Algorithms to compute topological invariants of symmetric semi algebraic sets

# Geometry of Real Polynomials, Convexity and Optimization Banff 2019

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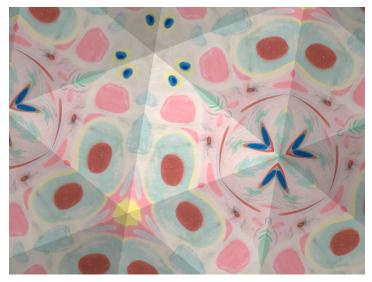


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#### 28 May, 2019

## A first beautiful image

or my talk in a nutshell



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### Introduction

The objects we are looking at

In the sequel we will use

- a real closed field R
- a finite set  $\mathcal{P} \subset \mathbf{R}[X_1, \dots, X_k]$  defining a real variety  $V_{\mathbf{R}}(\mathcal{P}) \subset \mathbf{R}^k$ ,
- or more generally a semi-algebraic  $S \subset \mathbf{R}^k$ , which can be described by  $\mathcal{P}$
- $S_k$  the symmetric group on k elements.

We are interested in the Betti numbers of S, i.e.,

 $b_i(S,\mathbb{F}) = \dim_{\mathbb{F}} H_i(S,\mathbb{F}),$ 

and want to compute them in the case when S is symmetric.

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# Complexity

#### Geometric vs. algorithmic

#### Belief

The worst-case topological complexity of a class of semi-algebraic sets (measured by the Betti numbers for example) serve as a rough lower bound for the complexity of algorithms for computing topological invariants or deciding topological properties of this class of sets.

### Cohomology of the quotient of symmetric sets

Theorem (Basu, R. 18)

Let  $\mathcal{P} \subset \mathbf{R}[X_1, \ldots, X_k]_{\leq d}^{S_k}$  where  $|\mathcal{P}| = s$  and 1 < d < s, k. Consider a closed semi algebraic set  $S \subset \mathbf{R}^k$  defined by  $\mathcal{P}$ . Then the following holds:

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• The sum of the Betti numbers of  $S/S_k$  is bounded by:

 $b(S/\mathcal{S}_k,\mathbb{F}) \leq d^{O(d)}s^dk^{\lfloor d/2 \rfloor - 1}.$ 

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**2** We have  $b^i(S/S_k, \mathbb{F}) = 0$  for all  $i \ge d$ .

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# Reminder : Vandermonde Varieties

#### Definition

Consider the continuous map

$$\Psi_d^{(k)}(\mathbf{x}) = (p_1^{(k)}(\mathbf{x}), \dots, p_d^{(k)}(\mathbf{x})),$$

where  $p_i^k$  are the Newton powersums. Then, for  $\mathbf{y} \in \mathbf{R}^{d'}$  we call

 $V_{d',\mathbf{y}} := (\Psi_{d'}^{(k)})^{-1}(\mathbf{y})$ 

a Vandermonde variety.

Let  $\mathcal{W}_k = \{\mathbf{x} \in \mathbf{R}^k : x_1 \le x_2 \le \ldots \le x_k\}$  denote the Weyl-chamber.

#### Theorem (Arnold Giventhal and Kostov)

For  $1 \le d \le k$  any every  $\mathbf{y} \in \mathbf{R}^k$  the intersection of the Weyl chamber and the Vandermonde variety

 $V_{d,\mathbf{y}} \cap \mathcal{W}_k$ 

is contractable.

#### Ideas behind the proof

Geometry of the Weyl chamber

• Consider  $\mathcal{W}_k = \{\mathbf{x} \in \mathbf{R}^k : x_1 \le x_2 \le \ldots \le x_k\}$  the Weyl-chamber,

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Geometry of the Weyl chamber

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- and W<sup>d</sup><sub>k</sub> to be the union of all *d*-dimensionalen faces every such face corresponds to a *composition* of *k* with *d* parts.
- Now consider W<sup>d\*</sup><sub>k</sub> ⊂ W<sup>d</sup><sub>k</sub> the union of those faces corresponding to compositions of the form (1, ℓ<sub>1</sub>, 1, ℓ<sub>2</sub>, ...).

