



Alberta Number Theory Days 2017

THE SIZE FUNCTION FOR A NUMBER FIELD

Ha Tran

Department of Mathematics and Statistics
University of Calgary

March 17, 2017



Content

- 1 Preliminaries
 - Lattices and ideal lattices
 - The size function for lattices
 - The size function for a number field
- 2 The Riemann-Roch Theorem
- 3 The conjecture of van der Geer and Schoof



Notations

- Let F be a **number field** of degree n . For simplicity, assume that F is **totally real**.



Notations

- Let F be a **number field** of degree n . For simplicity, assume that F is **totally real**.
- Let Δ be the discriminant of F .



Notations

- Let F be a **number field** of degree n . For simplicity, assume that F is **totally real**.
- Let Δ be the discriminant of F .
- Let O_F be the ring of integers of F .



Notations

- Let F be a **number field** of degree n . For simplicity, assume that F is **totally real**.
- Let Δ be the discriminant of F .
- Let O_F be the ring of integers of F .
- Let $\sigma_1, \dots, \sigma_n$ be n real embeddings of F .



Notations

- Let F be a **number field** of degree n . For simplicity, assume that F is **totally real**.
- Let Δ be the discriminant of F .
- Let O_F be the ring of integers of F .
- Let $\sigma_1, \dots, \sigma_n$ be n real embeddings of F .
- Denote by $\Phi = (\sigma_1, \dots, \sigma_n)$. Then

$\Phi : F \hookrightarrow \mathbb{R}^n$ takes $x \in F$ to $(\sigma_i(x))_i \in \mathbb{R}^n$.



Lattices and ideal lattices

- A lattice is a discrete subgroup of an Euclidean space.

Ex: $\mathbb{Z}^n \subset \mathbb{R}^n$.



Lattices and ideal lattices

- A lattice is a discrete subgroup of an Euclidean space.

Ex: $\mathbb{Z}^n \subset \mathbb{R}^n$.

Ex: Let $F = \mathbb{Q}(\sqrt{5})$.



Lattices and ideal lattices

- A lattice is a discrete subgroup of an Euclidean space.

Ex: $\mathbb{Z}^n \subset \mathbb{R}^n$.

Ex: Let $F = \mathbb{Q}(\sqrt{5})$. What $\Phi(O_F)$ looks like?

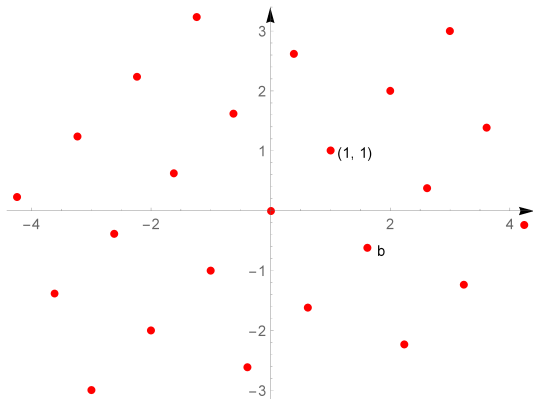


Lattices and ideal lattices

- A lattice is a discrete subgroup of an Euclidean space.

Ex: $\mathbb{Z}^n \subset \mathbb{R}^n$.

Ex: Let $F = \mathbb{Q}(\sqrt{5})$. What $\Phi(O_F)$ looks like?



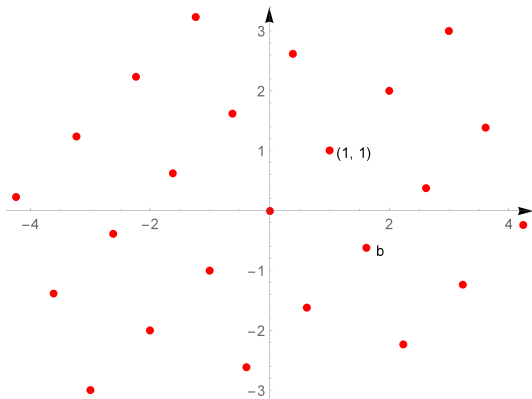


Lattices and ideal lattices

- A lattice is a discrete subgroup of an Euclidean space.

Ex: $\mathbb{Z}^n \subset \mathbb{R}^n$.

Ex: Let $F = \mathbb{Q}(\sqrt{5})$. Then $\Phi(O_F)$ is a lattice in \mathbb{R}^2 .





Lattices and ideal lattices

- A lattice is a discrete subgroup of an Euclidean space.
Ex: $\mathbb{Z}^n \subset \mathbb{R}^n$.

Proposition

Let I be a **fractional ideal** of F . Then $\Phi(I)$ is a **lattice** in \mathbb{R}^n .



