

Workshop on Multiparameter Persistent Homology  
Casa Matemática Oaxaca, August 2018

# Decomposition of exact 2-d persistence modules

Steve Oudot

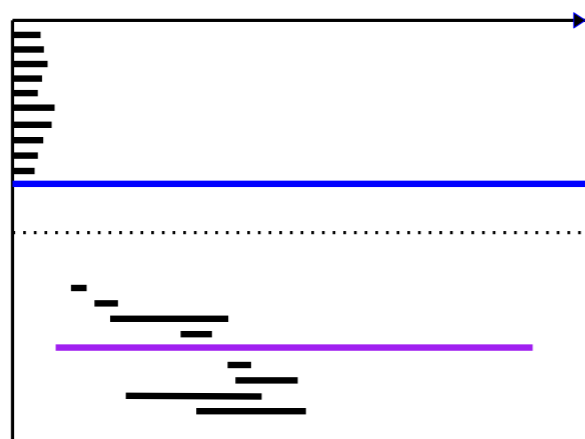
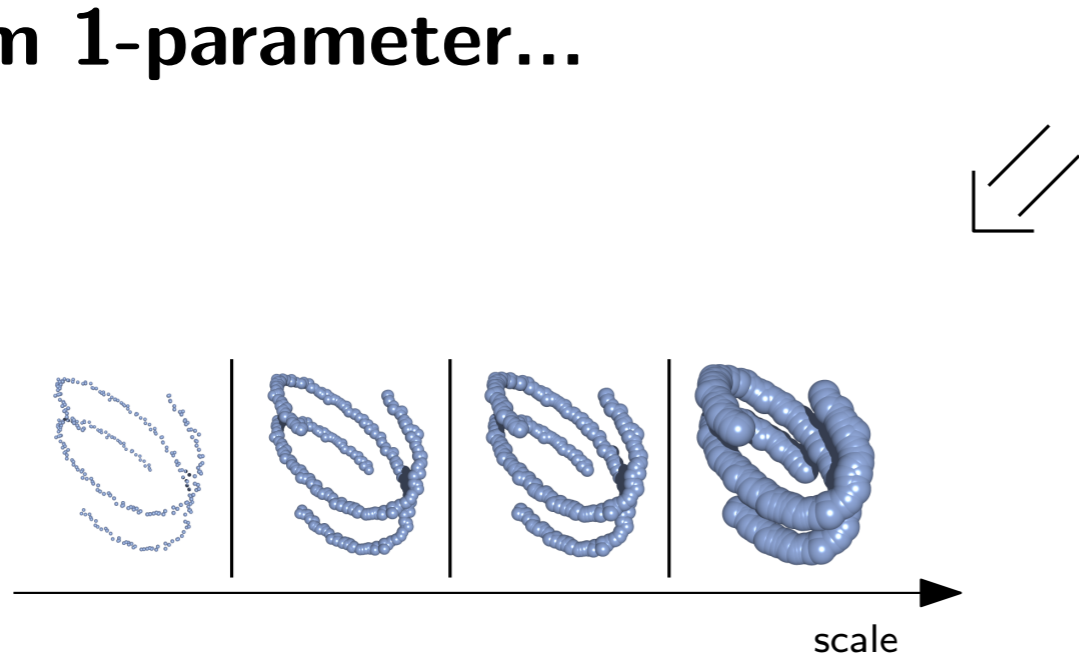
*Inria*

— joint work with J. Cochoy

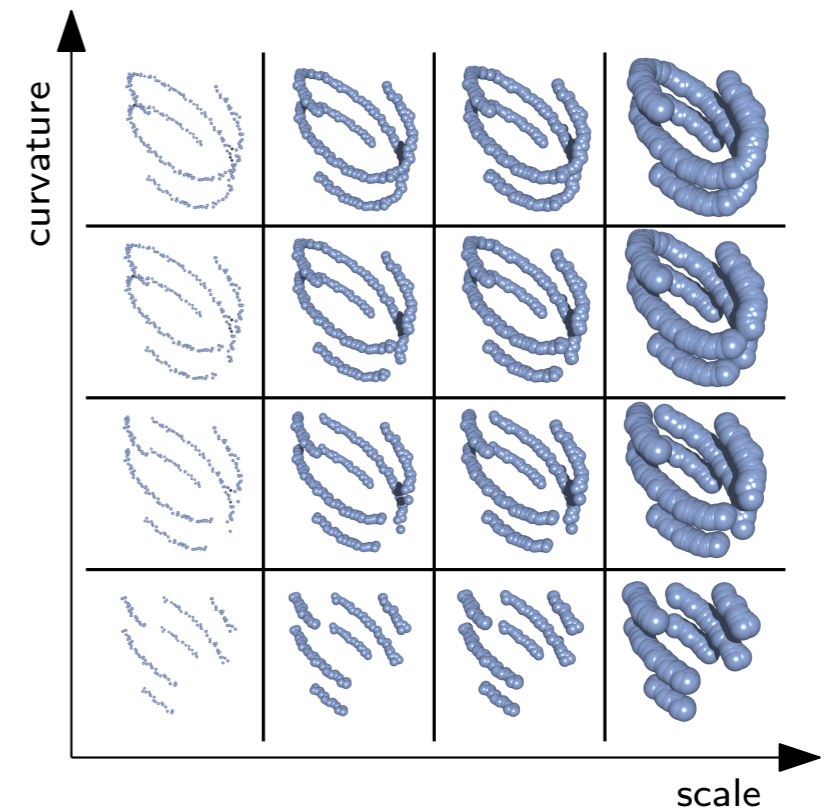
— arXiv 1605.09726 (math.RT)

# Context: richer descriptors for data

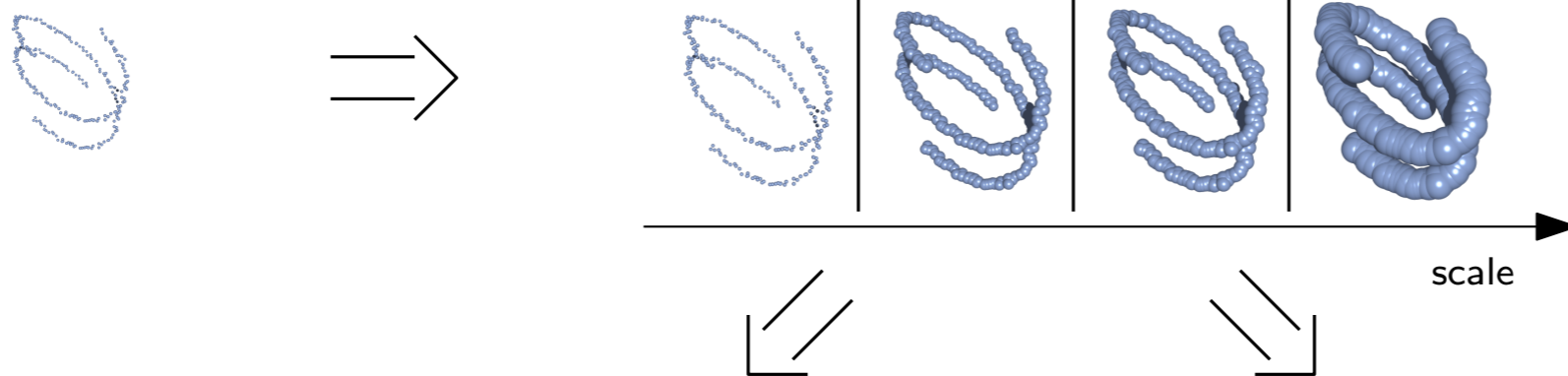
from 1-parameter...



...to multi-parameter



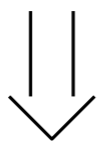
# Barcodes from decompositions (1-d)



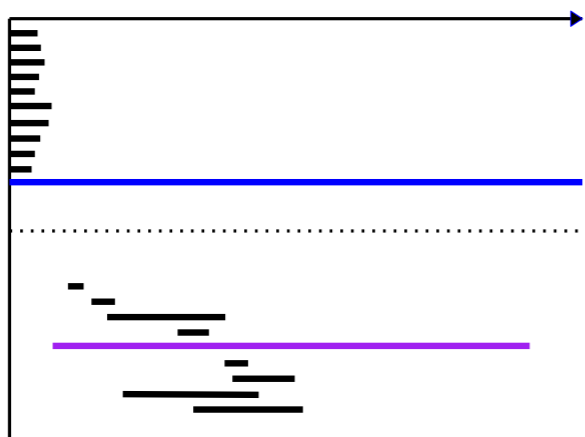
**discrete setting:**  $M : \mathbb{Z} \rightarrow \text{vect}_k$

$\rightarrow$  fg graded module over  $k[t]$

$$M \simeq \bigoplus_{i \in I} t^{b_i} k[t] \oplus \bigoplus_{j \in J} t^{b_j} \frac{k[t]}{t^{s_j} k[t]}$$



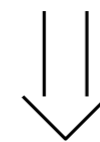
dgm  $M$ :



**continuous setting:**  $M : \mathbb{R} \rightarrow \text{vect}_k$

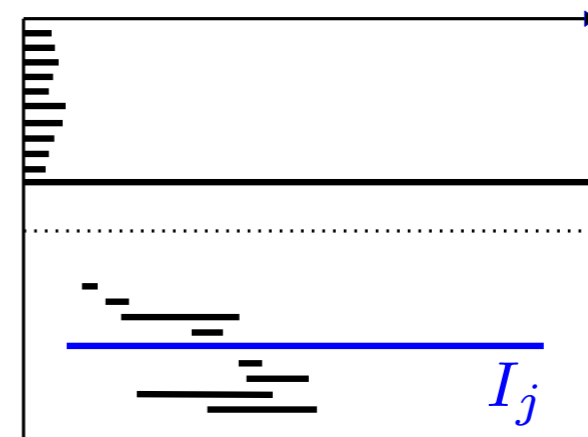
$\rightarrow$  pfd representation of poset  $(\mathbb{R}, \leq)$

$$M \simeq \bigoplus_{j \in J} k_{I_j} \quad [\text{Crawley-Boevey}]$$



indicator module on interval  $I_j$

dgm  $M$ :



# Existence of decompositions (multi-d)

---

**discrete setting:**  $M : \mathbb{Z}^d \rightarrow \text{vect}_k$

$$M \simeq \bigoplus_{j \in J} M_j \quad (\text{indecomposables})$$

- bounded support: by recurrence
- unbounded support: [Ringel]

