

# Gate Control of Single Electron Spins in Quantum Dots via the Application of the Geometric Phase

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# Overview

## Background

- ***Introduction and motivation***
- ***Hamiltonian of III-V type Semiconductors***
- ***Effect of bulk inversion symmetry (Dresselhauss Effect)***
- ***Effect of structural inversion symmetry (Rasha Effect)***
- ***Manipulation of electron spin states via geometric phase***

## Results:

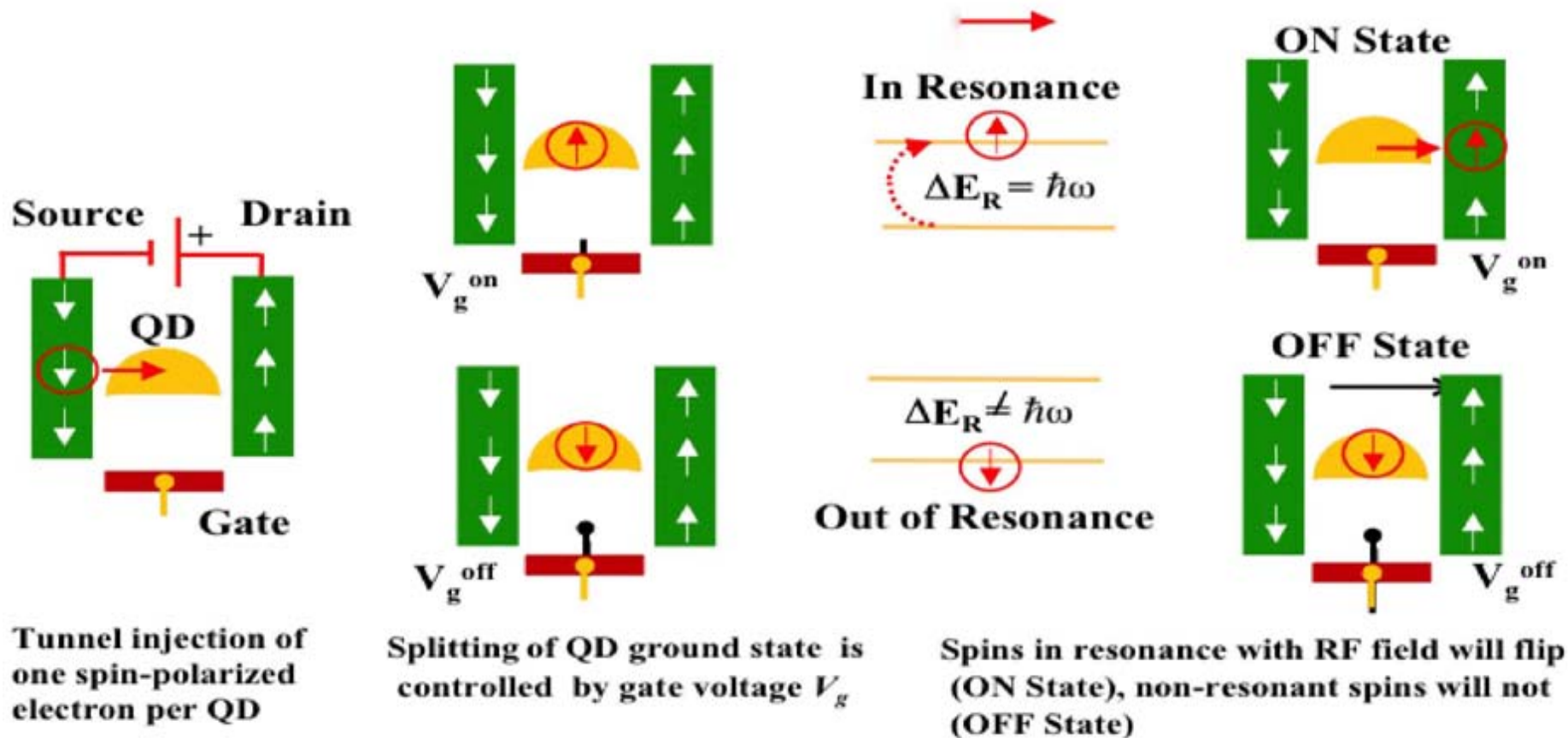
- ***Unitary transformation of electron spin states***
- ***Transition probability of electron spin in quantum dots***
- ***Persistent spin-helix and spin diffusion length***