Ideal lattices

Definition (Ideal lattices)

An ideal lattice is a lattice (I, q) , where

- I is a (fractional) O_F -ideal and
- $q : I \times I \longrightarrow \mathbb{R}$ is a non-degenerate symmetric bilinear form st
 $q(\lambda x, y) = q(x, \bar{\lambda}y)$ (Hermitian property)
for all $x, y \in I$ and for all $\lambda \in O_F$.



Ideal lattices

Definition (Ideal lattices)

An ideal lattice is a lattice (I, q) , where

- I is a (fractional) O_F -ideal and
- $q : I \times I \rightarrow \mathbb{R}$ is a non-degenerate symmetric bilinear form st
 $q(\lambda x, y) = q(x, \bar{\lambda}y)$ (Hermitian property)
for all $x, y \in I$ and for all $\lambda \in O_F$.

Let I be a **fractional ideal** of F and let $u = (u_i)_i \in (\mathbb{R}_{>0})^n$.



Ideal lattices

Definition (Ideal lattices)

An ideal lattice is a lattice (I, q) , where

- I is a (fractional) O_F -ideal and
- $q : I \times I \rightarrow \mathbb{R}$ is a non-degenerate symmetric bilinear form st
 $q(\lambda x, y) = q(x, \bar{\lambda} y)$ (Hermitian property)
 for all $x, y \in I$ and for all $\lambda \in O_F$.

Let I be a **fractional ideal** of F and let $u = (u_i)_i \in (\mathbb{R}_{>0})^n$.

Define $q_u(x, y) = \langle u\Phi(x), u\Phi(y) \rangle$ for any $x, y \in I$.

$$\|x\|_u^2 = q_u(x, x) = \|u\Phi(x)\|^2 = \sum_{i=1}^n u_i^2 [\sigma_i(x)]^2.$$



Ideal lattices

Definition (Ideal lattices)

An ideal lattice is a lattice (I, q) , where

- I is a (fractional) O_F -ideal and
- $q : I \times I \rightarrow \mathbb{R}$ is a non-degenerate symmetric bilinear form st
 $q(\lambda x, y) = q(x, \bar{\lambda}y)$ (Hermitian property)
 for all $x, y \in I$ and for all $\lambda \in O_F$.

Let I be a fractional ideal of F and let $u = (u_i)_i \in (\mathbb{R}_{>0})^n$.

Define $q_u(x, y) = \langle u\Phi(x), u\Phi(y) \rangle$ for any $x, y \in I$.

$$\|x\|_u^2 = q_u(x, x) = \|u\Phi(x)\|^2 = \sum_{i=1}^n u_i^2 [\sigma_i(x)]^2.$$

Then (I, q_u) is an ideal lattice.



The size function for lattices

Let L be a lattice of \mathbb{R}^n .

$$h^0(L) := \log \sum_{x \in L} e^{-\pi \|x\|^2}.$$



The size function for a number field

Similarly, h^0 is defined for the ideal lattice (I, q_u) .

$$h^0(I, q_u) = \log \sum_{x \in I} e^{-\pi \|x\|_u^2}.$$



The size function for a number field

Similarly, h^0 is defined for the ideal lattice (I, q_u) .

$$h^0(I, q_u) = \log \sum_{x \in I} e^{-\pi \|x\|_u^2}.$$

Definition

- The pair $D = (I, u)$ is also called an **Arakelov divisor** of F .



The size function for a number field

Similarly, h^0 is defined for the ideal lattice (I, q_u) .

$$h^0(I, q_u) = \log \sum_{x \in I} e^{-\pi \|x\|_u^2}.$$

Definition

- The pair $D = (I, u)$ is also called an **Arakelov divisor** of F .
- (I, q_u) is also called the **ideal lattice associated** to D .



The size function for a number field

Similarly, h^0 is defined for the ideal lattice (I, q_u) .

$$h^0(I, q_u) = \log \sum_{x \in I} e^{-\pi \|x\|_u^2}.$$

Definition

- The pair $D = (I, u)$ is also called an **Arakelov divisor** of F .
- (I, q_u) is also called the **ideal lattice associated** to D .
- $h^0(D) := h^0(I, q_u)$.



Analogies

Algebraic curve

- Divisor.

Number field F

- Arakelov divisor.



Analogies

Algebraic curve

- Divisor.
- Principal divisor.

Number field F

- Arakelov divisor.
- Principal Arakelov divisor.



Analogies

Algebraic curve

- Divisor.
- Principal divisor.
- Picard group.

Number field F

- Arakelov divisor.
- Principal Arakelov divisor.
- Arakelov class group Pic_F^0 .



Analogies

Algebraic curve

- Divisor.
- Principal divisor.
- Picard group.
- Canonical divisor κ .

