

# Cohomology of stacks of shtukas I

## § 1. Introduction

Let  $X$  be a smooth projective geometrically connected curve over  $\mathbb{F}_q$ ,  $\text{char}(\mathbb{F}_q) = p$ .

Let  $F$  be the function field of  $X$ .

Let  $G$  be a (split) connected reductive group over  $\mathbb{F}_q$ .

For simplicity, we assume that  $G$  is semisimple and we consider the case without level structure.

Let  $l \neq p$ . Let  $\hat{G}$  be the Langlands dual group of  $G$  over  $\bar{\mathbb{Q}}_l$ .

$$\text{e.g. } G = \text{GL}_n, \hat{G} = \text{GL}_n$$

$$G = \text{SL}_n, \hat{G} = \text{PGL}_n$$

$$G = \text{SO}_{2n+1}, \hat{G} = \text{Sp}_{2n}$$

Automorphic side:

Let  $A$  be the ring of adèles of  $F$

$\mathcal{O}$  be the ring of integral adèles.

We have the vector space of automorphic forms:

$$\text{Funct}_c \left( G(F) \backslash G(A) / G(\mathcal{O}), \bar{\mathbb{Q}}_l \right)$$

↑

(continuous functions with compact support)

①

It is a  $\bar{\mathbb{Q}}_l$ -vector space, may have infinite dimension.

It is equipped with an action of the global Hecke

algebra  $\mathcal{H}_G := \text{Funct}_c(G(\mathbb{O}) \backslash G(\mathbb{A}) / G(\mathbb{O}), \bar{\mathbb{Q}}_l)$  by convolution.

Let  $\text{Bun}_G$  be the classifying stack of  $G$ -bundles:

for  $S$  affine scheme over  $\mathbb{F}_q$ ,

$$\text{Bun}_G(S) = \{ G\text{-bundles over } X \times S \}$$

all the products are  
over  $\mathbb{F}_q$

It is an Artin stack over  $\mathbb{F}_q$ .

$$X \times S := X \times_{\mathbb{F}_q} S$$

Example:  $G = \text{SL}_n$ ,  $\text{Bun}_{\text{SL}_n}(S) = \{ \text{rank } n \text{ vector bundles over } X \times S, \text{ with a trivialization of determinant} \}$

in particular, if  $X = \mathbb{P}^1$ ,  $G = \text{SL}_2$

$$\text{Bun}_{\text{SL}_2}(\bar{\mathbb{F}}_q) = \{ \mathcal{O}(n) \oplus \mathcal{O}(-n), n \in \mathbb{Z}_{\geq 0} \}$$

Fact: we have an isomorphism

$$G(\mathbb{F}) \backslash G(\mathbb{A}) / G(\mathbb{O}) \simeq \text{Bun}_G(\mathbb{F}_q)$$

Thus the space of automorphic forms is

$$\text{Funct}_c \left( \text{Bun}_G(\mathbb{F}_q), \bar{\mathcal{O}}_e \right)$$

## § 2. Stacks of shtukas

Let  $I = \{1, 2, \dots, n\}$  be a finite set.

We define the Hecke stack  $\boxed{\text{Hecke}_{G,I}}$ :

for  $S$  affine scheme over  $\mathbb{F}_q$ ,

$$\text{Hecke}_{G,I}(S) := \left\{ (x_1, \dots, x_n), \mathcal{G}_0 \xrightarrow{\phi_1} \mathcal{G}_1 \xrightarrow{\phi_2} \dots \xrightarrow{\phi_n} \mathcal{G}_n \right\}$$

where  $x_1, \dots, x_n \in X(S)$

$$\mathcal{G}_0, \mathcal{G}_1, \dots, \mathcal{G}_n \in \text{Bun}_G(S)$$

$\Gamma_{x_i}$ : graph of  $x_i$  in  $X \times S$

$$\mathcal{G}_0 \xrightarrow{\phi_1} \mathcal{G}_1 \text{ is an isomorphism over } X \times S - \Gamma_{x_1}$$

$$\mathcal{G}_1 \xrightarrow{\phi_2} \mathcal{G}_2 \text{ is an isomorphism over } X \times S - \Gamma_{x_2}$$

...

$$\mathcal{G}_{n-1} \xrightarrow{\phi_n} \mathcal{G}_n \text{ is an isomorphism over } X \times S - \Gamma_{x_n}$$

$$\begin{array}{ccc}
 \text{Sht}_{G, I} & \xrightarrow{\quad} & \text{Hecke}_{G, I} \quad \left( (x_1, \dots, x_n), \mathcal{E}_0 \xrightarrow{\phi_1} \mathcal{E}_1 \xrightarrow{\phi_2} \dots \xrightarrow{\phi_n} \mathcal{E}_n \right) \\
 \downarrow & \square & \downarrow (pr_0, pr_n) \quad \downarrow \\
 \text{Bun}_G & \xrightarrow{(Id, \text{Frob})} & \text{Bun}_G \times \text{Bun}_G \quad (\mathcal{E}_0, \mathcal{E}_n)
 \end{array}$$

Recall that  $\text{Bun}_G$  is  $\mathbb{A}^1$  over  $\mathbb{F}_q$ . We have the Frobenius

morphism  $\text{Bun}_G \xrightarrow{\text{Frob}} \text{Bun}_G$ .