## Summary and Conclusions

## Motivation:

- The importance of gate control in quantum mechanical applications (quantum information processing, low dimensional nanostructures etc)

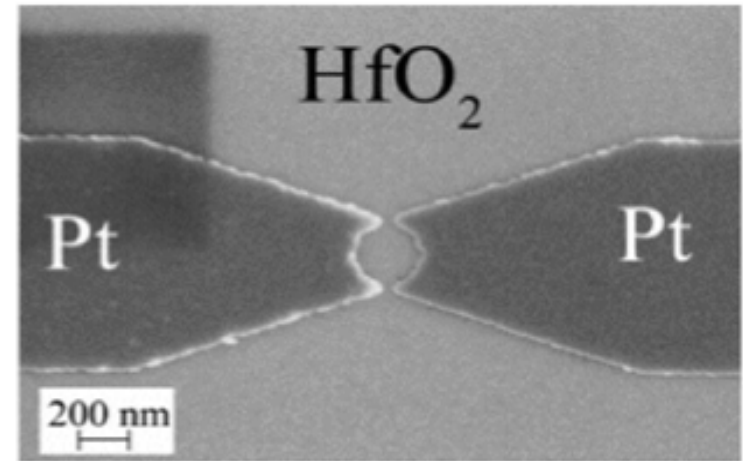
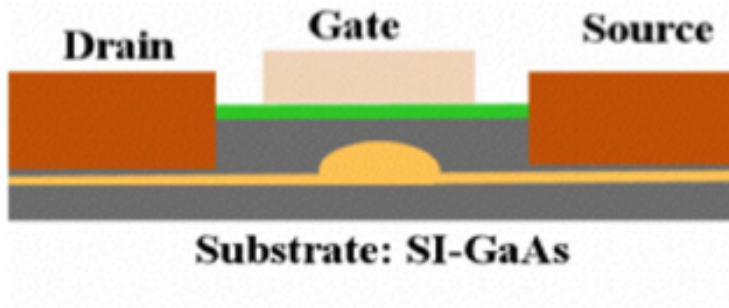
## Schematics of spin single electron transistors (SET)



# Possible spin SET prototype

S. Prabhakar and J. Raynolds,  
PRB 79, 195307 (2009)

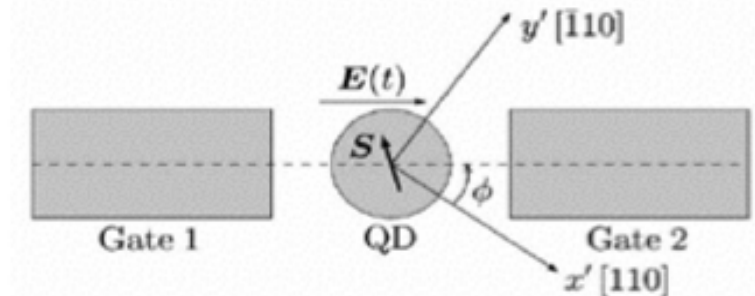
SEM of a 2D-0D heterodimensional prototype