Number field F

- Arakelov divisor.
- Principal Arakelov divisor.
- Arakelov class group Pic_F^0 .
- The inverse different.



Analogies

Algebraic curve

- Divisor.
- Principal divisor.
- Picard group.
- Canonical divisor κ .
- Riemann–Roch theorem

Number field F

- Arakelov divisor.
- Principal Arakelov divisor.
- Arakelov class group Pic_F^0 .
- The inverse different.
- Riemann–Roch theorem.



Analogies

Algebraic curve

- Divisor.
- Principal divisor.
- Picard group.
- Canonical divisor κ .
- Riemann–Roch theorem
- $h^0(D)$.

Number field F

- Arakelov divisor.
- Principal Arakelov divisor.
- Arakelov class group Pic_F^0 .
- The inverse different.
- Riemann–Roch theorem.
- $h^0(D)$



Analogies

Algebraic curve

- Divisor.
- Principal divisor.
- Picard group.
- Canonical divisor κ .
- Riemann–Roch theorem
- $h^0(D)$.
- ...

Number field F

- Arakelov divisor.
- Principal Arakelov divisor.
- Arakelov class group Pic_F^0 .
- The inverse different.
- Riemann–Roch theorem.
- $h^0(D)$
- ...



Analogies

Algebraic curve

- Divisor.
- Principal divisor.
- Picard group.
- Canonical divisor κ .
- Riemann–Roch theorem
- $h^0(D)$.
- ...

Number field F

- Arakelov divisor.
- Principal Arakelov divisor.
- Arakelov class group Pic_F^0 .
- The inverse different.
- Riemann–Roch theorem.
- $h^0(D)$ the size function of F .
- ...



The Riemann-Roch Theorem

For an algebraic curve

$$h^0(D) - h^0(\kappa - D) = \deg(D) - (g - 1).$$



The Riemann-Roch Theorem

For an algebraic curve

$$h^0(D) - h^0(\kappa - D) = \deg(D) - (g - 1).$$

We define the canonical Arakelov divisor κ to be the Arakelov divisor $(\partial, 1)$ whose ideal part is the inverse of the different ∂ of F .



The Riemann-Roch Theorem

For an algebraic curve

$$h^0(D) - h^0(\kappa - D) = \deg(D) - (g - 1).$$

We define the canonical Arakelov divisor κ to be the Arakelov divisor $(\partial, 1)$ whose ideal part is the inverse of the different ∂ of F .

van der Geer and Schoof (1999)

Let F be a number field with discriminant Δ and let D be an Arakelov divisor. Then

$$h^0(D) - h^0(\kappa - D) = \deg(D) - \frac{1}{2} \log |\Delta|.$$



The Arakelov class group Pic_F^0

- Let $D = (I, u)$. Then $\deg(D) := -\log(\text{covol}(I, q_u))$.



The Arakelov class group Pic_F^0

- Let $D = (I, u)$. Then $\deg(D) := -\log(\text{covol}(I, q_u))$.
- The set of all Arakelov divisors of degree 0 form a group, denoted by Div_F^0 .



The Arakelov class group Pic_F^0

- Let $D = (I, u)$. Then $\deg(D) := -\log(\text{covol}(I, q_u))$.
- The set of all Arakelov divisors of degree 0 form a group, denoted by Div_F^0 .
- A **principal Arakelov divisor** has the form (I, u) where $I = x^{-1}O_F$ and $u = |\Phi(x)| = (|\sigma_j(x)|)_j$ and $x \in F^\times$.



The Arakelov class group Pic_F^0

- Let $D = (I, u)$. Then $\deg(D) := -\log(\text{covol}(I, q_u))$.
- The set of all Arakelov divisors of degree 0 form a group, denoted by Div_F^0 .
- A **principal Arakelov divisor** has the form (I, u) where $I = x^{-1}O_F$ and $u = |\Phi(x)| = (|\sigma_i(x)|)_i$ and $x \in F^\times$.
- The **Arakelov class group** Pic_F^0 is the quotient of Div_F^0 by its subgroup of principal divisors.



The Arakelov class group Pic_F^0

- Let $D = (I, u)$. Then $\deg(D) := -\log(\text{covol}(I, q_u))$.
- The set of all Arakelov divisors of degree 0 form a group, denoted by Div_F^0 .
- A **principal Arakelov divisor** has the form (I, u) where $I = x^{-1}O_F$ and $u = |\Phi(x)| = (|\sigma_i(x)|)_i$ and $x \in F^\times$.
- The **Arakelov class group** Pic_F^0 is the quotient of Div_F^0 by its subgroup of principal divisors.

