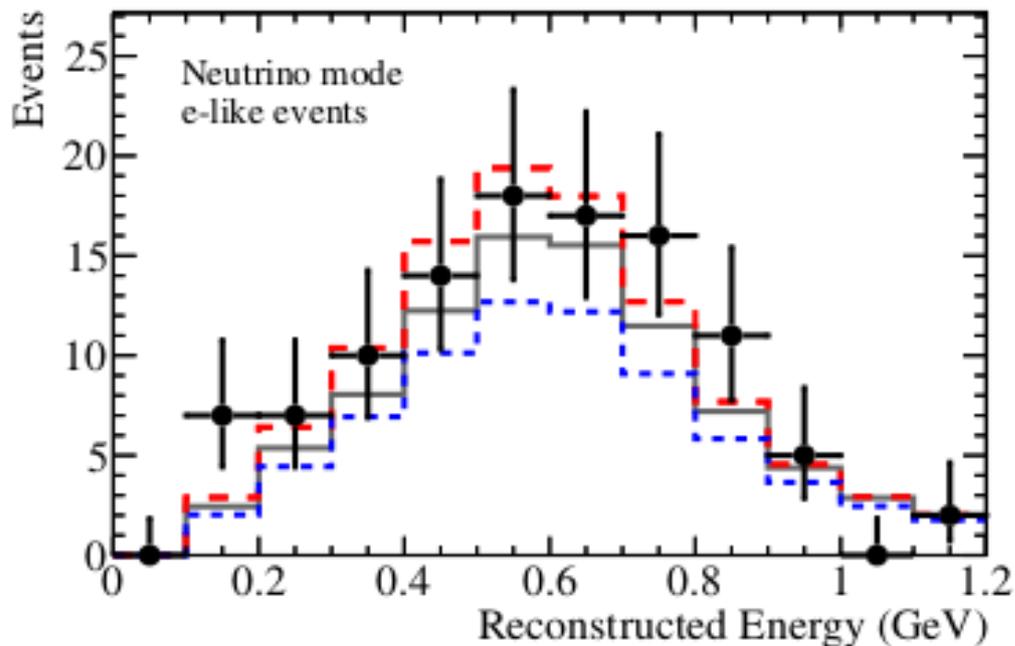
1D posteriors:

- 68%, 90% and 95.4% ( $2\sigma$ )

# Appearance dCP comparison

Comparison of 1 e-like ring samples at SK for different values of dCP

Other oscillation parameters set at best-fit values.



# SK p-values

SK p-values using reactor constraint.

| Sample / p-value | Shape-based | Total Rate-based |
|------------------|-------------|------------------|
| FHC $1R\mu$      | 0.48        | 0.18             |
| FHC $1Re$        | 0.19        | 0.49             |
| RHC $1R\mu$      | 0.85        | 0.74             |
| RHC $1Re$        | 0.61        | 0.39             |
| FHC $1Re1d.e.$   | 0.86        | 0.22             |
| <b>Total</b>     | 0.73        | 0.30             |