**continuous setting:**  $M : \mathbb{R}^d \rightarrow \text{vect}_k$

→ pfd representation of poset  $(\mathbb{R}^d, \leq)$

$$M \simeq \bigoplus_{j \in J} M_j \quad [\text{Botnan, Crawley-Boevey}]$$

# Existence of decompositions (multi-d)

**discrete setting:**  $M : \mathbb{Z}^d \rightarrow \text{vect}_k$

$$M \simeq \bigoplus_{j \in J} M_j \quad (\text{indecomposables})$$

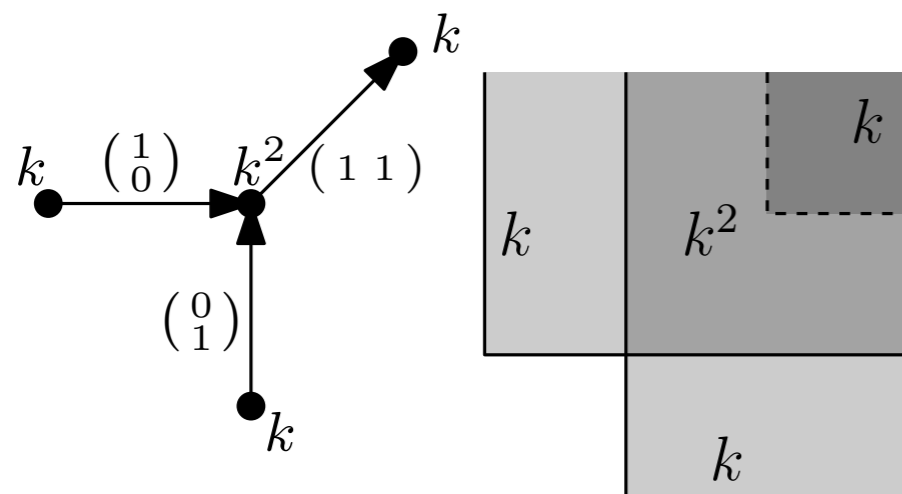
- bounded support: by recurrence
- unbounded support: [Ringel]

**continuous setting:**  $M : \mathbb{R}^d \rightarrow \text{vect}_k$

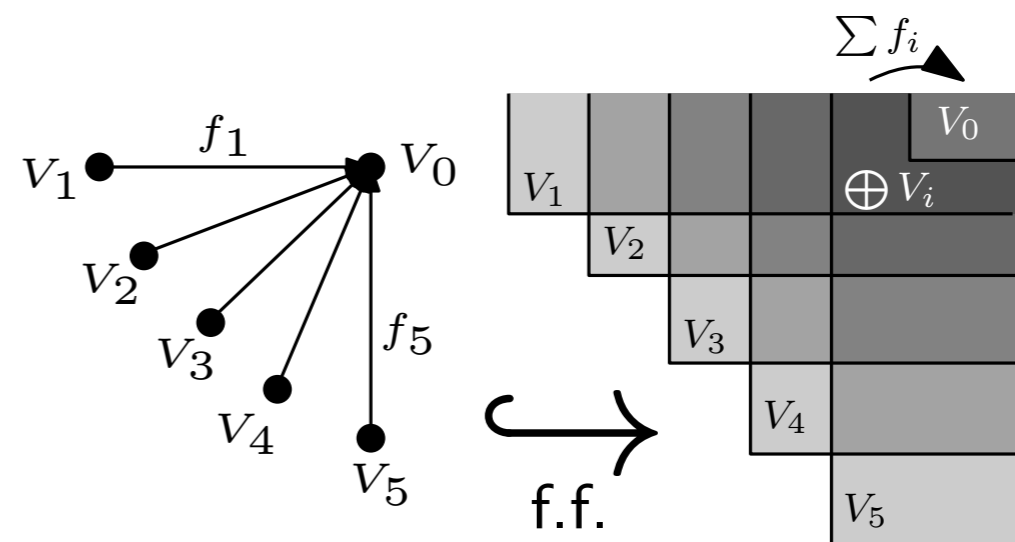
→ pfd representation of poset  $(\mathbb{R}^d, \leq)$

$$M \simeq \bigoplus_{j \in J} M_j \quad [\text{Botnan, Crawley-Boevey}]$$

**Q: shape of indecomposables?**



non-thin summands



wild-type

# Existence of decompositions (multi-d)

**discrete setting:**  $M : \mathbb{Z}^d \rightarrow \text{vect}_k$

$$M \simeq \bigoplus_{j \in J} M_j \quad (\text{indecomposables})$$

- bounded support: by recurrence
- unbounded support: [Ringel]

**continuous setting:**  $M : \mathbb{R}^d \rightarrow \text{vect}_k$

**Thm:** [Cochoy, O.]

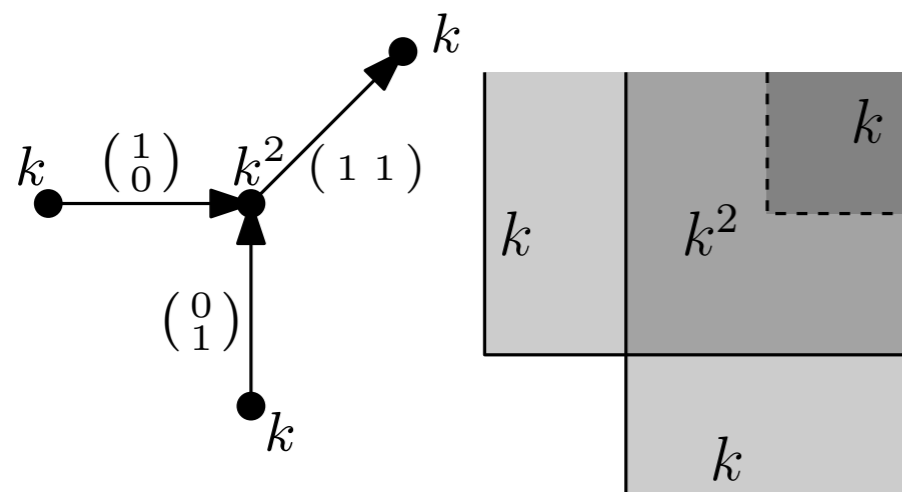
$$M : \mathbb{R}^2 \rightarrow \text{vect}_k \text{ exact}$$



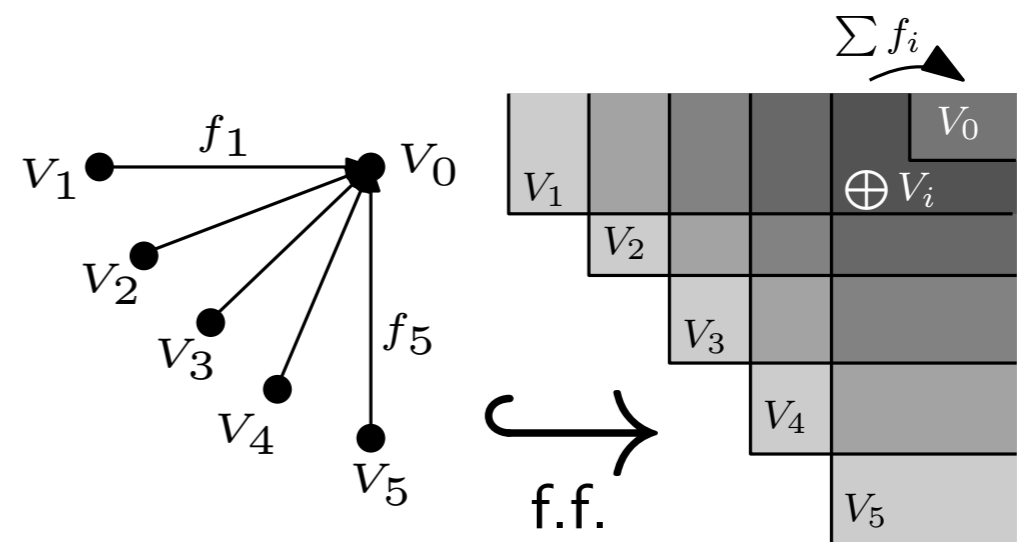
$$M \simeq \bigoplus_{j \in J} k_{B_j}$$



**Q:** shape of indecomposables?



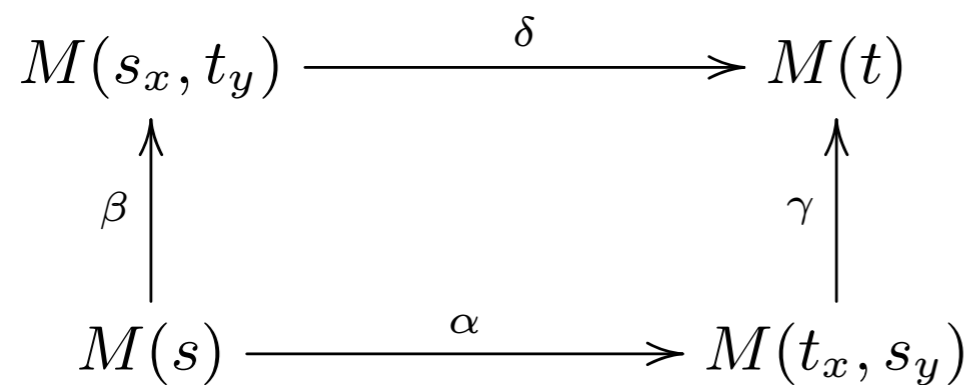
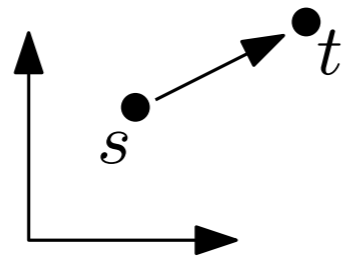
non-thin summands



wild-type

# Existence of decompositions (multi-d)

Exactness:



continuous setting:  $M : \mathbb{R}^d \rightarrow \text{vect}_k$

Thm: [Cochoy, O.]

$M : \mathbb{R}^2 \rightarrow \text{vect}_k$  exact

$\iff$

$M \simeq \bigoplus_{j \in J} k_{B_j}$

$B_j$ : block 

$$M(s) \xrightarrow{\phi = (\alpha, \beta)} M(t_x, s_y) \oplus M(s_x, t_y) \xrightarrow{\psi = \gamma - \delta} M(t)$$

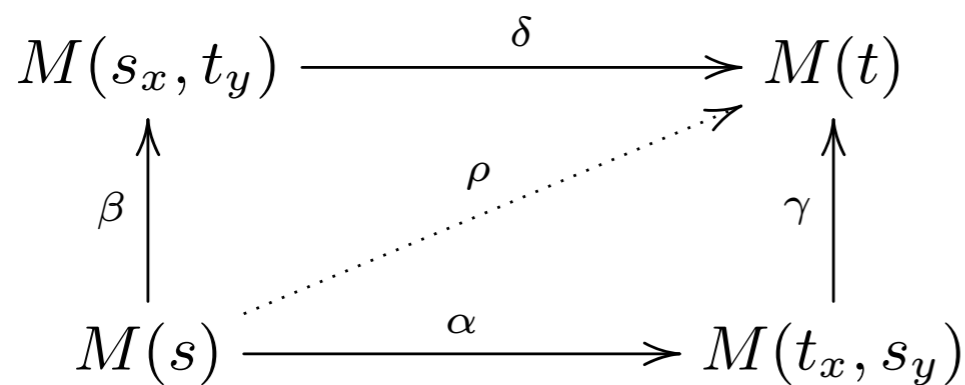
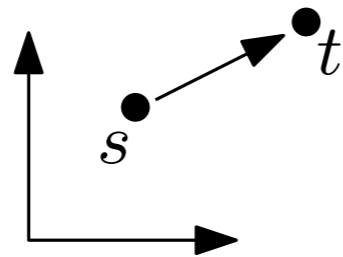
$\text{Im } \phi = \text{Ker } \psi$

$\text{Im } \phi \subseteq \text{Ker } \psi$ : commutativity

$\text{Im } \phi \supseteq \text{Ker } \psi$ :  $\exists$  preimages in  $M(t_x, s_y)$  and  $M(s_x, t_y) \implies \exists$  common preimage in  $M(s)$

# Existence of decompositions (multi-d)

Exactness:



continuous setting:  $M : \mathbb{R}^d \rightarrow \text{vect}_k$

Thm: [Cochoy, O.]

