

On the Optimization of Secret Sharing Schemes for General Access Structures

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Applications of Matroid Theory and Combinatorial Optimization to
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The Simplest Way to Share a Secret

How to share a **secret value** $s \in G$ (a finite group)
among a set of n **players**

Take random elements $s_1, \dots, s_n \in G$ with

$$s = s_1 + \dots + s_n$$

and give the value s_i to the i -th player.

The full set of n players can reconstruct
the secret value s from their **shares**

Any $n - 1$ players get **no information** about the value of s

Secure Multiparty Computation

Secure multiparty computation: Some players want to compute an agreed function of their **private** inputs

A toy example: n players compute $F(x_1, \dots, x_n) = x_1 + \dots + x_n$
They proceed in three steps: **share, compute, and reconstruct**

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	P_1	P_2	\dots	P_n	
P_1					x_1
P_2					x_2
\vdots					\vdots
P_n					x_n

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Share

	P_1	P_2	\dots	P_n	
P_1	s_{11}	s_{12}	\dots	s_{1n}	x_1
P_2					x_2
\vdots					\vdots
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	y_1				

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Of course, we want to compute **any function** in a **more secure** way

How to Share a Secret

How to share a secret is such a way that $t \leq n$ players can reconstruct it but $t - 1$ players get no information?

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A simple and brilliant idea by **Shamir**, 1979

Let \mathbb{K} be a finite field with $|\mathbb{K}| \geq n + 1$

To share a **secret value** $k \in \mathbb{K}$, take a random polynomial

$$f(x) = k + a_1x + \dots + a_{t-1}x^{t-1} \in \mathbb{K}[x]$$

and distribute the **shares**

$$f(x_1), f(x_2), \dots, f(x_n)$$

where $x_i \in \mathbb{K} - \{0\}$ is a **public** value associated to **player** p_i

Independently, **Blakley** proposed in 1979
a **geometric** secret sharing scheme

Properties of Shamir's Secret Sharing Scheme

- 1 It is a **threshold** scheme
- 2 It is **perfect**
- 3 It is **ideal**
- 4 It is **linear**
- 5 It is **multiplicative**

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Every set of t players **can reconstruct** the secret value $k = f(0)$
from their shares $f(x_1), \dots, f(x_t)$
by using **Lagrange interpolation**
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- 2 It is **perfect**
The shares of any $t - 1$ players contain **no information** about the value of the secret
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Properties of Shamir's Secret Sharing Scheme

- 1 It is a **threshold** scheme
- 2 It is **perfect**
- 3 It is **ideal**
Every share has the same length as the secret:
all are elements in a finite field
This is the **best possible** situation
- 4 It is **linear**
- 5 It is **multiplicative**

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Shares are a linear function of the secret and random values.
The secret can be recovered by a linear function of the shares.
Shares for a linear combination of two secrets
can be obtained from the linear combination of the shares

$$\lambda_1 k_1 + \lambda_2 k_2 = (\lambda_1 f_1 + \lambda_2 f_2)(0) \quad \lambda_1 s_{1i} + \lambda_2 s_{2i} = (\lambda_1 f_1 + \lambda_2 f_2)(x_i)$$

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If $n \geq 2t - 1$, shares for the product of two secrets can be obtained from the products of the shares

$$k_1 k_2 = f_1 f_2(0) \quad s_{1i} s_{2i} = f_1 f_2(x_i)$$

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To which extent these properties can be generalized to secret sharing schemes with other **access structures**?

The **access structure** Γ is the family of **qualified subsets**

Existential Questions & Optimization Problems

Does there exist a **perfect** SSS for every access structure?

