Leticia Brambila-Paz

CIMAT Moduli, Motives and Bundles, New Trends, BIRS, Oaxaca, Mexico 2022

20 September 2022

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Contents of the talk

- The classical theory.
- Algebra of endomorphisms of vector bundles.
- Moduli fixing the algebra of endomorphisms.

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The results for rank 3 are part of the Ph. D. Thesis of my student Rocío Ríos Siérra.

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- The results for rank 3 are part of the Ph. D. Thesis of my student Rocío Ríos Siérra.
- The results for HN-length > 2 are in progress and the article will be submitted soon in arXiv.

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Let *C* be a smooth projective curve of genus $g \ge 2$

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Classical Theory

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Classical Theory

E a vector bundle over C



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Classical Theory

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- degree E := d = deg(det(E)), n := rk(E)

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Slope of *E* is the rational number

$$\mu(E):=\frac{d}{n}.$$

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Classical Theory

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• (For higher dimensional varieties, fix a polarization *H*, Then $deg_H := c_1(E) \cdot [H]^{\dim X-1} \mu_H(E) := \frac{deg(E)_H}{rk(E)}$)

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Clasical Theory

 $\mu(E) = \frac{d}{n}$

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Let *E* be a vector bundle over *C* and $F \subset E$ a subbundle.



Clasical Theory

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Let *E* be a vector bundle over *C* and $F \subset E$ a subbundle. • *E* is semistable if $\mu(F) \leq \mu(E)$, for all subbundles *F*

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• *E* is stable if $\mu(F) < \mu(E)$, for all subbundles *F*

Clasical Theory

Let *E* be a vector bundle over *C* and $F \subset E$ a subbundle.

- *E* is semistable if $\mu(F) \leq \mu(E)$, for all subbundles *F*
- *E* is stable if $\mu(F) < \mu(E)$, for all subbundles *F*
- *E* is unstable (no semistable) if $\mu(F) > \mu(E)$ for some subbundle *F*.

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Clasical Theory



Clasical Theory







Clasical Theory

Filtration

Any vector bundle over *C* has a unique filtration, called *the Harder-Narasimhan filtration*,

$$0 = E_0 \subset E_1 \subset \cdots \subset E_m = E$$

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Filtration

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such that for $1 \le i \le m$, **1** E_i/E_{i-1} is semistable and **2** $\mu(E_1) > \mu(E_2/E_1) > \cdots > \mu(E_m/E_{m-1}).$

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Clasical Theory



Clasical Theory

HN-filtration



$$0 = E_0 \subset E_1 \subset \cdots \subset E_m = E \tag{2}$$

is the Harder-Narasimhan filtration of E then

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HN-filtration



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HN-filtration



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HN-filtration

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is the the Harder-Narasimhan filtration of E then

2 the HN-type is
$$\sigma = (\mu_1, \ldots, \mu_m)$$
.

It is of HN-simple (resp. coprime) type if E_i and E_i/E_{i-1} are simple (resp. coprime).

Clasical Theory

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- It is of HN-simple (resp. coprime) type if E_i and E_i/E_{i-1} are simple (resp. coprime).
- 4 E is semistable iff the HN lenght = 1

Filtration for semistable bundles

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Filtration for semistable bundles

Any **semistable vector bundle** over *C* has a filtration, called *Jordan-Holder filtration*,

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Semistable bundles

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Semistable bundles

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Semistable bundles

$$gr(E) := \bigoplus E_i/E_{i-1}$$

• gr(E) is independent of the filtration

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Semistable bundles

$gr(E) := \bigoplus E_i/E_{i-1}.$

- gr(E) is independent of the filtration
- A semistable bundle E is called polystable if E is the direct sum of stable bundles (of the same slope),

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Semistable bundles

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- If *E* is stable gr(E) = E and $E \sim_S F$ is equivalent to $E \cong F$.

Semistable bundles

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Semistable bundles

Using GIT, Representations and Yang-Mills theory the moduli space of stable bundles M(n, d) of rank n and degree d was constructed,

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- M(n, d) is a quasi-projective variety of dimension $n^2(g-1) + 1$.
- The moduli of *S*-equivalent classes $\tilde{M}(n, d)$ is a projective variety and $M(n, d) \subset \tilde{M}(n, d)$.