$$M : \mathbb{R}^2 \rightarrow \text{vect}_k \text{ exact}$$

$\iff$

$$M \simeq \bigoplus_{j \in J} k_{B_j}$$



$$M(s) \xrightarrow{\phi = (\alpha, \beta)} M(t_x, s_y) \oplus M(s_x, t_y) \xrightarrow{\psi = \gamma - \delta} M(t)$$

$$\text{Im } \phi = \text{Ker } \psi$$

$\text{Im } \phi \subseteq \text{Ker } \psi$ : commutativity

$\text{Im } \phi \supseteq \text{Ker } \psi$ :  $\exists$  preimages in  $M(t_x, s_y)$  and  $M(s_x, t_y) \Rightarrow \exists$  common preimage in  $M(s)$

$$\text{Im } \rho = \text{Im } \gamma \cap \text{Im } \delta$$

$$\text{Ker } \rho = \text{Ker } \alpha + \text{Ker } \beta$$



# Consequences

## Stability of pfd zigzag modules:

$$F, G : \mathbb{Z}\mathbb{Z} \rightarrow \text{vect}_k$$

$$\rightsquigarrow \rightarrow$$

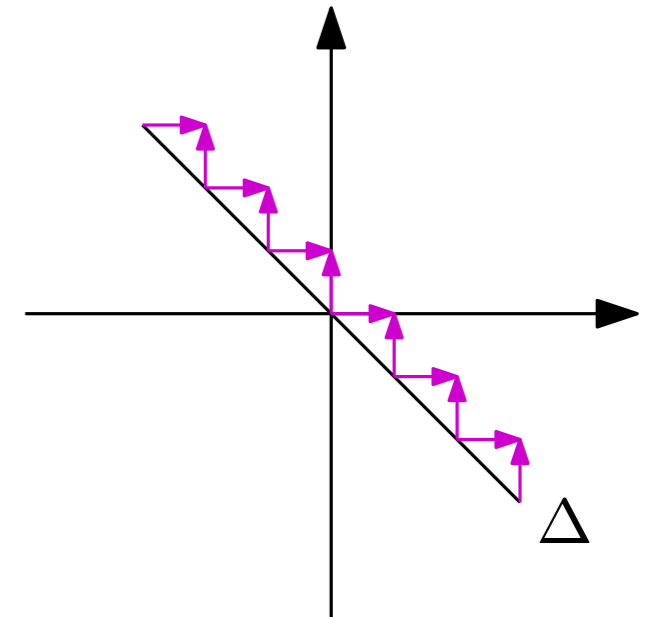
(Kan ext.)

$$M, N : \mathbb{R}^2 \rightarrow \text{vect}_k \text{ B-dec}$$



**Thm:** [Botnan, Lesnick] [Bjerkevik]

$$d_b(F, G) := d_b(\text{dgm } M, \text{dgm } N) = d_i(M, N)$$



# Consequences

## Stability of pfd zigzag modules:

$$F, G : \mathbb{Z}\mathbb{Z} \rightarrow \text{vect}_k$$

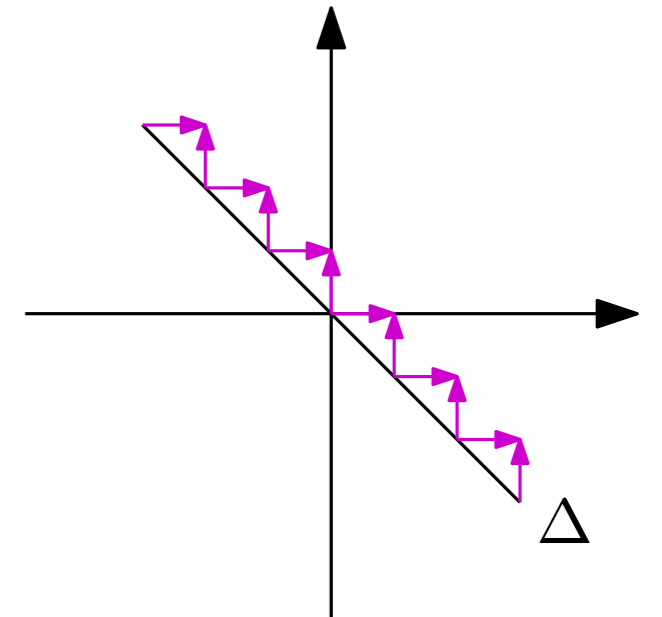
$$\rightsquigarrow \text{ (Kan ext.)}$$

$$M, N : \mathbb{R}^2 \rightarrow \text{vect}_k \text{ B-dec}$$



**Thm:** [Botnan, Lesnick] [Bjerkevik]

$$d_b(F, G) := d_b(\text{dgm } M, \text{dgm } N) = d_i(M, N)$$



## Application to interlevel-sets persistence:

$$f, g : X \rightarrow \mathbb{R} \text{ Morse} \rightsquigarrow F, G : \text{Int} \rightarrow \text{vect}_k \rightsquigarrow M, N : \mathbb{R}_{>\Delta}^2 \rightarrow \text{vect}_k \text{ B-dec}$$

$\text{H}_0(f^{-1}(\cdot); k)$ 
 $(a, b) \mapsto (-a, b)$

$$\text{thm} \Rightarrow d_b(\text{dgm } M, \text{dgm } N) = d_i(M, N) \leq \|f - g\|_\infty$$

$$\rightsquigarrow \text{ (right Kan ext.)}$$

$$M, N : \mathbb{R}^2 \rightarrow \text{vect}_k \text{ B-dec}$$

# Consequences

## Stability of pfd zigzag modules:

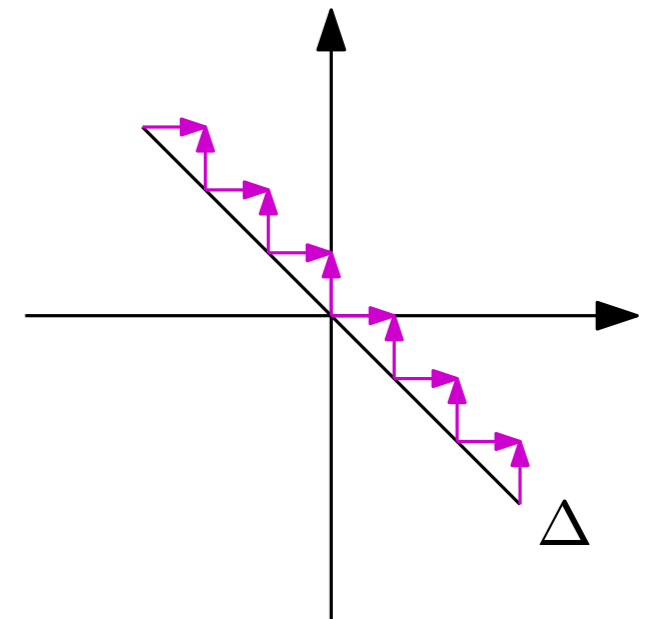
$$F, G : \mathbb{Z}\mathbb{Z} \rightarrow \text{vect}_k$$

$$\rightsquigarrow \text{ (Kan ext.)}$$

$$M, N : \mathbb{R}^2 \rightarrow \text{vect}_k \text{ B-dec}$$



**Thm:** [Botnan, Lesnick] [Bjerkevik]

$$d_b(F, G) := d_b(\text{dgm } M, \text{dgm } N) = d_i(M, N)$$


## Application to interlevel-sets persistence:

$$f, g : X \rightarrow \mathbb{R} \text{ pfd} \xrightarrow{\text{H}_r(f^{-1}(\cdot); k)} F, G : \text{Int} \rightarrow \text{vect}_k \xrightarrow{(a, b) \mapsto (-a, b)} M, N : \mathbb{R}_{>\Delta}^2 \rightarrow \text{vect}_k \text{ exact}$$

$$\text{thm} + \text{our result} \Rightarrow d_b(\text{dgm } M, \text{dgm } N) = d_i(M, N) \leq \|f - g\|_\infty$$

$\rightsquigarrow$  (right Kan ext.)

see also [Carlsson, de Silva, Kališnik, Morozov]

$$M, N : \mathbb{R}^2 \rightarrow \text{vect}_k \text{ exact}$$

# Proof of the theorem (1-d case) [Crawley-Boevey]

---

Overview:

1. Define a *counting functor* for each interval  $I$ :

$$C_I : \begin{cases} \text{vect}_{\mathbb{R}} \rightarrow \text{vect}_{\mathbf{k}} \\ M \mapsto \mathbf{k}^{\text{mult}(\mathbf{k}_I; M)} \end{cases} \quad (\text{mult}(\mathbf{k}_I; M) := \max\{n \mid M \simeq \mathbf{k}_I^n \oplus N\})$$

2. Define an *embedding operator* (non-functorial) for each interval  $I$ :

$$M \mapsto M_I \leq M \text{ such that } M_I \simeq \mathbf{k}_I^{\text{mult}(\mathbf{k}_I; M)}$$

3. Show that  $M = \bigoplus_I M_I$

- ▶ show that the  $M_I$ 's are in direct sum
- ▶ show that the sum of the  $M_I$ 's covers  $M$

# Proof of the theorem (1-d case) [Crawley-Boevey]

---

Overview:

1. Define a *counting functor* for each interval  $I$ :

$$C_I : \begin{array}{l} \text{vect}_{\mathbf{k}}^{\mathbb{R}} \rightarrow \text{vect}_{\mathbf{k}} \\ M \mapsto \mathbf{k}^{\text{mult}(\mathbf{k}_I; M)} \end{array} \quad (\text{mult}(\mathbf{k}_I; M) := \max\{n \mid M \simeq \mathbf{k}_I^n \oplus N\})$$

2. Define an *embedding operator* (non-functorial) for each interval  $I$ :

$$M \mapsto M_I \leq M \text{ such that } M_I \simeq \mathbf{k}_I^{\text{mult}(\mathbf{k}_I; M)}$$

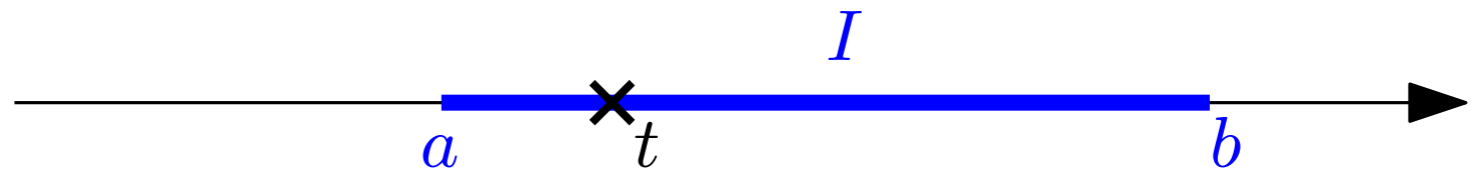
3. Show that  $M = \bigoplus_I M_I$

- ▶ show that the  $M_I$ 's are in direct sum
- ▶ show that the sum of the  $M_I$ 's covers  $M$

# Counting functor (1-d case)

---

For  $I = (a, b)$ :