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Does there exist an **ideal** SSS for every access structure? **NO**

Problem

*What access structures admit an **ideal** secret sharing scheme?*

Existential Questions & Optimization Problems

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- From now on, we deal only with **perfect** schemes

Does there exist a **linear** SSS for every access structure? **YES**

Does there exist an **ideal** SSS for every access structure? **NO**

Problem

*What access structures admit an **ideal** secret sharing scheme?*

Problem

*Find the **most efficient** (linear) secret sharing scheme for every access structure*

Brickell's Ideal Secret Sharing Scheme

The geometric schemes by **Blakley (1979)** were transformed by **Brickell (1989)** into a linear construction

Every **linear code** defines a **vector space secret sharing scheme**

$$(x_1, \dots, x_d) \begin{pmatrix} \uparrow & \uparrow & \cdots & \uparrow \\ \pi_0 & \pi_1 & \cdots & \pi_n \\ \downarrow & \downarrow & \cdots & \downarrow \end{pmatrix} = (k, s_1, \dots, s_n)$$

It is **perfect**, **ideal**, and **linear**,
and it can have **non-threshold** access structure

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$A \in \Gamma$ if and only if $\text{rank}(\pi_0, (\pi_i)_{i \in A}) = \text{rank}((\pi_i)_{i \in A})$

$$k = \pi_0(x) = \sum_{i \in A} \lambda_{i,A} \pi_i(x) = \sum_{i \in A} \lambda_{i,A} s_i$$

Ideal Access Structures: a Sufficient Condition

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$$P = \{p_1, \dots, p_n\}, Q = P \cup \{p_0\}$$

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If $\mathcal{M} = (Q, r)$ is the **representable matroid** associated to the code,

$$\Gamma = \Gamma_{p_0}(\mathcal{M}) = \{A \subseteq P : r(A \cup \{p_0\}) = r(A)\}$$

Equivalently,

$$\min \Gamma = \min \Gamma_{p_0}(\mathcal{M}) = \{A \subseteq P : A \cup \{p_0\} \text{ is a circuit of } \mathcal{M}\}$$

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That is, Γ is the **port of the matroid \mathcal{M} at the point p_0**

Matroid ports were introduced by **Lehman 1976** to solve the Shannon switching game

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Theorem

If Γ is the **port of a representable matroid**, then Γ is ideal

General Secret Sharing

A **secret sharing scheme** on the set $P = \{p_1, \dots, p_n\}$ of **participants** is a mapping

$$\begin{aligned}\Pi: E &\rightarrow E_0 \times E_1 \times \dots \times E_n \\ x &\mapsto (\pi_0(x) | \pi_1(x), \dots, \pi_n(x))\end{aligned}$$

together with a probability distribution on E

A secret sharing scheme is a collection of random variables

- $\pi_0(x) \in E_0$ is the **secret value**
- $\pi_i(x) \in E_i$ is the **share** for the player p_i

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A **secret sharing scheme** is a collection of random variables such that

- If $A \subseteq P$ is **qualified**, $H(E_0 | E_A) = H(E_0 | (E_i)_{p_i \in A}) = 0$
- Otherwise, $H(E_0 | E_A) = H(E_0)$

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The qualified subsets form the **access structure** Γ of the scheme

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There exists a secret sharing scheme for every access structure, but in general the shares are much larger than the secret

Secret Sharing and Polymatroids

Consider as before $P = \{p_1, \dots, p_n\}$ and $Q = P \cup \{p_0\}$

For an arbitrary secret sharing scheme consider,
for every $A \subseteq Q$

$$h(A) = \frac{H(E_A)}{H(E_0)}$$

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Then

- 1 $h(\emptyset) = 0$
- 2 $X \subseteq Y \subseteq Q \Rightarrow h(X) \leq h(Y)$
- 3 $h(X \cup Y) + h(X \cap Y) \leq h(X) + h(Y)$
- 4 $h(A \cup \{p_0\}) \in \{h(A), h(A) + 1\}$

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 - 4 $h(A \cup \{p_0\}) \in \{h(A), h(A) + 1\}$
- $\mathcal{S} = (Q, h)$ is a **polymatroid**
 - p_0 is an **atomic point of \mathcal{S}**
 - $\Gamma = \Gamma_{p_0}(\mathcal{S}) = \{A \subseteq P : h(A \cup \{p_0\}) = h(A)\}$

