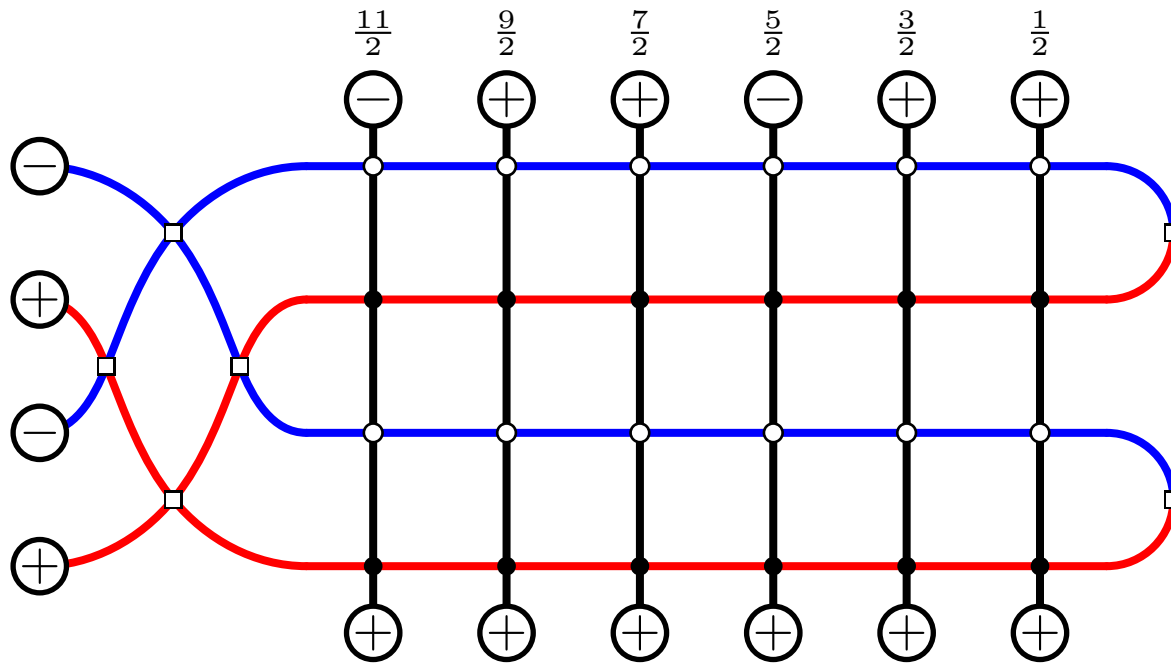


# Modeling $p$ -adic Whittaker Functions



# Whittaker functions

- $F$  – a locally compact field
- $G$  – a split reductive group over  $F$
- $B$  – positive Borel subgroup
- $T$  – maximal torus
- $U$  – unipotent radical of  $B = TU$
- $\psi$  – nondegenerate character of  $U$

Example:  $G = \mathrm{GL}_n$

$$U = \left\{ \begin{pmatrix} 1 & u_{12} & \cdots & u_{n-1,n} \\ & 1 & & u_{n-2,n} \\ & & \ddots & \vdots \\ & & & 1 \end{pmatrix} \right\}$$

$$\psi(u) = \psi_0(u_{12} + u_{23} + \cdots)$$

$\psi_0: F \longrightarrow \mathbb{C}$  a nontriv char.

**Theorem. (Gelfand-Graev, Shalika, Piatetski-Shapiro)** *The representation  $\mathrm{Ind}_U^G(\psi)$  is multiplicity-free.*

A **Whittaker model** of an irreducible representation  $(\pi, V)$  is a space of functions  $\mathcal{W}_\pi$  on  $G$  that satisfy

$$W(ug) = \psi(u)W(g), \quad u \in U,$$

that is closed under right translation:

$$W \in \mathcal{W}_\pi \quad \Rightarrow \quad \rho(g)W \in \mathcal{W}_\pi, \quad \rho(g)W(x) = W(xg)$$

and such that  $\mathcal{W}_\pi \cong V$  as  $G$ -modules. The content of the theorem is that **the Whittaker model (if it exists) is unique.**

# Principal Series representations

Let  $\chi$  be a character of  $T_F$ . Extend  $\chi$  to  $B_F$  (Borel subgroup) and induce:

$$V(\chi) = \{f: G_F \longrightarrow \mathbb{C} \mid f(bg) = (\delta^{1/2}\chi)(b)f(g)\}$$

( $\delta$  = modular character of  $B_F$ )

$G_F$  acts by right translation:

$$\pi(g)f(x) = f(xg)$$

- $V(\chi)$  is **usually irreducible**.
- If  $w \in W$  (Weyl group)  $V(\chi)$  and  $V({}^w\chi)$  are **isomorphic** (if irreducible).

Suppose  $F$  is nonarchimedean,  $\mathfrak{o} =$  integers in  $F$ . Let  $K = G(\mathfrak{o})$ , max'l compact.

- Given any representation  $(\pi, V)$ , let  $V^K =$  space of  $K$ -fixed vectors.

**Proposition 1.** *If  $(\pi, V)$  is irreducible  $\dim(V^K) \leq 1$ .*

The irreducible representation is **spherical** if  $\dim(V^K) = 1$ .

If  $\chi$  is a character of  $T_F$ ,  $\chi$  is **unramified** if  $\chi(T_{\mathfrak{o}}) = 1$ .

**Proposition.** *If  $\chi$  is unramified  $V(\chi)$  is spherical.*

(If  $V(\chi)$  is reducible, it has a unique spherical quotient.)

# The L-group

Given a group  $G$  there is a group  $\hat{G}$  whose root data are dual to  $G$ .

- $G$  – a split reductive group
- $T$  – maximal split torus in  $G$
- $\Phi$  – root system of  $G$
- $P$  – weight lattice in  $G$
- $\hat{T}$  – maximal split torus in  $\hat{G}$
- $\hat{G}$  – the L-group
- $\hat{\Phi}$  – root system of  $\hat{G}$
- $P^\vee$  – the weight lattice in  $\hat{G}$

Example: $G = \mathrm{GL}_n$ $P = \mathbb{Z}^n$ $\hat{G} = \mathrm{GL}_n$ $P^\vee = \mathbb{Z}^n$
Example: $G = \mathrm{Sp}_{2r}$ $P = \mathbb{Z}^r$ $\hat{G} = \mathrm{SO}_{2r+1}$ $P^\vee = \mathbb{Z}^r$

Assume that the ground field  $F$  is nonarchimedean local.

- $\hat{T}(\mathbb{C}) \cong$  group of characters of  $T_F/T_{\mathfrak{o}}$      $\mathbf{z} \in \hat{T}(\mathbb{C})$      $\longleftrightarrow$      $\chi_{\mathbf{z}} \in X(T_F/T_{\mathfrak{o}})$
- $T_F/T_{\mathfrak{o}} \cong$  coweight lattice  $P^\vee$      $\lambda \in P^\vee$      $\longleftrightarrow$      $t_{\lambda^\vee} \in T_F$   
 (if  $G$  is of adjoint type, otherwise  $\subseteq P^\vee$ .)
- Dominant  $\lambda \in P^\vee$  parametrize     $\lambda \in P^\vee$      $\longleftrightarrow$      $\xi_\lambda$ , irreducible  
 irreducible characters of  $\hat{G}(\mathbb{C})$     (dominant)    char of  $\hat{G}(\mathbb{C})$

If  $\mathbf{z} \in \hat{T}(\mathbb{C})$ , we may consider the induced representation  $V(\chi_{\mathbf{z}})$ .