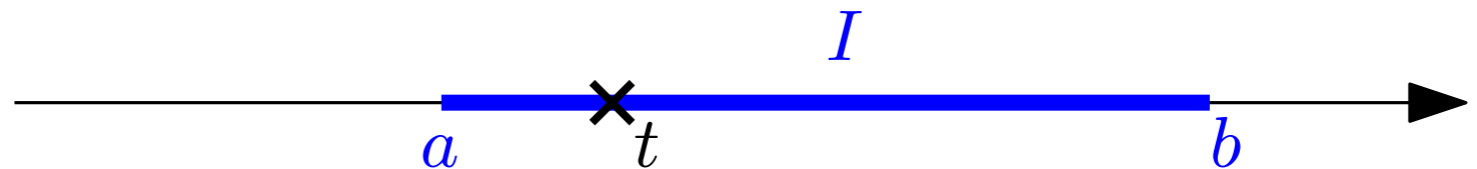
•  $\text{Im}_I^+(t) := \bigcap_{a < s \leq t} \text{Im } M(s \rightarrow t)$  (elements alive at least since  $a$  and still at  $t$ )

•  $\text{Im}_I^-(t) := \sum_{s \leq a} \text{Im } M(s \rightarrow t)$  (elements born before  $a$  and still alive at  $t$ )

↳  $\text{Im}_I^+(t) / \text{Im}_I^-(t)$  (elements alive at  $t$  that were born at  $a$ )

# Counting functor (1-d case)

For  $I = (a, b)$ :

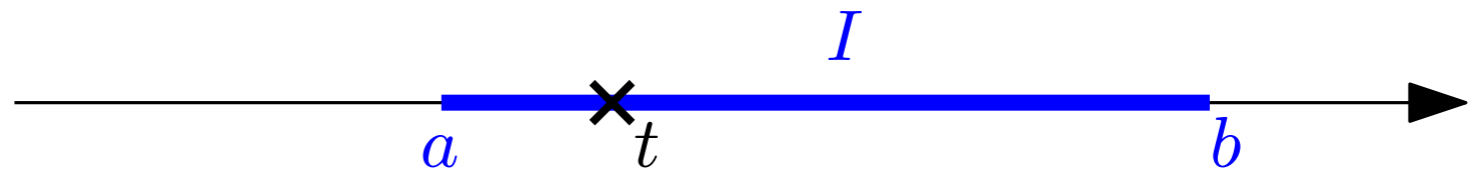


- $\text{Im}_I^+(t) := \bigcap_{a < s \leq t} \text{Im } M(s \rightarrow t)$  (elements alive at least since  $a$  and still at  $t$ )
- $\text{Im}_I^-(t) := \sum_{s \leq a} \text{Im } M(s \rightarrow t)$  (elements born before  $a$  and still alive at  $t$ )
- $\text{Ker}_I^+(t) := \bigcap_{s \geq b} \text{Ker } M(t \rightarrow s)$  (elements alive at  $t$  but not after  $b$ )
- $\text{Im}_I^-(t) := \sum_{t \leq s < b} \text{Ker } M(s \rightarrow t)$  (elements alive at  $t$  and dead before  $b$ )

↳  $\text{Ker}_I^+(t) / \text{Ker}_I^-(t)$  (elements alive at  $t$  that die at  $b$ )

# Counting functor (1-d case)

For  $I = (a, b)$ :



- $\text{Im}_I^+(t) := \bigcap_{a < s \leq t} \text{Im } M(s \rightarrow t)$  (elements alive at least since  $a$  and still at  $t$ )

- $\text{Im}_I^-(t) := \sum_{s \leq a} \text{Im } M(s \rightarrow t)$  (elements born before  $a$  and still alive at  $t$ )

- $\text{Ker}_I^+(t) := \bigcap_{s \geq b} \text{Ker } M(t \rightarrow s)$  (elements alive at  $t$  but not after  $b$ )

- $\text{Im}_I^-(t) := \sum_{t \leq s < b} \text{Ker } M(s \rightarrow t)$  (elements alive at  $t$  and dead before  $b$ )

$$C_I(t) := \underbrace{(\text{Im}_I^+(t) \cap \text{Ker}_I^+(t))}_{\text{(alive at least since } a \text{ but not after } b)} / \underbrace{((\text{Im}_I^+(t) \cap \text{Ker}_I^-(t)) + (\text{Im}_I^-(t) \cap \text{Ker}_I^+(t)))}_{\text{(alive since } a \text{ but dead before } b) + \text{(alive until } b \text{ but born before } a)}$$

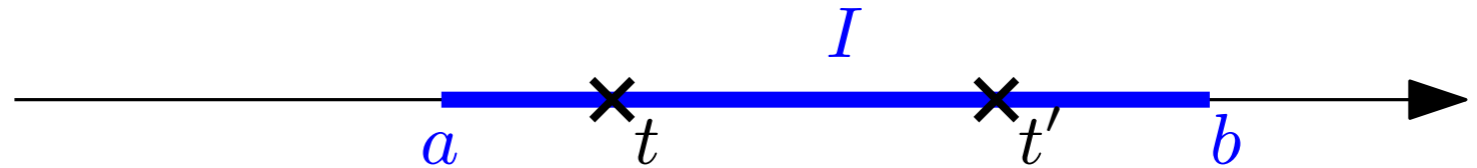
(alive at least since  $a$  but not after  $b$ )

(alive since  $a$  but dead before  $b$ ) + (alive until  $b$  but born before  $a$ )



# Counting functor (1-d case)

For  $I = (a, b)$ :



**Prop:** For  $t \leq t' \in (a, b)$ ,  $M(t \rightarrow t')$  induces  $C_I(t) \xrightarrow{\cong} C_I(t')$

$$\hookrightarrow C_I(M) := \varinjlim_{t \in I} C_I(t)$$

functorial construction

**Prop:**  $\dim C_I(M) = \text{mult}(\mathbf{k}_I; M)$

$$C_I(t) := \underbrace{(\text{Im}_I^+(t) \cap \text{Ker}_I^+(t))}_{\text{(alive at least since } a \text{ but not after } b)} / \underbrace{\left( (\text{Im}_I^+(t) \cap \text{Ker}_I^-(t)) + (\text{Im}_I^-(t) \cap \text{Ker}_I^+(t)) \right)}_{\text{(alive since } a \text{ but dead before } b) + \text{(alive until } b \text{ but born before } a)}$$

(alive at least since  $a$  but not after  $b$ )

(alive since  $a$  but dead before  $b$ ) + (alive until  $b$  but born before  $a$ )

# Proof of the theorem (1-d case) [Crawley-Boevey]

---

Overview:

1. Define a *counting functor* for each interval  $I$ :

$$C_I : \begin{cases} \text{vect}_{\mathbf{k}}^{\mathbb{R}} \rightarrow \text{vect}_{\mathbf{k}} \\ M \mapsto \mathbf{k}^{\text{mult}(\mathbf{k}_I; M)} \end{cases} \quad (\text{mult}(\mathbf{k}_I; M) := \max\{n \mid M \simeq \mathbf{k}_I^n \oplus N\})$$

2. Define an *embedding operator* (non-functorial) for each interval  $I$ :

$$M \mapsto M_I \leq M \text{ such that } M_I \simeq \mathbf{k}_I^{\text{mult}(\mathbf{k}_I; M)}$$

3. Show that  $M = \bigoplus_I M_I$

- ▶ show that the  $M_I$ 's are in direct sum
- ▶ show that the sum of the  $M_I$ 's covers  $M$

# Embedding of summands (1-d case)

$$C_I(t) := \underbrace{(\operatorname{Im}_I^+(t) \cap \operatorname{Ker}_I^+(t))}_{C_I^+(t)} / \underbrace{\left( (\operatorname{Im}_I^+(t) \cap \operatorname{Ker}_I^-(t)) + (\operatorname{Im}_I^-(t) \cap \operatorname{Ker}_I^+(t)) \right)}_{C_I^-(t)}$$

$$C_I(M) := \varprojlim_{t \in I} C_I(t)$$

$$C_I^\pm(M) := \varprojlim_{t \in I} C_I^\pm(t)$$

$$0 \longrightarrow C_I^-(t) \longrightarrow C_I^+(t) \longrightarrow C_I(t) \longrightarrow 0 \text{ is exact for all } t \in I$$

$$\Downarrow \text{ (Mittag-Leffler)}$$

$$0 \longrightarrow C_I^-(M) \longrightarrow C_I^+(M) \longrightarrow C_I(M) \longrightarrow 0 \text{ is exact}$$

$$W := \text{vector space complement of } C_I^-(M) \text{ in } C_I^+(M) \rightsquigarrow W \simeq C_I(M)$$

$$M_I(t) := \pi_t(M_I) \text{ where the } \pi_t \text{ are the (injective) cone maps for } C_I^+(M)$$

# Proof of the theorem (1-d case) [Crawley-Boevey]

---

Overview:

1. Define a *counting functor* for each interval  $I$ :

$$C_I : \begin{cases} \text{vect}_{\mathbb{R}} \rightarrow \text{vect}_{\mathbf{k}} \\ M \mapsto \mathbf{k}^{\text{mult}(\mathbf{k}_I; M)} \end{cases} \quad (\text{mult}(\mathbf{k}_I; M) := \max\{n \mid M \simeq \mathbf{k}_I^n \oplus N\})$$

2. Define an *embedding operator* (non-functorial) for each interval  $I$ :

$$M \mapsto M_I \leq M \text{ such that } M_I \simeq \mathbf{k}_I^{\text{mult}(\mathbf{k}_I; M)}$$

3. Show that  $M = \bigoplus_I M_I$

- ▶ show that the  $M_I$ 's are in direct sum
- ▶ show that the sum of the  $M_I$ 's covers  $M$