For  $S$  affine scheme over  $\mathbb{F}_q$ ,

$$\text{Bun}_G(S) \xrightarrow{\text{Frob}} \text{Bun}_G(S)$$

$$\begin{array}{ccc}
 S & \longrightarrow & \text{Bun}_G \\
 \text{Frob} \downarrow & \curvearrowright & \downarrow \text{Frob} \\
 S & \longrightarrow & \text{Bun}_G
 \end{array}$$

$$\mathcal{E} \text{ over } X \times S \mapsto (\text{Id}_X \times \text{Frob}_S)^* \mathcal{E} =: \tau \mathcal{E}$$

We define the stack of shtukas with  $I$  paws to be

the  $\wedge$  fiber product  $\text{Sht}_I$  above

We call a shtuka a  $S$ -point of  $\text{Sht}_I$ .

$$x_i \in X(S)$$

$$\begin{aligned} \text{Sht}_{\mathbf{I}}(S) &= \left\{ (x_1, \dots, x_n), \mathcal{G}_0 \xrightarrow{\phi_1} \mathcal{G}_1 \longrightarrow \dots \longrightarrow \mathcal{G}_{n-1} \xrightarrow{\phi_n} \mathcal{G}_n \simeq \tau_{\mathcal{G}_0} \right\} \\ &= \left\{ (x_1, \dots, x_n), \mathcal{G}_0 \xrightarrow{\phi_1} \mathcal{G}_1 \longrightarrow \dots \longrightarrow \mathcal{G}_{n-1} \xrightarrow{\phi_n} \tau_{\mathcal{G}_0} \right\} \end{aligned}$$

Fact:  $\text{Sht}_{\mathbf{I}}$  is an inductive limit of Deligne-Mumford stacks.

Example: when  $\mathbf{I} = \emptyset$  empty set,  $\text{Hecke}_{G, \mathbf{I}} = \text{Bun}_G$

the above diagram is

$$\begin{array}{ccc} \text{Bun}_G(\mathbb{F}_q) & \longrightarrow & \text{Bun}_G \\ \downarrow & \square & \downarrow \Delta \\ \text{Bun}_G & \xrightarrow{(\text{Id}, \text{Frob})} & \text{Bun}_G \times \text{Bun}_G \end{array}$$

$$\text{Sht}_{\mathbf{I}} = \text{Bun}_G(\mathbb{F}_q)$$

### § 3 Geometric Satake equivalence

Let  $\text{Gr}_G$  be the affine grassmanian, i.e.  $\text{Gr}_G = \text{LG} / \text{L}^+G$ ,

where: loop group  $\text{LG}(\text{Spec } R) = G(R[[t]])$

positive loop group  $\text{L}^+G(\text{Spec } R) = G(R[[t]])$

Fact:  $Gr_G$  is an ind-scheme over  $\overline{\mathbb{F}}_q$ .

For  $S = \text{Spec } R$  affine scheme over  $\overline{\mathbb{F}}_q$ ,

$$Gr_G(S) = \left\{ \begin{array}{c} \mathcal{E}_0 \xrightarrow{\phi} \mathcal{E}_1 \xrightarrow{\theta} \mathcal{E}_{triv} \\ \mathcal{E}_1 \simeq G \times \text{Spec } R[[t]] \end{array} \right\}$$

where  $\mathcal{E}_0, \mathcal{E}_1$  are  $G$ -bundles over  $\text{Spec } R[[t]]$

$\phi$  is an isomorphism over  $\text{Spec } R((t))$

$\theta$  is a trivialization over  $\text{Spec } R[[t]]$

$$L^+G \hookrightarrow Gr_G$$

Geometric Satake equivalence:

$$\text{Rep}_{\overline{\mathbb{Q}_\ell}}(\widehat{G}) \simeq \text{Perv}_{L^+G}(Gr_G)$$

$W \mapsto S_W$  supported on some closed subscheme of finite dimension

tensor product

fusion product

$Gr_{G,W}$

Functorial:

$$W_1 \otimes W_2 \mapsto S_{W_1} \otimes S_{W_2} \quad \text{support on } Gr_{G,W_1} \cup Gr_{G,W_2}$$

$$W_1 \rightarrow W_2 \mapsto S_{W_1} \rightarrow S_{W_2}$$

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intersection complex  
↓

When  $W$  is irreducible,  $S_W$  is isomorphic to  $IC_{Gr_{G,W}}$ .

