

Baby PIH: Parameterized Inapproximability of Min CSP

Venkatesan Guruswami

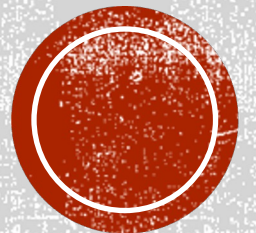
UC Berkeley

Xuandi Ren

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Sai Sandeep

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Outline

- **Background**
 - Parameterized Complexity
 - Constraint Satisfaction Problem (CSP)
 - Parameterized Inapproximability Hypothesis (PIH)
- **Our Result**
 - Baby PIH
- **Proof Overview**



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Parameterized Complexity

- Each input instance x is associated with a parameter $k \in \mathbb{N}$
- Complexity is measured as a function of both $n = |x|$ and k .
- **FPT** (Fixed-Parameter Tractable):
 - problems that admit $f(k) \cdot n^{O(1)}$ time algorithms, f can be any computable function



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k -Vertex Cover

- Input:
 - $G = (V, E)$
- Output:
 - $\exists v_1, \dots, v_k \in V$ covering all the edges?

(Multi-colored) k -Clique

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W[1]

\subseteq



Constraint Satisfaction Problem

2-CSP

- Input: $\Pi = (X, \Sigma, \Phi)$
 - X : a set of variables
 - Σ : the domain of each variable
 - Φ : a set of 2-ary constraints
- Output:
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CSP Value

max. fraction of
constraints satisfiable
by some $\sigma: X \rightarrow \Sigma$



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 - PCP Theorem:
 - no $n^{O(1)}$ time algorithm for (1 vs 0.9) gap 2-CSP assuming $\text{NP} \neq \text{P}$



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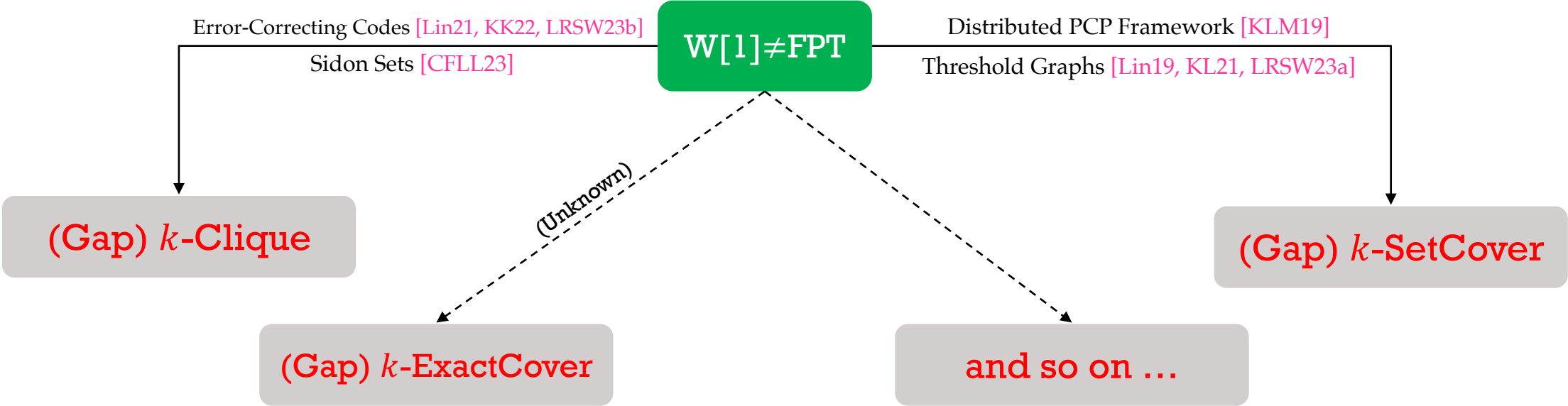
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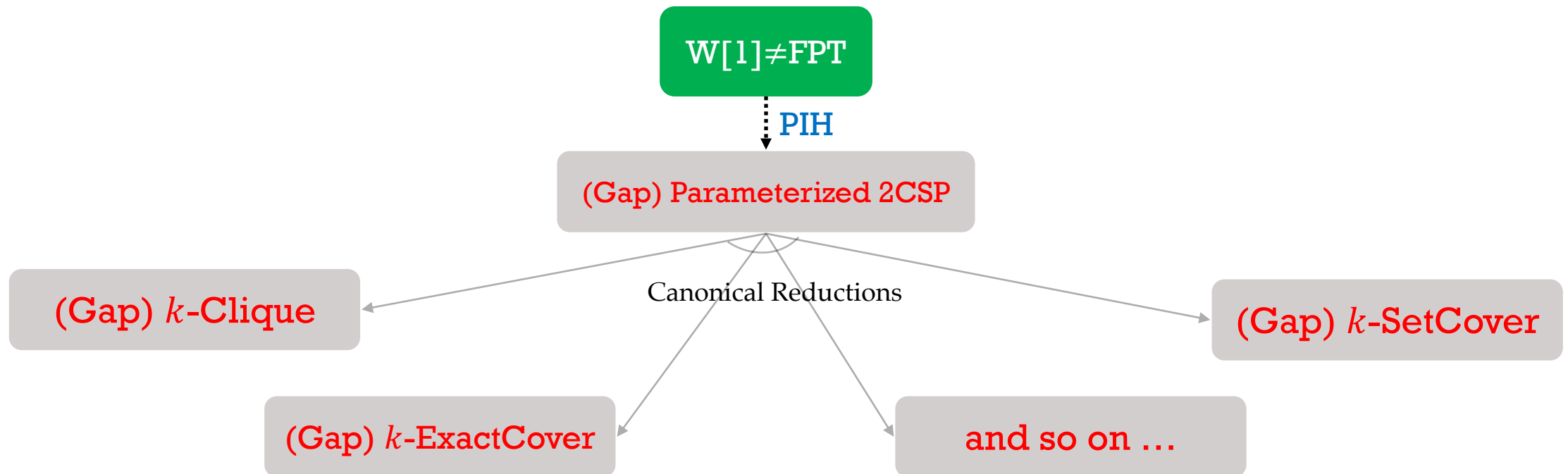
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 - no $f(k) \cdot n^{O(1)}$ time algorithm assuming $W[1] \neq \text{FPT}$
 - PIH (Parameterized Inapproximability Hypothesis) [LRSZ20]:
 - no $f(k) \cdot n^{O(1)}$ time algorithm for (1 vs 0.9) gap version assuming $W[1] \neq \text{FPT}$
 - Parameterized analog of the **PCP** theorem!



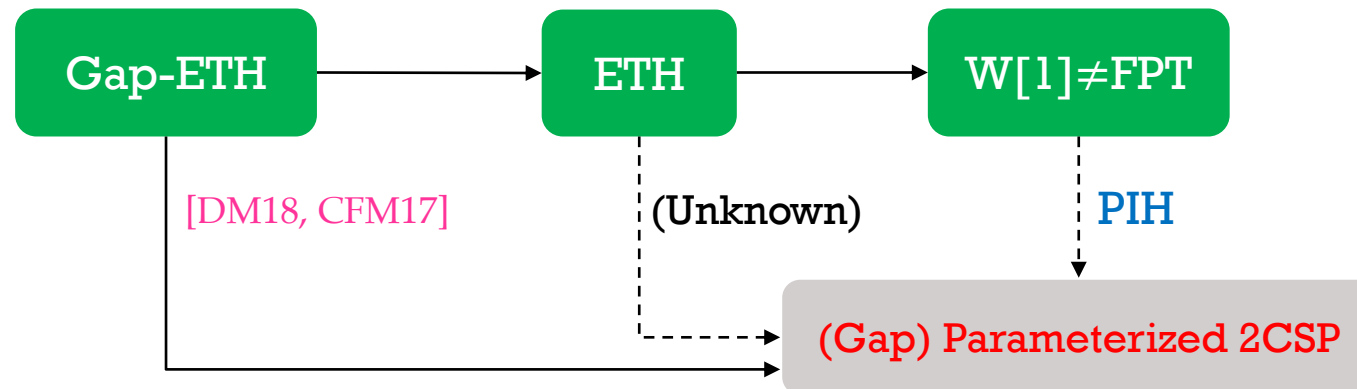
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List Satisfiability of CSP

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- Input: $\Pi = (X, \Sigma, \Phi)$
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 - \exists multi-assignment $\sigma: X \rightarrow 2^\Sigma$
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List Value