# Duality

Recap: (Semisimple)

**Conjugacy classes of  $\hat{G}(\mathbb{C})$**  correspond to **irreps of  $G(F)$**

(Semisimple)

(spherical)

(finite-dimensional)

**Conjugacy classes of  $G(F)$**  correspond to **irreps of  $\hat{G}(\mathbb{C})$**

(Not bijectively:  $t_{\lambda^\vee}$  is only determined up to multiplication by a unit.)

- $\mathbf{z} \in \hat{T}(\mathbb{C})$   $\longleftrightarrow$   $\chi_{\mathbf{z}} \in X(T_F/T_{\mathfrak{o}})$   
L-group torus element
- $\mathbf{z} \in \hat{T}(\mathbb{C})$   $\longleftrightarrow$   $V(\chi_{\mathbf{z}}) = \text{Ind}(\delta^{1/2}\chi_{\mathbf{z}})$   
(If irreducible – usually)
- $\mathbf{z}' = {}^w\mathbf{z}$  ( $w \in W$ )  $\implies$   $V(\chi_{\mathbf{z}}) \cong V(\chi_{\mathbf{z}'})$
- $\lambda \in P^\vee$   $\longleftrightarrow$   $t_{\lambda^\vee} \in T_F/T_{\mathfrak{o}}$   
(Coweight)
- $\lambda \in P^\vee$   $\longleftrightarrow$   $\xi_\lambda$  irr char of  $\hat{G}(\mathbb{C})$   
(Dominant weight)

L-group elements index unramified chars of  $T_F$  and by induction, irreps of  $G_F$ . Conjugate  $\mathbf{z}$  index isomorphic  $V(\chi)$ . Elements of  $T_F/T_{\mathfrak{o}}$  are indexed by coweights; dominant coweights index irreps of  $\hat{G}(\mathbb{C})$ . Each conjugacy class contains a unique coset  $t_{\lambda^\vee} \bmod T_{\mathfrak{o}}$  with  $\lambda^\vee$  dominant.

# Casselman-Shalika Formula

Let  $z \in \hat{T}(\mathbb{C})$ . Let  $W_z^\circ$  be the spherical vector in the Whittaker model of  $V(\chi_z)$ . Langlands conjectured that the values of  $W_z^\circ$  are the values of irreducible characters of  $\hat{G}$ . This was proved by Shintani, S. Kato, Casselman and Shalika and is referred to as the **Casselman-Shalika formula**.

**Theorem.** *We have*

$$W_z^\circ(t_{\lambda^\vee}) = \begin{cases} \text{const} \times \delta^{1/2}(t_{\lambda^\vee}) \chi_\lambda(z) & \text{if } \lambda^\vee \text{ is dominant,} \\ 0 & \text{otherwise} \end{cases}$$

In a natural normalization the constant is  $\prod_{\alpha \in \Phi^+} (1 - q^{-1}z^\alpha)$ . More precisely, we may define  $W_z^\circ$  as an integral, thus:

$$W_z^\circ(g) = \int_{U_F} f^\circ(w_0 u g) \psi(u)^{-1} du, \quad w_0 = \text{long } W \text{ element,}$$

where  $f^\circ(bk) = \delta^{1/2} \chi(b)$ ,  $b \in B_F$ ,  $k \in K$ . Then  $\text{const} = \prod_{\alpha \in \Phi^+} (1 - q^{-1}z^\alpha)$ .

# Why Seek Other Models?

The Casselman-Shalika formula is the complete story for the spherical Whittaker function. **Why look any further?**

- The constant  $\prod_{\alpha \in \Phi^+} (1 - q^{-1}z^\alpha)$  is a deformation of Weyl's denominator. So we seek a deformation of the Weyl character formula.
- The study of such deformations leads us to crystal bases and statistical (ice-type) models.
- Furthermore such models work for **metaplectic Whittaker functions** where the Casselman-Shalika formula does not apply.

Suppose that  $F \supset \mu_n$  (the  $n$ -th roots of unity). Weil, Kubota and Matsumoto defined a **metaplectic cover** which is a central extension

$$1 \longrightarrow \mu_n \longrightarrow \tilde{G}(F) \longrightarrow G(F) \longrightarrow 1.$$

The cover splits over  $U(F)$  so one may still consider Whittaker models.

- Uniqueness of Whittaker models fails. **Still spherical Whittaker functions have expressions in terms of crystal or ice models.**

# Deformations of the Weyl Character formula

A deformation of the Weyl character formula was found by [Tokuyama](#) (1988). Others considered deformations of the Weyl denominator.

- Kuperberg, Okada, Simpson, Hamel and King.
- Beineke, Brubaker, Bump, Chinta, Friedberg, Frechette, Gunnells, Ivanov, Tabony.

There are different ways of writing Tokuyama's formula.

- Sum over strict Gelfand-Tsetlin patterns (original paper).
- Sum over crystal  $\mathcal{B}_{\lambda+\rho}$   $\left( \rho = \frac{1}{2} \sum_{\alpha \in \Phi^+} \alpha \right)$
- Six-vertex model.

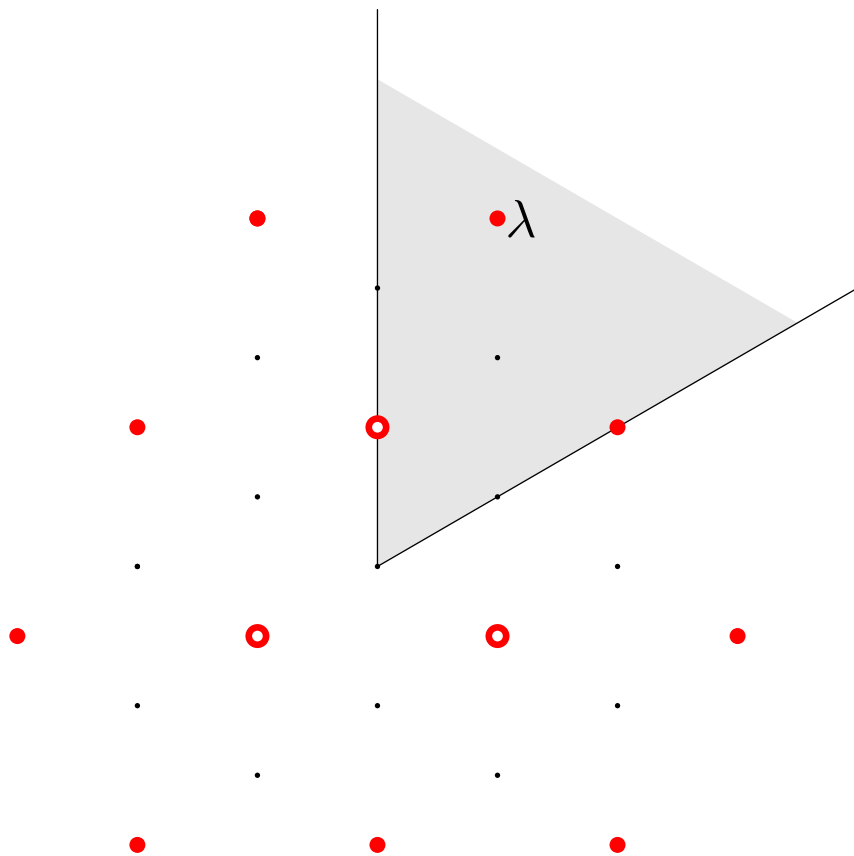
The last two approaches are subtly different suggesting different tools.



