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Set theory of the reals

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The main result is joint work with Ralf Schindler.

Forcing axioms

Given a class \mathcal{K} of forcing notions and a cardinal κ , $\text{FA}_\kappa(\mathcal{K})$ is the following statement:

For every $\mathbb{P} \in \mathcal{K}$ and every collection $\{D_i : i < \kappa\}$ of dense subsets of \mathbb{P} there is a filter $G \subseteq \mathbb{P}$ such that $G \cap D_i \neq \emptyset$ for each $i < \kappa$.

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Classical examples:

- MA_{ω_1} is $\text{FA}_{\omega_1}(\{\mathbb{P} : \mathbb{P} \text{ ccc}\})$.
- PFA is $\text{FA}_{\omega_1}(\{\mathbb{P} : \mathbb{P} \text{ proper}\})$.
- MM (Martin's Maximum) is $\text{FA}_{\omega_1}(\{\mathbb{P} : \mathbb{P} \text{ semiproper}\})$
(equivalently,
 $\text{FA}_{\omega_1}(\{\mathbb{P} : \mathbb{P} \text{ preserves stationary subsets of } \omega_1\})$).

Theorem (Foreman-Magidor-Shelah, 1984)

- (1) *MM is a maximal forcing axiom: If \mathbb{P} does not preserve stationary subsets of ω_1 , then $FA_{\omega_1}(\{\mathbb{P}\})$ fails.*
- (2) *MM, and in fact MM^{++} , can be forced assuming the existence of a supercompact cardinal.*

MM^{++} is the following strong form of MM: For every \mathbb{P} preserving stationary subsets of ω_1 , every $\{D_i : i < \omega_1\}$ consisting of dense subsets of \mathbb{P} and every $\{\tau_i : i < \omega_1\}$ consisting of \mathbb{P} -names for stationary subsets of ω_1 there is a filter $G \subseteq \mathbb{P}$ such that

- $G \cap D_i \neq \emptyset$ for each $i < \omega_1$, and
- $\{\nu < \omega_1 : (\exists p \in G) p \Vdash_{\mathbb{P}} \nu \in \tau_i\}$ is a stationary subset of ω_1 for each $i < \omega_1$.

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MM^{++} has many consequences for $H(\omega_2)$:

- $\mathfrak{p} = 2^{\aleph_0} = \aleph_2$ and there is a simply boldface definable (over $H(\omega_2)$) well-order of $H(\omega_2)$ of length ω_2 (MA_{ω_1} [folklore?] and PFA [Todorćević, Velićković, and Moore], resp.)
- All \aleph_1 -dense sets of reals are order-isomorphic. (PFA [Baumgartner])
- There is a 5-element basis for the uncountable linear orders. (PFA [Moore])
- $\delta_2^1 = \omega_2$ (MM [Woodin])
- ...

Empirical fact: MM^{++} seems to provide a complete theory for $H(\omega_2)$ modulo forcing (on the other hand, MM , or even $\text{MM}^{+\omega}$, does not [Larson]).

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In the 1990's, Woodin defined and studied the following axiom.¹

(*): AD holds in $L(\mathbb{R})$ and $L(\mathcal{P}(\omega_1))$ is a \mathbb{P}_{\max} -extension of $L(\mathbb{R})$.

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Given $\eta \leq \omega_1$, a sequence $\langle \langle (M_\alpha, I_\alpha), G_\alpha, j_{\alpha,\beta} \rangle : \alpha < \beta \leq \eta \rangle$ is a *generic iteration* (of (M_0, I_0)) iff

- M_0 is a countable transitive model of ZFC* (enough of ZFC).
- $I_0 \in M_0$ is, in M_0 , a normal ideal on $\omega_1^{M_0}$.
- $j_{\alpha,\beta}$, for $\alpha < \beta \leq \eta$, is a commuting system of elementary embeddings

$$j_{\alpha,\beta} : (M_\alpha; \in, I_\alpha) \longrightarrow (M_\beta, \in, I_\beta)$$

- For each $\alpha < \eta$, G_α is a $\mathcal{P}(\omega_1)^{M_\alpha}/I_\alpha$ -generic filter over M_α ,

$$j_{\alpha,\alpha+1} : M_\alpha \longrightarrow \text{Ult}(M_\alpha, G_\alpha)$$

is the corresponding elementary embedding, and $(M_{\alpha+1}, I_{\alpha+1}) = (\text{Ult}(M_\alpha, G_\alpha), j_{\alpha,\alpha+1}(I_\alpha))$.