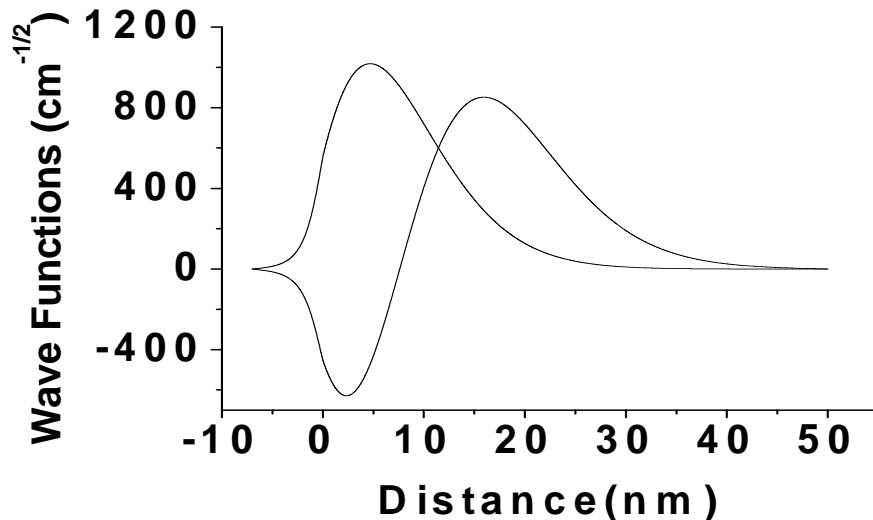
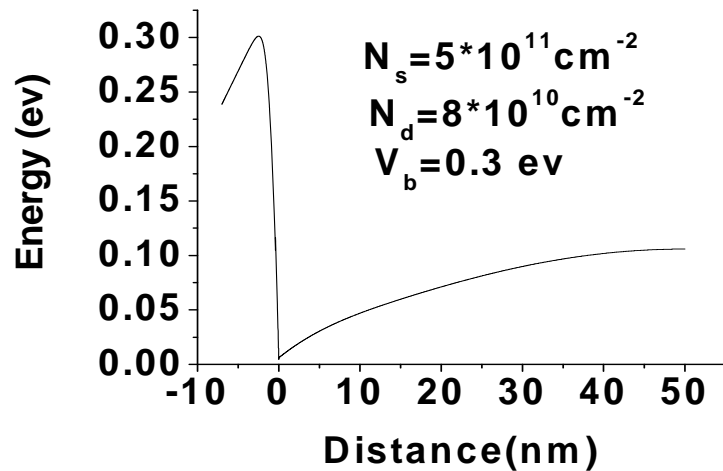
**Goal: Development for planar SET prototype using:**

- High-k gate stack on InGaAs/AlGaAs structure
- Hetero-dimensional control of 2D-1D-0D electrons
- Eventually self-assembled InAs QD

Schematic for EDSR spin control  
[Loss et al., PRB 2006]



# Two Dimensional Electron Gas (2DEG)



Electron moves in an effective potentials

$$V(z) = -e\phi(z) + V_{xc}(z)$$

$$-\frac{\hbar^2}{2} \frac{d}{dz} \frac{1}{m(z)} \frac{d\psi_i(z)}{dz} + V(z)\psi_i(z) = E_i \psi_i(z)$$

$$\frac{d}{dz} \epsilon_0 \kappa(z) \frac{d\phi(z)}{dz} = e \sum N_i \psi_i^2(z) - \rho_I(z)$$

where

$$\hat{H} = -\frac{\hbar^2}{2} \frac{d}{dz} \frac{1}{m(z)} \frac{d}{dz} + V(z)$$

S. Prabhakar and J. Raynolds, PRB 79, 195307 (2009)

Also See PRB Vol 30,840 (1984)

## Hamiltonian of quantum dots in III-V semiconductor

$$H = H_0 + H_z + H_R + H_D$$

$$H_0 = \frac{\vec{P}^2}{2m} + \frac{1}{2} m \omega_0^2 (\alpha x^2 + \beta y^2) + \tilde{\alpha}x + \tilde{\beta}y + \frac{1}{2} g_0 \mu_B \sigma_z B$$

• The structural inversion asymmetry leads to Rashba spin-orbit coupling

$$H_R = \frac{\alpha_R e E}{\hbar} (\sigma_x P_y - \sigma_y P_x)$$

• Bulk inversion asymmetry leads to Dresselhaus spin-orbit coupling

$$H_D = \frac{\gamma_D}{\hbar} \left( \frac{2meE}{\hbar^2} \right)^{2/3} (-\sigma_x P_x + \sigma_y P_y)$$

where

$$\omega_0 = \sqrt{\frac{\hbar}{m\ell_0}}, \quad \tilde{\alpha} = eE_x, \quad \tilde{\beta} = eE_y, \quad E \quad \text{are control variables}$$

# Geometric phase factors accompanying adiabatic changes (Berry Phase)

According to Schrödinger Equation, the state  $|\Psi(t)\rangle$  of the system evolves