#### Observation

$$\mathcal{W}_k^{d\star}$$
 is the union of  $\binom{k-\lceil d/2\rceil-1}{\lfloor d/2\rfloor-1} = (O_d(k))^{\lfloor d/2\rfloor-1}$  faces.

#### Lemma

Let 
$$S_{k,d} = S \cap \mathcal{W}_k^{d\star}$$
. Then

$$\mathrm{H}^*(\mathcal{S}_{k,d},\mathbb{F})\cong\mathrm{H}^*(\mathcal{S}/\mathcal{S}_k,\mathbb{F}).$$

# Algorithmic consequences

#### Theorem (Basu. R. '18)

For every fixed  $d \ge 0$ , there exists an algorithm that takes as input a

$$\mathcal{P}$$
-closed formula  $\Phi$ , where  $\mathcal{P} \subset \mathsf{R}[X_1, \dots, X_k]_{\leq d}^{\mathcal{S}_k}$ ,

and outputs

 $b^i(S/\mathcal{S}_k,\mathbb{F}), 0 \leq i < d,$ 

where  $S = \text{Reali}(\Phi, \mathbb{R}^k)$  whose complexity is bounded by

 $(|\mathcal{P}|kd)^{2^{O(d)}}$ 

(which is polynomial in the  $|\mathcal{P}|$  and k).

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#### Question

Can we also get hold of the Betti numbers efficiently?

Let X be a topological space and G be a finite group acting on X.

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- The action of G on X induces an action of G on  $H^*(X, \mathbb{F})$ , which turns  $H^*(X, \mathbb{F})$  into a G-module.
- If  $char(\mathbb{F}) = 0$  then

 $\mathrm{H}^*(X/G,\mathbb{F})\xrightarrow{\sim}\mathrm{H}^*_G(X,\mathbb{F})\xrightarrow{\sim}(\mathrm{H}^*(X,\mathbb{F}))^G.$ 

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 So the results on the cohomology of the quotient are in fact results on the multiplicity of the *trivial* representation of S<sub>k</sub> in H<sup>\*</sup>(X, 𝔅).

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### Specht-Modules

The irreducible representations of S<sub>k</sub> are 1 : 1 with the partitions of k and denoted by G<sup>λ</sup>.

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- Let  $(\lambda_1, \ldots, \lambda_l) \vdash k$  then the so called *Young-module* is

 $M^{\lambda} := \operatorname{Ind}_{S_{\lambda_1} \times \cdots \times S_{\lambda_l}}^{S_k} \mathbf{1}.$ 

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• For each  $\lambda \vdash k$  Young's rule gives

$$M^{\lambda} = \bigoplus_{\mu \vdash k} K(\lambda, \mu) \mathfrak{S}^{\mu},$$

where  $K(\lambda, \mu)$  are the so called Kostka-numbers.

## Isotypic-Dcomposition

#### Theorem (Basu, R. 18+)

Let  $P \in \mathbf{R}[X_1, ..., X_k]$  symmetric with deg(P) = d and define  $V = V_{\mathbf{R}}(P)$ . We consider the decomposition

$$\mathrm{H}^*(V,\mathbb{F}) = igoplus_{\mu dash k} m_\mu \mathfrak{S}^\mu.$$

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#### Then:

 $\bullet m_{\mu} \leq k^{O(d^2)} d^d;$ 

m<sub>μ</sub> ≠ 0 only if the Durfee-square of μ is of size d. (the number of such partitions is polynomial in k).

#### Observation

Given these bounds it seems hopeful, that there is a polynomial algorithm to compute all the  $m_{\mu}$  - and thus all the Betti numbers.

#### Mirrored spaces

Let (W, S) be a Coxeter system, X be a CW-complex and  $\mathcal{U}$  be a CW-complex obtained by pasting together copies of X, one for each element of W. Then  $(\mathcal{U}, W, S)$  is called a *mirrored space*.

#### Theorem (Davis)

For each  $t \in T$  we define  $X_t$  is the intersection of X with the wall corresponding to t and for  $T \subset S$  we set  $X^T := \bigcup_{t \in T} X_t$ . Then:

$$H_*(\mathcal{U}) \cong \bigoplus_{T \subset S} \mathrm{H}_*(X, X^T) \otimes_{\mathbb{Q}} \Psi^k_{S, S-T}.$$

where each  $\Psi_{S,S-T}^{k}$  is a representation defined by Solomon.