# Weyl Characters

Let  $\mathcal{G}$  be a complex Lie group. **Note:** Eventually  $\mathcal{G}$  will be  $\hat{G}(\mathbb{C})$  so  $\Phi$  will become  $\Phi^\vee$  (coroots) and  $P$  will become  $P^\vee$  coweights.

- Let  $\lambda \in P$  be dominant. Let  $\xi_\lambda$  be the irr character of highest weight  $\lambda$ .
- Decompose  $\xi_\lambda$  into a sum of weights with multiplicities.



Example:  $G = \text{GL}_3(\mathbb{C})$ ,  $P = \mathbb{Z}^3$ .  
 $\lambda = (3, 1, 0)$

Elements of $P$	
.	Positive Weyl Chamber (dominant weights)
shaded area	
•	Weights with multiplicity 1
○	Weights with multiplicity 2

Observe that the “weight diagram” is invariant under  $W$  (which is the group generated by the reflections in the two hyperplanes bounding the positive Weyl chamber).

# Root operators

Let  $\mathcal{G}$  be a complex Lie group. Let  $P$  be the weights (char's of max'l torus  $\mathcal{T}$ ).

**Note:** Eventually  $\mathcal{G}$  will be  $\hat{G}(\mathbb{C})$  so  $\Phi$  will become  $\Phi^\vee$  (coroots) and  $P$  will become  $P^\vee$  coweights.

- $\Phi$  – The root system
- $\Phi^+$  – The positive roots
- $\Sigma = \{\alpha_1, \dots, \alpha_r\}$  – The simple roots
- $V$  – A  $\mathcal{G}$ -module
- $\mu \in P$  – a weight of  $\mathcal{G}$ .
- $V(\mu)$  – The weight space

A positive root is called **simple** if it cannot be decomposed as a sum of other positive roots.

We have 
$$V = \bigoplus_{\mu \in P} V(\mu)$$

If  $X \in \text{Lie}(\mathcal{G})$  then  $X$  acts on  $V$ . Let  $\alpha \in \Phi$  and  $X_\alpha \in \text{Lie}(\mathcal{G})$  be in the one-dimensional root eigenspace. Then

$$X_\alpha: V(\mu) \longrightarrow V(\mu + \alpha).$$

We choose  $E_i = X_{\alpha_i}$  and  $F_i = X_{-\alpha_i}$  to be the Chevalley generators. Then

$$E_i: V(\mu) \longrightarrow V(\mu + \alpha_i), \quad F_i: V(\mu) \longrightarrow V(\mu - \alpha_i).$$

# Crystals

A **(Kashiwara) crystal** is a combinatorial substitute for  $V(\mu)$ . The crystal  $\mathcal{B}_\lambda$  of highest weight  $\lambda$  is a set with cardinality  $\dim(V(\mu))$ .

- It is equipped with a **weight map**  $\text{wt}: \mathcal{B}_\lambda \longrightarrow P$ .
- The number of  $\mathcal{B}_\lambda$  with weight  $\mu$  is  $m(\mu) = \dim V(\mu)$
- **Root operators**  $E_i, F_i: \mathcal{B}_\lambda \longrightarrow \mathcal{B}_\lambda \cup \{0\}$  are defined.
- If  $E_i(v) = w \neq 0$  then  $F_i(w) = v$  and  $\text{wt}(v) = \text{wt}(w) + \alpha_i$ .

Following Kashiwara and Nakashima, if  $\Phi = A_r$  the elements of  $\mathcal{B}_\lambda$  are **semistandard Young tableaux** of shape  $\lambda$  in the alphabet  $\{1, 2, 3, \dots, r\}$ . These are fillings of the Young diagram with shape  $\lambda$  by elements of the alphabet with weakly increasing rows and strictly increasing columns, like this:

1	1	2	3	4
2	3			
3				

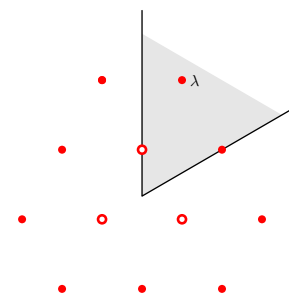
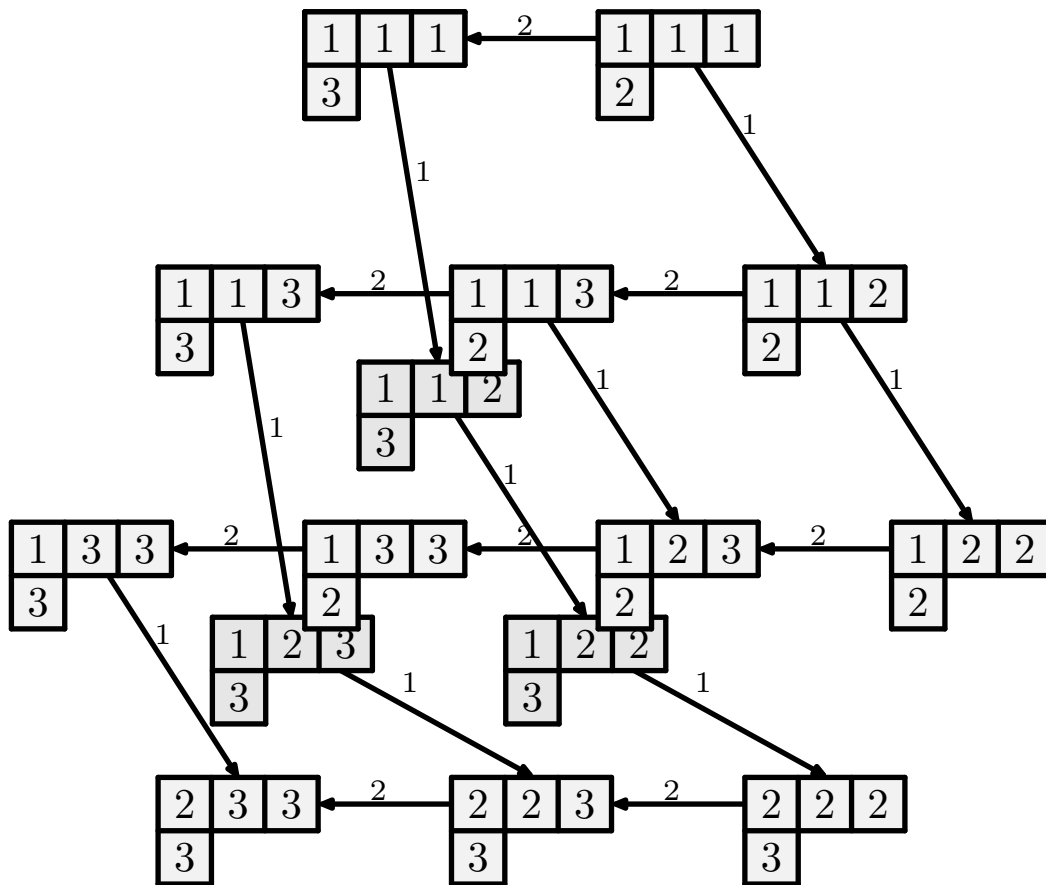
# Example: $GL_3$

Here is the crystal with highest weight  $\lambda = (3, 1, 0)$ . Compare it with the weight diagram (above) for  $V(\lambda)$ .

Kashiwara: elements of  $\mathcal{B}_\lambda$  are labeled by tableaux of shape  $\lambda$  in  $\{1, 2, 3\}$ .