- If $\beta \leq \eta$ is a limit ordinal, (M_β, I_β) and $j_{\alpha,\beta}$ (for $\alpha < \beta$) is the direct limit of $\langle \langle (M_\alpha, I_\alpha), G_\alpha, j_{\alpha,\alpha'} \rangle : \alpha < \alpha' < \beta \rangle$.

A pair (M, I) is *iterable* if the models in every generic iteration of (M, I) are well-founded.

\mathbb{P}_{\max} is the following forcing:

Conditions in \mathbb{P}_{\max} are triples (M, I, a) , where

- (1) (M, I) is an iterable pair.
- (2) $M \models \text{MA}_{\omega_1}$
- (3) $a \in \mathcal{P}(\omega_1)^M$ and $M \models \omega_1 = \omega_1^{L[a]}$.

Extension relation: $(M^1, I^1, a^1) \leq_{\mathbb{P}_{\max}} (M^0, I^0, a^0)$ iff

$(M^0, I^0, a^0) \in M_1$ and, in M^1 , there is a generic iteration

$\mathcal{I} = (\langle (M_\alpha, I_\alpha), G_\alpha, j_{\alpha, \beta} \rangle : \alpha < \beta \leq \eta)$ of (M^0, I^0) for $\eta = \omega_1^{M^1}$

such that

- (a) $j_{0, \eta}(a^0) = a^1$
- (b) \mathcal{I} is *correct* in (M^1, I^1) , in the sense that $j_{0, \eta}(I^0) \subseteq I^1$ and every I_η -positive subset of $\omega_1^{M_\eta}$ ($= \omega_1^{M^1}$) in M_η is I^1 -positive.

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Some properties of \mathbb{P}_{max} under $AD^{L(\mathbb{R})}$:

- \mathbb{P}_{max} is weakly homogeneous (for all $p_0, p_1 \in \mathbb{P}_{max}$ there are $p'_0 \leq_{\mathbb{P}_{max}} p_0$ and $p'_1 \leq_{\mathbb{P}_{max}} p_1$ such that $\mathbb{P}_{max} \upharpoonright p'_0 \cong \mathbb{P}_{max} \upharpoonright p'_1$).
- \mathbb{P}_{max} is σ -closed (in particular it does not add new reals).

- If G is \mathbb{P}_{max} -generic over $L(\mathbb{R})$, then $L(\mathbb{R})[G] \models \text{ZFC}$, and if

$$A_G = \bigcup \{b : (N, J, b) \in G\},$$

G can be computed in $L(\mathbb{R})[A_G]$ as the set Γ_{A_G} of $(M, I, b) \in \mathbb{P}_{max}$ such that there is a correct iteration (relative to $(H(\omega_2), \text{NS}_{\omega_1})$) sending b to A_G .

In fact, for any $A \subseteq \omega_1$ such that $\omega_1^{L[A]} = \omega_1$, Γ_A can be computed in $L(\mathbb{R})[A]$, Γ_A is a \mathbb{P}_{max} -generic filter over $L(\mathbb{R})$, and

$$L(\mathbb{R})[\Gamma_A] = L(\mathbb{R})[G]$$

In particular, $L(\mathbb{R})[G] \models V = L(\mathcal{P}(\omega_1))$, and so $L(\mathbb{R})[G] \models (*)$ if $L(\mathbb{R}) \models \text{AD}$ and G is \mathbb{P}_{max} -generic over $L(\mathbb{R})$.