# Direct sum (1-d case)

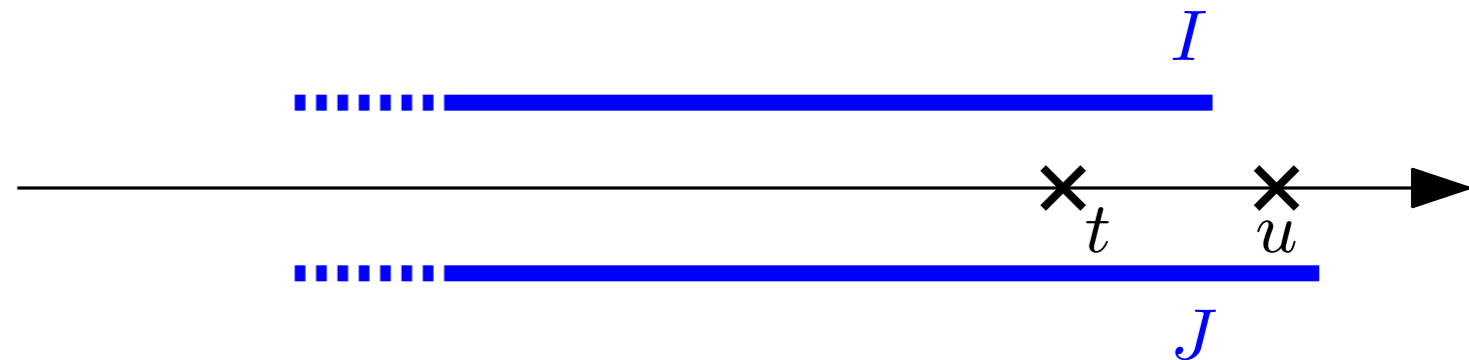
---

**Base case:**  $M_I$  vs.  $M_J$  with  $\sup I \neq \sup J$   
<

$$M_I(t) \cap M_J(t) \neq 0$$

$$\Rightarrow M_I(u) \cap M_J(u) \neq 0$$

$$\Rightarrow M_I(u) \neq 0 \text{ (contradiction)}$$



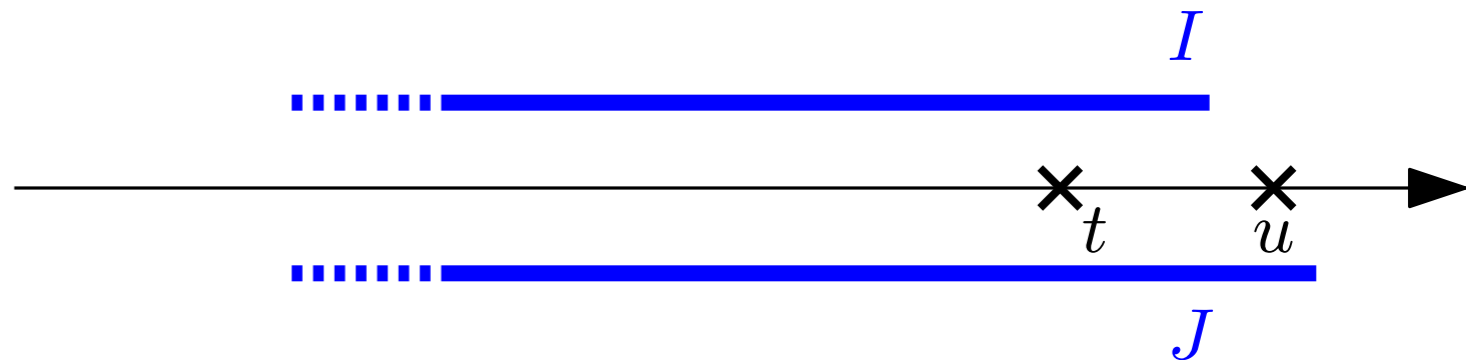
# Direct sum (1-d case)

**Base case:**  $M_I$  vs.  $M_J$  with  $\sup I \neq \sup J$   
 $<$

$$M_I(t) \cap M_J(t) \neq 0$$

$$\Rightarrow M_I(u) \cap M_J(u) \neq 0$$

$$\Rightarrow M_I(u) \neq 0 \text{ (contradiction)}$$

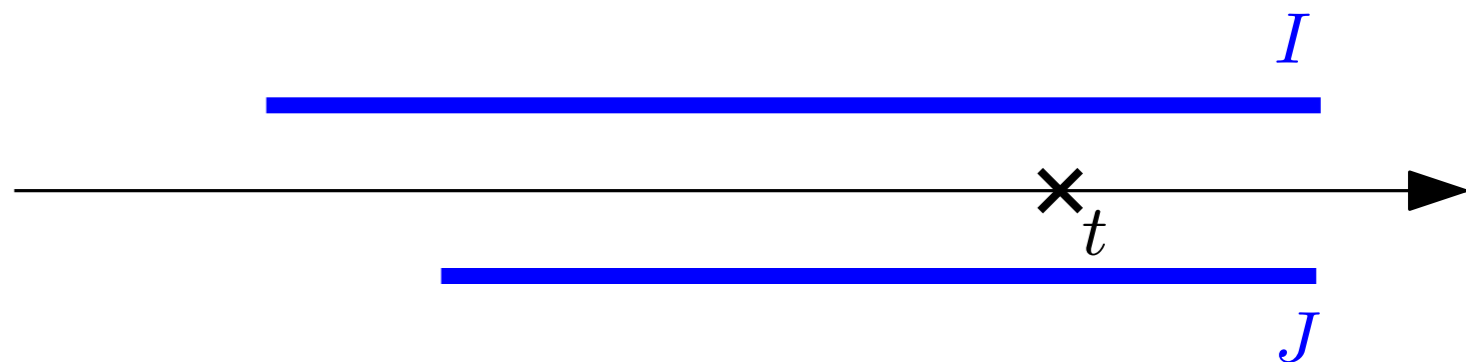


**Variant case:**  $M_I$  vs.  $M_J$  with  $\sup I = \sup J$  and  $\inf I \neq \inf J$   
 $<$

$$\left[ \text{Ker } \frac{\pm}{I}(t) = \text{Ker } \frac{\pm}{J}(t) \right.$$

$$\left[ \text{Im } \frac{+}{I}(t) \subseteq \text{Im } \frac{-}{J}(t) \right.$$

$$\left. \right\} C_I^+(t) \subseteq C_J^-(t) \Rightarrow M_I(t) \cap M_J(t) = 0$$



# Proof of the theorem (1-d case) [Crawley-Boevey]

---

Overview:

1. Define a *counting functor* for each interval  $I$ :

$$C_I : \begin{cases} \text{vect}_{\mathbf{k}}^{\mathbb{R}} \rightarrow \text{vect}_{\mathbf{k}} \\ M \mapsto \mathbf{k}^{\text{mult}(\mathbf{k}_I; M)} \end{cases} \quad (\text{mult}(\mathbf{k}_I; M) := \max\{n \mid M \simeq \mathbf{k}_I^n \oplus N\})$$

2. Define an *embedding operator* (non-functorial) for each interval  $I$ :

$$M \mapsto M_I \leq M \text{ such that } M_I \simeq \mathbf{k}_I^{\text{mult}(\mathbf{k}_I; M)}$$

3. Show that  $M = \bigoplus_I M_I$

- ▶ show that the  $M_I$ 's are in direct sum
- ▶ show that the sum of the  $M_I$ 's covers  $M$

# Covering $M$ (1-d case)

**Approach:** show that  $\sum_I M_I(t) = M(t)$  for every  $t \in \mathbb{R}$

Suppose  $X := \sum_I M_I(t) \subsetneq M(t)$ :

$$u := \inf\{s \leq t \mid X \subsetneq \text{Im } M(s \rightarrow t)\}$$



$$v := \sup\{s \geq t \mid \text{Ker } M(t \rightarrow s) \subsetneq X\}$$



Then:

$$\left. \begin{array}{l} \text{Im}_{(u,v)}^-(t) \subseteq X \not\subseteq \text{Im}_{(u,v)}^+(t) \\ \text{Ker}_{(u,v)}^-(t) \subseteq X \not\subseteq \text{Ker}_{(u,v)}^+(t) \end{array} \right\} \Rightarrow C_{(u,v)}^-(t) \subseteq X \not\subseteq C_{(u,v)}^+(t)$$

$$\Downarrow$$

$$M_{(u,v)}(t) \not\subseteq X := \sum_I M_I(t)$$

(contradiction)



# Proof of the theorem (1-d case) [Crawley-Boevey]

---

Overview:

1. Define a *counting functor* for each interval  $I$ :

$$C_I : \begin{cases} \text{vect}_{\mathbf{k}}^{\mathbb{R}} \rightarrow \text{vect}_{\mathbf{k}} \\ M \mapsto \mathbf{k}^{\text{mult}(\mathbf{k}_I; M)} \end{cases} \quad (\text{mult}(\mathbf{k}_I; M) := \max\{n \mid M \simeq \mathbf{k}_I^n \oplus N\})$$

2. Define an *embedding operator* (non-functorial) for each interval  $I$ :

$$M \mapsto M_I \leq M \text{ such that } M_I \simeq \mathbf{k}_I^{\text{mult}(\mathbf{k}_I; M)}$$

3. Show that  $M = \bigoplus_I M_I$

- ▶ show that the  $M_I$ 's are in direct sum
- ▶ show that the sum of the  $M_I$ 's covers  $M$

# Proof of the theorem (exact 2-d case) [Cochoy, O.]

Overview:

1. Define a *counting functor* for each **block**  $B$ :

$$C_B : \begin{cases} \text{Exact vect}_{\mathbf{k}}^{\mathbb{R}^2} \rightarrow \text{vect}_{\mathbf{k}} \\ M \mapsto \mathbf{k}^{\text{mult}(\mathbf{k}_B; M)} \quad (\text{mult}(\mathbf{k}_B; M) := \max\{n \mid M \simeq \mathbf{k}_B^n \oplus N\}) \end{cases}$$

2. Define an *embedding operator* (non-functorial) for each **block**  $B$ :

$$M \mapsto M_B \leq M \text{ such that } M_B \simeq \mathbf{k}_B^{\text{mult}(\mathbf{k}_B; M)}$$

3. Show that  $M = \bigoplus_B M_B$

- ▶ show that the  $M_B$ 's are in direct sum
- ▶ show that the sum of the  $M_B$ 's covers  $M$

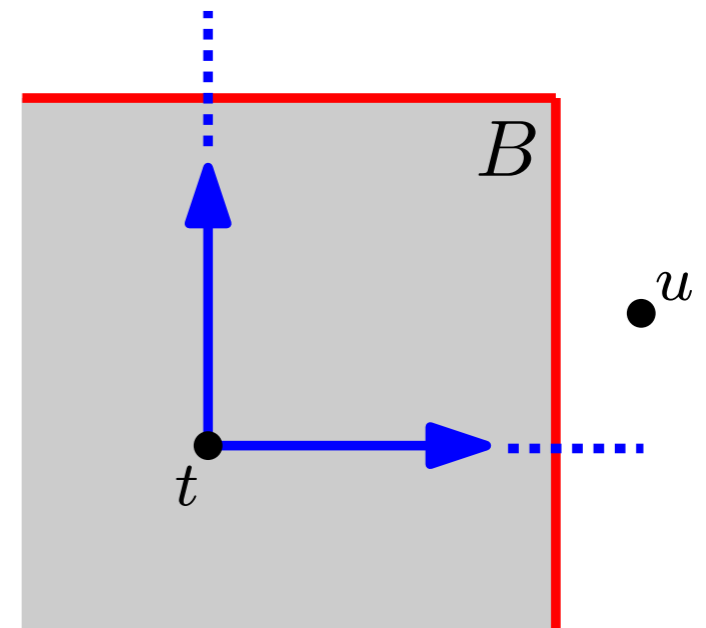
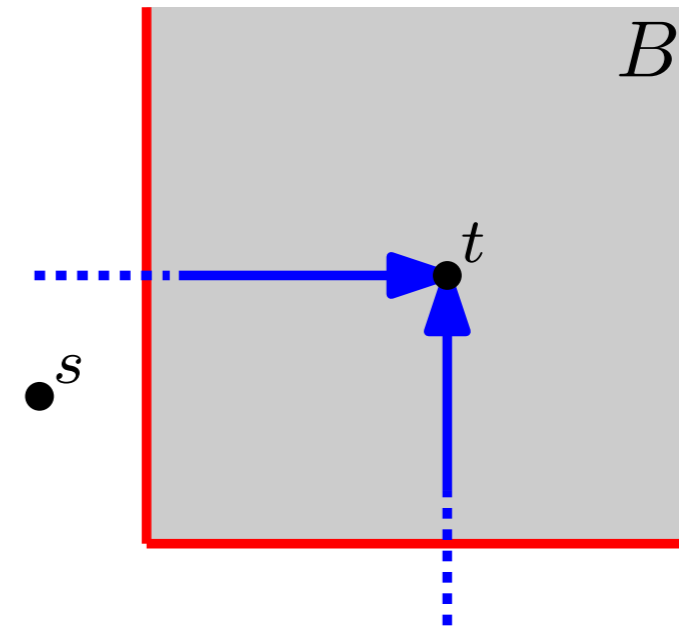
# Specificity of the exact 2-d case



product order on  $\mathbb{R}^2$  is not total

$$\sum_{\substack{s \notin B \\ s \leq t}} \text{Im } M(s \rightarrow t) \not\subseteq \bigcap_{\substack{s \in B \\ s \leq t}} \text{Im } M(s \rightarrow t)$$

$$\sum_{\substack{u \in B \\ u \geq t}} \text{Ker } M(t \rightarrow u) \not\subseteq \bigcap_{\substack{u \notin B \\ u \geq t}} \text{Ker } M(t \rightarrow u)$$