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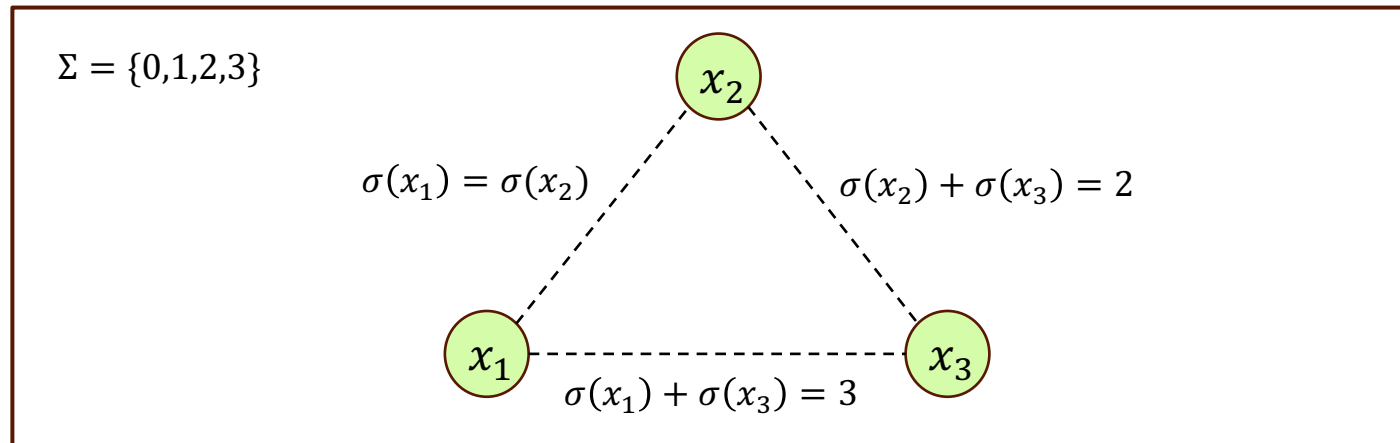
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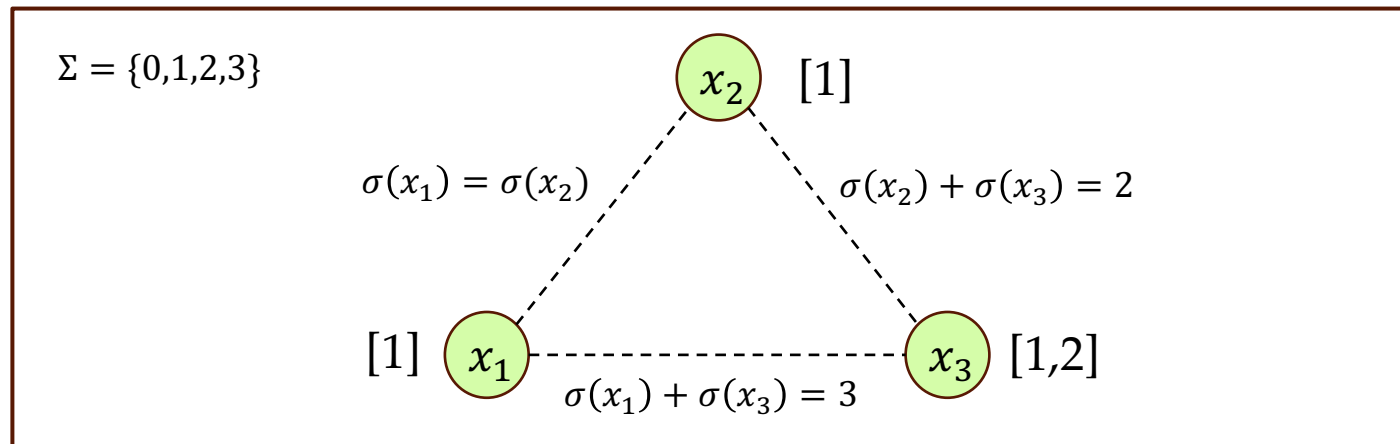
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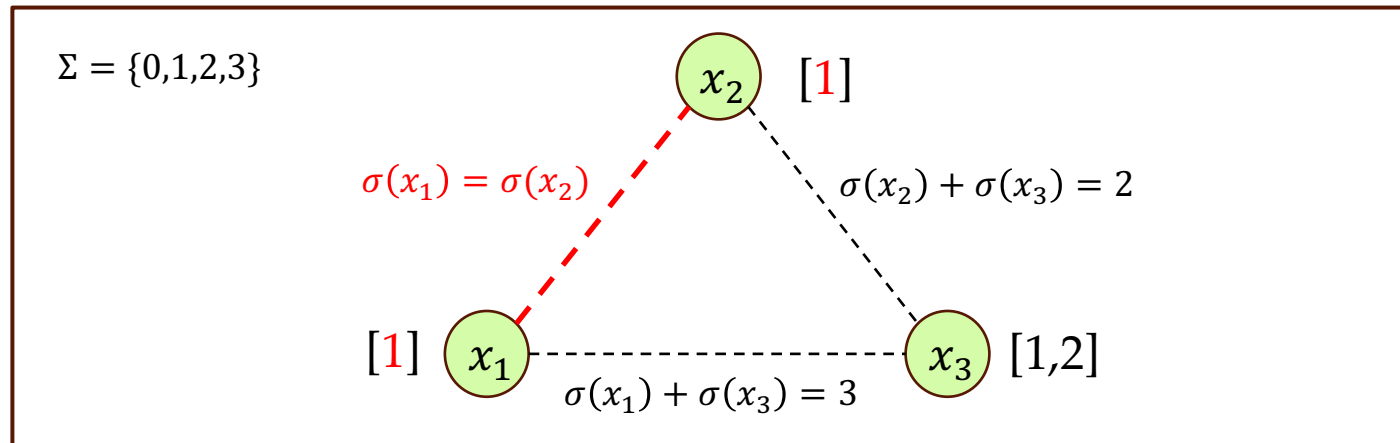
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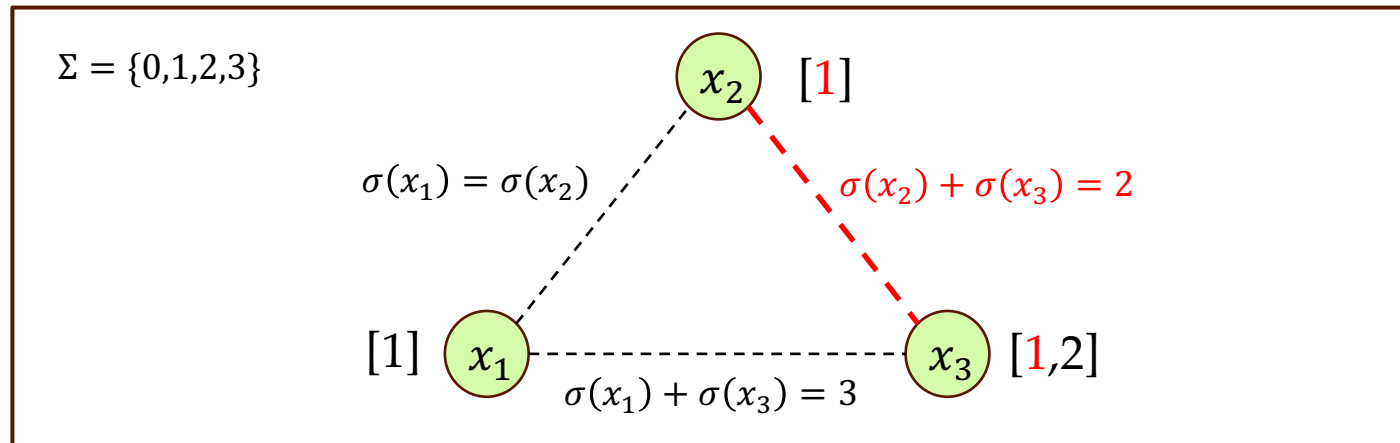
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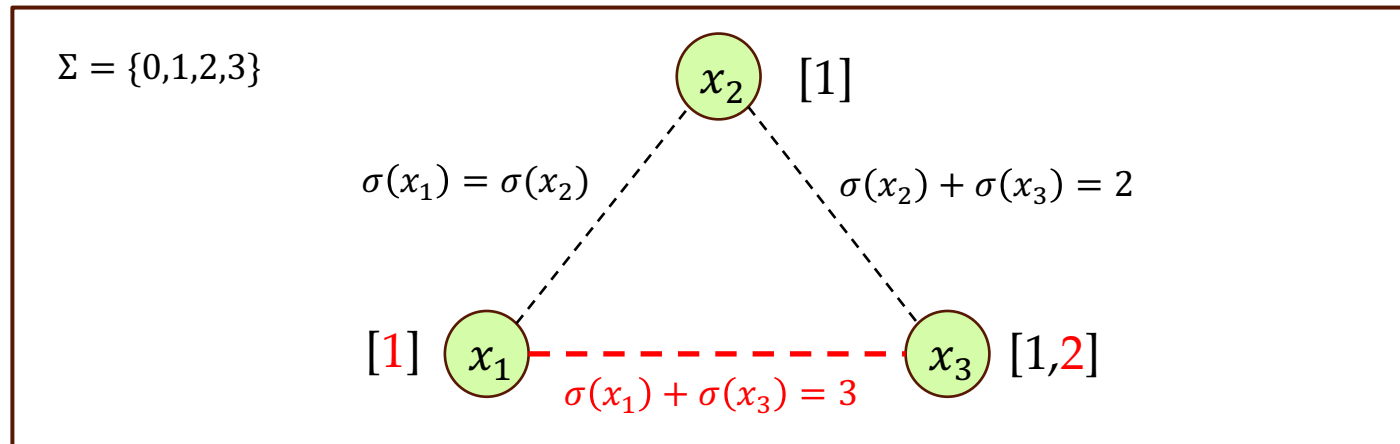
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 - CSP Value=1 \Leftrightarrow 1-list satisfiable $\Rightarrow r$ -list satisfiable for $r \geq 2$
 - r -list satisfiable \Rightarrow CSP Value $\geq 1/r^2$



Baby PCP

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 - For any $r > 1$, It's NP-hard to distinguish between [**1-list satisfiable**] and [**not even r -list satisfiable**].