# T2K Analysis

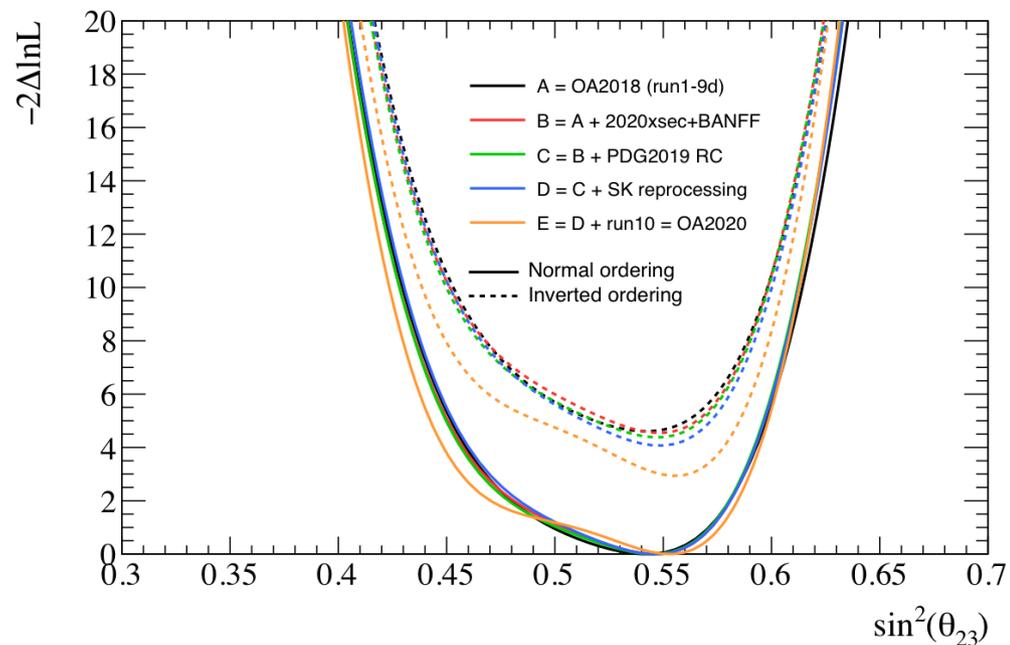
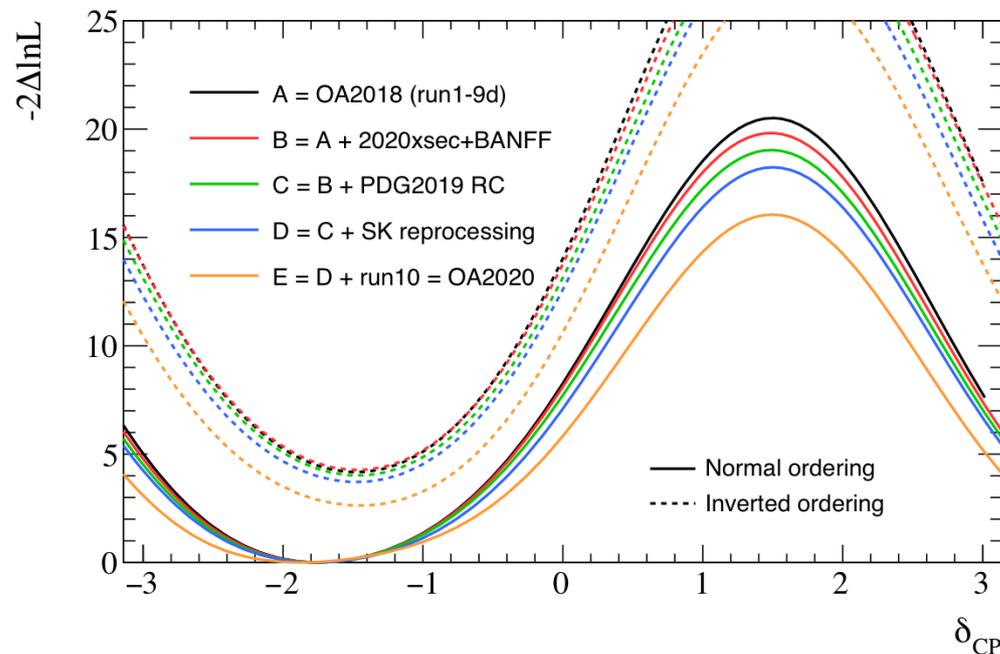
$$\begin{aligned}
 -\ln(P(\vec{\theta}|D)) = & \sum_i^{ND280bins} N_i^{ND,p}(\vec{f}, \vec{x}, \vec{d}) - N_i^{ND,d} + N_i^{ND,d} \ln[N_i^{ND,d} / N_i^{ND,p}(\vec{f}, \vec{x}, \vec{d})] \\
 & + \sum_i^{SKbins} N_i^{SK,p}(\vec{f}, \vec{x}, \vec{skd}, \vec{o}) - N_i^{SK,d} + N_i^{SK,d} \ln[N_i^{SK,d} / N_i^{SK,p}(\vec{f}, \vec{x}, \vec{skd}, \vec{o})] \\
 & + \frac{1}{2} \sum_i^{osc} \sum_j^{osc} \Delta o_i (V_o^{-1})_{i,j} \Delta o_j \quad \leftarrow \text{Oscillation Parameters} \\
 & + \frac{1}{2} \sum_i^{flux} \sum_j^{flux} \Delta f_i (V_f^{-1})_{i,j} \Delta f_j \quad \leftarrow \text{Flux} \\
 & + \frac{1}{2} \sum_i^{xsec} \sum_j^{xsec} \Delta x_i (V_x^{-1})_{i,j} \Delta x_j \quad \leftarrow \text{Interaction Model} \\
 & + \frac{1}{2} \sum_i^{nd280det} \sum_j^{nd280det} \Delta d_i (V_d^{-1})_{i,j} \Delta d_j \quad \leftarrow \text{ND280} \\
 & + \frac{1}{2} \sum_i^{skdet} \sum_j^{skdet} \Delta skd_i (V_{skd}^{-1})_{i,j} \Delta skd_j \quad \leftarrow \text{SK Detector}
 \end{aligned}$$