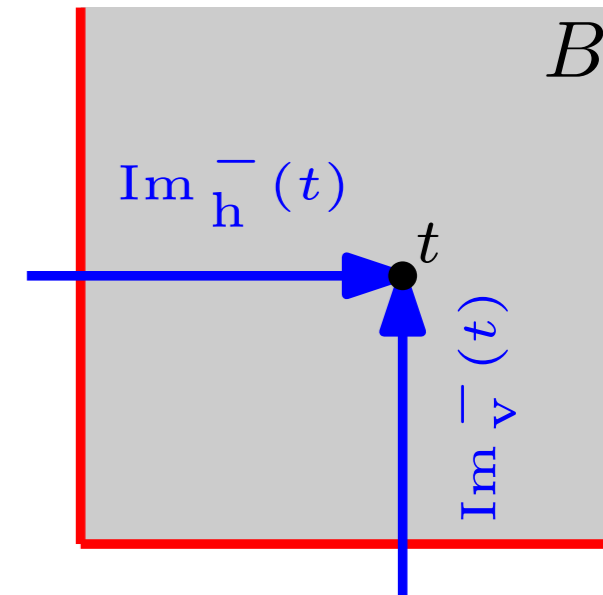
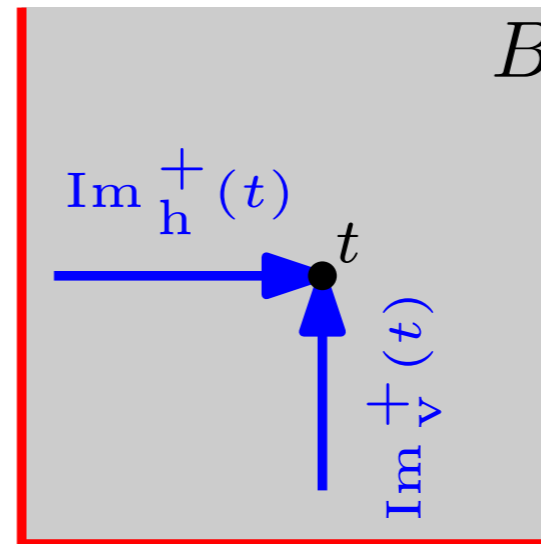


# Specificity of the exact 2-d case

☹️ product order on  $\mathbb{R}^2$  is not total

$$\sum_{\substack{s \notin B \\ s \leq t}} \text{Im } M(s \rightarrow t) \not\subseteq \bigcap_{\substack{s \in B \\ s \leq t}} \text{Im } M(s \rightarrow t)$$

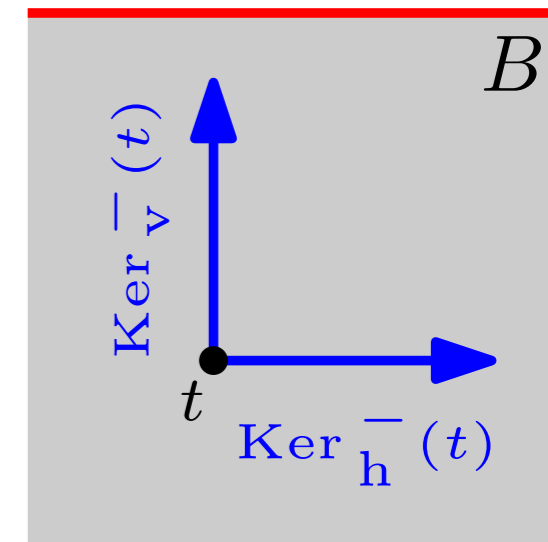
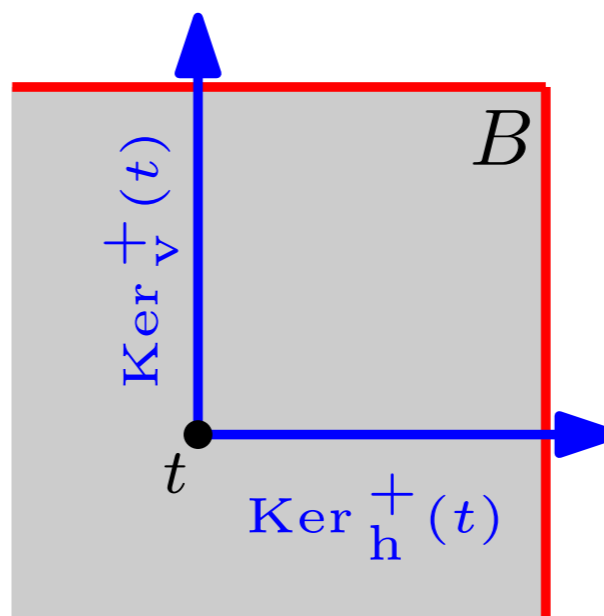
$$\sum_{\substack{u \in B \\ u \geq t}} \text{Ker } M(t \rightarrow u) \not\subseteq \bigcap_{\substack{u \notin B \\ u \geq t}} \text{Ker } M(t \rightarrow u)$$



😊 exactness  $\Rightarrow$  may restrict focus to horizontal and vertical lines

$$\bigcap_{\substack{s \in B \\ s \leq t}} \text{Im } M(s \rightarrow t) = \text{Im}_h^+(t) \cap \text{Im}_v^+(t)$$

$$\sum_{\substack{u \in B \\ u \geq t}} \text{Ker } M(t \rightarrow u) = \text{Ker}_h^-(t) + \text{Ker}_v^-(t)$$



# Specificity of the exact 2-d case



product order on  $\mathbb{R}^2$  is not total

$$\sum_{\substack{s \notin B \\ s \leq t}} \text{Im } M(s \rightarrow t) \not\subseteq \bigcap_{\substack{s \in B \\ s \leq t}} \text{Im } M(s \rightarrow t)$$

$$\sum_{\substack{u \in B \\ u \geq t}} \text{Ker } M(t \rightarrow u) \not\subseteq \bigcap_{\substack{u \notin B \\ u \geq t}} \text{Ker } M(t \rightarrow u)$$



exactness  $\Rightarrow$  may restrict focus to horizontal and vertical lines

$$\bigcap_{\substack{s \in B \\ s \leq t}} \text{Im } M(s \rightarrow t) = \text{Im }_h^+(t) \cap \text{Im }_v^+(t) \\ =: \text{Im }_B^+(t)$$

$$\left( \text{Im }_h^-(t) + \text{Im }_v^-(t) \right) \cap \text{Im }_B^+(t) \\ =: \text{Im }_B^-(t)$$

$$\sum_{\substack{u \in B \\ u \geq t}} \text{Ker } M(t \rightarrow u) = \text{Ker }_h^-(t) + \text{Ker }_v^-(t) \\ =: \text{Ker }_B^-(t)$$

$$\text{Ker }_B^-(t) + \left( \text{Ker }_h^+(t) \cap \text{Ker }_v^+(t) \right) \\ =: \text{Ker }_B^+(t)$$

# Specificity of the exact 2-d case



product order on  $\mathbb{R}^2$  is not total

$$\sum_{\substack{s \notin B \\ s \leq t}} \text{Im } M(s \rightarrow t) \not\subseteq \bigcap_{\substack{s \in B \\ s \leq t}} \text{Im } M(s \rightarrow t)$$

$$\sum_{\substack{u \in B \\ u \geq t}} \text{Ker } M(t \rightarrow u) \not\subseteq \bigcap_{\substack{u \notin B \\ u \geq t}} \text{Ker } M(t \rightarrow u)$$

duality:

$$\text{Im } \frac{\pm}{M^*, B}(t) = (\text{Ker } \frac{\mp}{M, B}(t))^\perp$$

$$\text{Ker } \frac{\pm}{M^*, B}(t) = (\text{Im } \frac{\mp}{M, B}(t))^\perp$$



exactness  $\Rightarrow$  may restrict focus to horizontal and vertical lines

$$\bigcap_{\substack{s \in B \\ s \leq t}} \text{Im } M(s \rightarrow t) = \text{Im } \frac{+}{h}(t) \cap \text{Im } \frac{+}{v}(t) \\ =: \text{Im } \frac{+}{B}(t)$$

$$\sum_{\substack{u \in B \\ u \geq t}} \text{Ker } M(t \rightarrow u) = \text{Ker } \frac{-}{h}(t) + \text{Ker } \frac{-}{v}(t) \\ =: \text{Ker } \frac{-}{B}(t)$$

$$\left( \text{Im } \frac{-}{h}(t) + \text{Im } \frac{-}{v}(t) \right) \cap \text{Im } \frac{+}{B}(t) \\ =: \text{Im } \frac{-}{B}(t)$$

$$\text{Ker } \frac{-}{B}(t) + \left( \text{Ker } \frac{+}{h}(t) \cap \text{Ker } \frac{+}{v}(t) \right) \\ =: \text{Ker } \frac{+}{B}(t)$$

# Specificity of the exact 2-d case



product order on  $\mathbb{R}^2$  is not total

$$\sum_{\substack{s \notin B \\ s \leq t}} \text{Im } M(s \rightarrow t) \not\subseteq \bigcap_{\substack{s \in B \\ s \leq t}} \text{Im } M(s \rightarrow t)$$

$$\sum_{\substack{u \in B \\ u \geq t}} \text{Ker } M(t \rightarrow u) \not\subseteq \bigcap_{\substack{u \notin B \\ u \geq t}} \text{Ker } M(t \rightarrow u)$$

duality:

$$\text{Im } \frac{\pm}{M^*, B}(t) = (\text{Ker } \frac{\mp}{M, B}(t))^\perp$$

$$\text{Ker } \frac{\pm}{M^*, B}(t) = (\text{Im } \frac{\mp}{M, B}(t))^\perp$$

definitions of counting functor and embedding operator go through



exactness  $\Rightarrow$  may restrict focus to horizontal and vertical lines

$$\bigcap_{\substack{s \in B \\ s \leq t}} \text{Im } M(s \rightarrow t) = \text{Im } \frac{+}{h}(t) \cap \text{Im } \frac{+}{v}(t) \\ =: \text{Im } \frac{+}{B}(t)$$

$$\left( \text{Im } \frac{-}{h}(t) + \text{Im } \frac{-}{v}(t) \right) \cap \text{Im } \frac{+}{B}(t) \\ =: \text{Im } \frac{-}{B}(t)$$

$$\sum_{\substack{u \in B \\ u \geq t}} \text{Ker } M(t \rightarrow u) = \text{Ker } \frac{-}{h}(t) + \text{Ker } \frac{-}{v}(t) \\ =: \text{Ker } \frac{-}{B}(t)$$

$$\text{Ker } \frac{-}{B}(t) + \left( \text{Ker } \frac{+}{h}(t) \cap \text{Ker } \frac{+}{v}(t) \right) \\ =: \text{Ker } \frac{+}{B}(t)$$