Fujishige 1978, Csirmaz 1997

Ideal Secret Sharing and Matroids

For every ideal secret sharing scheme

$$h(A \cup \{x\}) \in \{h(A), h(A) + 1\} \text{ for all } x \in Q$$

That is, the polymatroid $\mathcal{M} = (Q, h)$ is a **matroid**
Brickell and Davenport 1991

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$$\Gamma = \Gamma_{p_0}(\mathcal{M}) = \{A \subseteq P : h(A \cup \{p_0\}) = h(A)\}$$

That is, Γ is a **matroid port**

Ideal Secret Sharing and Matroid Ports

At this point, we have a necessary condition

Theorem (Brickell and Davenport 1991)

*Every ideal access structure is a **matroid port***

and a sufficient condition

Theorem (Brickell 1989)

*Every **port of a representable matroid** is an ideal access structure*

Problem Solved?

Theorem (Brickell and Davenport 1991)

*Every ideal access structure is a **matroid port***

Theorem (Brickell 1989)

*Every **port of a representable matroid** is an ideal access structure*

The necessary condition is not sufficient

Theorem (Seymour 1992)

*The **Vamos matroid** is not ss-representable
There exist non-ideal matroid ports*

The sufficient condition is not necessary

Theorem (Simonis and Ashikhmin 1998)

*The **non-Pappus matroid** is not representable
but it is ss-representable*

Characterizing Ideal Access Structures

The ideal access structures coincide with the
ports of ss-representable matroids

Problem

Characterize the **matroid ports**

More later. . .

Problem

Characterize the **ss-representable matroids**

Interesting techniques to attack this problem have been proposed by
Matúš 1999 and **Simonis and Ashikhmin 1998**

These problems have been studied (and solved)
for several particular families of access structures

Duality and Minors

Dual access structure: $\Gamma^* = \{A \subseteq P : P - A \notin \Gamma\}$

The **minors** of access structures are defined by the operations

$$\Gamma \setminus Z = \{A \subseteq P - Z : A \in \Gamma\} \quad \Gamma / Z = \{A \subseteq P - Z : A \cup Z \in \Gamma\}$$

Properties

- $\Gamma_{\rho_0}(\mathcal{M}^*) = (\Gamma_{\rho_0}(\mathcal{M}))^*$,
- $\Gamma_{\rho_0}(\mathcal{M} \setminus Z) = \Gamma_{\rho_0}(\mathcal{M}) \setminus Z$,
- $\Gamma_{\rho_0}(\mathcal{M}/Z) = \Gamma_{\rho_0}(\mathcal{M})/Z$

Theorem

The following classes of access structures are **minor-closed**

- 1 Ports of representable matroids
- 2 Ideal access structures
- 3 Matroid ports

But only the first and the third are known to be closed by duality

Ideal Access Structures. Summary

The access structures

are ports of

the matroids

Vector space a.s.

\longleftrightarrow

Representable matroids

\cap

Ideal access structures

\longleftrightarrow

ss-Representable matroids

\cap

\cap

Matroid ports

\longleftrightarrow

\cap

Matroids

Complexity of Secret Sharing Schemes

We move now to **non-ideal secret sharing schemes**

Problem

Find the **most efficient** secret sharing scheme for every access structure

$\max H(E_i)$, $\sum H(E_i)$, and $H(E)$, compared to $H(E_0)$, are used to measure the **complexity** of a secret sharing scheme

Definition (complexity of a secret sharing scheme)

The **complexity** $\sigma(\Sigma)$ of a secret sharing scheme Σ is defined as

$$\sigma(\Sigma) = \max_{p_i \in P} \frac{H(E_i)}{H(E_0)} \geq 1$$

The Big Problem

Problem

Find the *most efficient* secret sharing scheme for every access structure

Definition (optimal complexity of an access structure)