### Generalizing Arnold's work

- In case W = S<sub>k</sub>, the set of Coxeter generators S will be the set of transpositions S = {s<sub>1</sub>,..., s<sub>k-1</sub>}, s<sub>i</sub> = (i, i + 1), 1 ≤ i ≤ k − 1.
- One has for each  $T \subseteq S$  the representation  $\Psi_{S,S-T}^k$  may be understood as analogs of Specht-modules, but defined in terms of *MacMahon's tableau* rather than Young's tableau. Unlike the Specht-modules, they need not be irreducible!

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### Generalizing Arnold's work

- In case  $W = S_k$ , the set of Coxeter generators S will be the set of transpositions  $S = \{s_1, \ldots, s_{k-1}\}$ ,  $s_i = (i, i+1), 1 \le i \le k-1$ .
- One has for each  $T \subseteq S$  the representation  $\Psi_{S,S-T}^k$  may be understood as analogs of Specht-modules, but defined in terms of *MacMahon's tableau* rather than Young's tableau. Unlike the Specht-modules, they need not be irreducible!

#### Theorem (Basu, R. '19+)

Let  $d, k \in \mathbb{N}$ ,  $3 < d \le k$ ,  $\mathbf{y} = (y_1, \dots, y_d) \in \mathbb{R}^d$ , and let  $V_{d,\mathbf{y}}^{(k)}$  denote the Vandermonde variety defined by  $p_1^{(k)} = y_1, \dots, p_d^{(k)} = y_d$ , where  $p_j^{(k)} = \sum_{i=1}^k X_i^j$ . Then, for all  $\lambda \vdash k$ : (a)

$$\operatorname{mult}_{\mathbb{S}^{\lambda}}(\operatorname{H}^{i}(V_{d,\mathbf{y}}^{(k)})) = 0, \ \textit{for} \ i \leq \operatorname{length}(\lambda) - 2d + 1,$$

(b)

$$\operatorname{mult}_{\mathbb{S}^{\lambda}}(\operatorname{H}^{i}(V_{d,\mathbf{y}}^{(k)}))=0, \,\, \textit{for}\,\, i\geq k-\operatorname{length}({}^{t}\lambda)+1,$$

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#### Theorem (Basu, R. '19+)

For every fixed  $d \ge 0$  and every fixed  $\ell \ge 0$ , there exists an algorithm that takes as input a  $\mathcal{P}$ -closed formula  $\Phi$ , where  $\mathcal{P} \subset \mathbf{R}[X_1, \ldots, X_k]_{\le d}^{\mathcal{S}_k}$ , and outputs  $b^{\ell}(S, \mathbb{F}), 0 \le i$ , where  $S = \text{Reali}(\Phi, \mathbb{R}^k)$  whose complexity is bounded by by a quantity which is polynomial in the  $|\mathcal{P}|$  and k.

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We may use Davis' formula to decompose the task of computing  $b_i(S) = \dim_{\mathbb{Q}} \operatorname{H}^i(S)$  into two parts:

- computing the dimensions of  $H^i(S_k, S_k^T)$ ;
- computing the isotypic decompositions of the modules Ψ<sup>(k)</sup><sub>T</sub> for various subsets T ⊂ Coxeter(k).

In oder to compute  $b_i(S)$  for  $i \le \ell$ , we only need to consider  $T \subset S$  with  $|T| < \ell + 2d - 1$ .

#### Want to know more?

- Bounding the equivariant Betti numbers of symmetric semi-algebraic sets Adv. Math. 305, pp. 803-855 (2017).
- Efficient algorithms for computing the Euler-Poincaré characteristic of symmetric semi-algebraic sets. Contem. Math. 697, pp. 53-81 (2017).
- On the equivariant Betti numbers of symmetric definable sets: vanishing, bounds and algorithms. *Selecta Math.* 24(4), pp 3241–3281 (2018).
- On the isotypic decomposition of cohomology modules of symmetric semi-algebraic sets: polynomial bounds on multiplicities. to appear in *Int. Math. Res. Notices*.
- Vandermonde varieties, mirrored spaces, and the cohomology of symmetric semi-algebraic sets. arXiv:1812.10994

#### Some beauty at the end..



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