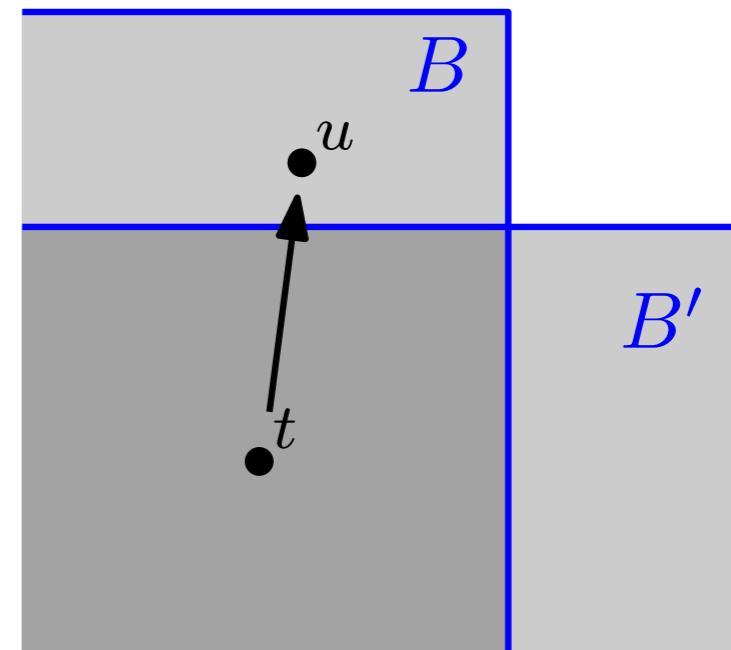
# Direct sum (exact 2-d case)

---

**Base case:**  $M_B$  vs.  $M_{B'}$  with  $\sup B \neq \sup B'$

$$M_B(t) \cap M_{B'}(t) \neq 0 \Rightarrow M_B(u) \cap M_{B'}(u) \neq 0$$

$$\Rightarrow M_{B'}(u) \neq 0 \text{ (contradiction)}$$



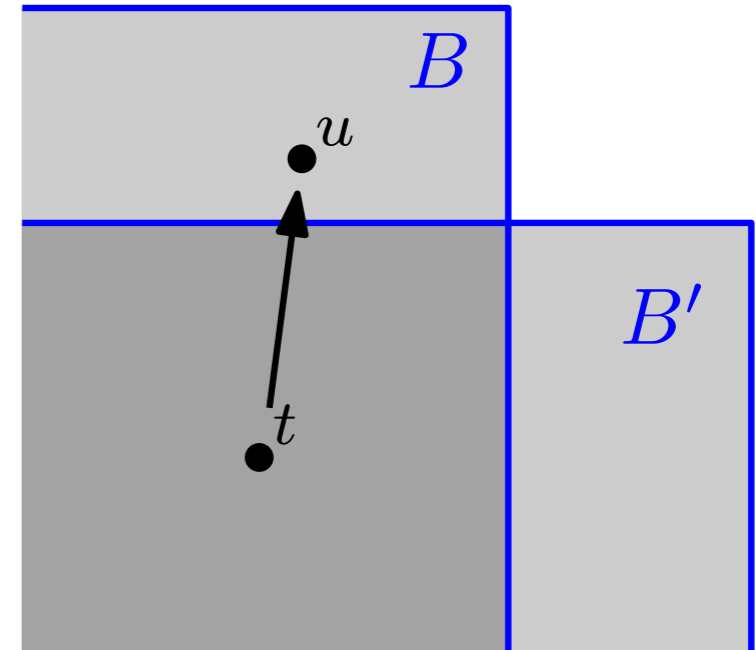


# Direct sum (exact 2-d case)

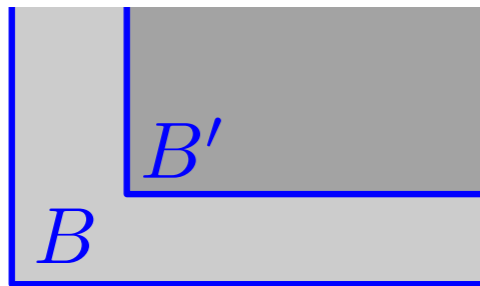
**Base case:**  $M_B$  vs.  $M_{B'}$  with  $\sup B \neq \sup B'$

$$M_B(t) \cap M_{B'}(t) \neq 0 \Rightarrow M_B(u) \cap M_{B'}(u) \neq 0$$

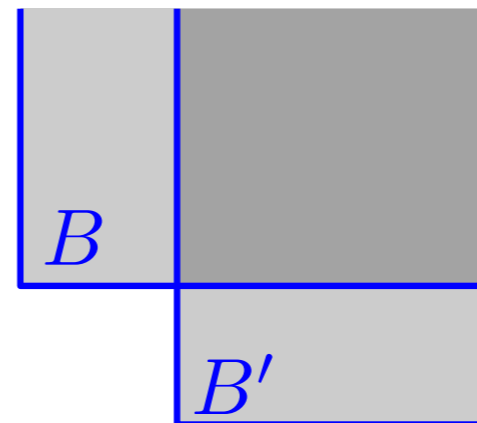
$$\Rightarrow M_{B'}(u) \neq 0 \text{ (contradiction)}$$



**Variant case:**  $M_B$  vs.  $M_{B'}$  with  $\sup B = \sup B'$  and  $\inf B \neq \inf B'$



$$\text{Im } \overset{+}{B}(t) \subseteq \text{Im } \overset{-}{B'}(t)$$



$$\text{Im } \overset{+}{B}(t) \cap \text{Im } \overset{+}{B'}(t) \subseteq \text{Im } \overset{-}{B'}(t)$$

$$\text{Ker } \overset{\pm}{B}(t) = \text{Ker } \overset{\pm}{B'}(t)$$

$$\Rightarrow M_B(t) \cap M_{B'}(t) = 0$$

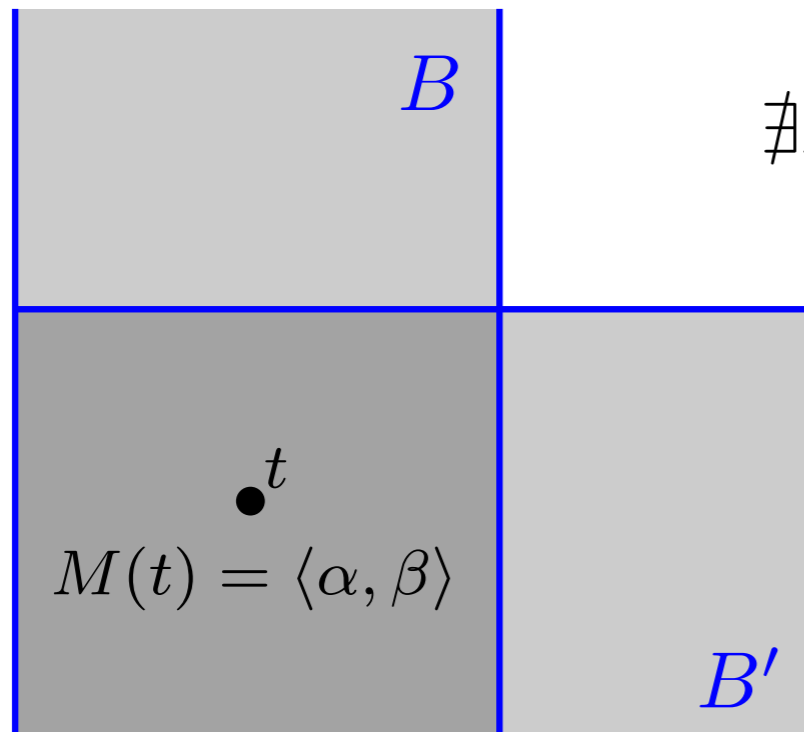
# Covering $M$ (exact 2-d case)

---

**Approach:** show that  $\sum_B M_B(t) = M(t)$  for every  $t \in \mathbb{R}^2$

Suppose  $X := \sum_B M_B(t) \subsetneq M(t)$ :

**Problem:**  $\{\text{Im } \frac{\pm}{B}(t)\}_B$  separates any  $X \subsetneq M(t)$ , but  $\{\text{Ker } \frac{\pm}{B}(t)\}_B$  doesn't



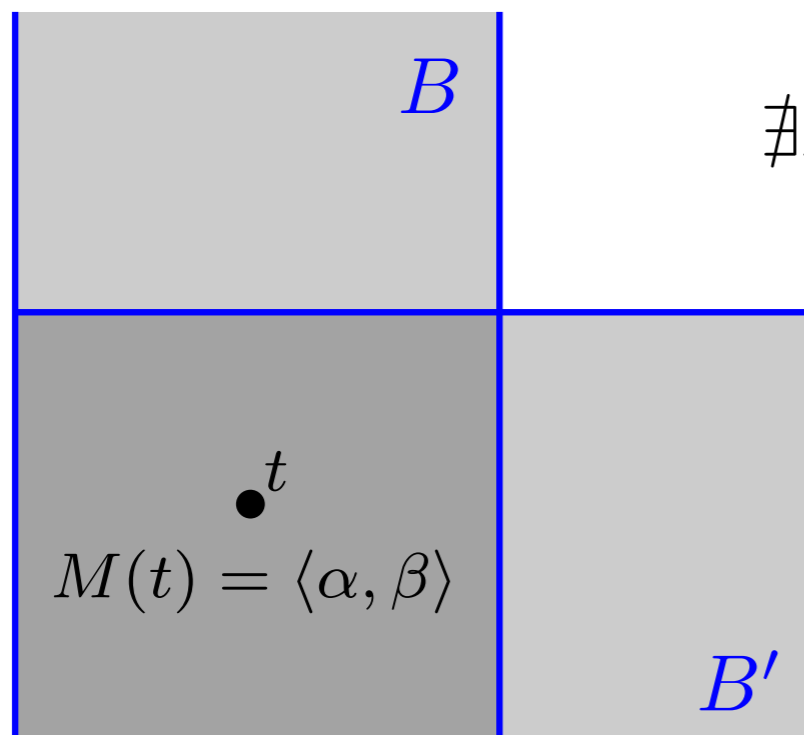
$\nexists B''$  s.t.  $\text{Ker } \bar{\phantom{B}}(t) \subseteq \langle \alpha + \beta \rangle \not\subseteq \text{Ker } \bar{\phantom{B}}(t)$

# Covering $M$ (exact 2-d case)

**Approach:** show that  $\sum_B M_B(t) = M(t)$  for every  $t \in \mathbb{R}^2$

Suppose  $X := \sum_B M_B(t) \subsetneq M(t)$ :

**Problem:**  $\{\text{Im } \frac{\pm}{B}(t)\}_B$  separates any  $X \subsetneq M(t)$ , but  $\{\text{Ker } \frac{\pm}{B}(t)\}_B$  doesn't



$\nexists B''$  s.t.  $\text{Ker } \bar{\frac{\pm}{B''}}(t) \subseteq \langle \alpha + \beta \rangle \not\subseteq \text{Ker } \frac{\pm}{B''}(t)$

**Notes:**

affects only the coverage by death quadrants

$\{\text{ker } \bar{\frac{\mp}{B}}(t)^\perp\}_B$  separates any  $Y \subsetneq M^*(t)$

# Covering $M$ (exact 2-d case)

---

**Approach:** show that  $\sum_B M_B(t) = M(t)$  for every  $t \in \mathbb{R}^2$

Suppose  $X := \sum_B M_B(t) \subsetneq M(t)$ :