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- Baby PCP [Barto-Kozik'22]
 - For any $r > 1$, It's NP-hard to distinguish between [1-list satisfiable] and [not even r -list satisfiable].
- \Leftarrow PCP
 - For any $\varepsilon > 0$, It's NP-hard to distinguish between [CSP Value =1] and [CSP Value $< \varepsilon$].



Baby PCP

- Baby PCP [Barto-Kozik'22]
 - Assuming $NP \neq P$, for any $r > 1$, distinguishing between [1-list satisfiable] and [not even r -list satisfiable] cannot be done in $|\Pi|^{O(1)}$ time.
 - (A combinatorial proof)
 - (Enough to prove the NP-hardness of some PCSPs (e.g., $(2 + \varepsilon)$ -SAT))



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 - Assuming $\text{W}[1] \neq \text{FPT}$, ... cannot be done in $f(|X|) \cdot |\Sigma|^{O(1)}$ time.
 - (An itself interesting inapproximability result for list-satisfiability of CSP)
 - (A step towards PIH)
 - (Enough to get some applications of PIH?)



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 - (Enough to get some applications of PIH?)
 - not sure..., but something stronger is enough!
 - $\text{PIH} \Rightarrow \text{Average Baby PIH} \Rightarrow \text{Baby PIH}$



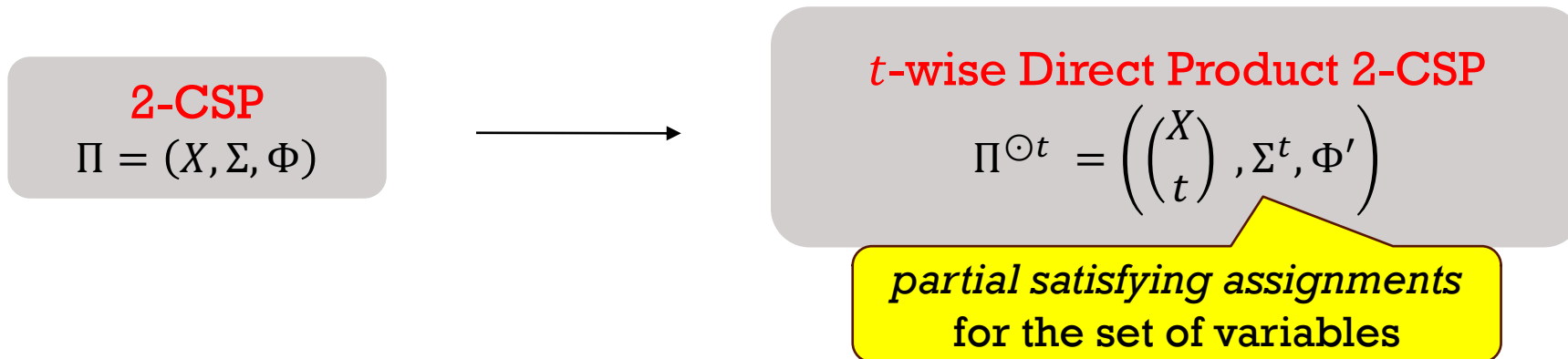
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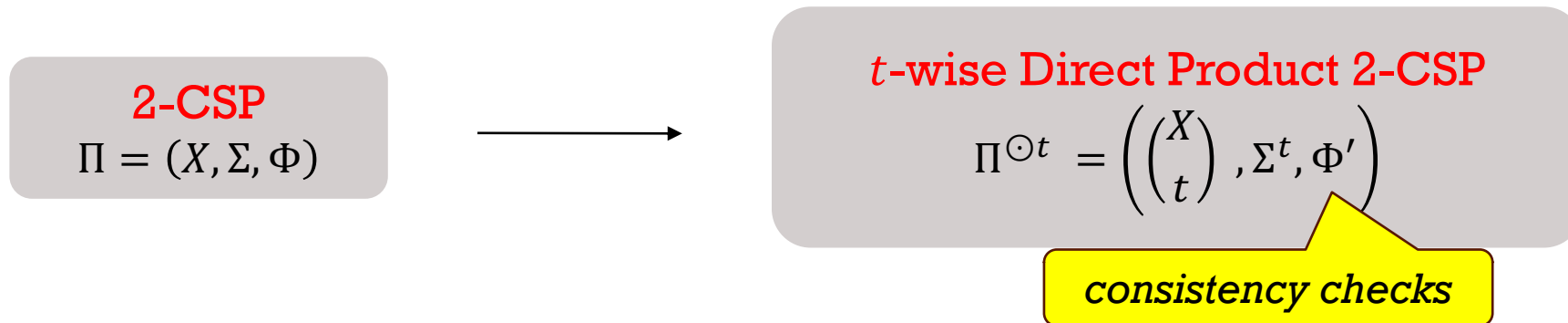
Proof Overview

- Follows from and extends [Barto-Kozik'22]'s proof of Baby PCP Theorem
- Direct Product Construction



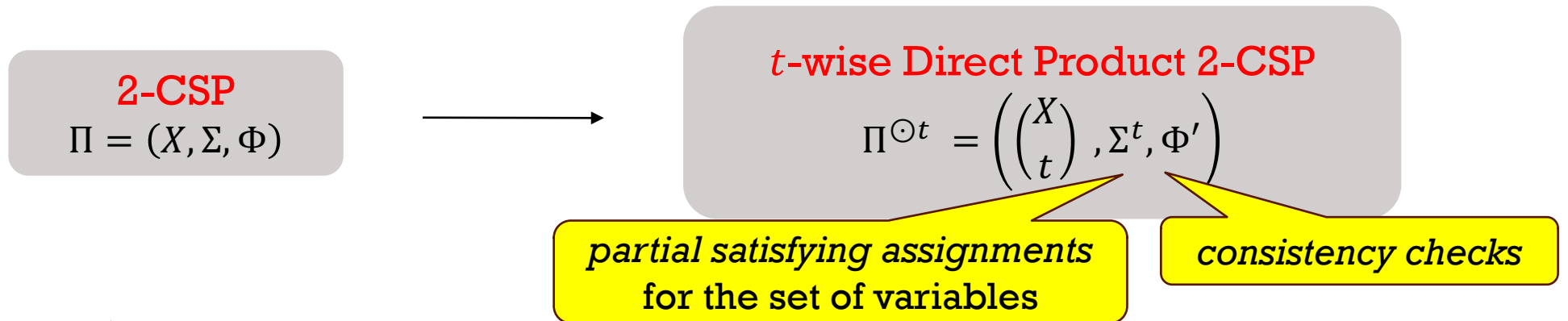
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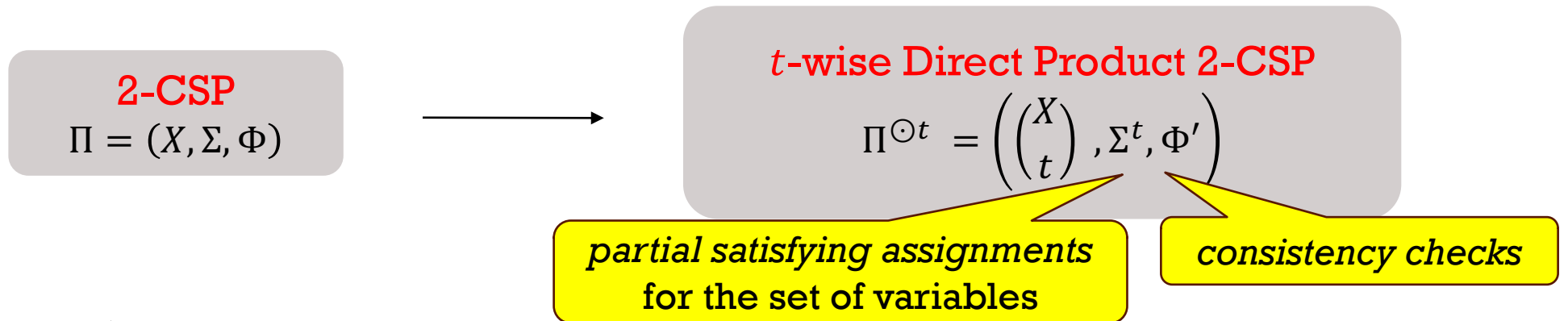


- (Want to show):
- For any $r > 1$, there exists t depending on r , such that for every Π ,
 - (Completeness) If Π is satisfiable, then so is $\Pi^{\odot t}$.
 - (Soundness) If Π is not satisfiable, then $\Pi^{\odot t}$ is not r -list satisfiable.