If  $F_i(v) = w$  and  $E_i(w) = v$  we draw an arrow  $v \xrightarrow{i} w$ .

We have drawn the crystal so that the elements of equal weight overlap.



The crystal is mapped to the weight diagram (left) by  $\text{wt}: \mathcal{B}_\lambda \rightarrow P$ .

# Tokuyama functions

By a **Tokuyama function** on the crystal  $\mathcal{B}_{\lambda+\rho}$  we mean a function

$$G: \mathcal{B}_{\lambda+\rho} \times \mathbb{C} \longrightarrow \mathbb{C}$$

such that 
$$\sum_{v \in \mathcal{B}_{\lambda+\rho}} G(v, t) z^{\text{wt}(v)} = \left[ \prod_{\alpha \in \Phi^+} (1 + tz^\alpha) \right] \xi_\lambda(z).$$

- If  $t = -1$  the formula should reduce to the Weyl character formula.
- If  $t = 0$ , then  $G(v, t)$  should vanish unless  $v$  is in the image of a map  $\mathcal{B}_\lambda \longrightarrow \mathcal{B}_{\lambda+\rho}$ , and the formula should reduce to  $\xi_\lambda(z) = \sum_{v \in \mathcal{B}_\lambda} z^{\text{wt}(v)}$ .
- If  $t = -q^{-1}$  the formula should give the Casselman-Shalika formula (with deformed Weyl denominator.)
- There are also **metaplectic Tokuyama functions**. These produce not characters but **metaplectic Whittaker functions**.
- “Natural” Tokuyama functions can be given in many cases, beginning with Tokuyama (1988). The Tokuyama function is not unique. Using results of McNamara one gets **one Tokuyama function for each reduced word** decomposing the long Weyl group element into simple reflections.

# Statistical Models

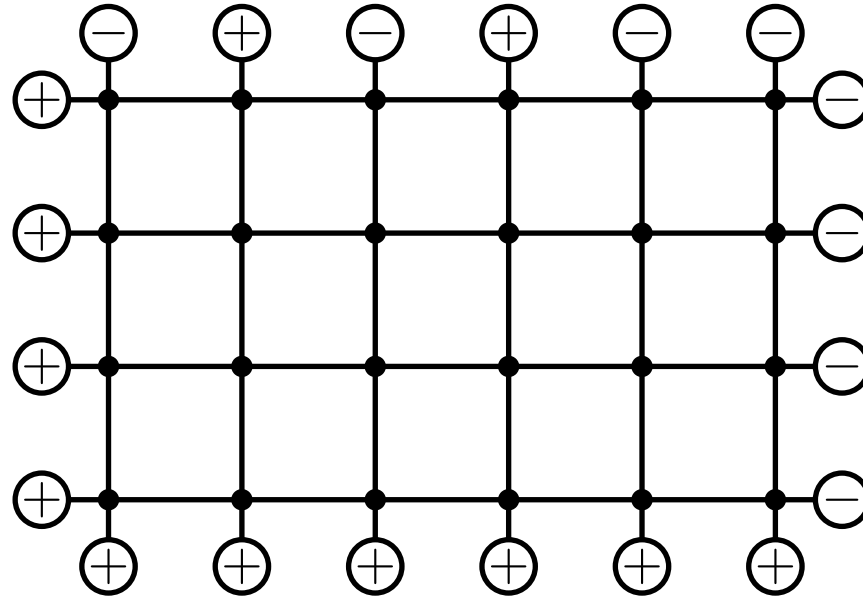
Solvable lattice models in statistical mechanics are 2-dimensional systems in which the partition function can be evaluated explicitly. The first example was the Ising model, solved by Onsager (1944). The six-vertex model is an important example.

- Solved by Lieb and Sutherland in the 1960's.
- Baxter developed the **star-triangle relation** or **Yang-Baxter equation** as a powerful tool.
- Hamel and King showed how characters (together with deformed Weyl denominators) are **partition functions** of systems of this type.
- Brubaker, Bump and Friedberg showed how to use the Yang-Baxter equation to investigate these models.
- Metaplectic Whittaker functions can also be represented as such partition functions.

# Six-Vertex Model

We describe a statistico-physical system  $\mathfrak{S}$ . Take a square lattice of finite size.

For  
Example :

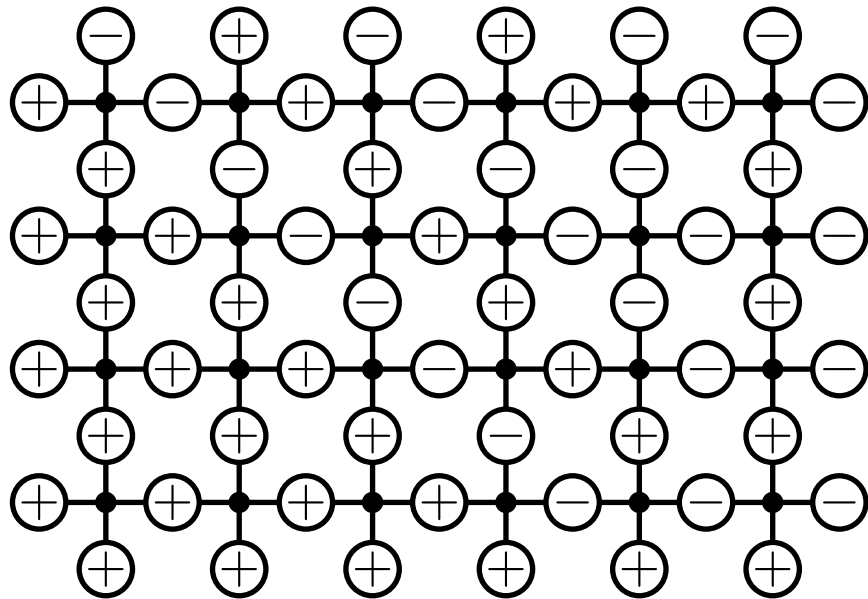


To specify the system, we require some further data.

- Signs or spins  $\pm$  on the boundary edges are fixed.
- At each vertex  $v$  there are assigned six values  $a_1(v)$ ,  $a_2(v)$ ,  $b_1(v)$ ,  $b_2(v)$ ,  $c_1(v)$ ,  $c_2(v)$  which are also part of the data defining the system.

# States

- A **state**  $\mathfrak{s}$  of the system  $\mathfrak{G}$  consists of an assignment of signs  $\pm$  to the **interior edges**.
- Recall that the signs of the boundary edges are fixed.



**For example, here is a state of the system shown earlier.**

**We will also consider more general planar graphs in which some of the edges are rotated.**



# The Partition Function

Given a state of the system, every vertex  $v$  is assigned a value  $\beta_{\mathfrak{s}}(v)$ , its **Boltzmann weight**. This is either zero or one of the six values  $a_1(v), a_2(v), b_1(v), b_2(v), c_1(v), c_2(v)$ .

$a_1$	$a_2$	$b_1$	$b_2$	$c_1$	$c_2$

We are also showing the weights in a rotated configuration.

- If the weight does not appear in the table it is **zero**.
- Given the state  $\mathfrak{s}$ , the **Boltzmann weight**  $\beta(\mathfrak{s}) = \prod_v \beta_{\mathfrak{s}}(v)$ .
- The **Partition function**  $Z(\mathfrak{G}) = \sum_{\text{states } \mathfrak{s}} \beta(\mathfrak{s})$ .