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- If (n, d) are coprime $\tilde{M}(n, d) = M(n.d)$

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Clasical Theory



Clasical Theory



• M(n, d) is smooth

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Clasical Theory

- M(n, d) is smooth
- Some desingularations of $\tilde{M}(n, d)$ have been constructed, e.g. Francis Kirwan.

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Clasical Theory

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■ Construction as moduli stacks *Bund*(*n*, *d*) stack

Clasical Theory



- M(n, d) is smooth
- Some desingularations of $\tilde{M}(n, d)$ have been constructed, e.g. Francis Kirwan.
- Construction as moduli stacks Bund(n, d) stack
- New construction of "good moduli spaces" by Jarod Alper, Daniel Halpern-Leistner, Jochen Heinloth

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Semistable and unstable bundles

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Semistable and unstable bundles

From the Harder-Narasimhan or the Jordan-Holder filtration we have that unstable or semistable no-stable bundles can be constructed as a successive extensions of stable or semistable bundles.

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We want to construct the moduli using the extension.

Semistable and unstable bundles

- From the Harder-Narasimhan or the Jordan-Holder filtration we have that unstable or semistable no-stable bundles can be constructed as a successive extensions of stable or semistable bundles.
- We want to construct the moduli using the extension.
- The first step is when the indecomposable bundle E is an extension

$$\rho: 0 \to E_1 \to E \to E_2 \to 0$$

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of two semistable vector bundles.

Clasical Theory





If $gr(E) = E_1 \oplus E_2$

Let E_1 and E_2 be two stable bundles with $\mu(E, 1) = \mu(E_2)$

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Since E_1 and E_2 are simple,

 $M(E_1,E_2):=\mathbb{P}(T),$

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where $T = H^1(C, E_1 \otimes E_2^*)$

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Since E_1 and E_2 are simple,

$$M(E_1,E_2):=\mathbb{P}(T),$$

where $T = H^1(C, E_1 \otimes E_2^*)$ parameterize the isomorphic classes of indecomposable vector bundles that are extensions of E_2 by E_1 .

• Moreover, there is a universal extension and a universal family $\mathcal{G}(F, G)$ parameterized by $\mathbb{P}(T)$.

The S-equivalence

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The S-equivalence



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The S-equivalence

Note that all the extensions *ρ* : 0 → *E*₁ → *E* → *E*₂ → 0 give S-equivalent bundles.

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Also the direct sum $F = E_1 \oplus E_2$ has $gr(F) = E_1 \oplus E_2$

The S-equivalence

- Note that all the extensions *ρ* : 0 → *E*₁ → *E* → *E*₂ → 0 give S-equivalent bundles.
- Also the direct sum $F = E_1 \oplus E_2$ has $gr(F) = E_1 \oplus E_2$ and $E \sim_S E_1 \oplus E_2$.

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The S-equivalence

- Note that all the extensions *ρ* : 0 → *E*₁ → *E* → *E*₂ → 0 give S-equivalent bundles.
- Also the direct sum $F = E_1 \oplus E_2$ has $gr(F) = E_1 \oplus E_2$ and $E \sim_S E_1 \oplus E_2$.
- However, $End(E) \neq End(F)$.
- The S-equivalence identifies bundles with different algebra of endomorphisms.

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Clasical Theory





If $gr(E) = E_1 \oplus E_2$



 $End(E) \cong \mathbb{C}[t]/(t^2)$



If $gr(E) = E_1 \oplus E_2$



 $End(E) \cong \mathbb{C}[t]/(t^2)$

If $E_1 \ncong E_2$,

 $End(E) \cong \mathbb{C}.$

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If $gr(E) = E_1 \oplus E_2$

• Note that if
$$E_1 \cong E_2$$
,

$End(E) \cong \mathbb{C}[t]/(t^2)$

If $E_1 \ncong E_2$,

$End(E) \cong \mathbb{C}.$

• We consider the universal family U_i of stable vector bundles parameterized by M_i , where $E_i \in M_i$.