Proof Overview

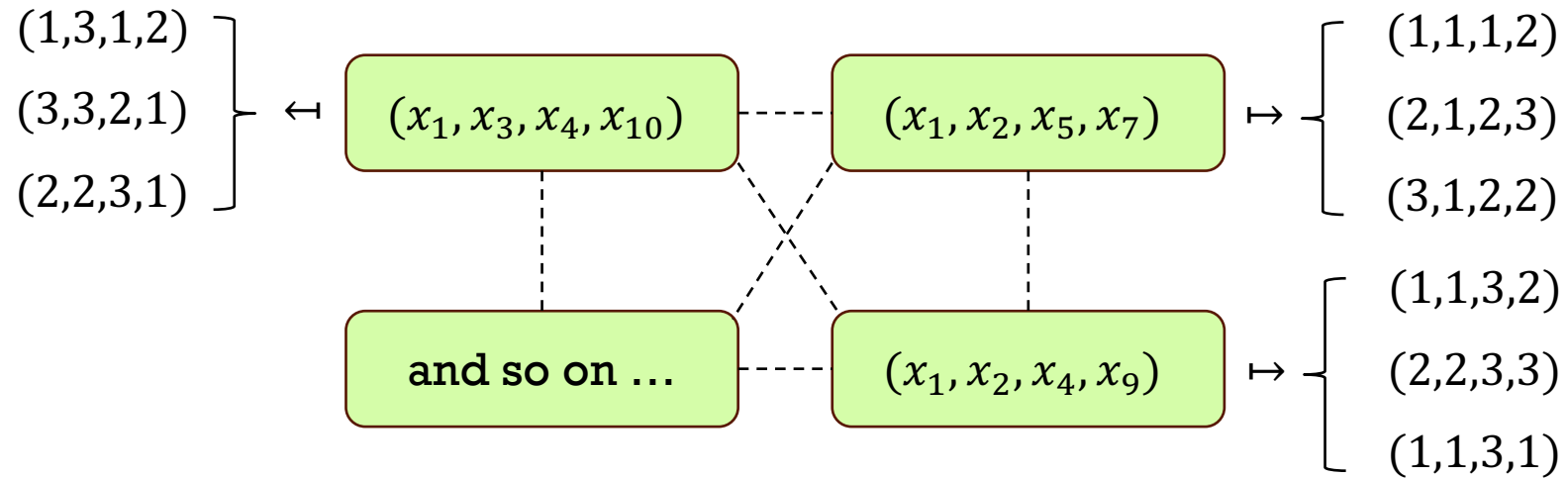
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 - (Soundness) If Π is not satisfiable, then $\Pi^{\odot t}$ is not r -list satisfiable.
- Reduction time: $n^{O_r(1)}$ where $n = |\Pi|$
 - a unified proof for both **Baby PCP** and **Baby PIH**!



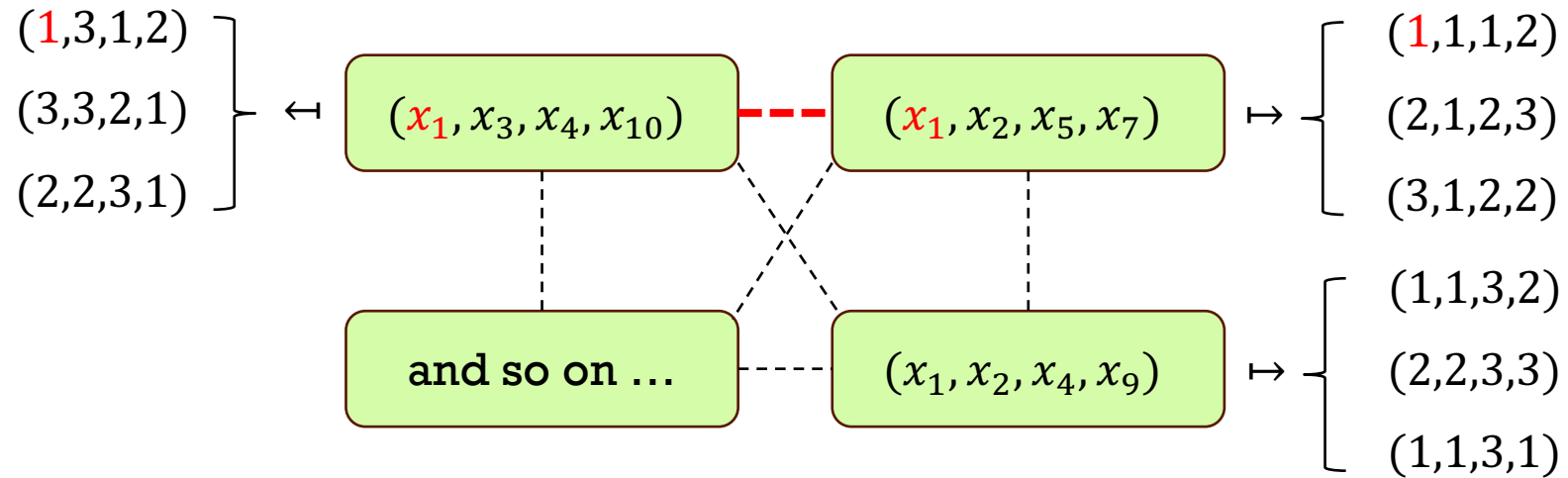
Proof Overview



A 3-list satisfying assignment for $\Pi^{\odot 4}$



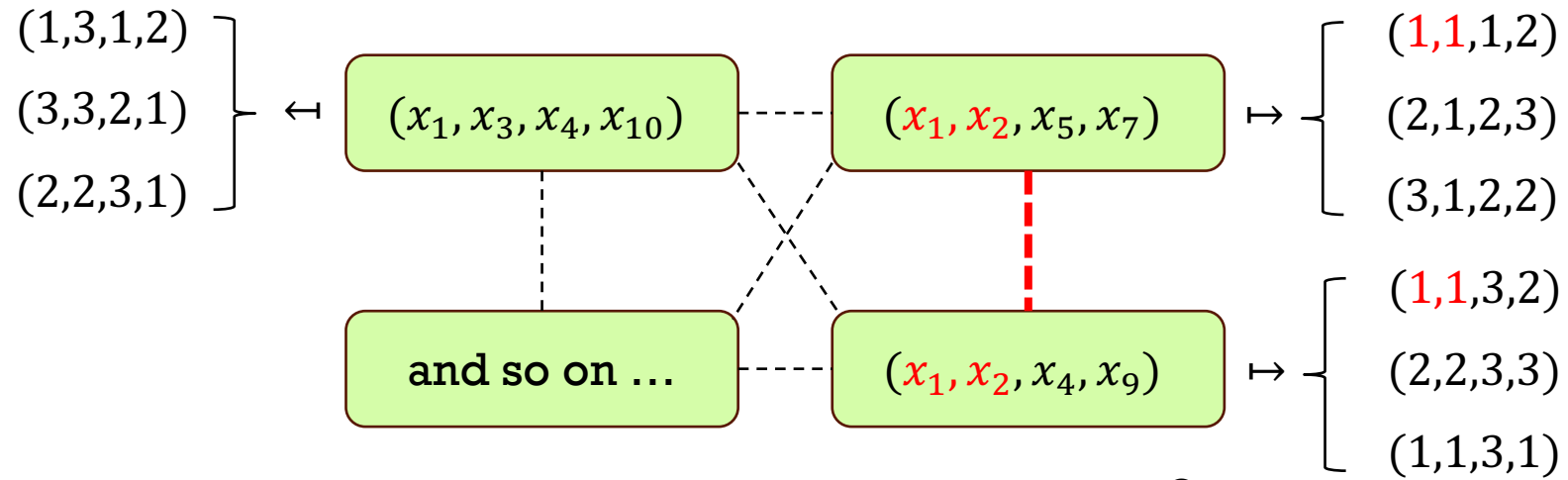
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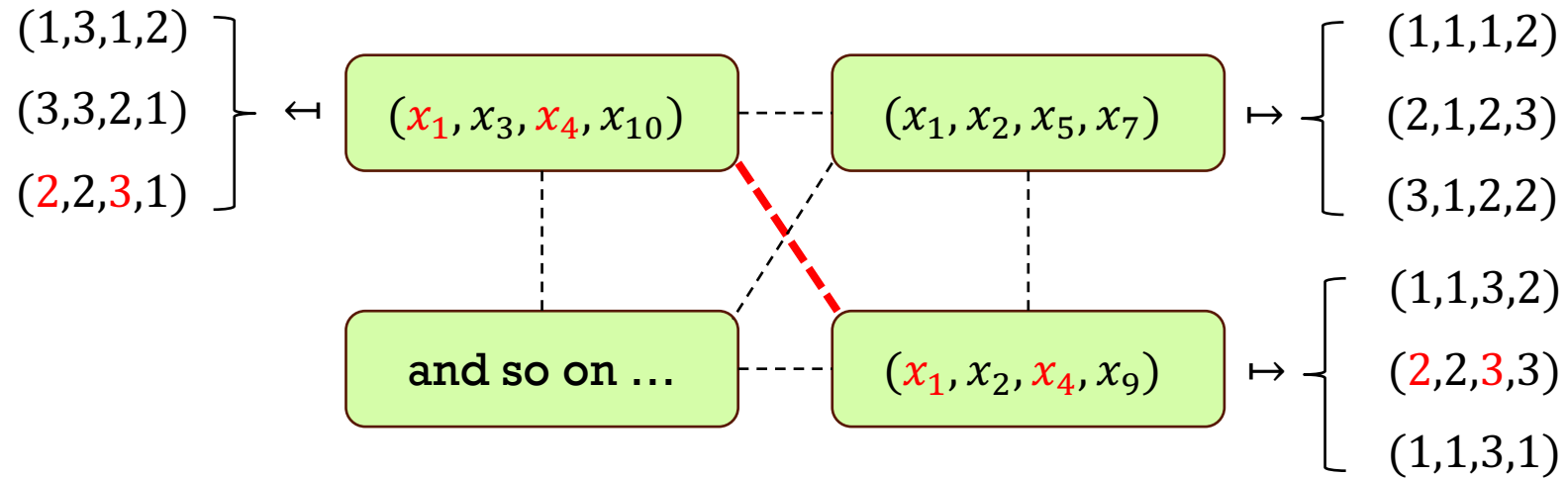
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Proof Overview