V. Vedral, arXiv.org:  
quant-ph/0212133 (2002).

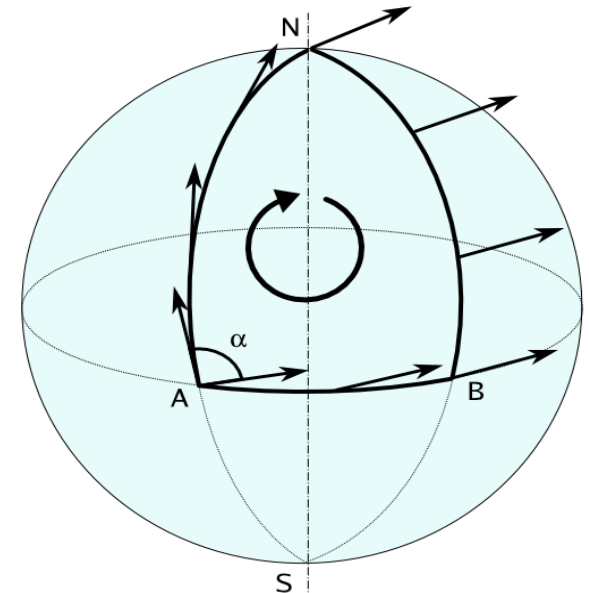
$$\hat{H}(\mathbf{R}(t))|\Psi(t)\rangle = i\hbar \frac{\partial}{\partial t} |\Psi(t)\rangle$$

At any instant,

$$\hat{H}(\mathbf{R})|n(\mathbf{R})\rangle = E_n(\mathbf{R})|n(\mathbf{R})\rangle$$

$$|\Psi(t)\rangle = \underbrace{\exp\left\{\frac{-i}{\hbar} \int_0^t dt' E_n(\mathbf{R}(t'))\right\}}_{\text{Dynamical Phase Factor}} \underbrace{\exp(i\gamma_n(t))}_{\substack{\text{Geometric Phase Factor} \\ \text{or Berry Phase Factor}}} |n(\mathbf{R}(t))\rangle$$

where  $\gamma_n(T) \neq \gamma_n(0)$



M. Berry Proc. R. Soc. Lond. A  
392,45-57(1984)

## Mathematical Expression for the Berry Phase

$$\gamma_n(c) = - \iint_C d\vec{s} \cdot V_n(R)$$

$$V_n(R) = \text{Im} \sum_{l \neq n} \frac{\langle n(R) | \nabla_R \hat{H}(R) | l(R) \rangle \times \langle l(R) | \nabla_R \hat{H}(R) | n(R) \rangle}{(E_l(R) - E_n(R))^2}$$

**The Hamiltonian of the quantum dot is**

$$H = H_0 + H_R + H_D$$

$$H_0 = \frac{\vec{P}^2}{2m} + \frac{1}{2} m \omega_0^2 (\alpha x^2 + \beta y^2) + \tilde{\alpha} x + \tilde{\beta} y + \frac{1}{2} g_0 \mu_B \sigma_z B$$

where  $\tilde{\alpha}$  and  $\tilde{\beta}$  are control variables

Proc. R. Soc. Lond. A 392,45-57(1984); Phys. Rev. B 68, 55330 (2003)



# Energy spectrum of a quantum dot

$$H = H_0 + H_R + H_D$$

$$H_R = \frac{\alpha_R e E}{\hbar} (\sigma_x P_y - \sigma_y P_x) \quad H_D = \frac{\gamma_D}{\hbar} \left( \frac{2meE}{\hbar^2} \right)^{2/3} (-\sigma_x P_x + \sigma_y P_y)$$

The energy spectrum of the Zeeman spin splitting part  $H_0$  can be written as

$$\mathcal{E}_{n_+, n_-} = (n_+ + n_- + 1) \hbar \omega_+ + (n_+ - n_-) \hbar \omega_- - G + \frac{1}{2} g_0 \mu_B B \sigma_z$$

where  $G = \frac{1}{2} \left( \frac{\tilde{\alpha}^2}{\alpha} + \frac{\tilde{\beta}^2}{\beta} \right) \frac{1}{m \omega_0^2}$   $\omega_+^- = \frac{1}{2} \left[ \omega_c^2 + \omega_0^2 \left( \sqrt{\alpha} \pm \sqrt{\beta} \right)^2 \right]^{1/2}$

$$s_{\pm} = \frac{\omega_+}{\omega_c [\beta/\alpha]^{1/4}} \left[ \sqrt{\frac{\beta}{\alpha}} - 1 \pm \sqrt{\frac{\sqrt{\beta} \omega_c^2}{\sqrt{\alpha} \omega_+^2} + \left( 1 - \sqrt{\frac{\beta}{\alpha}} \right)^2} \right]$$