- (Π_2 maximality) Assuming enough large cardinals (e.g. a proper class of Woodin cardinal). If G is \mathbb{P}_{max} -generic over $L(\mathbb{R})$, Q is a set-forcing in V , H is Q -generic over V , and σ is a Π_2 sentence such that

$$(H(\omega_2), \in, \text{NS}_{\omega_1})^{V[H]} \models \sigma,$$

then

$$(H(\omega_2), \in, \text{NS}_{\omega_1})^{L(\mathbb{R})[G]} \models \sigma$$

- (Completeness modulo set-forcing) Assuming enough large cardinals (e.g. a proper class of Woodin cardinal). Let Q_0 and Q_1 be set-forcings in V , let H_0 be Q_0 -generic over V and H_1 be Q_1 -generic over V , and let G_0 be $\mathbb{P}_{max}^{L(\mathbb{R}^{V[H_0]})}$ -generic over $L(\mathbb{R}^{V[H_0]})$ and G_1 be $\mathbb{P}_{max}^{L(\mathbb{R}^{V[H_1]})}$ -generic over $L(\mathbb{R}^{V[H_1]})$. Then

$$\text{Th}(L(\mathbb{R}^{V[H_0]})[G_0]) = \text{Th}(L(\mathbb{R}^{V[H_1]})[G_1])$$

Proof of the completeness result: Let σ be any sentence and suppose

$$L(\mathbb{R}^{V[H_0]})(G_0) \models \sigma$$

By weak homogeneity of \mathbb{P}_{max} ,

$$L(\mathbb{R}^{V[H_0]}) \models \text{“} \Vdash_{\mathbb{P}_{max}} \sigma \text{”}$$

But the theory of $L(\mathbb{R})$ is invariant under forcing with our background large cardinals. Hence,

$$L(\mathbb{R}^{V[H_1]}) \models \text{“} \Vdash_{\mathbb{P}_{max}} \sigma \text{”}$$

and therefore

$$L(\mathbb{R}^{V[H_1]})(G_1) \models \sigma$$

□

Some consequences of (*):

- $\mathfrak{p} = 2^{\aleph_0} = \aleph_2$ and there is a simply boldface definable (over $H(\omega_2)$) well-order of $H(\omega_2)$ of length ω_2 .
- All \aleph_1 -dense sets of reals are order-isomorphic.
- There is a 5-element basis for the uncountable linear orders.

- $\delta_2^1 = \omega_2$
- ...

So (*) and forcing axioms in the region of MM seem to be closely related. However, $\text{MM}^{+\omega}$ does **not** imply (*): $\text{MM}^{+\omega}$ is consistent with a lightface definable well-order, over $H(\omega_2)$, of $H(\omega_2)$ [Larson], which cannot exist under (*). Otherwise by weak homogeneity of \mathbb{P}_{max} there would be a well-order of \mathbb{R} in $L(\mathbb{R})$, contradicting $\text{AD}^{L(\mathbb{R})}$.

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- $\mathfrak{p} = 2^{\aleph_0} = \aleph_2$ and there is a simply boldface definable (over $H(\omega_2)$) well-order of $H(\omega_2)$ of length ω_2 .
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More consequences of (*):

- For every $X \subseteq \omega_1$ such that $X \notin L[x]$ for any $x \in \mathbb{R}$ there is a real r and a $\text{Coll}(\omega, <\omega_1)$ -generic filter H over $L[r]$ such that $L[r][X] = L[r][H]$.
- For every $X \subseteq \omega_1$ there is $Y \subseteq \omega_1$ such that $X \in L[Y]$ and such that for every $Z \subseteq \omega_1$, if $Z \cap \alpha \in L[Y]$ for all $\alpha < \omega_1$, then $Z \in L[Y]$.