Now let  $I = \{1, 2, \dots, n\}$  be a finite set.

Let  $Gr_{G,I}$  be the Beilinson-Drinfeld affine grassmannian over  $X^I$ .

$$Gr_{G,I}(S) = \left\{ (x_1, \dots, x_n), \mathcal{E}_0 \xrightarrow{\phi_1} \mathcal{E}_1 \dashrightarrow \dots \dashrightarrow \mathcal{E}_n \xrightarrow{\theta} \mathcal{E}_{triv} \right\}$$

where  $x_1, \dots, x_n \in X(S)$

$\mathcal{E}_0, \mathcal{E}_1, \dots, \mathcal{E}_n$  are  $G$ -bundles over  $\underbrace{\bigcup_{i \in I} \Gamma_{\infty x_i}}_{\text{union of formal completions of graph of } x_i}$

$\mathcal{E}_0 \xrightarrow{\phi_1} \mathcal{E}_1$  is an isom over  $\bigcup_{i \in I} \Gamma_{\infty x_i} - \Gamma_{x_1}$

...

$\mathcal{E}_{n-1} \xrightarrow{\phi_n} \mathcal{E}_n$  is an isom over  $\bigcup_{i \in I} \Gamma_{\infty x_i} - \Gamma_{x_n}$

$\theta$  is a trivialization over  $\bigcup_{i \in I} \Gamma_{\infty x_i}$

Let  $(L^+G)_I$  be the family of positive loop groups over  $X^I$ .

It acts on  $Gr_{G,I}$ .

We have a functor of monoidal categories :

$$\text{Rep}_{\bar{\mathbb{Q}_\ell}}(\hat{G}^I) \longrightarrow \text{Perv}_{(L^+G)_I}(Gr_{G,I})$$

$W \longmapsto \mathcal{S}_{I,W}$  supported on some closed subscheme of finite dim

Functorial

$Gr_{G,I,W}$

Factorisation structure

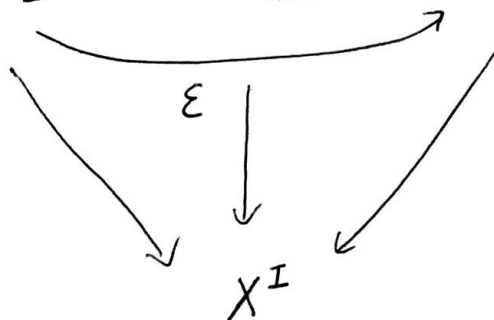
## § 4. ~~Group~~ Cohomology of stacks of shtukas

We have a morphism by restriction :

$$\text{Hecke}_{G,I} \longrightarrow [(L^+G)_I \backslash Gr_{G,I}]$$

$$\left( (x_1, \dots, x_n), \mathcal{E}_0 \xrightarrow{\phi_1} \mathcal{E}_1 \xrightarrow{\dots} \mathcal{E}_n \right) \mapsto \left( (x_1, \dots, x_n), \mathcal{E}_0 \Big|_{U \prod_{\infty} x_i} \xrightarrow{\phi_1} \dots \xrightarrow{\phi_n} \mathcal{E}_n \Big|_{U \prod_{\infty} x_i} \right)$$

$$\text{Sht}_I \longrightarrow \text{Hecke}_{G,I} \longrightarrow [(L^+G)_I \backslash Gr_{G,I}]$$





Proposition (V. Lafforgue):  $\mathcal{E}$  is formally smooth.

For  $W \in \text{Rep}_{\bar{\mathbb{Q}}_e}(\hat{G}^I)$ , we define  $\mathcal{F}_{I,W} := \mathcal{E}^* S_{I,W}$ .

$\mathcal{F}_{I,W}$  is a perverse sheaf on  $\text{Sht}_I$ , supported on some finite dim closed substack  $\text{Sht}_{I,W}$ .

When  $W$  is irreducible,  $\mathcal{F}_{I,W}$  is isomorphic to  $\text{IC}_{\text{Sht}_{I,W}}$ .

$$\begin{array}{ccc} \text{Sht}_I & & \mathcal{F}_{I,W} \\ p \downarrow & & \\ X^I & & \mathcal{H}_{I,W} := R p_! \mathcal{F}_{I,W} \end{array}$$

Def:  $\forall j \in \mathbb{Z}$ , we define the  $j$ -cohomology sheaf  
degree  $j$

$$\mathcal{H}_{I,W}^j := R^j p_! \mathcal{F}_{I,W}$$

It is an inductive limit of constructible  $\bar{\mathbb{Q}}_e$ -sheaves over  $X^I$ .