Diagonalization is carried out by applying annihilation and creation operators

$$a_{\pm} = \frac{1}{(s_+ - s_-)(1+i)} \left[ \pm (s_{\mp} + i) \frac{\ell}{\hbar} p_x + (s_{\pm} + i) \frac{\ell}{\hbar} p_y + (1 - s_{\mp}) \frac{1}{\ell} x \pm (1 - i s_{\pm}) \frac{1}{\ell} y \right]$$

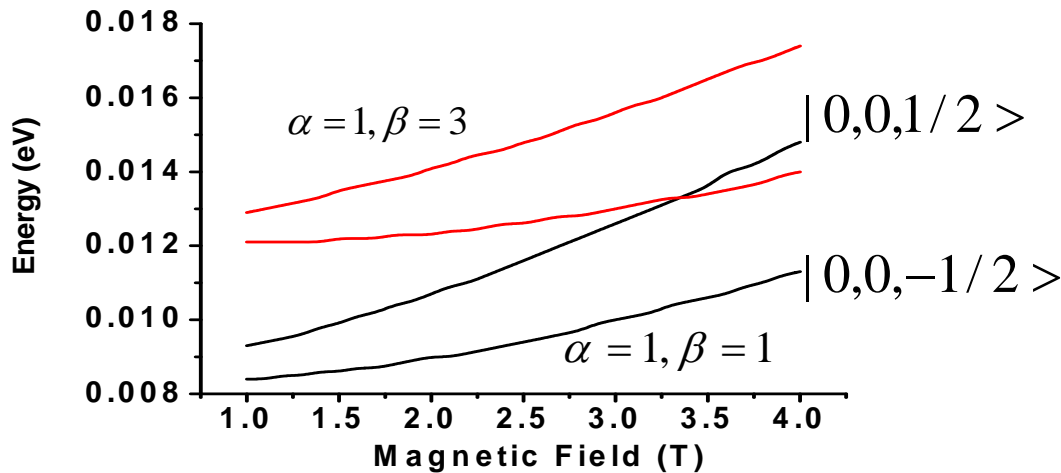
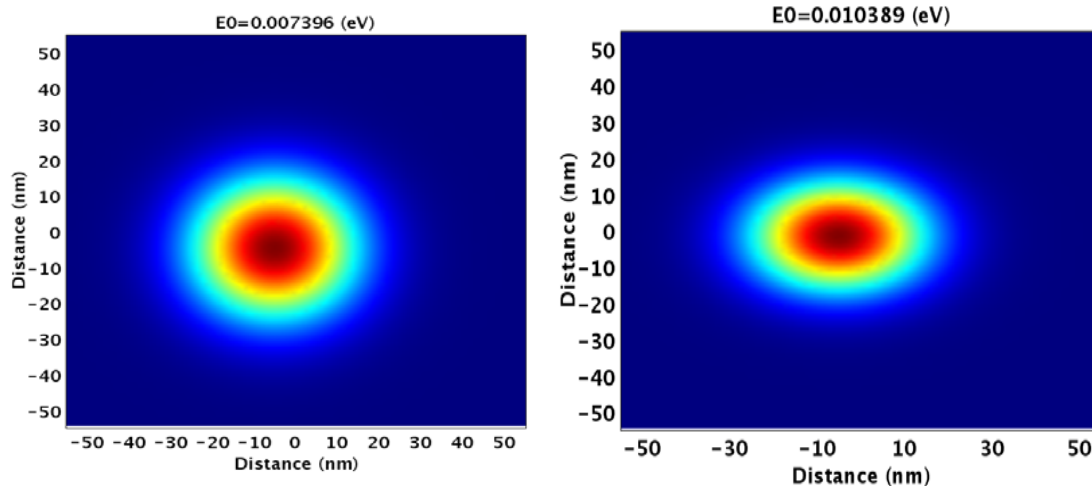
$$a_{\pm}^{\dagger} = \frac{1}{(s_+ - s_-)(1-i)} \left[ \pm (s_{\mp} - i) \frac{\ell}{\hbar} p_x + (s_{\pm} - i) \frac{\ell}{\hbar} p_y + (1 - s_{\mp}) \frac{1}{\ell} x \pm (1 + i s_{\pm}) \frac{1}{\ell} y \right]$$

$$\mathcal{E}_{0,0,+1/2} = \mathcal{E}_{0,0,+1/2}^{(0)} + \mathcal{E}_{0,0,+1/2}^{(2)} \quad \mathcal{E}_{0,0,-1/2} = \mathcal{E}_{0,0,-1/2}^{(0)} + \mathcal{E}_{0,0,-1/2}^{(2)}$$

