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# Proof complexity of systems of (non-deterministic) decision trees and branching programs

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**Authors:**

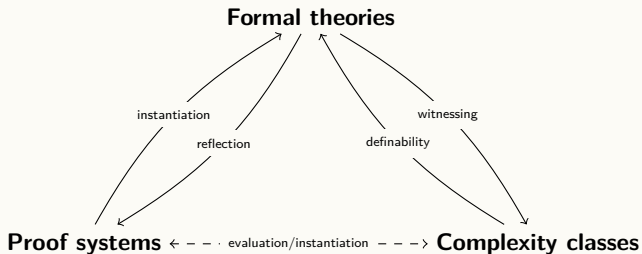
Sam Buss, Anupam Das, Alexander Knop

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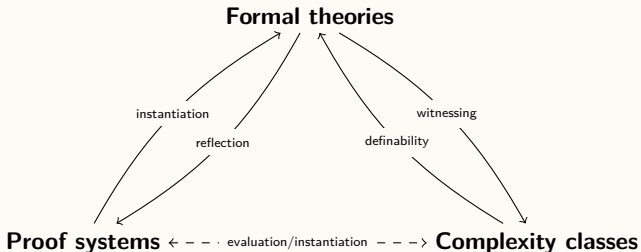
**Institute:**

UC San Diego

# The Bounded Arithmetic Correspondence

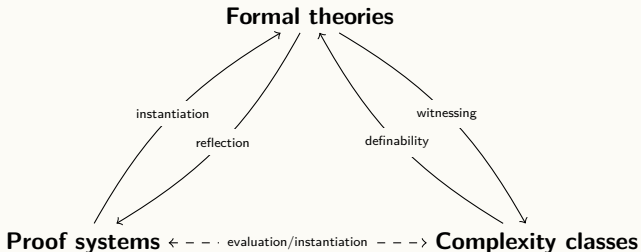


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A proof theoretic version of other “uniform-nonuniform” correspondences.

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A proof theoretic version of other “uniform-nonuniform” correspondences. E.g., the bottom dashed arrow, for **P**, is the correspondence between **P** machines and polynomial-size **circuits**.

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## Theories and Proof Systems

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$$(\phi(0) \wedge \forall x (\phi(x) \rightarrow \phi(x+1))) \rightarrow \forall z \phi(|z|).$$

Let  $\phi(x)$  be a  $\Sigma_0^b$ . Then we can write, in a natural way, a propositional formula  $\llbracket \phi \rrbracket_{n,\alpha}$  on the variables  $x_1, \dots, x_n$  saying that  $A$  is true ( $\alpha$  is an assignment to all other free variables).

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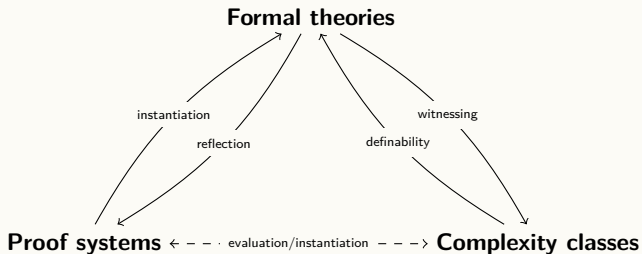
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*If  $S_2^1 \vdash \forall x \phi(x)$ , then  $\llbracket \phi \rrbracket_{n,\alpha}$  has a polynomial size proof in extended Frege. Moreover,  $S_2^1$  proves the reflection principle for extended Frege.*

# The Bounded Arithmetic Correspondence



# Theories and Complexity Classes

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## DEFINITION

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A function  $f: \mathbb{N} \rightarrow \mathbb{N}$  is  $\Sigma_1^b$ -definable by a theory  $R$  iff there is a  $\Sigma_1^b$  formula  $A(x, y)$  such that

- ▶  $R \vdash \forall x \exists y \leq t A(x, y)$  for some term  $t$ ,
- ▶  $R \vdash \forall x, y_1, y_2 (A(x, y_1) \wedge A(x, y_2) \rightarrow y_1 = y_2)$ , and
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## THEOREM

$S_2^1$  can  $\Sigma_1^b$ -define any polynomial time function. Moreover, if  $f$  is  $\Sigma_1^b$ -definable by  $S_2^1$ , then  $f$  is polynomial time computable.