# Transfer Matrices

Let  $v$  be a vertex type with Boltzmann weights  $a_i(v), b_i(v), c_i(v)$ . Define

$$V_v(\alpha, \gamma) = Z \left( \begin{array}{c} \alpha_1 \quad \alpha_2 \quad \dots \quad \alpha_n \\ | \quad | \quad \dots \quad | \\ (+) \bullet \text{---} \bigcirc \text{---} \dots \text{---} \bullet (-) \\ | \quad | \quad \dots \quad | \\ \gamma_1 \quad \gamma_2 \quad \dots \quad \gamma_n \end{array} \right)$$

where  $\alpha_i, \gamma_i \in \{ \pm \}$ . There are  $2^n$  possibilities for  $\alpha = (\alpha_1, \dots, \alpha_n)$ , so we think of  $V_v$  as being a  $2^n \times 2^n$  matrix, the **row transfer matrix** for  $v$ . Clearly

$$Z \left( \begin{array}{c} \alpha_1 \quad \alpha_2 \quad \dots \quad \alpha_n \\ | \quad | \quad \dots \quad | \\ (+) \bullet \text{---} \bigcirc \text{---} \dots \text{---} \bullet (-) \\ | \quad | \quad \dots \quad | \\ \bigcirc \quad \bigcirc \quad \dots \quad \bigcirc \\ | \quad | \quad \dots \quad | \\ (+) \bullet \text{---} \bigcirc \text{---} \dots \text{---} \bullet (-) \\ | \quad | \quad \dots \quad | \\ \beta_1 \quad \beta_2 \quad \dots \quad \beta_n \end{array} \right) = \sum_{\gamma} V_v(\alpha, \gamma) V_w(\gamma, \beta).$$

We may compute the partition function by multiplying transfer matrices!

# Baxter



- Baxter: organize transfer matrices into commuting families.
- A maximal commuting family of operators is like a maximal torus.
- This leads to evaluation of the partition function.

## Example: the Field-Free Case

Suppose  $a_1(v) = a_2(v) = a(v)$ ,  $b_1(v) = b_2(v) = b(v)$ ,  $c_1(v) = c_2(v) = c(v)$ . Let

$$\Delta(v) = \frac{a(v)^2 + b(v)^2 - c(v)^2}{2a(v)b(v)}.$$

**Theorem. (Baxter)** *If  $\Delta(v) = \Delta(w)$  then  $V_v$  and  $V_w$  commute.*

**Proof.** Use the **Yang-Baxter equation**.

□

# The Yang-Baxter Equation

Let  $v, w, r$  be three types of vertices, with Boltzmann weights  $a_i(x), b_i(x), c_i(x)$  for  $x \in \{v, w, r\}$ . Then we write  $[[r, v, w]] = 0$  if for all  $\epsilon_1, \epsilon_2, \epsilon_3, \epsilon_4, \epsilon_5, \epsilon_6 \in \{\pm\}$ :

$$Z \left( \begin{array}{c} \epsilon_3 \\ | \\ \text{---} v \text{---} \epsilon_4 \\ | \\ \text{---} w \text{---} \epsilon_5 \\ | \\ \epsilon_6 \end{array} \right) = Z \left( \begin{array}{c} \epsilon_3 \\ | \\ \epsilon_2 \text{---} w \text{---} \epsilon_4 \\ | \\ \text{---} v \text{---} \epsilon_5 \\ | \\ \epsilon_6 \end{array} \right).$$

This means that summing over the three unlabeled edges gives the same result on both sides.

**Lemma. (Baxter)** *If  $\Delta(v) = \Delta(w) = \Delta$  there exists a third field-free vertex  $r$  with  $\Delta(r) = \Delta$  such that  $[[r, v, w]] = 0$ .*

# The R-matrix in action ...

To prove Baxter's commutativity in the field-free case, that  $Z(\mathfrak{S})$ ,

$$\mathfrak{S} = \left( \begin{array}{cccc} & \alpha_1 & \alpha_2 & \dots & \alpha_n \\ & \downarrow v & \downarrow v & & \downarrow v \\ (+) & \bullet & \bullet & \dots & \bullet & (-) \\ & | & | & & | & \\ & \circ & \circ & & \circ & \\ & \downarrow w & \downarrow w & & \downarrow w & \\ (+) & \bullet & \bullet & \dots & \bullet & (-) \\ & | & | & & | & \\ & \beta_1 & \beta_2 & & \beta_n & \end{array} \right)$$

is unchanged if  $v$  and  $w$  are interchanged, attach the **R-matrix** vertex  $r$ :

$$Z \left( \begin{array}{cccc} & \alpha_1 & \alpha_2 & \dots & \alpha_n \\ & \downarrow v & \downarrow v & & \downarrow v \\ (+) & \bullet & \bullet & \dots & \bullet & (-) \\ & | & | & & | & \\ & \circ & \circ & & \circ & \\ & \downarrow w & \downarrow w & & \downarrow w & \\ (+) & \bullet & \bullet & \dots & \bullet & (-) \\ & | & | & & | & \\ & \beta_1 & \beta_2 & & \beta_n & \end{array} \right) = a_1(r) Z(\mathfrak{S}),$$

because  $a_1(r)$  is the value of  $\begin{array}{c} \oplus \oplus \\ \diagdown \diagup \\ \bullet \\ \diagup \diagdown \\ \oplus \oplus \end{array}$ , the **only** legal configuration at  $r$ .

## ... Yang-Baxter equation $n$ times ...

$$= Z \left( \begin{array}{c} \begin{array}{cccc} \alpha_1 & \alpha_2 & \dots & \alpha_n \\ | & | & & | \\ \text{---} & \text{---} & \dots & \text{---} \\ | & | & & | \\ \beta_1 & \beta_2 & \dots & \beta_n \end{array} \\ \begin{array}{cccc} + & & & - \\ | & & & | \\ \text{---} & \text{---} & \dots & \text{---} \\ | & | & & | \\ + & & & - \\ | & & & | \\ \beta_1 & \beta_2 & \dots & \beta_n \end{array} \end{array} \right) = a_2(r) Z(\mathfrak{S}'),$$

where  $\mathfrak{S}'$  is the system  $\mathfrak{S}$  with  **$v$  and  $w$  interchanged**. Since  $a_1(r) = a_2(r)$  we may cancel them and get  $Z(\mathfrak{S}) = Z(\mathfrak{S}')$ .

$$Z \left( \begin{array}{c} \begin{array}{cccc} \alpha_1 & \alpha_2 & \dots & \alpha_n \\ | & | & & | \\ \text{---} & \text{---} & \dots & \text{---} \\ | & | & & | \\ \beta_1 & \beta_2 & \dots & \beta_n \end{array} \\ \begin{array}{cccc} + & & & - \\ | & & & | \\ \text{---} & \text{---} & \dots & \text{---} \\ | & | & & | \\ + & & & - \\ | & & & | \\ \beta_1 & \beta_2 & \dots & \beta_n \end{array} \end{array} \right) = Z \left( \begin{array}{c} \begin{array}{cccc} \alpha_1 & \alpha_2 & \dots & \alpha_n \\ | & | & & | \\ \text{---} & \text{---} & \dots & \text{---} \\ | & | & & | \\ \beta_1 & \beta_2 & \dots & \beta_n \end{array} \\ \begin{array}{cccc} + & & & - \\ | & & & | \\ \text{---} & \text{---} & \dots & \text{---} \\ | & | & & | \\ + & & & - \\ | & & & | \\ \beta_1 & \beta_2 & \dots & \beta_n \end{array} \end{array} \right)$$

That is, **the transfer matrices  $V_v$  and  $V_w$  commute, as promised.**

# Parametrized Yang-Baxter Equation

Let  $\Delta \in \mathbb{C}$  be fixed and let  $\mathbf{R}_\Delta$  be the set of field-free Boltzmann weights

$$a_1 = a_2 = a, \quad b_1 = b_2 = b, \quad c_1 = c_2 = c, \quad \frac{a^2 + b^2 - c^2}{2ab} = \Delta. \quad \text{Recall:}$$

**Lemma. (Baxter)** *If  $\Delta(v) = \Delta(w) = \Delta$  there exists a third field-free vertex  $r$  with  $\Delta(r) = \Delta$  such that  $\llbracket r, v, w \rrbracket = 0$ .*

We have actually a **parametrized Yang-Baxter equation**.