2-CSP

$$\Pi = (X, \Sigma, \Phi)$$



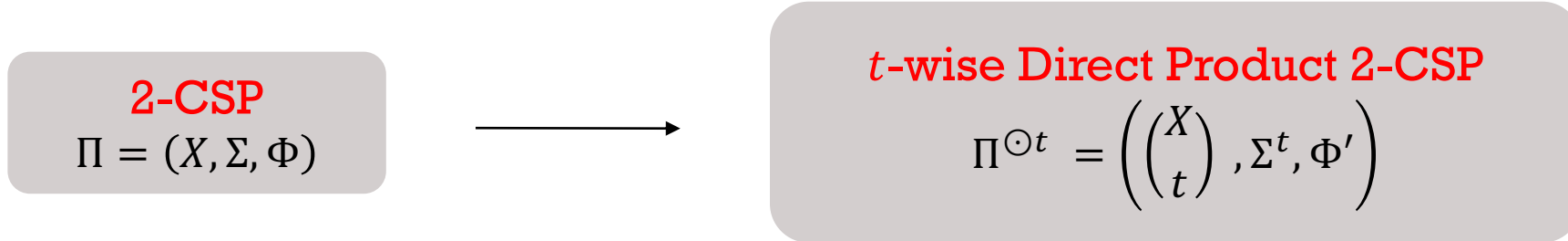
t-wise Direct Product 2-CSP

$$\Pi^{\odot t} = \left(\binom{X}{t}, \Sigma^t, \Phi' \right)$$

- For some sufficiently large $t = t(r)$,
 - given an r -list satisfying multi-assignment σ of $\Pi^{\odot t}$,
 - want to construct an $(r - 1)$ -list satisfying multi-assignment σ' of $\Pi^{\odot t'}$, for some $t' < t$.



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- If we end up with the 1-list satisfiability of $\Pi^{\odot(\geq 2)}$, then we are done!



Proof Overview

$$\begin{array}{c} \text{2-CSP} \\ \Pi = (X, \Sigma, \Phi) \end{array}$$



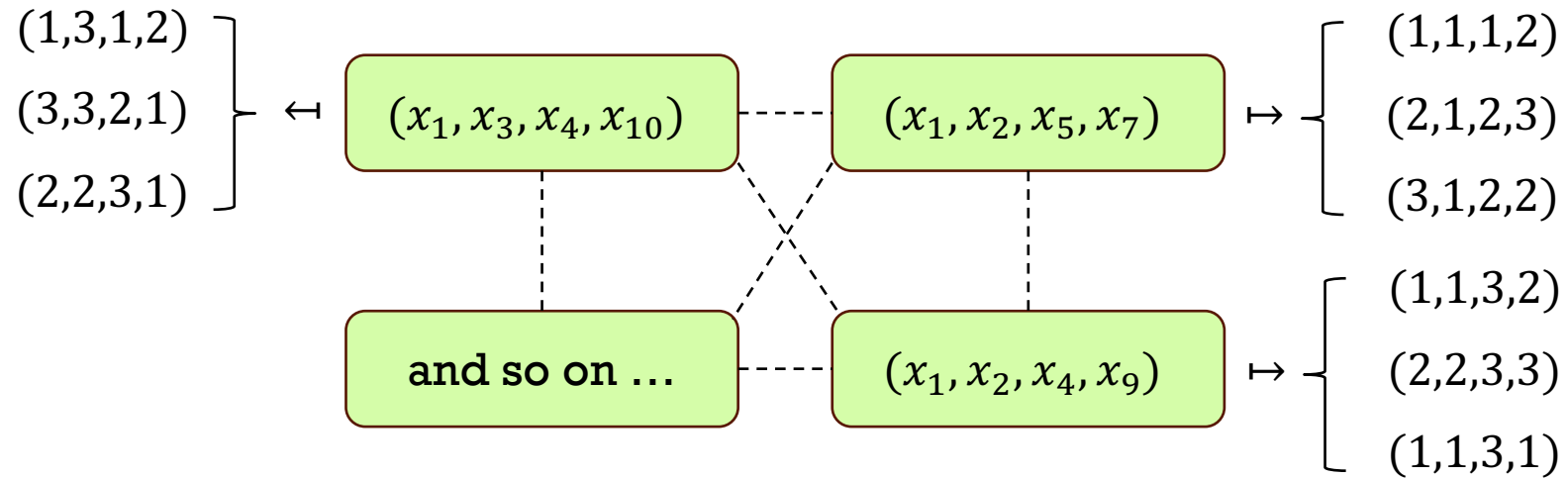
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 - want to construct an $(r - 1)$ -list satisfying multi-assignment σ' of $\Pi^{\odot t'}$, for some $t' < t$.
 - for each set $S \in \binom{X}{t'}$, choose a set $T \in \binom{X}{t}$ with $S \subseteq T$
 - the list $\sigma'(S)$ is inherited from the list $\sigma(T)$ (at the hope of decreasing the list size by 1)
- If we end up with the 1-list satisfiability of $\Pi^{\odot(\geq 2)}$, then we are done!