Proposition

$\text{Pic}_F^0 \longrightarrow \{\text{isometry classes of ideal lattices of covolume } \sqrt{\Delta}\}$
 the class of $D = (I, u) \longmapsto$ the isometry class of (I, q_u)
 is a **bijection**.



The Arakelov class group Pic_F^0

- Let $D = (I, u)$. Then $\deg(D) := -\log(\text{covol}(I, q_u))$.
- The set of all Arakelov divisors of degree 0 form a group, denoted by Div_F^0 .
- A **principal Arakelov divisor** has the form (I, u) where $I = x^{-1}O_F$ and $u = |\Phi(x)| = (|\sigma_i(x)|)_i$ and $x \in F^\times$.
- The **Arakelov class group** Pic_F^0 is the quotient of Div_F^0 by its subgroup of principal divisors.

Proposition

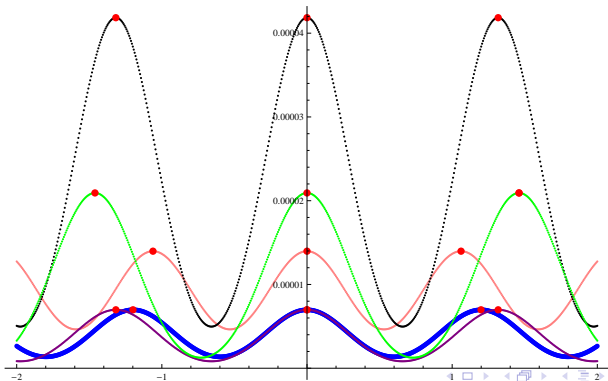
$\text{Pic}_F^0 \longrightarrow \{\text{isometry classes of ideal lattices of covolume } \sqrt{\Delta}\}$
 the class of $D = (I, u) \longmapsto$ the isometry class of (I, q_u)
 is a **bijection**.

Note: h^0 is well defined on Pic_F^0 .



The conjecture of van der Geer and Schoof

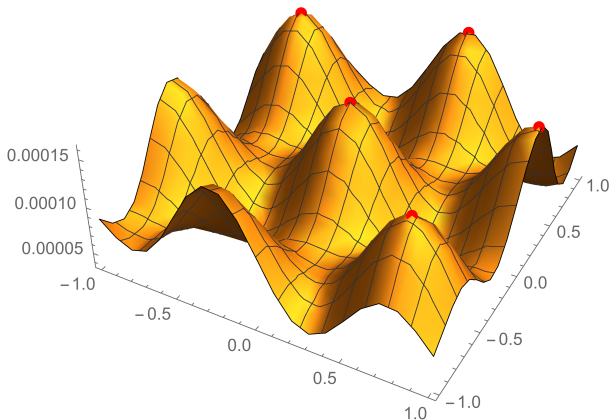
Let F be a real quadratic field (Galois over \mathbb{Q}) or quadratic extension of a complex quadratic field K (Galois over K). The origin is the divisor $(O_F, 1)$.





The conjecture of van der Geer and Schoof

A cyclic cubic field (Galois over \mathbb{Q}).
The origin is the divisor $(O_F, 1)$.





The conjecture of van der Geer and Schoof

Conjecture. Let F be a number field that is Galois over \mathbb{Q} or over an imaginary quadratic field. Then the function h^0 on Pic_F^0 assumes its maximum on the trivial class $(O_F, 1)$.



The conjecture of van der Geer and Schoof

Conjecture. Let F be a number field that is Galois over \mathbb{Q} or over an imaginary quadratic field. Then the function h^0 on Pic_F^0 assumes its maximum on the trivial class $(O_F, 1)$.

Results. The conjecture is proved for number fields of degree:

- $n = 2$: Francini (2001).
- $n = 3$: Francini (2004) - For some certain pure cubic fields.
- $n = 4$: (2014) For quadratic extensions of imaginary quadratic fields.
- $n = 3$: (2016) For cyclic cubic fields.



References



Paolo Francini.

The size function h^0 for quadratic number fields.
J. Théor. Nombres Bordeaux, 13(1):125–135, 2001.
21st Journées Arithmétiques (Rome, 2001).



Paolo Francini.

The size function h^0 for a pure cubic field.
Acta Arith., 111(3):225–237, 2004.



Richard P. Groenewegen.

The size function for number fields.
Doctoraalscriptie, Universiteit van Amsterdam, 1999.



René Schoof.

Computing Arakelov class groups.
In *Algorithmic number theory: lattices, number fields, curves and cryptography*, volume 44 of *Math. Sci. Res. Inst. Publ.*, pages 447–495. Cambridge Univ. Press, Cambridge, 2008.



Gerard van der Geer and René Schoof.

Effectivity of Arakelov divisors and the theta divisor of a number field.
Selecta Math. (N.S.), 6(4):377–398, 2000.