**Theorem. (Baxter)** *There is a map  $R: \mathbb{C}^\times \longrightarrow \mathbf{R}_\Delta$  such that*

$$\llbracket R(t), R(tu), R(u) \rrbracket = 0. \quad \text{So } r = R(t), v = R(tu), w = R(u).$$

Discard the field free assumption and impose **free Fermionic condition**. Let:

$$\mathbf{R}_{\text{ff}} = \{v \mid a_1(v)a_2(v) + b_1(v)b_2(v) - c_1(v)c_2(v) = 0\}.$$

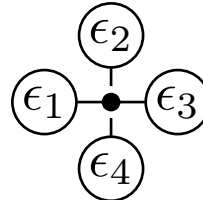
**Lemma. (BBF)** *There is a map  $R: \text{GL}_1(\mathbb{C}) \times \text{GL}_2(\mathbb{C}) \longrightarrow \mathbf{R}_{\text{ff}}$  such that*

$$\llbracket R(t), R(tu), R(u) \rrbracket = 0.$$

# The Yang-Baxter Commutator

Let  $V = \mathbb{C}^2$  with basis  $+$  and  $-$ . Given  $T \in \text{End}(V \otimes V)$  let

$$T(\varepsilon_i \otimes \varepsilon_j) = \sum_{k,l} T_{ij}^{kl} \cdot \varepsilon_k \otimes \varepsilon_l \quad (\varepsilon_i \in \{\pm\}).$$

We interpret the coefficients  $T_{ij}^{kl}$  as a Boltzmann weight of . With

respect to basis  $+\otimes+$ ,  $+\otimes-$ ,  $-\otimes+$ ,  $-\otimes-$  of  $V \otimes V$  the vertex  $v$  is

the linear transformation with matrix 
$$\begin{pmatrix} a_1(v) & & & & \\ & b_1(v) & c_1(v) & & \\ & c_2(v) & b_2(v) & & \\ & & & & a_2(v) \end{pmatrix}.$$

If  $T \in \text{End}(V \otimes V)$  let  $T_{ij} \in \text{End}(V \otimes V \otimes V)$  be  $T$  acting on the  $i$ -th and  $j$ -th components and  $I_V$  acts on the  $k$ -th component ( $k \neq i, j$ ). The **Yang-Baxter commutator** is

$$[[A, B, C]] = A_{12} B_{13} C_{23} - C_{23} B_{13} A_{12}.$$



# Quantum Groups

With this framework many (Faddeev, Kulish, Sklyanin, Kirillov, Reshetikhin, Takhtadjan, **Jimbo**, Miwa, **Drinfeld**, ...) sought an explanation for the **Yang-Baxter equation**. This led to the invention of **Quantum groups**.

The explanation for Baxter's parametrized YBE

$$[[R(t), R(tu), R(u)]] = 0$$

is that  $V(t)$  is a module for the Hopf algebra  $H = U_q(\hat{\mathfrak{sl}}_2)$  (completed) and there is an element  $R \in H \otimes H$  that induces an endomorphism  $V(t) \otimes V(u)$  for every pair of modules. The quantum group  $H$  is a **quasitriangular Hopf algebra** which means that  $R$  satisfies conditions implying the Yang-Baxter equation.

**Question 1:** Give a similar treatment of the Free fermionic case.

**Question 2:** Extend the free Fermionic story to the eight vertex model.

# Schur Polynomials

- Hamel and King extended Tokuyama's deformation for Cartan Type  $A_r$  by giving a generalized deformation. They also treated Cartan Type  $C_r$ .
- Brubaker, Bump and Friedberg found two families of deformations, one of which is Hamel and King's. These are called **Gamma ice** and **Delta ice**.
- They gave proofs based on the Yang-Baxter equation.
- Fix a partition  $\lambda = (\lambda_1, \dots, \lambda_n)$ .
- Let  $z_1, \dots, z_n \in \mathbb{C}^\times$  be **spectral parameters**.
- Let  $t_1, \dots, t_n \in \mathbb{C}$  be **deformation parameters**.

The character  $\xi_\lambda(\mathbf{z})$  is the **Schur polynomial**  $s_\lambda(\mathbf{z})$ .

There are two statistical systems  $\mathfrak{S}_\lambda^\Gamma$  and  $\mathfrak{S}_\lambda^\Delta$  with

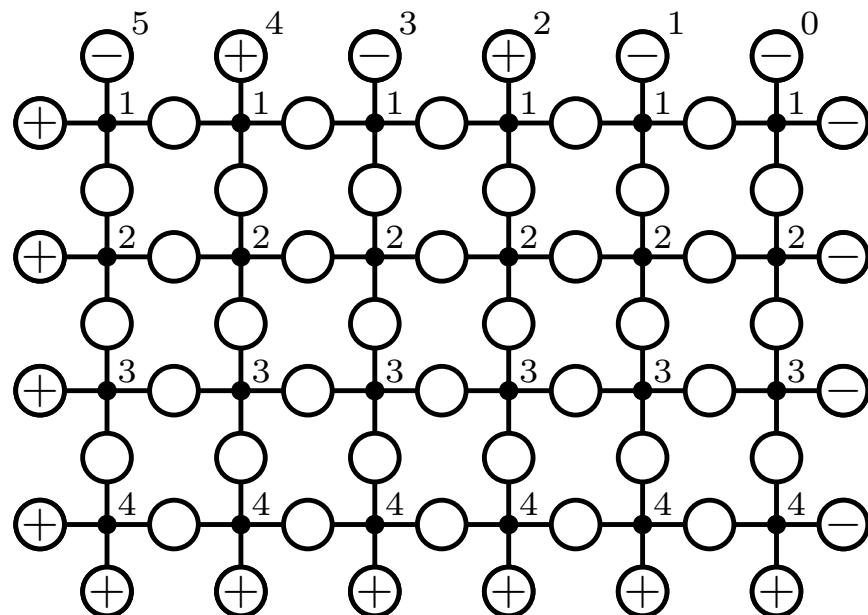
$$Z(\mathfrak{S}_\lambda^\Gamma) = \prod_{i < j} (t_i z_j + z_i) s_\lambda(z_1, \dots, z_n), \quad Z(\mathfrak{S}_\lambda^\Delta) = \prod_{i < j} (t_j z_j + z_i) s_\lambda(z_1, \dots, z_n).$$

# Gamma Ice

Label columns  $0, 1, 2, \dots$  **from right to left**, rows  $1, 2, 3, \dots, n$  top to bottom.

Use these weights in the  $i$ -th row:

$a_1(i)$	$a_2(i)$	$b_1(i)$	$b_2(i)$	$c_1(i)$	$c_2(i)$
1	$z_i$	$t_i$	$z_i$	$z_i(t_i + 1)$	1



+ on left and bottom boundary edges,  
- on right boundary edges.