(*) is NICE

To summarize:

- (1) (Π_2 -**maximality**) (*) + large cardinals implies that $(H(\omega_2); \in, \text{NS}_{\omega_1})$ satisfies all forcible Π_2 sentences over $(H(\omega_2); \in, \text{NS}_{\omega_1})$.
- (2) (**Completeness**) (*) + large cardinals provides a complete theory for $L(\mathcal{P}(\omega_1))$, modulo set-forcing.
- (3) (**Minimality**) (*) implies that $L(\mathcal{P}_{\omega_1})$ is a “canonical” model; in fact, of the form $L(\mathbb{R})[H]$ for any $r \in \mathbb{R}$ and any $\text{Coll}(\omega, <\omega_1)$ -generic H over $L[r]$.

But in order for $(*)$ to be strongly NICE, it would have to be compatible with all possible large cardinals.

Question (Woodin): Is $(*)$ compatible with all possible large cardinals? Does in fact $(*)$ follow from MM^{++} ?

The main result

Theorem (A–Schindler)

MM^{++} implies $(*)$.

A related result

Theorem (Todorčević)

Assume all sets of reals in $L(\mathbb{R})$ are universally Baire. If \mathcal{U} is a Ramsey ultrafilter, then \mathcal{U} is $\mathcal{P}(\omega)/\text{Fin}$ -generic over $L(\mathbb{R})$.

In the rest of the talk, I will sketch the proof of our theorem. As we will see, the main idea is to use “iterated \mathcal{L} -forcing” with side conditions.

MM^{++} implies $\text{AD}^{L(\mathbb{R})}$ (PFA suffices), so we only need to show that $L(\mathcal{P}(\omega_1))$ is a \mathbb{P}_{\max} -extension on $L(\mathbb{R})$.

It is well-known that if NS_{ω_1} is saturated, MA_{ω_1} holds, $\mathcal{P}(\omega_1)^\sharp$ exists, and $A \subseteq \omega_1$ is such that $\omega_1^{L[A]} = \omega_1$, then Γ_A is a filter on \mathbb{P}_{\max} and $L(\mathcal{P}(\omega_1)) = L(\mathbb{R})[\Gamma_A]$.

Since MM^{++} implies the hypotheses (in fact MM does), it suffices to assume MM^{++} and prove that Γ_A is in fact \mathbb{P}_{\max} -generic over $L(\mathbb{R})$.

So let $D \in L(\mathbb{R})$ be a dense subset of \mathbb{P}_{\max} . We will prove that $\Gamma_A \cap D \neq \emptyset$.

MM^{++} implies that every set of reals in $L(\mathbb{R})$ is universally Baire and the class of sets of reals in $L(\mathbb{R})$ is productive, so we may fix a tree T on $\omega \times 2^{\aleph_2}$ such that $p[T]$ is (a set of reals coding the members of) D and such that

$\Vdash_{\text{Coll}(\omega, \omega_2)} "p[T] \text{ codes the members of a dense subset of } \mathbb{P}_{\max}"$

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$\Vdash_{\text{Coll}(\omega, \omega_2)} "p[T] \text{ codes the members of a dense subset of } \mathbb{P}_{\max}"$

It suffices to show that there is a forcing \mathcal{Q} preserving stationary subsets of ω_1 and forcing that there is a branch $[x, b]$ through T such that x codes a member of Γ_A .

Let $\kappa = (2^{\aleph_2})^+$. Let d be $\text{Coll}(\kappa, \kappa)$ -generic over V . In $V[d]$ there is a club $D \subseteq \kappa$ of ordinals above ω_2 and a ‘diamond sequence’

$$\langle \langle Q_\lambda, B_\lambda \rangle : \lambda \in C \rangle$$

such that $(Q_\lambda : \lambda \in C)$ is a strictly \subseteq -increasing and \subseteq -continuous seq. of transitive elem. submodels of $H(\kappa)^{V[d]} = H(\kappa)^V$ and $B_\lambda \subseteq Q_\lambda$ for all $\lambda \in C$.

Enough to show there is in $V[d]$ a forcing \mathcal{P} preserving stationary subsets of ω_1 and forcing that there is a branch $[x, b]$ through T such that x codes a member of Γ_A . (Hence I’ll be writing V for $V[d]$.)