## The Bounded Arithmetic Correspondence

| Formal Theories    | Propositional Proof Systems | Complexity Class          | References          |
|--------------------|-----------------------------|---------------------------|---------------------|
| PV, $S_2^1$        | $e\mathcal{F}$              | <b>P</b>                  | [Coo75, Bus86]      |
| PSA, $U_2^1$       | <b>G</b>                    | <b>PSPACE</b>             | [Dow78, Bus86]      |
| $T_2^i, S_2^{i+1}$ | $G_i, G_{i+1}^*$            | $\mathbf{P}^{\Sigma_i^p}$ | [KP90, KT92, Bus86] |
| VNC <sup>0</sup>   | $\mathcal{F}$               | <b>ALogTime</b>           | [CM05, CN10, Ara00] |
| VL                 | $GL^*$                      | <b>L</b>                  | [Per05, CN10]       |
| VNL                | $GNL^*$                     | <b>NL</b>                 | [Per09, CN10]       |



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## Previous Works

Proof systems corresponding to **L** and **NL** have been considered in the past:

- ▶ Perron gives systems based on **logical characterisations** of **L** and **NL**, namely  $\text{CNF}(2)$  and  $\Sigma\text{Krom}$  respectively. [Per05, Per09]
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- ▶ Inspired by Cook's approach, we build a *bona fide inference system* based on **branching programs**.
- ▶ In particular, we treat **decision trees**, the tree-like branching programs, and recover dag-like ones by **extension**.

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## Branching Programs

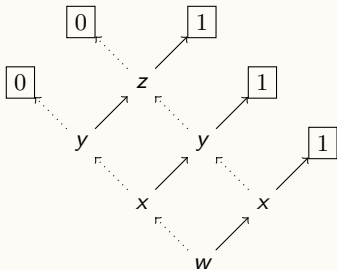
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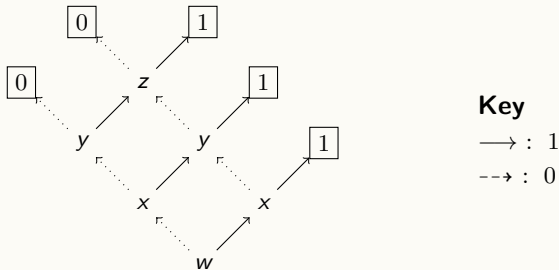
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Can also consider *nondeterministic branching programs* (NBPs) and tree-like ones, *decision trees* (DTs) or both (NDTs).

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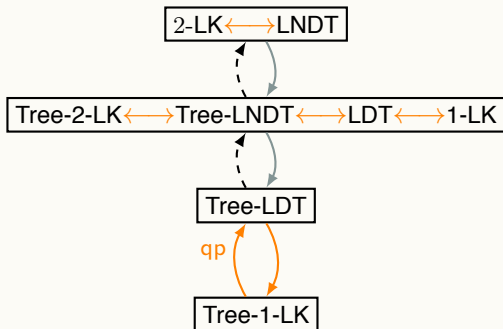
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The system LNLT extends LDT by standard rules for  $\vee$ :

$$\vee\text{-l} \frac{\Gamma, A \rightarrow \Delta \quad \Gamma, B \rightarrow \Delta}{\Gamma, A \vee B \rightarrow \Delta} \quad \vee\text{-r} \frac{\Gamma \rightarrow A, B, \Delta}{\Gamma \rightarrow A \vee B, \Delta}$$

# L(N)DT Proofs



## Key

$\rightarrow$  : p-simulates

$\xrightarrow{qp}$  : qp-simulates

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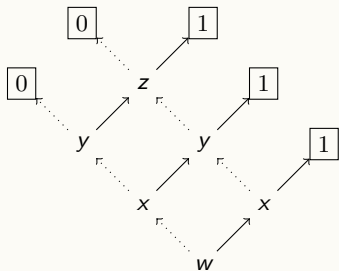
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A proof of eLDT or eLNDT is just like that of LDT or LNDT, but comes equipped with a set of **axioms** of the form  $e_n \leftrightarrow A_n(e_i)_{i < n}$ . The conclusion of such a proof must **not contain extension variables**.