Moduli space

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Clasical Theory



Vary in families

If $E_2 = E_2$, then the restriction of

$$\mathbb{P}(\mathcal{R}_{p_{23}}^1(p_{12}^*\mathcal{U}_1\otimes p_{13}^*\mathcal{U}_2^*))$$

to the complement Δ^c of the diagonal $\Delta \subset M_1 \times M_1$ will be the fine moduli space for isomorphic (indecomposable) simple semistable bundles *S*-equivalent to $E_1 \oplus E_-1$.

Mon-simple semistable vector bundles over a curve, Math.
Z. 212, 301–311 (1993)

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In general

■ Given two vector bundles F and G, denote by T the vector space T := H¹(C, F ⊗ G^{*})

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In general

Given two vector bundles *F* and *G*, denote by *T* the vector space $T := H^1(C, F \otimes G^*)$ Hence

 $M(F,G) := H^1(C, F \otimes G^*) / Aut(F) \times Aut(G)$

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$$M(F,G) := H^{1}(C, F \otimes G^{*}) / Aut(F) \times Aut(G)$$

parameterize the isomorphic classes of vector bundles that are extensions of G by F.

• We want to describe $M(F,G) := H^1(C, F \otimes G^*) / Aut(F) \times Aut(G).$

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- To describe $M(F,G) := H^1(C, F \otimes G^*) / Aut(F) \times Aut(G)$.
- If *F* and *G* are simple,

 $M(F,G):=\mathbb{P}(T),$

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In general

- To describe $M(F,G) := H^1(C, F \otimes G^*) / Aut(F) \times Aut(G)$.
- If *F* and *G* are simple,

$$M(F,G):=\mathbb{P}(T),$$

where $T = H^1(C, F \otimes G^*)$. Moreover, there is a universal extension and a universal family $\mathcal{G}(F, G)$ parameterized by $\mathbb{P}(T)$.

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Let G₁ a family of vector bundles parameterized by S₁ such that for some s ∈ S₁, (G₁)_s = F

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Similar with G, let G₂ be such family of vector bundles parameterized by S₂.



Let G₁ a family of vector bundles parameterized by S₁ such that for some s ∈ S₁, (G₁)_s = F

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Similar with G, let G₂ be such family of vector bundles parameterized by S₂.

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As before we will have a sheaf

$$\mathcal{R}_1 := \mathcal{R}_{p_{23}}^1(p_{12}^*\mathcal{G}_1 \otimes p_{13}^*\mathcal{G}_2^*)$$

over $S_1 \times S_2$.

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over $S_1 \times S_2$.

The problem is that dim $(T = H^1(C, F \otimes G^*))$ can change when we vary *F* or *G* in S_1 or S_2 .



As before we will have a sheaf

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over $S_1 \times S_2$.

- The problem is that dim $(T = H^1(C, F \otimes G^*))$ can change when we vary *F* or *G* in S_1 or S_2 .
- We can control it using flattering stratifications.

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 In a work with Rocio Rios Sierra we use the above construction to describe moduli spaces of unstable bundles of HN-lenght 2.

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If HN-lenght 2

- In a work with Rocio Rios Sierra we use the above construction to describe moduli spaces of unstable bundles of HN-lenght 2.
- and in her Ph. D. thesis she consider the case of rank3.
- E is unstable and is an extension ρ : 0 → E₁ → E → E₂ → 0 with E₁ and E₂ semistables
- $0 \subset E_1 \subset E$ the HN-filtration and

 $\mu(E_1) > \mu(E) > \mu(E_2).$

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Unstable bundles

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Unstable bundles

Let E be unstable of HN-lenght 2

The Harder-Narasimhan filtration gives the sequence

$$0 \to E_1 \stackrel{\iota}{\to} E \stackrel{\wp}{\to} E_2 \to 0$$

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Unstable bundles

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- In this case $\mu(E_1) > \mu(E_2)$
- Hence dim Hom(E₂, E₁) = h⁰(E₂^{*} ⊗ E₁) is a problem on the "twisted Brill-Noether theory".