The *optimal complexity* $\sigma(\Gamma)$ of an access structure Γ is the infimum of the complexities of all secret sharing schemes for Γ

Problem

Determine $\sigma(\Gamma)$ for every Γ
At least, determine the asymptotic behavior of this parameter

Very little is known about this problem

It has been studied as well for several particular families of access structures

Upper Bounds from Constructions

Of course, every construction of a secret sharing scheme Σ for Γ provides an upper bound: $\sigma(\Gamma) \leq \sigma(\Sigma)$

Most of the good construction methods used until now provide **linear secret sharing schemes**

Upper Bounds from Constructions

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That is, the mapping

$$\begin{aligned}\Pi: E &\rightarrow E_0 \times E_1 \times \cdots \times E_n \\ x &\mapsto (\pi_0(x) | \pi_1(x), \dots, \pi_n(x))\end{aligned}$$

is **linear** and the **uniform** probability distribution is taken on E

Definition

For an access structure Γ , we define $\lambda(\Gamma)$ as the infimum of the complexities of all **linear** secret sharing schemes for Γ

Obviously, $\sigma(\Gamma) \leq \lambda(\Gamma)$

How Good Are Linear Secret Sharing Schemes?

For some access structures, the optimal schemes must be non-linear

Beimel and Weinreb (2005) Proved a strong separation result:

There exist a family of access structures such that

$\sigma(\Gamma_n)$ grows linearly while

$\lambda(\Gamma_n)$ grows superpolynomially

Lower Bounds from Polymatroids

For a polymatroid $\mathcal{S} = (Q, h)$, we define $\sigma(\mathcal{S}) = \max_{p \in Q} h(\{p\})$

Every polymatroid $\mathcal{S} = (Q, h)$ with an atomic point $p_0 \in Q$ defines an access structure on $P = Q - p_0$

$$\Gamma = \Gamma_{p_0}(\mathcal{S}) = \{A \subseteq P : h(A \cup \{p_0\}) = h(A)\}$$

In this situation, we say that \mathcal{S} is a Γ -polymatroid

$$\kappa(\Gamma) = \inf\{\sigma(\mathcal{S}) : \Gamma = \Gamma_{p_0}(\mathcal{S})\}$$

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A secret sharing scheme Σ for Γ defines a polymatroid $\mathcal{S} = \mathcal{S}(\Sigma)$ such that $\Gamma = \Gamma_{p_0}(\mathcal{S})$ and $\sigma(\Sigma) = \sigma(\mathcal{S})$

Therefore $\kappa(\Gamma) \leq \sigma(\mathcal{S}) = \sigma(\Sigma)$

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Therefore $\kappa(\Gamma) \leq \sigma(\mathcal{S}) = \sigma(\Sigma)$

Theorem

For every access structure Γ

$$\kappa(\Gamma) \leq \sigma(\Gamma) \leq \lambda(\Gamma)$$

The **minors** of access structures are defined by the operations

$$\Gamma \setminus Z = \{A \subseteq P - Z : A \in \Gamma\} \quad \Gamma / Z = \{A \subseteq P - Z : A \cup Z \in \Gamma\}$$

Minors of a polymatroid $\mathcal{S} = (Q, h)$

- $\mathcal{S} \setminus Z = (Q - Z, h_{\setminus Z})$, where $h_{\setminus Z}(A) = h(A)$
- $\mathcal{S} / Z = (Q - Z, h_{/Z})$, where $h_{/Z}(A) = h(A \cup Z) - h(Z)$

Theorem

If Γ' is a minor of Γ , then

$$\kappa(\Gamma') \leq \kappa(\Gamma) \quad \sigma(\Gamma') \leq \sigma(\Gamma) \quad \lambda(\Gamma') \leq \lambda(\Gamma)$$