\mathcal{P} will be \mathcal{P}_κ , where

$$(\mathcal{P}_\lambda : \lambda \in C \cup \{\kappa\})$$

is the sequence of forcings defined by letting \mathcal{P}_λ be the set, ordered under \supseteq , of finite sets p of sentences, in a suitable fixed language, such that $\text{Coll}(\omega, \lambda)$ forces that there is a λ -certificate for p .

λ -certificates

A λ -pre-certificate (relative to $(H(\omega_2)^V; \in, \text{NS}_{\omega_1}^V, A)$ and T) is a complete set Σ of sentences, in a suitable fixed language, describing finitary information about the following objects.

- (1) $\mathcal{M}_0, \mathcal{N}_0 \in \mathbb{P}_{\max}$
- (2) $x = \langle k_n : n < \omega \rangle$, a real coding N_0 , and $\langle (k_n, \alpha_n) : n < \omega \rangle$, a branch through T .
- (3) $\langle \mathcal{M}_i, \pi_{i,j} : i \leq j \leq \omega_1^{N_0} \rangle \in N_0$, a generic iteration of \mathcal{M}_0 witnessing $\mathcal{N}_0 \leq_{\mathbb{P}_{\max}} \mathcal{M}_0$.
- (4) $\langle \mathcal{N}_i, \sigma_{i,j} : i \leq j \leq \omega_1 \rangle$, a generic iteration of \mathcal{N}_0 such that if

$$\mathcal{N}_{\omega_1} = (N_{\omega_1}; \in, I^*, A^*),$$

then $A^* = A$.

(5) $\langle \mathcal{M}_i, \pi_{i,j} : i \leq j \leq \omega_1 \rangle = \sigma_{0, \omega_1}(\langle \mathcal{M}_i, \pi_{i,j} : i \leq j \leq \omega_1^{\mathcal{N}_0} \rangle)$ and

$$\mathcal{M}_{\omega_1} = (H(\omega_2)^V; \in, \text{NS}_{\omega_1}^V, \mathbf{A})$$

(6) $K \subset \omega_1$, and for all $\delta \in K$,

(a) $\lambda_\delta \in \mathbf{C} \cap \lambda$, and if $\gamma < \delta$ is in K , then $\lambda_\gamma < \lambda_\delta$ and $\mathbf{X}_\gamma \cup \{\lambda_\gamma\} \subset \mathbf{X}_\delta$,

(b) $\mathbf{X}_\delta \prec (\mathbf{Q}_{\lambda_\delta}; \in, \mathcal{P}_{\lambda_\delta}, \mathbf{B}_{\lambda_\delta})$, and

(c) $\mathbf{X}_\delta \cap \omega_1 = \delta$

A λ -pre-certificate Σ is a λ -certificate if, in addition:

(Δ) For every $\delta \in K$,

$$[\Sigma]^{<\omega} \cap X_\delta \cap E \neq \emptyset$$

for every dense $E \subseteq \mathcal{P}_\delta$ definable over the structure

$$(Q_{\lambda_\delta}; \in, \mathcal{P}_{\lambda_\delta}, B_{\lambda_\delta})$$

from parameters in X_δ .

A condition in \mathcal{P}_λ is a finite set p of sentences such that

$\Vdash_{\text{Coll}(\omega, \lambda)}$ "There is a λ -certificate Σ such that $p \in [\Sigma]^{<\omega}$ "

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$$\Vdash_{\text{Coll}(\omega, \lambda)} \text{“There is a } \lambda\text{-certificate } \Sigma \text{ such that } p \in [\Sigma]^{<\omega}\text{”}$$

- $(\mathcal{P}_\lambda : \lambda \in \mathcal{C} \cup \{\kappa\})$ is an \subseteq -increasing and \subseteq -continuous seq. of forcings and $\mathcal{P}_\kappa \subseteq H(\kappa)^V$.