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As before we have

$$\mathcal{R}_{\mu} := \mathcal{R}^{1}_{\mathcal{P}_{23}}(\mathcal{P}^{*}_{12}\mathcal{U}_{1}\otimes\mathcal{P}^{*}_{13}\mathcal{U}^{*}_{2})$$

over $M_1 \times M_2$

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defines a nilpotent endomorphism $\varphi = \iota \circ f \circ p \in END(E)$. Hence, dim $END(E) \ge 1 + h^0(F^* \otimes G)$.

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Unstable bundles of HN-lenght 2

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Unstable bundles of HN-lenght 2

Theorem —, R. Rios Sierra (Theorem A)

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 $\blacksquare U_{\mu_1}(n,d,k)$

Unstable bundles of HN-lenght 2

Theorem —, R. Rios Sierra (Theorem A)

• $U_{\mu_1}(n, d, k)$ the subset of indecomposable vector bundles of HN-length 2 of coprime type $\sigma = (\mu_1, \mu_2)$ and dim END(E) = 1 + k

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If $E \in U_{\mu_1}(n, d, k)$ and $0 \to E_1 \xrightarrow{\iota} E \xrightarrow{p} F_1 \to 0$ then $k = h^0(F_1^* \otimes E_1)$ i.e. dim $End(E) = 1 + h^0(F_1^* \otimes E_1)$.

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Moreover,

$$End(E) \cong \mathbb{C}[x_1,\ldots,x_k]/(x_1,\ldots,x_k)^2.$$

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Stratification on $M_1 \times M_2$



Stratification on $M_1 \times M_2$

The twisted Brill-Noether locus $B^k(\mathcal{U}_1, \mathcal{U}_2) \subset M_1 \times M_2$ is

 $B^{k}(\mathcal{U}_{1},\mathcal{U}_{2}) = \{(E_{1},E_{2}^{*}) \in M_{1} \times M_{2} : h^{0}(E_{1} \otimes E_{2}^{*}) \geq k\}$

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The twisted Brill-Noether loci define a stratification by closed subsets.

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- Sing $(B^k(\mathcal{U}_1,\mathcal{U}_2)) \subset B^{k+1}(\mathcal{U}_1,\mathcal{U}_2).$
- In some cases, $Sing(B^{k}(\mathcal{U}_{1},\mathcal{U}_{2})) = B^{k+1}(\mathcal{U}_{1},\mathcal{U}_{2}).$

Unstable bundles

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Unstable bundles

We prove that the universal twisted Brill-Noether loci gives a flattering stratification of

$$\mathcal{R}_{\mu} := \mathcal{R}^{1}_{\mathcal{P}_{23}}(\mathcal{P}^{*}_{12}\mathcal{U}_{1}\otimes\mathcal{P}^{*}_{13}\mathcal{U}^{*}_{2})$$

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such that in each strata Y_k , \mathcal{R}_1 is a vector bundle \mathcal{V}_k .

Stratification on $M_1 \times M_2$



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• Denote by \mathcal{Y}_k the stratum

$$\mathcal{Y}_k := B^k(\mathcal{U}_1, \mathcal{U}_2) - B^{k+1}(\mathcal{U}_1, \mathcal{U}_2).$$

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Recall that fixing $h^0(E \otimes F)$, $h^1(E \otimes F)$ is also fixed.

Unstable bundles

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Unstable bundles

• Let $U^m(n, d)$ be the set

{indecomposable unstable bundles of HN-lenght m}.

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Unstable bundles



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Frances Kirwan, Vicky Hoskins, Joshua Jackson, Daniel Halpern-Leistner among others have study U^m(n, d) from different points of view, like non-reductive GIT or "good" moduli spaces.

Unstable bundles



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Clasical Theory

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■ If $0 < \mu_1 - \mu_2 < 2g - 1$, $U_{\mu_1}(n, d)$ is a projective bundle over \mathcal{Y}_k and the projective structure makes it a coarse moduli space.

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• Moreover, $U_{\mu_1}(n, d, 0)$ is a fine moduli space.