Dual access structure: $\Gamma^* = \{A \subseteq P : P - A \notin \Gamma\}$

Since linear secret sharing schemes can be identified to linear codes,

Theorem (Jackson and Martin 1994)

For every access structure Γ ,

$$\lambda(\Gamma^*) = \lambda(\Gamma)$$

By considering a suitable definition of dual polymatroid,

Theorem (Martí-Farré and P. 2007)

For every access structure Γ ,

$$\kappa(\Gamma^*) = \kappa(\Gamma)$$

The relationship between $\sigma(\Gamma^*)$ and $\sigma(\Gamma)$ is unknown

How Good Are Combinatorial Lower Bounds?

Theorem (Csirmaz 1997)

There exist a family of access structures with

$$\sigma(\Gamma_n) \geq \kappa(\Gamma_n) \geq \frac{n}{\log n}$$

This is the best known general lower bound on σ

But, on the other hand

Theorem (Csirmaz 1997)

For every access structure Γ on n participants, $\kappa(\Gamma) \leq n$

This seems to imply that $\kappa(\Gamma)$
must be in general much smaller than $\sigma(\Gamma)$

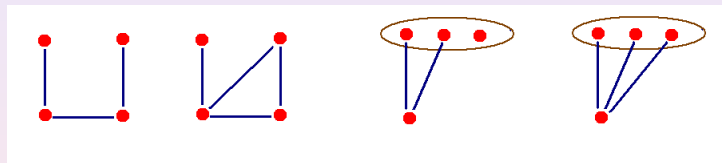
Nevertheless no strong separation result
between these parameters is known

Non-Shannon information inequalities (next talk)

An Old Result on Matroid Ports

Theorem (Seymour 1976)

An access structure is a **matroid port** if and only if it has no **minor** isomorphic to Φ , $\hat{\Phi}$, $\hat{\Phi}^*$ or Ψ_s with $s \geq 3$.



Since all these forbidden minors satisfy $\sigma(\Gamma) \geq \kappa(\Gamma) \geq 3/2$

Corollary (Martí-Farré and P. 2007)

If $\sigma(\Gamma) < 3/2$, then Γ is a matroid port

In addition, there is no access structure with $1 < \kappa(\Gamma) < 3/2$

Self-Dual Codes and Identically Self-Dual Matroids

Every **linear code** defines a **vector space secret sharing scheme**

$$(x_1, \dots, x_d) \begin{pmatrix} \uparrow & \uparrow & \cdots & \uparrow \\ \pi_0 & \pi_1 & \cdots & \pi_n \\ \downarrow & \downarrow & \cdots & \downarrow \end{pmatrix} = (k, s_1, \dots, s_n)$$

If the code is **self-dual**, then the secret sharing scheme is **multiplicative** because

$$kk' + s_1s'_1 + \cdots + s_ns'_n = 0$$

The access structure is **self-dual**, $\Gamma^* = \Gamma$

It is the port of a representable **identically self-dual matroid**

Problem

Can every representable *identically self-dual matroid* be represented by a *self-dual code*?

The answer is yes for

- Binary matroids
- Uniform matroids
- **Bipartite** matroids (Cramer et al. 2005)
- Matroids with up to 8 points (Gracia and P. 2006)

Mainly

- J. Martí-Farré, C. Padró
On secret Sharing Schemes, Matroids and Polymatroids
TCC 2007, Lect. Notes in Comput. Sci. **4392** (2007) 273–290
Full version available at my webpage

But also

- R. Cramer, V. Daza, I. Gracia, J. Jiménez Urroz, G. Leander, J. Martí-Farré, C. Padró
On codes, matroids and secure multi-party computation from linear secret sharing schemes
IEEE Transactions on Information Theory **54** (2008) 2644–2657
- C. Padró, I. Gracia
Representing small identically self-dual matroids by self-dual codes
SIAM Journal on Discrete Mathematics **20** (2006) 1046–1055