On top edges in  $\rho + \lambda$  put - ,  
On remaining top edges put + .

**Theorem:**  $Z(\mathfrak{S}_\lambda^\Gamma) = \prod_{i < j} (t_i z_j + z_i) s_\lambda(z_1, \dots, z_n)$ .

**Example:**  $\lambda = (2, 1, 0, 0)$

$\lambda + \rho = (5, 3, 1, 0)$

# Tokuyama Function

Recall that a **Tokuyama function** is a map  $G: \mathcal{B}_{\lambda+\rho} \times \mathbb{C} \longrightarrow \mathbb{C}$  such that

$$\left[ \prod_{\alpha \in \Phi^+} (1 + tz^\alpha) \right] \xi_\lambda(\mathbf{z}) = \sum_{v \in \mathcal{B}_{\lambda+\rho}} G(v, t) \mathbf{z}^{\text{wt}(v)}.$$

- There are different Tokuyama functions (one for every reduced decomposition of the long Weyl group element) but we discuss a particular one.
- This Tokuyama function has another description using an embedding of Berenstein, Zelevinsky, Lusztig, Littelmann (**BZL**) of  $\mathcal{B}_{\lambda+\rho}$  into cones.

We will describe an injection

$$c: \{\text{states of } \mathfrak{S}_\lambda^\Gamma\} \longrightarrow \mathcal{B}_{\lambda+\rho}.$$

When all  $t_i = t$

$$\beta(\mathfrak{s}) = G(v, t) \mathbf{z}^{\text{wt}(v)}, \quad v = c(\mathfrak{s}),$$

and  $G(v, t) = 0$  if  $v$  is not in the image of  $c$ . Thus the **nonzero** terms in the crystal description coincide with the states of the ice.

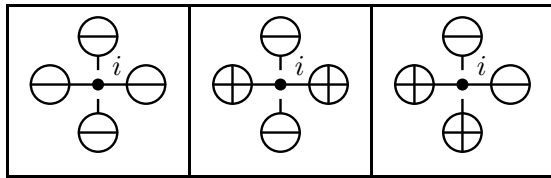
## A subtle shift of viewpoint

The **nonzero** terms in the crystal description coincide with the states of the statistical model. So we might think that the two descriptions are identical. However there is a **subtle shift of viewpoint** between the two pictures.

- The image of  $c$  is (in some sense) **most** but **not all** of  $\mathcal{B}_{\lambda+\rho}$ .
- The tool sets are **different** in the two pictures **since  $c$  is not bijective**.
- The image of  $c$  is not stable under the Schützenberger involution of  $\mathcal{B}_{\lambda+\rho}$ , so that involution has no significance in the statistical picture.
- But the Yang-Baxter equation is not available in the crystal picture.
- An aggravating fact about the crystal picture is that the terms in the sum are **usually invariant** under the Schützenberger involution, yet there are some **on the boundary of the BZL polytopes** that are **not invariant** under the involution. Using the involution to understand the sums leads one to **group these exceptional terms together in packets** resulting in **COMBINATORIAL DIFFICULTIES**.
- **These are surmountable** but the Yang-Baxter equation is a **welcome alternative**.

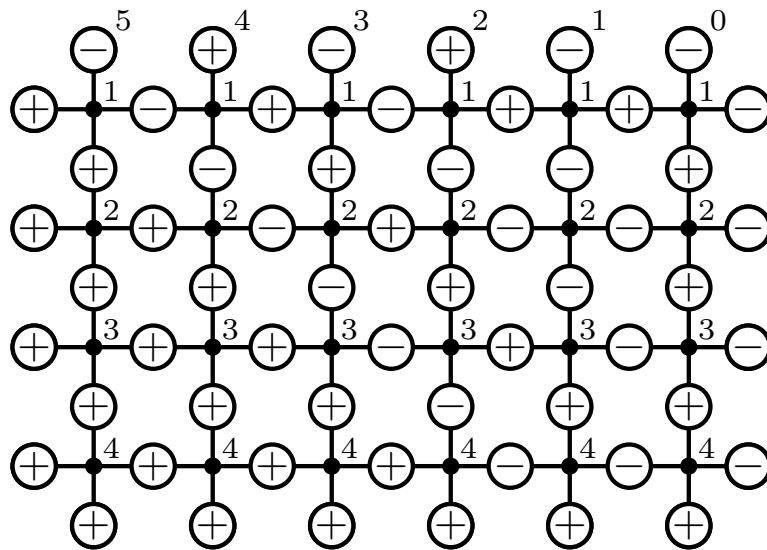
# Associate a Gelfand-Tsetlin pattern with a State

- Identify states with **strict Gelfand-Tsetlin Patterns**.



A **Gelfand-Tsetlin Pattern** is a triangular array of partitions of descending length whose rows interleave.

For each row, write down the column numbers of vertices in the above 3 configurations (having a  $-$  above the vertex). **Example:**

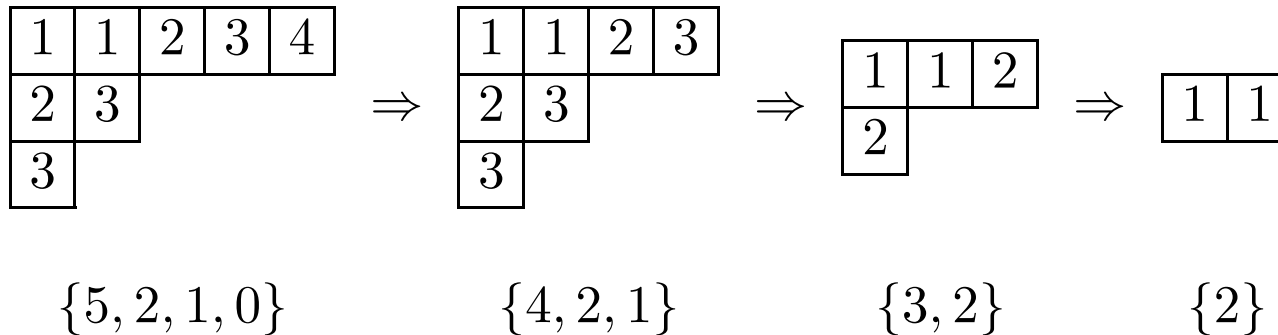


$$\Rightarrow \left\{ \begin{array}{cccccc} 5 & & & & & \\ & 4 & & & & \\ & & 3 & & & \\ & & & 2 & & \\ & & & & 1 & \\ & & & & & 0 \end{array} \right\}$$

The pattern is **strict** meaning each row is strictly decreasing.

## Associate a tableaux with that Gelfand-Tsetlin P.

Striking all  $n$ 's, then all  $n - 1$ 's, etc. from a tableau gives a sequence of shapes.