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- If $0 < \mu_1 \mu_2 < 2g 1$, $U_{\mu_1}(n, d)$ is a projective bundle over \mathcal{Y}_k and the projective structure makes it a coarse moduli space.
- Moreover, U_{µ1}(n, d, 0) is a fine moduli space. There exists a universal family G of simple unstable bundles parameterised by U_{µ1}(n, d, 0)

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■ Moreover, if \mathcal{Y}_k is irreducible and smooth of dimension ρ , then $U_{\mu_1}(n, d, k)$ is irreducible and smooth of dimension $\rho + h^1 - 1$ with $h^1 = k - d_0 + n_0(g - 1)$.

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If $U_{\mu_1}(n, d, k)$ is non-empty, $B^k(\mathcal{U}_1, \mathcal{U}_2^*)$ is non-empty.

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- If $U_{\mu_1}(n, d, k)$ is non-empty, $B^k(\mathcal{U}_1, \mathcal{U}_2^*)$ is non-empty.
- If \mathcal{Y}_k is irreducible and smooth then $H^i(\mathcal{U}_{\mu_1}(n, d, k), \mathbb{C}) \cong H^i(\mathcal{Y}_k, \mathbb{C})$ for $i \ge 1$.

Problems when they are not simple

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Problems when they are not simple

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E_i are not simple

Problems when they are not simple

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If one is simple, e.g. G, then $M(F, G) := H^1(C, F \otimes G^*) / Aut(F).$

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Problems when they are not simple

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- If one is simple, e.g. G, then $M(F,G) := H^1(C, F \otimes G^*) / Aut(F).$
- The problem is to describe Aut(F) when dim Aut(F) > 1, with F indecomposable.

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Clasical Theory



Clasical Theory







Clasical Theory



If dim
$$End(F) = 2 End(F) = \mathbb{C}[t]/t^2$$

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Problems

- If dim $End(F) = 2 End(F) = \mathbb{C}[t]/t^2$
- Using the kernel and image of the nilpotent endomorphisms we have the sequences ρ₁ : 0 → F → E → G → 0 and ρ₂ : 0 → F₀ → F → F₁ → 0

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- They give a relation between ρ_1 and ρ_2 .
- The relation gives a diagram
- Parameterize a diagram, not just one extension.

Moduli and ednomorphisms of vector bundles

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Clasical Theory

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If dim End(E) \le 3, End(E) =

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\mathbb{C}[t]/t^{2}
\mathbb{C}[t]/t^{3}
\mathbb{C}[r,s]/(r,s)^{2}
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 Algebras of endomorphisms of semistable vector bundles of rank 3 over a Riemann surface. J. Algebra 123 (1989), no. 2, 414 – 425.

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Moduli and ednomorphisms of vector bundles

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Clasical Theory

If dim End(E) = 4, End(E) could be non-commutative.

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 Construct the moduli space fixing el algebra of endomorphisms,

- If dim End(E) = 4, End(E) could be non-commutative.
- Construct the moduli space fixing el algebra of endomorphisms,
- in some cases is a fine moduli space, in others there exists a local universal family.
- —- Moduli of endomorphisms of semistable vector bundles over a compact Riemann surface. Glasgow J. 32 (1990).

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Problems to describe $H^1(C, F \otimes G^*) / Aut(F)$

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Problems to describe $H^1(C, F \otimes G^*) / Aut(F)$



Problems to describe $H^1(C, F \otimes G^*) / Aut(F)$

Atiyah
$$End(E) = (Id) + Nil(E)$$

Problems to describe $H^1(C, F \otimes G^*) / Aut(F)$

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- —- If *E* is semistable and indecomposable dim $End(E) \le 1 + \frac{n(n-1)}{2}$.

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- —- If *E* is semistable and indecomposable dim $End(E) \le 1 + \frac{n(n-1)}{2}$.
- and Rocío Ríos we give also a bound for dim End(E) using the HN-filtration.

Moduli and ednomorphisms of vector bundles

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Clasical Theory





Indecomposable semistable

Let

 $SS(n, d, i) := \{E : E \text{ semistable } \dim End(E) = i\}/\cong$

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Moduli space

Indecomposable semistable

Let

$$SS(n, d, i) := \{E : E \text{ semistable } \dim End(E) = i\}/\cong$$

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■ For *i* ≤ 3



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■ For i ≤ 3 and for some cases exists fine moduli space for SS(n, d, i)

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- For i ≤ 3 and for some cases exists fine moduli space for SS(n, d, i)
- For others there exists local universal family.