} Data at ND280  
} Data at SK  
← What we want!!  
} Use priors from various sources

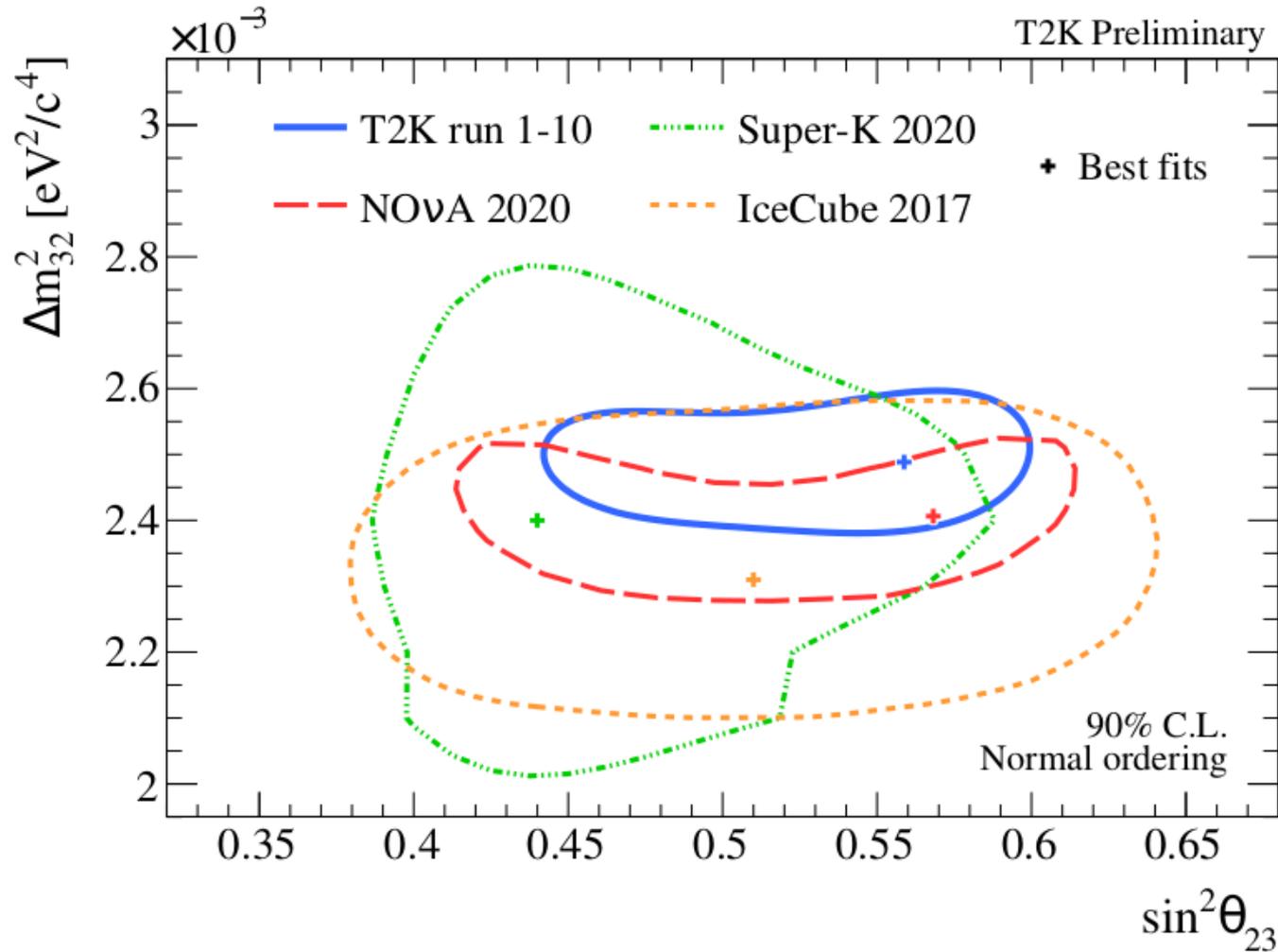
# Comparison to previous analyses

Comparison of 2020 analysis with 2018 analysis, showing the impact of different updates in the analysis on the sensitivity.

- BANFF is the ND280 fit
- SK reprocessing migrates some event due to new calibration
- Addition of new data has largest impact



# Comparison to other experiments



# Summary of oscillation results

Disappearance best-fit and credible intervals with reactor constraint

|                              | $\sin^2 \theta_{23}$ | $\Delta m_{32}^2 (\times 10^{-3}) \text{eV}^2$ |
|------------------------------|----------------------|--|
| 2D best fit                  | 0.546                | 2.49   |
| 68% C.I. ( $1\sigma$ ) range | 0.50 – 0.57          | 2.408 – 2.548                                  |
| 90% C.I. range               | 0.460 – 0.587        | –2.596 – –2.452 & 2.368 – 2.592                |

Appearance best-fit and credible intervals with reactor constraint

|                              | $\sin^2 \theta_{13}$ | $\delta_{CP}$                   |
|------------------------------|----------------------|---------------------------------|
| 2D best fit                  | 0.0220               | –1.967                          |
| 68% C.I. ( $1\sigma$ ) range | 0.0212 – 0.0226      | –2.545 – –1.037                 |
| 90% C.I. range               | 0.0208 – 0.0231      | –2.922 – –0.565                 |
| 95.4% C.I. range             | 0.0206 – 0.0234      | – $\pi$ – –0.346                |
| 99% C.I. range               | 0.0201 – 0.0237      | – $\pi$ – 0.063 & 2.827 – $\pi$ |
| 99.7% C.I. range             | 0.0198 – 0.0240      | – $\pi$ – 0.346 & 2.545 – $\pi$ |