Taking those shapes and arranging them gives a Gelfand-Tsetlin pattern:

$$\left( \begin{array}{cccc} 5 & 2 & 1 & 0 \\ & 4 & 2 & 1 \\ & & 3 & 1 \\ & & & 2 \end{array} \right)$$

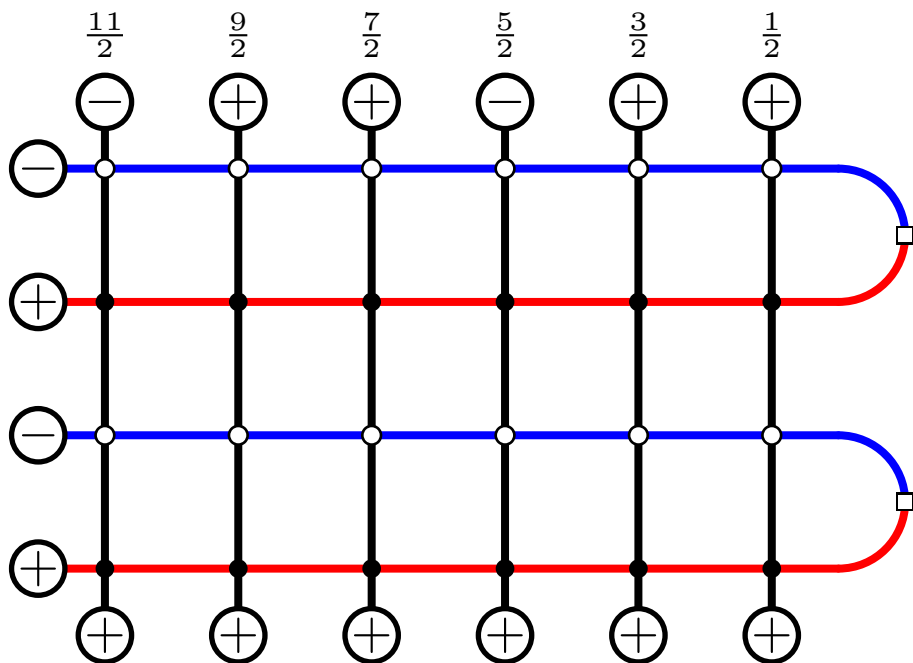
Thus

- States correspond to **strict** Gelfand-Tsetlin patterns with top row  $\lambda + \rho$ .
- Gelfand-Tsetlin patterns biject with tableaux with shape  $\lambda + \rho$ .
- Not all patterns are strict so the map  $c$  is an injection but not a bijection.

# Metaplectic Ice

- For Type A and **arbitrary** metaplectic covers, there are ice models.
- Key facts amount to **commutativity of transfer matrices**.
- Still the **Yang-Baxter equation remains elusive for Type A**.

But there is a model for the Whittaker function **on the metaplectic double cover of  $\mathrm{Sp}_4(\mathbb{F})$**  where the **Yang-Baxter equation** plays a **significant role**.



Use Delta ice on **Blue rows**  
 Use Gamma ice on **Red rows**  
 For the “cap vertices” use the **metaplectic weights**:

$-\sqrt{-t}z_i^{1/2}$	$z_i^{-1/2}$

(for the  $i$ -th pair of rows)

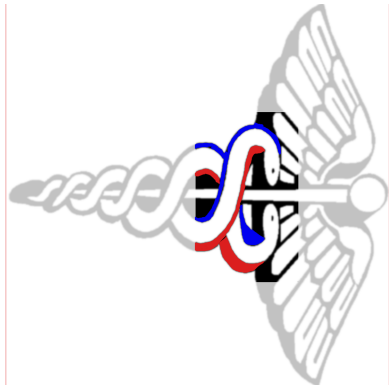


## Related Nonmetaplectic Work

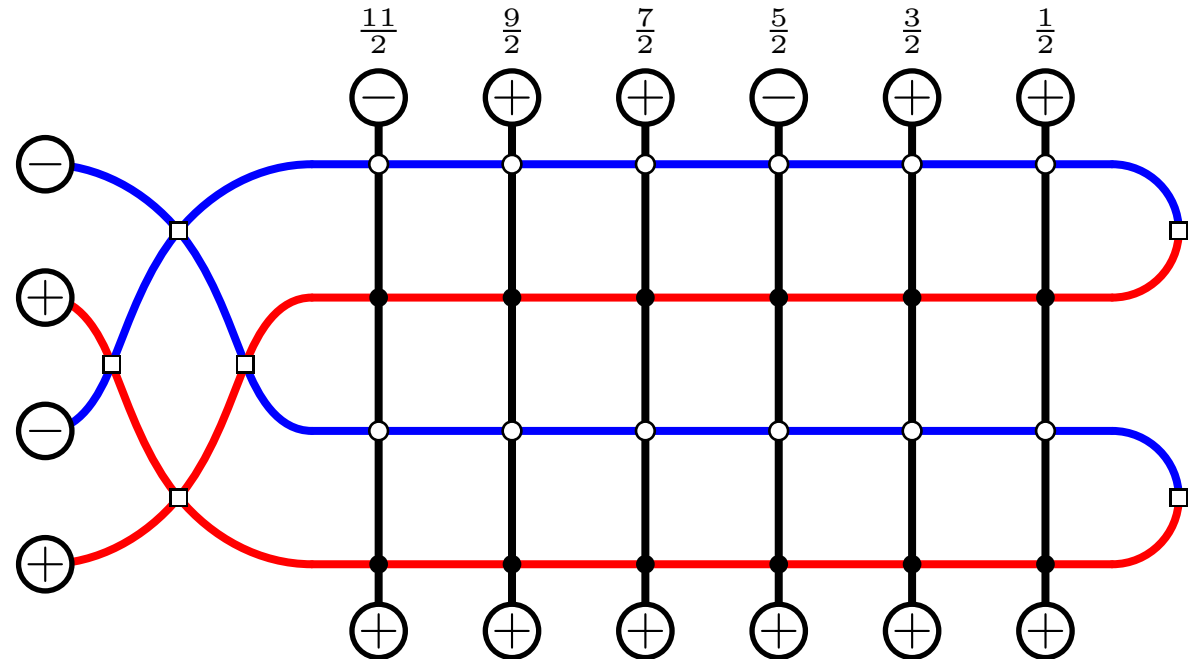
- Related to **U-Turn Ice** and **Alternating sign matrices** of Kuperberg, Okada and Hamel and King.
- Those models are related to work of Beineke, Brubaker and Frechette on crystal models for Type C (nonmetaplectic).
- Thesis of Dmitriy Ivanov introduces **Yang-Baxter equation** in such models introducing a novel **caduceus relation** which we will discuss.

# The Caduceus

The so-called  
Caduceus braid



bears a noted resemblance  
to the fabled “staff of Hermes”



We have attached a **caduceus braid** preparing to prove a functional equation with respect to the **first simple reflection in the Weyl group**.

- The caduceus braid first appeared in the thesis of D. Ivanov.
- This multiplies  $Z(\mathfrak{S})$  by  $(tz_j + z_i^{-1})(z_i + tz_j)(tz_i^{-1} + z_j^{-1})(tz_i + z_j^{-1})$ .
- Using the Yang-Baxter equation, the caduceus moves to the right.

# The Caduceus Identity

**Lemma.** For any  $\varepsilon_1, \varepsilon_2, \varepsilon_3, \varepsilon_4$  we have

$$Z \left( \begin{array}{c} \varepsilon_4 \\ \varepsilon_3 \\ \varepsilon_2 \\ \varepsilon_1 \end{array} \right) = \text{const} \times Z \left( \begin{array}{c} \varepsilon_4 \\ \varepsilon_3 \\ \varepsilon_2 \\ \varepsilon_1 \end{array} \right)$$

where the constant is  $(tz_i + z_j^{-1})(tz_i + z_j)(tz_i^{-1} + z_j^{-1})(tz_j + z_i^{-1})$ , independent of the  $\varepsilon_i$ .

- Discarding the caduceus this way shows how the partition function changes under the interchange of spectral parameters.
- We are aware of caduceus identities for three different sets of cap weights. (The archetype is in Ivanov's thesis.)