## Example



$$e_{00} \leftrightarrow e_{10} w e_{11}$$

$$e_{10} \leftrightarrow e_{20} x e_{21}$$

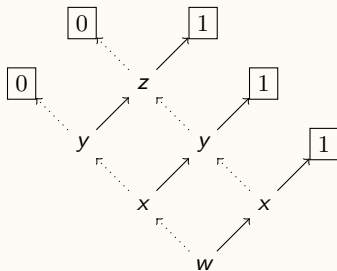
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- ▶ Here  $e_{ij}$  names the  $j$ th node, left-right, of the  $i$ th row, bottom-up.
- ▶ The entire program is now expressed by  $e_{00}$ .

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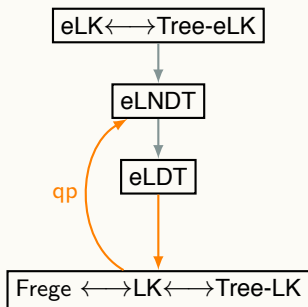
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**NB:** these proofs are **crucially dag-like!**

# Results



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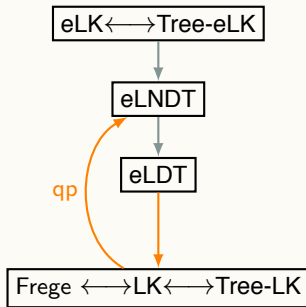
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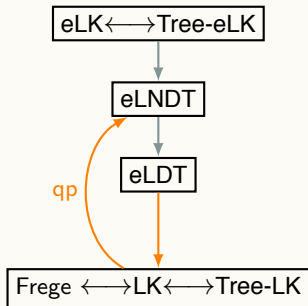
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- ▶ Results follow by **direct simulations**, under equivalence of isomorphic (N)BPs.
- ▶ We rely on Buss'  $qp$ -size formulas for **st-connectivity** and their small proofs in LK to evaluate NBPs and prove truth conditions. [Bus15].

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$$\begin{aligned} (\forall x \leq a)(\exists y \leq a)A(x, y) \rightarrow \\ (\exists X \preceq \langle b, a \rangle)[X(0, 0) \wedge \\ (\forall z \leq b)(\forall y \leq a)(X(z, y) \rightarrow (\forall y' < y) \neg X(z, y')) \wedge \\ (\forall z < b)(\exists y \leq a)(\exists y' \leq a)(X(z, y) \wedge X(z+1, y') \wedge A(y, y'))] \end{aligned}$$

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These theories are two-sorted theories. The vocabulary for both theories is  $\mathcal{L}_{\mathcal{V}^0} = [0, 1, \text{pd}, +, \cdot, =, \leq, \in]$ . The axioms for the first-order objects are standards. In addition, we have boundedness, minimization, and  $\Sigma_0^b$ -comprehension:

- ▶  $\exists x \forall y (A(x) \rightarrow x \leq y)$ .
- ▶  $b \in A \rightarrow (\exists x \leq b A(x) \wedge (\forall y < x \neg A(y)))$ .
- ▶  $\exists X \forall x \leq a (X(x) \leftrightarrow \phi(x))$ , where  $\phi$  is a  $\Sigma_0^b$  formula.



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**VNL** has an axiom saying that there is a function that gives distance from any fixed vertex.

$$\exists X \leq \langle a, a \rangle (\forall i \leq a X(0, i) \leftrightarrow (i = 0)) \wedge \\ (\forall w, x \leq a (X(x, w + 1) \leftrightarrow [\exists y \leq a X(y, w) \wedge \phi(y, x)]))$$

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### THEOREM

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- ▶ If  $\mathbf{VL} \vdash \exists X \phi(X)$ , then there is a eLDT proof of  $\llbracket \phi \rrbracket_{n,\alpha}$ .
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The idea of the proof is to use structural induction over the proofs in VL and VNL. In other words, we are going to try to prove that

$$\frac{\Gamma'' \rightarrow \Delta'' \quad \Gamma \rightarrow \Delta}{\Gamma' \rightarrow \Delta'}$$

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in eL(N)DT.

The problem of this approach is that the sequents may have  $\Sigma_1^b$  formulas since the axiomatizations of VL and VNL have  $\Sigma_1^b$  axioms.



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## Cook-style Translation

We create a theory  $T$  such that any  $\Sigma_0^b$  formula provable in VL (VNL) is provable in  $T$ ; but  $T$  has a  $\Sigma_0^b$  axiomatization.

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In case of VL this actually works, but in case of VNL there is a problem... Negation of the reachability has no clean representation as a eLNDT. To avoid this, we need to prove some analogue of Immerman–Szelepcsényi's theorem.

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# Cook-style Translation

Thank you!

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