Posterior probabilities for mass ordering and octant

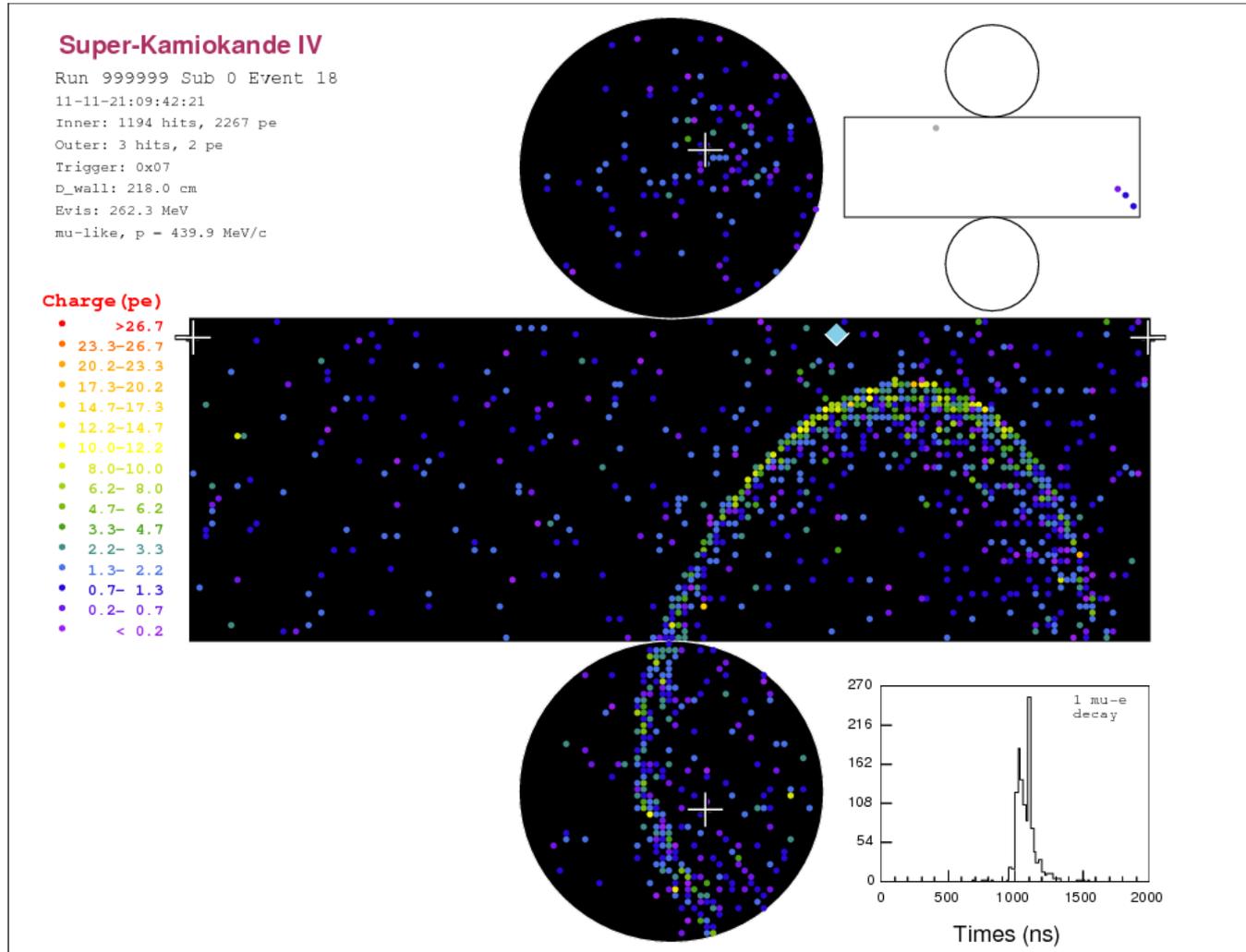
|                              | $\sin^2 \theta_{23} < 0.5$ | $\sin^2 \theta_{23} > 0.5$ | Sum   |
|------------------------------|----------------------------|----------------------------|-------|
| NH ( $\Delta m_{32}^2 > 0$ ) | 0.195                      | 0.613                      | 0.808 |
| IH ( $\Delta m_{32}^2 < 0$ ) | 0.034                      | 0.158                      | 0.192 |
| Sum                          | 0.229                      | 0.771                      | 1.000 |

# Different fitters

Summary of the different statistical techniques used by the three fitters at T2K

|   | Analysis 1  | Analysis 2  | Analysis 3  |
|---|---|---|---|
| <b>Kinematic variables for 1Re sample at SK</b> | Erec- $\theta$  | $p_e$ - $\theta$  | Erec- $\theta$  |
| <b>Likelihood</b>                               | Binned Poisson Likelihood Ratio                             | Binned Poisson Likelihood Ratio   | Binned Poisson Likelihood Ratio                       |
| <b>Likelihood Optimization</b>                  | Markov Chain Monte Carlo                                    | Gradient descent and grid scan  | Gradient descent and grid scan                        |
| <b>Contours/limits produced</b>                 | Bayesian Credible Intervals                                 | Frequentist Confidence Intervals with Feldman-Cousins (credible intervals supplemental) | Frequentist Confidence Intervals with Feldman-Cousins |
| <b>Mass Hierarchy Analysis</b>                  | Bayes factor from fraction of MCMC points in each hierarchy | Bayes factor from likelihood integration  | Frequentist p-value from generated PDF                |
| <b>Near Detector Information</b>                | Simultaneous joint fit                                      | Constraint Matrix   | Constraint Matrix                                     |
| <b>Systematics Handling</b>                     | Simultaneous fit then marginalization                       | Marginalization during fit  | Marginalization during fit                            |

# SK event display $\nu_\mu$



# SK event display $\nu_e$