In fact, we have the Harder-Narasimhan stratification:

$$\underbrace{\text{Bun}_G}_{\text{loc. f.t.}} = \bigcup_{\substack{\mu \text{ dominant} \\ \text{coweight of } G}} \underbrace{\text{Bun}_G^{\leq \mu}}_{\text{open substack of finite type}}$$

e.g.  $G = \text{SL}_n$ ,  $\text{Bun}_{\text{SL}_n}^{\leq \mu} \left( \frac{\mu}{S} \right) = \{ \text{rk } n \text{ v.b. } \mathcal{E} \text{ over } X \times S, \text{ det trivial} \}$

$\forall$  geo pt  $\bar{s}$  of  $S$ , the canonical HN filtration of

$\mathcal{E}|_{X \times \bar{s}}$  is bounded by  $\mu$  }

$$X = \mathbb{P}^1, G = \text{SL}_2, \mu = (m, -m), \text{Bun}_{\text{SL}_2}^{\leq (m, -m)}(\mathbb{F}_q) = \{ \mathcal{O}(n) \otimes \mathcal{O}(-n), n \leq m \}$$

$m \in \mathbb{Z}_{\geq 0}$

$$\begin{array}{ccc} \text{Sht}_I & ((x_1, \dots, x_n), \mathcal{E}_0 \rightarrow \mathcal{E}_1 \rightarrow \dots \rightarrow \mathcal{E}_n = \mathcal{E}_0) & \\ \downarrow & \downarrow & \\ \text{Bun}_G & \mathcal{E}_0 & \end{array}$$

$\text{Sht}_I^{\leq \mu} := \text{inverse image of } \text{Bun}_G^{\leq \mu}$

$$\text{Sht}_I = \bigcup_{\mu} \text{Sht}_I^{\leq \mu}$$

*finite type*

$$\text{Sht}_{I,W} = \bigcup_{\mu} \text{Sht}_{I,W}^{\leq \mu}$$

*finite type*

$$\mathcal{H}_{I,W}^j = \varinjlim_{\mu} \mathcal{H}_{I,W}^{j, \leq \mu}$$

$$\mathcal{H}_{I,W}^{j, \leq \mu} = \mathcal{H}_{I,W}^j(\text{Sht}_I^{\leq \mu}, \mathcal{F}_W)$$

$\text{R}^j \mathbb{P}_! (\mathcal{F}_{I,W} \otimes_{\text{Sht}_I^{\leq \mu}})$

$\mathbb{Q}_\ell$ -constructible sheaf over  $X^I$

When  $I = \text{empty set}$ ,  $\mathcal{H}_{I,W} = \text{Funct}_c(\text{Bun}_G(\mathbb{F}_q), \bar{\mathcal{O}}_e)$   
 $W = \text{trivial rep}$

Let  $\eta = \text{Spec } F$  be the generic pt of  $X$

$\bar{\eta} = \text{Spec } \bar{F}$  a geo pt over  $\eta$

$\eta_I = \text{Spec } F_I$  the generic pt of  $X^I$

$\bar{\eta}_I = \text{Spec } \bar{F}_I$  a-geo pt over  $\eta_I$

$$\begin{array}{ccccc} \bar{\eta}_I & \longrightarrow & \eta_I & \longrightarrow & X^I \\ \downarrow & & \downarrow & & \downarrow \text{projection } \text{pr}_I \\ \bar{\eta} & \longrightarrow & \eta & \longrightarrow & X \end{array}$$

cohomology group

$$\begin{array}{ccc} \mathcal{H}_{I,W}^j |_{\bar{\eta}_I} & = & \lim_{\mu} \mathcal{H}_{I,W}^{j, \text{SM}} |_{\bar{\eta}_I} \\ \parallel & & \parallel \\ H_c^j(\text{Sht}_I |_{\bar{\eta}_I}, F_{I,W}) & & H_c^j(\text{Sht}_I^{\text{SM}} |_{\bar{\eta}_I}, F_{I,W}) \end{array}$$

$\bar{\mathcal{O}}_e$ -v.s. may have  $\infty$  dim

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It is equipped with

- ① an action of the Hecke alg  $\mathcal{H}_G$
- ② an action of the partial Frobenius morphisms
- ③ an action of  $\pi_i(\mathfrak{y}_I, \overline{\mathfrak{y}}_I) = \text{Gal}(\overline{F}_I/F_I)$   
(~~an action of  $\text{Weil}(\overline{F}_I/F_I) \subset \text{Gal}(\overline{F}_I/F_I)$~~ )

We will see that

② + ③ ~~+~~<sup>+</sup> finiteness results  $\xRightarrow{\text{Drinfeld's lemma}}$  an action of  $\text{Weil}(\overline{F}/F)^I$