- For every $\lambda \in \mathcal{C}$, $\mathcal{P}_\lambda \neq \emptyset$: Let g be $\text{Coll}(\omega, \omega_2)$ -generic over V . Then

$$\mathcal{M}_0 = (H(\omega_2)^V; \in, \text{NS}_{\omega_1}^V)$$

is a \mathbb{P}_{\max} -condition. Since $p[T]$ is a dense subset of \mathbb{P}_{\max} in $V[g]$, there is in $V[g]$ a branch $\langle (k_n)_{n < \omega}, (\alpha_n)_{n < \omega} \rangle$ of T with $(k_n)_{n < \omega}$ coding $\mathcal{N}_0 \in \mathbb{P}_{\max}$, together with a correct iteration $\mathcal{I}_0 = \langle \mathcal{M}_i, \pi_{i,j} : i \leq j \leq \omega_1^{\mathcal{N}_0} \rangle \in N_0$ of \mathcal{M}_0 witnessing $\mathcal{N}_0 \leq_{\mathbb{P}_{\max}} \mathcal{M}_0$.

In $V[g]$, let $(\mathcal{N}_i, \sigma_{i,j} : i < j \leq \omega_1)$ be a generic iteration of \mathcal{N}_0 . Let $\mathcal{I} = (\mathcal{M}_i, \pi_{i,j} : i < j \leq \omega_1) = \sigma_{0, \omega_1}(\mathcal{I}_0)$.

- $(\mathcal{P}_\lambda : \lambda \in \mathcal{C} \cup \{\kappa\})$ is an \subseteq -increasing and \subseteq -continuous seq. of forcings and $\mathcal{P}_\kappa \subseteq H(\kappa)^V$.
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\mathcal{I} lifts to a generic iteration $(M_i^+, \pi_{i,j}^+ : i < j \leq \omega_1)$ of V . Let $M = M_{\omega_1}^+$ and $\pi = \pi_{0,\omega_1}^+$. The theory of

$$\langle M_i, \pi_{i,j}, N_i, \sigma_{i,j} : i < j \leq \omega_1 \rangle, \langle (k_n)_{n < \omega}, (\pi(\alpha_n))_{n < \omega} \rangle, \langle \rangle$$

is a λ -certificate for \emptyset , relative to $\pi((H(\omega_2)^V; \in, \mathbf{NS}_{\omega_1}^V, \mathbf{A}))$ and $\pi(T)$, in some outer model. But then there is a λ -certificate for \emptyset , relative to $\pi((H(\omega_2)^V; \in, \mathbf{NS}_{\omega_1}^V, \mathbf{A}))$ and $\pi(T)$, in $M^{\text{Coll}(\omega, \pi(\lambda))}$ by Σ_1^1 -absoluteness, and the same is true in $V^{\text{Coll}(\omega, \lambda)}$, relative to $(H(\omega_2)^V; \in, \mathbf{NS}_{\omega_1}^V, \mathbf{A})$ and T , by elementarity of π . \square

- Standard density argument show that if G is \mathcal{P} -generic over V and

$$\langle \mathcal{M}_i, \pi_{i,j}, \mathcal{N}_i, \sigma_{i,j} : i < j \leq \omega_1 \rangle, \langle (k_n, \alpha_n) : n < \omega \rangle, \langle \lambda_\delta, X_\delta : \delta \in K \rangle$$

is the term model given by $\Sigma := \bigcup G$, then

$$\mathcal{I} = \langle \mathcal{N}_i, \sigma_{i,j} : i < j \leq \omega_1 \rangle$$

is a generic iteration such that

- $H(\omega_2)^V \subseteq N_{\omega_1}$,
- $(\mathcal{P}(\omega_1) \setminus \text{NS}_{\omega_1})^V \subseteq \mathcal{P}(\omega_1)^{N_{\omega_1}} \setminus I_{N_{\omega_1}}$,
- $A_{N_{\omega_1}} = A$, and
- \mathcal{N}_0 is coded by a real in $p[T]$.