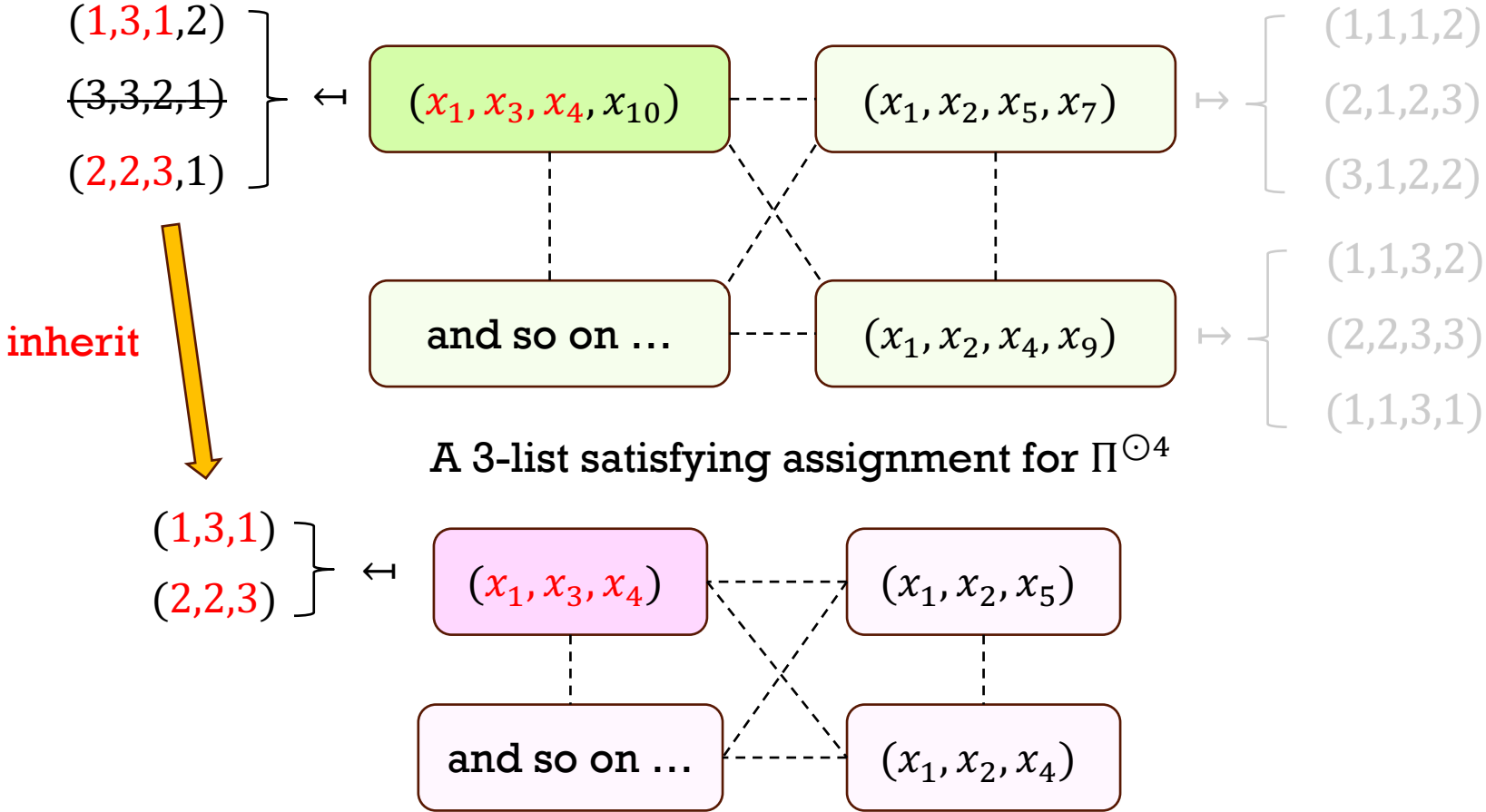
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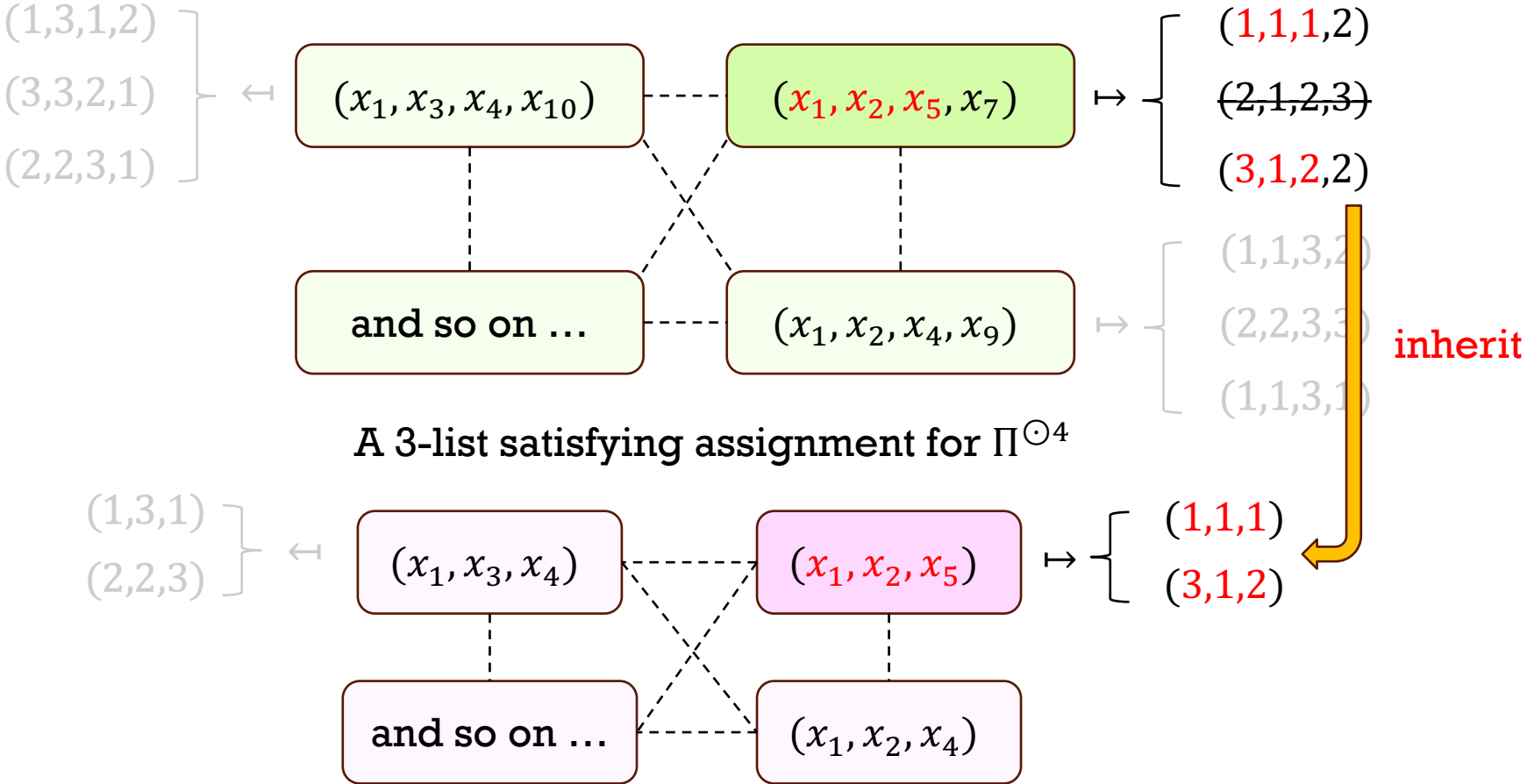
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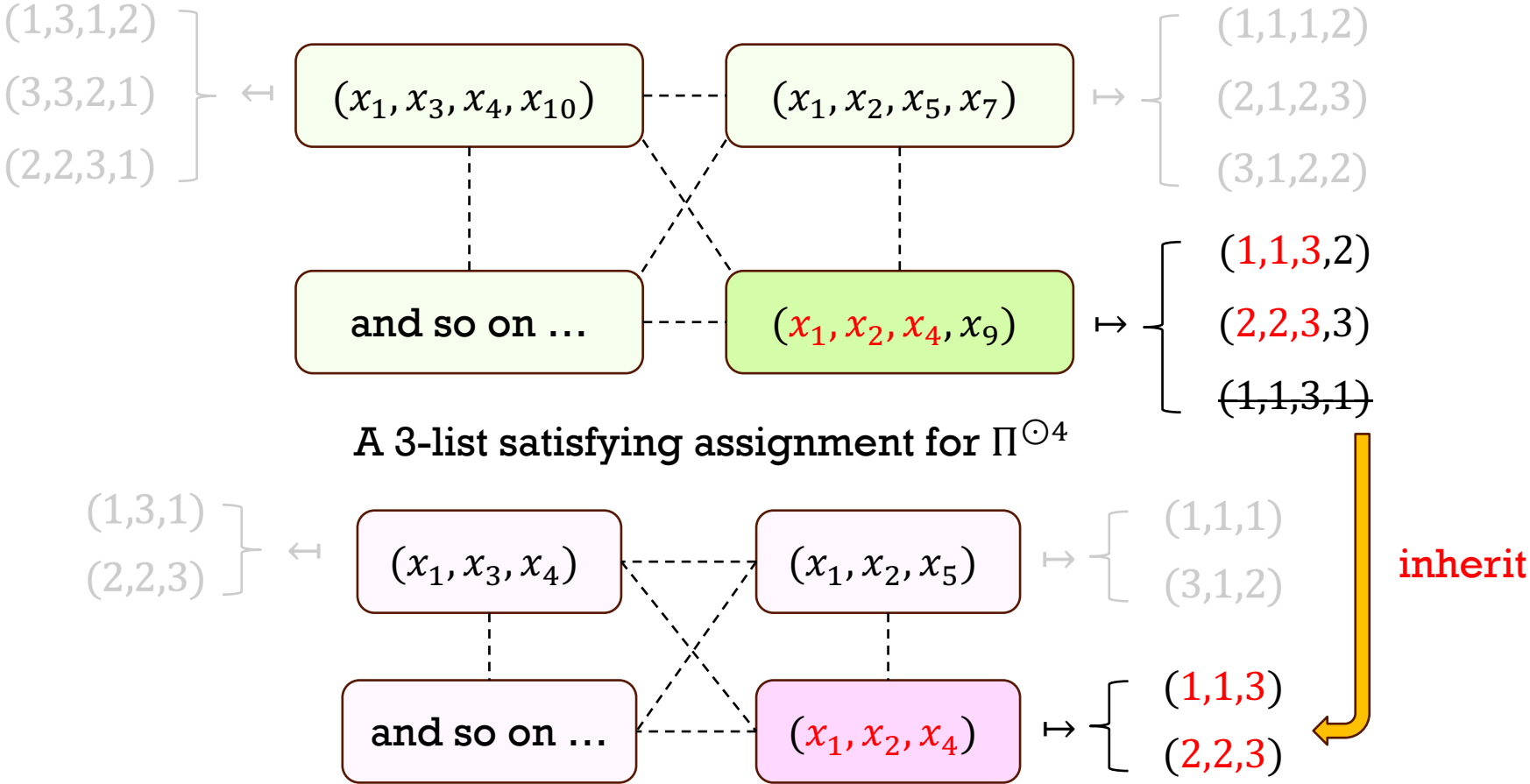
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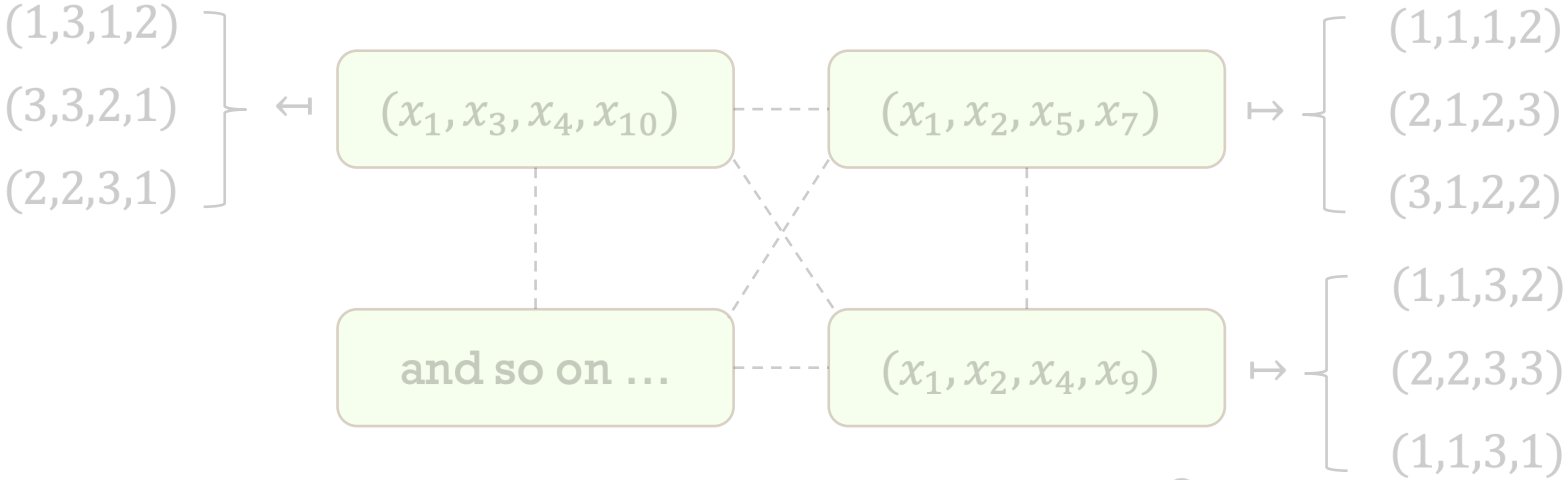
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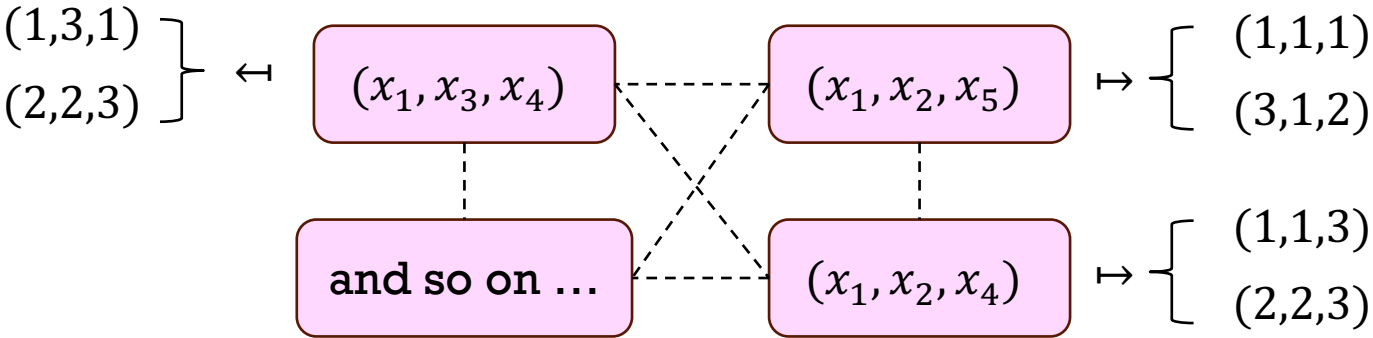
Proof Overview