Moduli space

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- For i ≤ 3 and for some cases exists fine moduli space for SS(n, d, i)
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- Vector bundles of type T₃ over a curve. J. Algebra 169 (1994).

Unstable bundles

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Unstable bundles

Unstable bundles of HN-length 2



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If are of HN-coprime type we use the previous construction

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Unstable bundles

Unstable bundles of HN-length 2

- If are of HN-coprime type we use the previous construction
- If they are stable a no coprime we an use the étale covering of the moduli where the universal family exists and proceed as before.

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Unstable bundles

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Unstable bundles

E is an extension of two semistable bundles

$$0 \to E_1 \xrightarrow{\iota} E \xrightarrow{\wp} E_2 \to 0$$

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If e.g. E_1 is no simple and $1 < \dim End(E) \le 3$,

Unstable bundles

E is an extension of two semistable bundles

$$0 \to E_1 \xrightarrow{\iota} E \xrightarrow{\wp} E_2 \to 0$$

- If e.g. E₁ is no simple and 1 < dim End(E) ≤ 3, we can use the previous results and when there is a universal family we proceed as before.
- Note that in some cases H¹(C, F ⊗ G^{*})/Aut(F) is a grassmannian and we consider the universal bundle over it.

For higher HN-lenght

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For higher HN-lenght

Suppose the HN-lenght of E is 3 and is of HN-simple and coprime type.



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For higher HN-lenght

Suppose the HN-lenght of E is 3 and is of HN-simple and coprime type.

$$0 = E_0 \subset E_1 \subset E_2 \subset E_3 = E \tag{5}$$

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1 $F_i = E_i/E_{i-1}$ is semistable and 2 $\mu(E_1) > \mu(E_2/E_1) > \mu(E_3/E_2)$. Moduli and ednomorphisms of vector bundles

Clasical Theory

For higher HN-length

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For higher HN-length

Given the HN-filtration we have two extensions,

$$0 \to E_2 \xrightarrow{i} E \xrightarrow{p} F_2 \to 0$$
$$0 \to E_1 \xrightarrow{i} E_2 \xrightarrow{p} F_1 \to 0$$

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For higher HN-length

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For higher HN-length

• Aim is to parameterise the extensions $0 \to E_2 \xrightarrow{i} E \xrightarrow{p} F_2 \to 0$

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Note that E_2 is unstable and simple with HN-lenght = 2

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- Note that E_2 is unstable and simple with HN-lenght = 2 and F_2 is stable.

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By Theorem B, there exists a universal family *G* of simple unstable bundles parameterised by U_{μ1}(n, d, 0).

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- Aim is to parameterise the extensions $0 \to E_2 \xrightarrow{i} E \xrightarrow{p} F_2 \to 0$
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- By Theorem B, there exists a universal family *G* of simple unstable bundles parameterised by U_{μ1}(n, d, 0).
- Since F₂ is stable, we have the universal family U parameterized by M(d(F₂), rk(F₂)).

Moduli and ednomorphisms of vector bundles

Clasical Theory

For higher HN-length

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For higher HN-length

- As before we use the families \mathcal{G} and \mathcal{U} to give a stratification of $U_{\mu_1}(n, d, 0) \times M(d(F_2), rk(F_2))$.
- Under certain conditions, the simple bundles will have a fine moduli.

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For higher HN-length

- As before we use the families \mathcal{G} and \mathcal{U} to give a stratification of $U_{\mu_1}(n, d, 0) \times M(d(F_2), rk(F_2))$.
- Under certain conditions, the simple bundles will have a fine moduli.
- We can use induction to construct the moduli space of simple bundles for any HN-length ≥ 2 (to appear in arxiv).

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Moduli and ednomorphisms of vector bundles

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Clasical Theory

Moduli and ednomorphisms of vector bundles

Clasical Theory

Thanks !!!

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