Crucial lemma

Lemma

If $S \in \mathcal{P}(\omega_1)^{\mathcal{N}_{\omega_1}} \setminus I_{\mathcal{N}_{\omega_1}}$, then S is stationary in $V[G]$.

[This immediately implies that \mathcal{I} is correct in $V[G]$ and that \mathcal{P} preserves stationary subsets of V .]

Proof sketch of Lemma: Let \dot{C} be a \mathcal{P} -name for a club, \dot{S} a \mathcal{P} -name for set in $\mathcal{P}(\omega_1)^{\mathcal{N}_{\omega_1}} \setminus I_{\mathcal{N}_{\omega_1}}$, and $p \in \mathcal{P}$. Let $\lambda \in \mathcal{C}$ such that B_λ codes $\dot{C} \cap (\mathcal{P}_\lambda \times \omega_1)$ and

$$(Q_\lambda; \in, \mathcal{P}_\lambda, \dot{C} \cap \mathcal{P}_\lambda) \prec (H(\kappa)^V; \in, \mathcal{P}, \dot{C})$$

Working in collapse W of V with $\omega_1^V < \omega_1^W$, find a \mathcal{P}_λ -generic filter G over V with $p \in G$. Let

$$\langle \mathcal{M}_i, \pi_{i,j} : i < j < \omega_1^V \rangle, \langle \mathcal{N}_i, \sigma_{i,j} : i < j < \omega_1^V \rangle, \dots$$

be the corresponding objects given by G .

We may extend

$$\langle \mathcal{N}_i, \sigma_{i,j} : i < j < \omega_1^V \rangle$$

to

$$\langle \mathcal{N}_i, \sigma_{i,j} : i < j < \omega_1^W \rangle$$

such that $\delta = \omega_1^V \in \sigma_{\omega_1^V, \omega_1^W}(\dot{S})$.

By an elementarity argument as in the proof that $\mathcal{P}_\lambda \neq \emptyset$, there is, in V , some $q^* \leq_{\mathbb{P}_{\max}} q$ for which there is some

$$\delta \in K^{q^*}$$

which q^* enforces to be in \dot{S} and such that

$$\lambda_\delta = \lambda$$

(For example existence of X_δ is witnessed by $\pi \text{“} Q_\lambda \text{”}$.)

But since

$$(Q_\lambda; \in, \mathcal{P}_\lambda, \dot{C} \cap \mathcal{P}_\lambda) \prec (H(\kappa)^V; \in, \mathcal{P}, \dot{C}),$$

by a density argument q^* forces that δ is a limit point of \dot{C} , and hence in \dot{C} . Clause (Δ) is used crucially for this:

Given any $q' \leq_{\mathcal{P}} q^*$ and $\xi < \delta$, any κ -certificate Σ for q' will contain $p \in X_\delta$ forcing some ordinal $\xi' > \xi$ in \dot{C} (thanks to (Δ)), since

$$\{r \in \mathcal{P}_\lambda : (\exists \xi' > \xi) r \Vdash_{\mathcal{P}_\lambda} \xi' \in \dot{C}\}$$

is a dense set definable over

$$(Q_\lambda; \in, \mathcal{P}_\lambda, B_\lambda)$$

from $\xi \in X_\delta$). Of course $\xi' < \delta$ since $p \in X_\delta$ and $X_\delta \cap \omega_1 = \delta$. But then $p \cup q'$ is a common extension of p and q' in \mathcal{P} . \square

Corollary

MM^{++} implies the following.

- For every $X \subseteq \omega_1$ such that $X \notin L[x]$ for any $x \in \mathbb{R}$ there is a real r and a $\text{Coll}(\omega, <\omega_1)$ -generic filter H over $L[r]$ such that $L[r][X] = L[r][H]$.
- For every $X \subseteq \omega_1$ there is $Y \subseteq \omega_1$ such that $X \in L[Y]$ and such that for every $Z \subseteq \omega_1$, if $Z \cap \alpha \in L[Y]$ for all $\alpha < \omega_1$, then $Z \in L[Y]$.

Thank you!