Proof Overview



A 3-list satisfying assignment for $\Pi^{\odot 4}$



A 2-list satisfying assignment for $\Pi^{\odot 3}$?



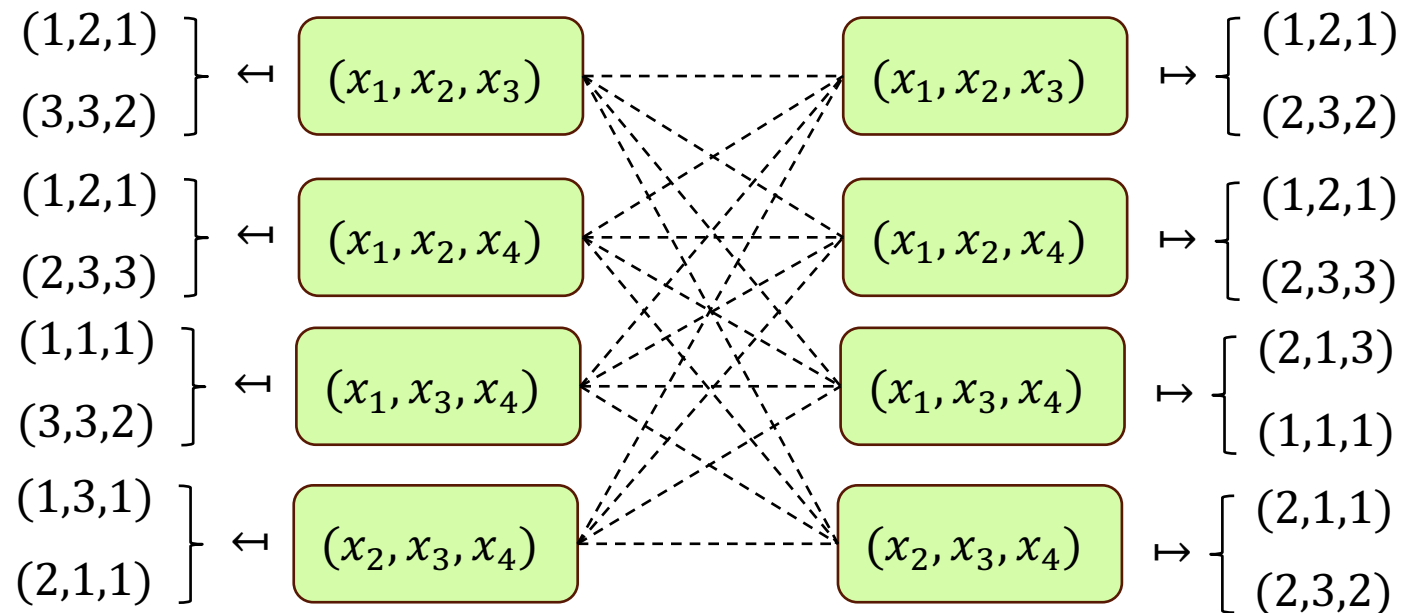
Proof Overview

- How can we discard one assignment safely?
 - the one that is **never used to meet any consistency constraints!**



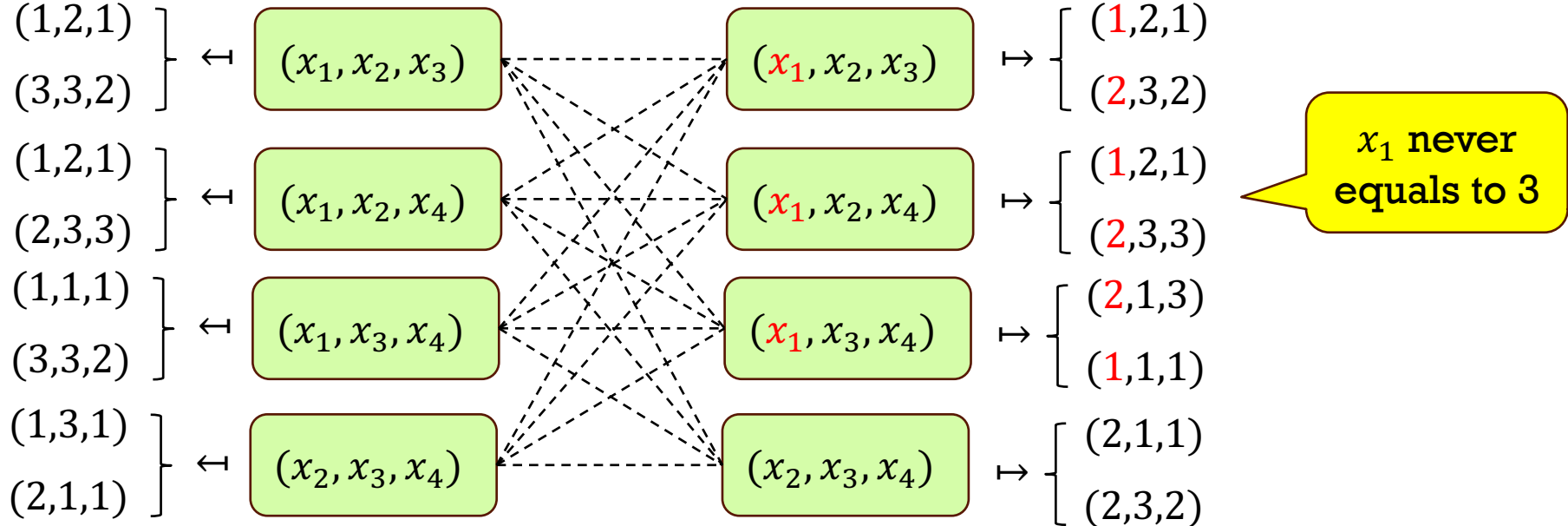
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- Suppose we have the following *bipartite* direct product instance:



Proof Overview

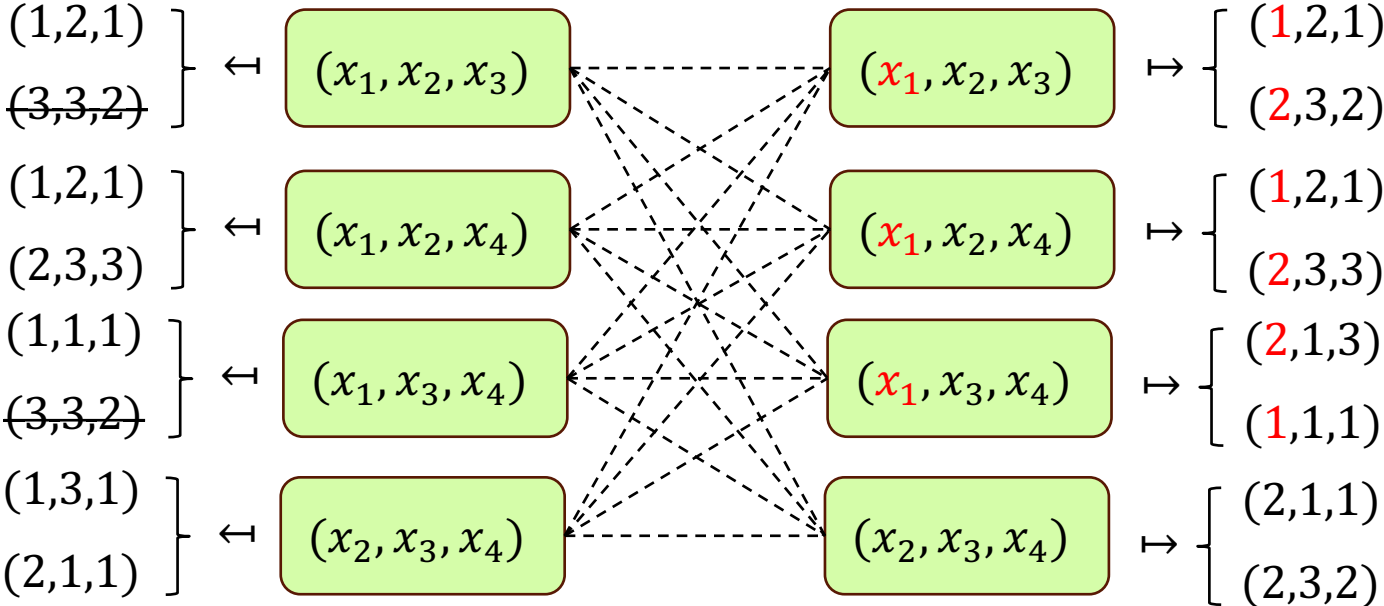
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can safely remove the assignment with $x_1 = 3$



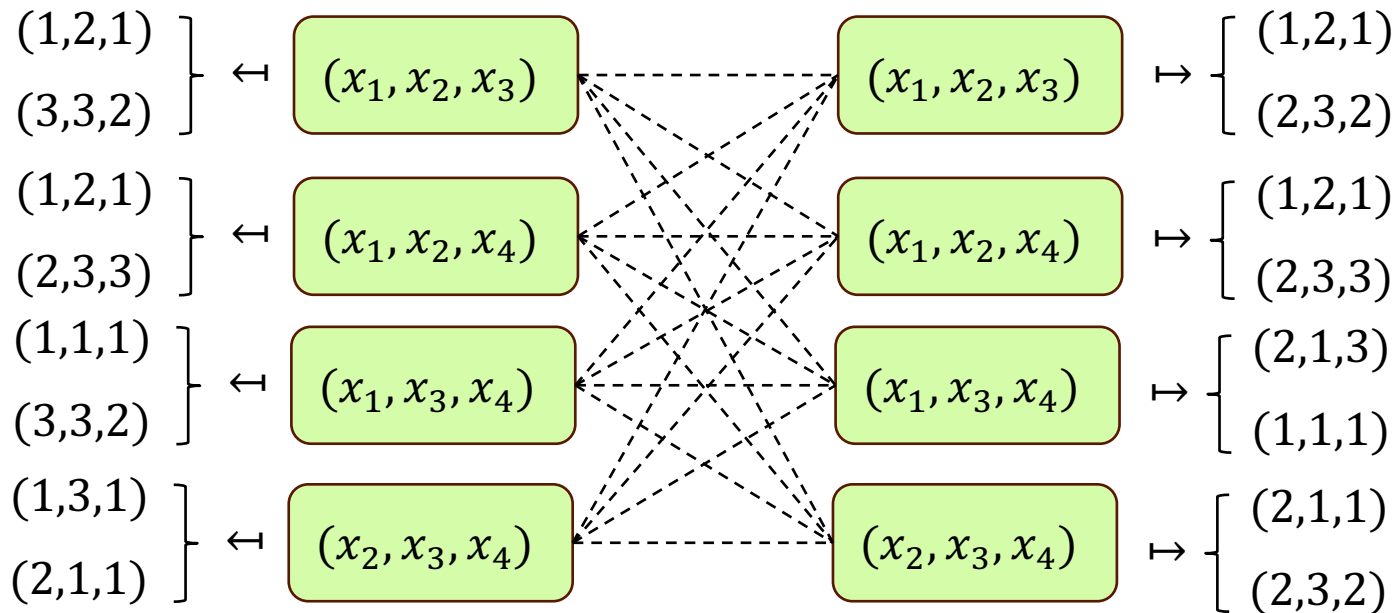
x_1 never equals to 3



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for each S , inherit from such a T that $3 \in \sigma(T)|_{x_1}$

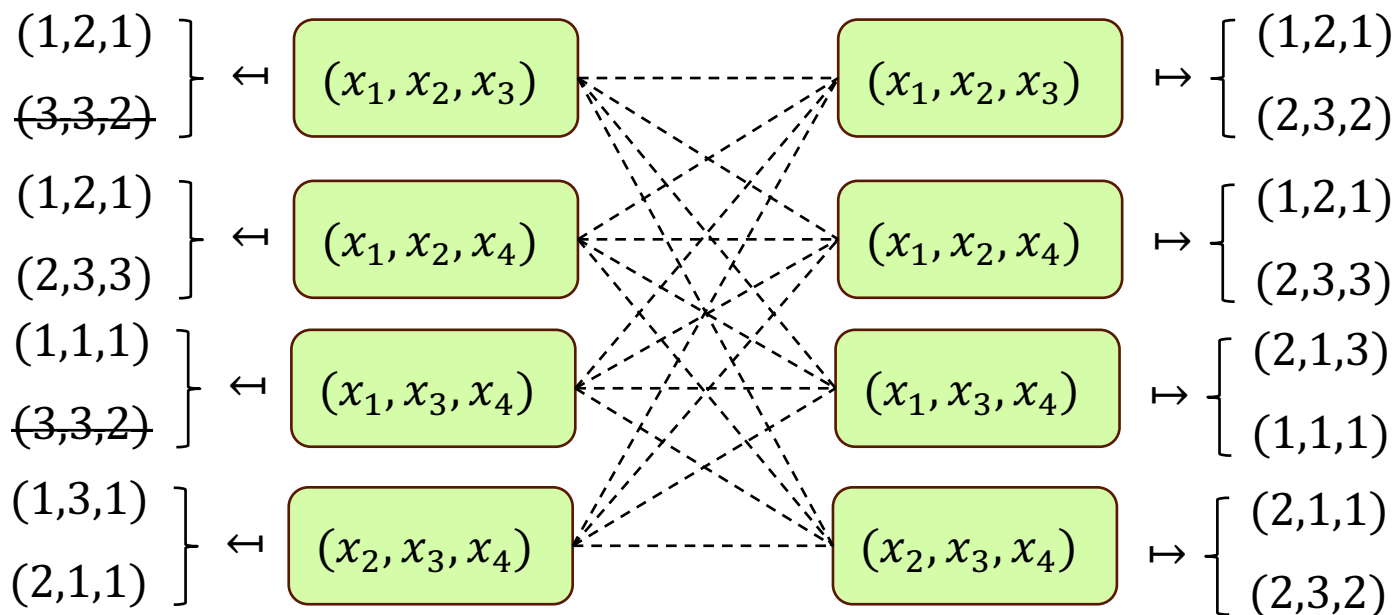


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by discarding this assignment, list size is decreased by 1

for each S , inherit from such a T that $3 \notin \sigma(T)|_{x_1}$



Proof Overview

- Bipartite $(r, 1)$ -case

↑

- Bipartite (r, q) -case

↑

- Non-bipartite r -case



Proof Overview

- Bipartite $(r, 1)$ -case



- Bipartite (r, q) -case



- Non-bipartite r -case



Takeaway

- **Parameterized Inapproximability Hypothesis** – parameterized analog of PCP
- **Baby PIH** – inapproximability of the **list-satisfiability** of (parameterized) 2CSP
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