**Problem:**  $\{\text{Im } \frac{\pm}{B}(t)\}_B$  separates any  $X \subsetneq M(t)$ , but  $\{\text{Ker } \frac{\pm}{B}(t)\}_B$  doesn't

**Fix:** isolate the contribution of death quadrants to the coverage:

$$N(t) := \text{Im } \frac{+}{\mathbb{R}^2}(t) \cap \text{Ker } \frac{-}{\mathbb{R}^2}(t) \quad \longleftarrow \text{contribution of death quadrants}$$

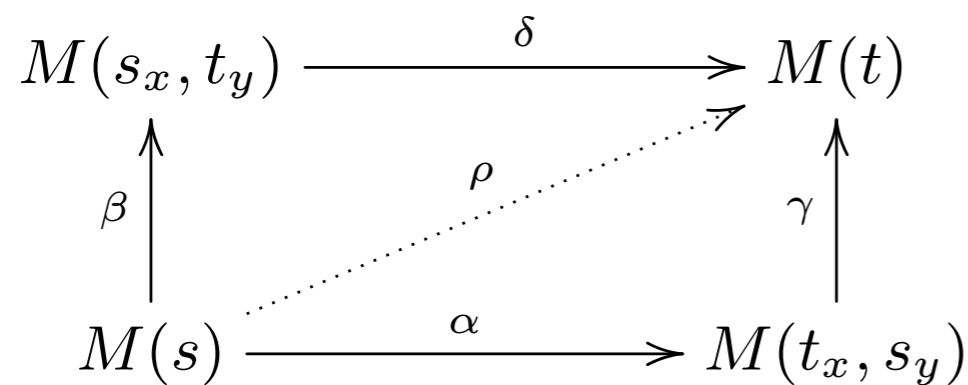
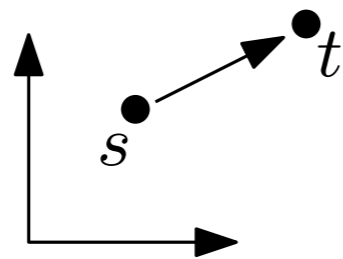
$$M = N \oplus \bigoplus_B M_B \quad \longleftarrow \text{coverage by other blocks}$$

$B$ : band or birth quadrant

$$N^* = \bigoplus_{\substack{B: \text{birth quadrant} \\ \text{in } (\mathbb{R}^2)^{\text{op}}}} N_B^* \quad \longleftarrow \text{coverage of } N \text{ by death quadrants}$$

# A conjecture

Exactness:



continuous setting:  $M : \mathbb{R}^d \rightarrow \text{vect}_k$

Thm: [Cochoy, O.]

$M : \mathbb{R}^2 \rightarrow \text{vect}_k$  exact

$\iff$

$M \simeq \bigoplus_{j \in J} k_{B_j}$



$$M(s) \xrightarrow{\phi = (\alpha, \beta)} M(t_x, s_y) \oplus M(s_x, t_y) \xrightarrow{\psi = \gamma - \delta} M(t)$$

$\text{Im } \phi = \text{Ker } \psi$

$\text{Im } \phi \subseteq \text{Ker } \psi$ : commutativity

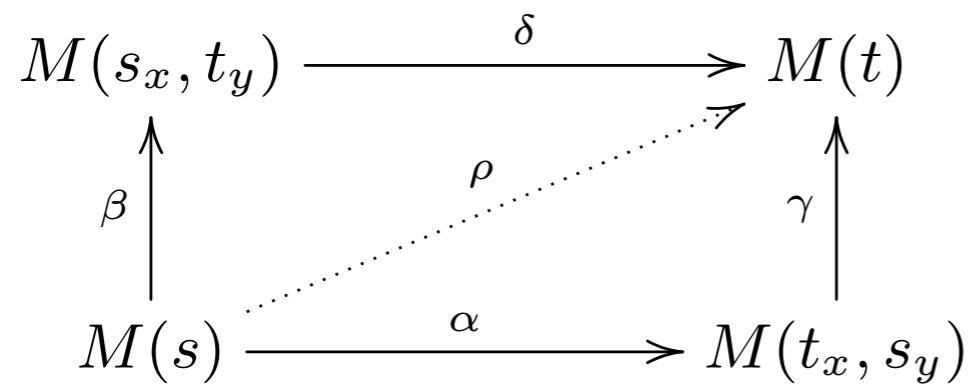
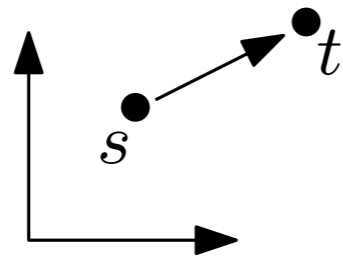
$\text{Im } \phi \supseteq \text{Ker } \psi$ :  $\exists$  preimages in  $M(t_x, s_y)$  and  $M(s_x, t_y) \Rightarrow \exists$  common preimage in  $M(s)$

$\text{Im } \rho = \text{Im } \gamma \cap \text{Im } \delta$

$\text{Ker } \rho = \text{Ker } \alpha + \text{Ker } \beta$

# A conjecture

Exactness:



continuous setting:  $M : \mathbb{R}^d \rightarrow \text{vect}_k$

Thm: [Cochoy, O.]

$M : \mathbb{R}^2 \rightarrow \text{vect}_k$  exact

$\iff$

$M \simeq \bigoplus_{j \in J} k_{B_j}$



$$M(s) \xrightarrow{\phi = (\alpha, \beta)} M(t_x, s_y) \oplus M(s_x, t_y) \xrightarrow{\psi = \gamma - \delta} M(t)$$

$\text{Im } \phi = \text{Ker } \psi$

$\text{Im } \phi \subseteq \text{Ker } \psi$ : commutativity

$\text{Im } \phi \supseteq \text{Ker } \psi$ :  $\exists$  preimages in  $M(t_x, s_y)$  and  $M(s_x, t_y) \Rightarrow \exists$  common preimage in  $M(s)$

$$\text{Im } \rho = \text{Im } \gamma \cap \text{Im } \delta$$

$$\text{Ker } \rho = \text{Ker } \alpha + \text{Ker } \beta$$

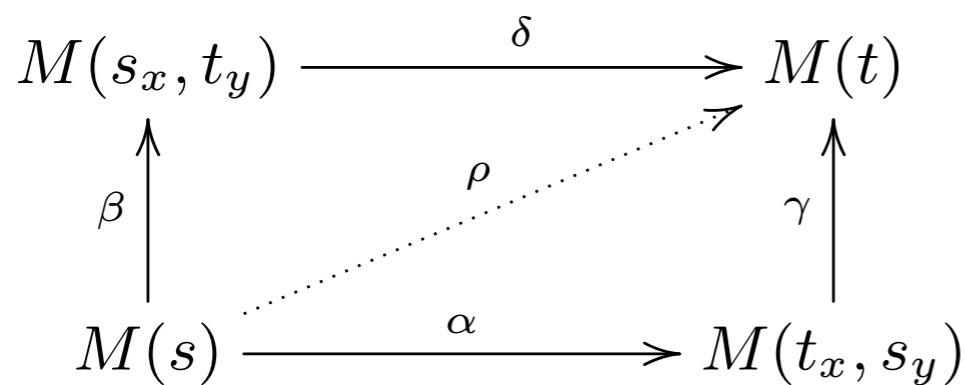
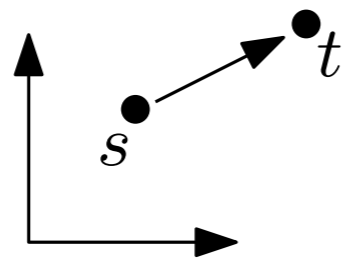
weak exactness

blocks

rectangles

# A conjecture

Exactness:



continuous setting:  $M : \mathbb{R}^d \rightarrow \text{vect}_k$

**Conjecture:**

$M : \mathbb{R}^2 \rightarrow \text{vect}_k$  weakly exact

$\iff$

$M \simeq \bigoplus_{j \in J} k_{B_j}$

$B_j$ : rectangle



$$M(s) \xrightarrow{\phi = (\alpha, \beta)} M(t_x, s_y) \oplus M(s_x, t_y) \xrightarrow{\psi = \gamma - \delta} M(t)$$

**$\text{Im } \phi = \text{Ker } \psi$**

**$\text{Im } \phi \subseteq \text{Ker } \psi$** : commutativity

**$\text{Im } \phi \supseteq \text{Ker } \psi$** :  $\exists$  preimages in  $M(t_x, s_y)$  and  $M(s_x, t_y) \Rightarrow \exists$  common preimage in  $M(s)$

$$\text{Im } \rho = \text{Im } \gamma \cap \text{Im } \delta$$

$$\text{Ker } \rho = \text{Ker } \alpha + \text{Ker } \beta$$

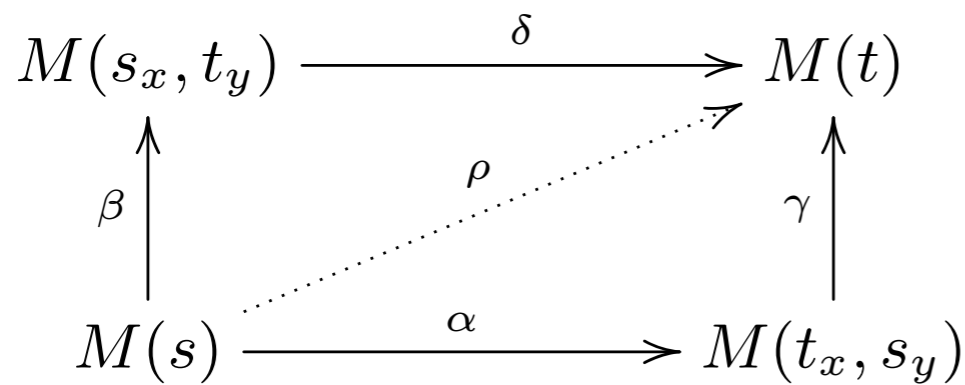
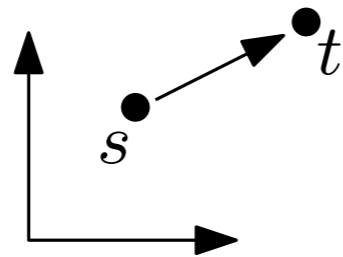
weak exactness

blocks

rectangles

# A conjecture

Exactness:



continuous setting:  $M : \mathbb{R}^d \rightarrow \text{vect}_k$

Conjecture:

$M : \mathbb{R}^2 \rightarrow \text{vect}_k$  weakly exact

$\iff$

$M \simeq \bigoplus_{j \in J} k_{B_j}$

$B_j$ : rectangle



$$M(s) \xrightarrow{\phi = (\alpha, \beta)} M(t_x, s_y) \oplus M(s_x, t_y) \xrightarrow{\psi = \gamma - \delta} M(t)$$

$\text{Im } \phi = \text{Ker } \psi$

$\text{Im } \phi \subseteq \text{Ker } \psi$ : commutativity

$\text{Im } \phi \supseteq \text{Ker } \psi$ :  $\exists$  preimages in  $M(t_x, s_y)$  and  $M(s_x, t_y) \Rightarrow \exists$  common preimage in  $M(s)$

$\text{Im } \rho = \text{Im } \gamma \cap \text{Im } \delta$

counting functor & embedding operator ✓

$\text{Ker } \rho = \text{Ker } \alpha + \text{Ker } \beta$

direct sum ✓

coverage