# Application:

## In-plane wave functions of InAs quantum dot

$$\alpha = 1, \beta = 1 \quad B = 1 \text{ T} \quad \alpha = 1, \beta = 3$$



### Control parameters:

$$\omega_0 = \sqrt{\frac{\hbar}{m\ell_0}}, \quad B$$

$$\tilde{\alpha} = eE_x, \quad \tilde{\beta} = eE_y, \quad E = E_z$$

In fig., we choose

$$\ell_0 = 20 \text{ nm}$$

$$E = 1.6 \times 10^4 \text{ V/cm}$$

$$E_x = E_y = 10^3 \text{ V/cm}$$

## Extension of Berry Phase for degenerate state

For non degenerate state,

$$|\Psi_n(T)\rangle = \underbrace{\exp\left\{\frac{-i}{\hbar} \int_0^T E_n(t) dt\right\}}_{\text{Dynamical Phase Factor}} \underbrace{\exp(i\gamma_n(C))}_{\text{Geometric Phase Factor or Berry Phase Factor}} |\Psi_n(0)\rangle$$

For degenerate state,

$$|\Psi_{n,a}(T)\rangle = \exp\left\{\frac{-i}{\hbar} \int_0^T E(t) dt\right\} \hat{U}_{ab}(t) |\Psi_{n,b}(0)\rangle$$

$\hat{U}_{ad}$  = Non-Abelian Unitary transformation

**Non-Abelian unitary transformation** can be written as

$$\hat{U}(t) = T \exp\left(-\frac{i}{\hbar} \int_0^t H_{so}(t') dt'\right)$$

$$H_{so} = 2\alpha (P_y S_x - P_x S_y) - 2\beta (P_x S_x - P_y S_y)$$

$$\alpha = \frac{\alpha_R eE}{\hbar}, \quad \beta = \frac{\gamma_c k^2}{\hbar}, \quad k = \left(\frac{2m^* eE}{\hbar}\right)^{1/3}$$

For GaAs,  $\alpha_R = 0.044 \text{ nm}^2$ ,  $\gamma_c = 26 \times 10^{-3} \text{ eV nm}^3$

“S” is the spin operator whose components obey SU(2) Lie algebra

$$[S_+, S_-] = 2S_0, \quad [S_0, S_{\pm}] = 2S_{\pm}$$

$$S_{\pm} = S_x \pm iS_y$$

- We are interested to compute the spin propagator of the dot exactly based on the Feynman disentangling technique.
- Another form of spin-orbit Hamiltonian

$$H_{SO} = 2\alpha (P_y S_x - P_x S_y) - 2\beta (P_x S_x - P_y S_y)$$

On the other hand:

$$H_{SO} = H_x(t) S_x + H_y(t) S_y + H_z(t) S_z$$

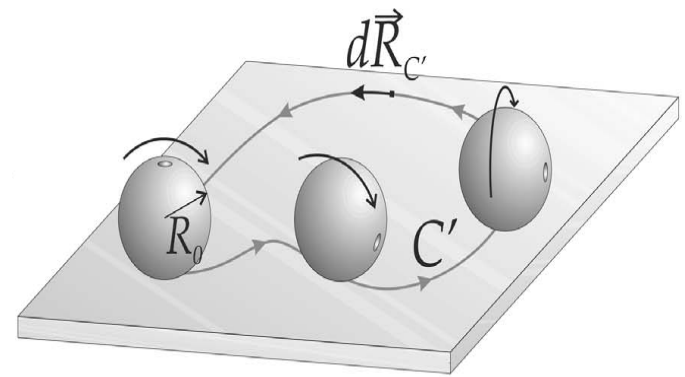
Compare

Therefore

$$H_x(t) = 2\alpha P_y - 2\beta P_x, \quad H_y(t) = 2\beta P_y - 2\alpha P_x, \quad H_z(t) = 0$$

$$H_{\pm} = \frac{1}{2} (H_x \mp iH_y) = (\alpha P_y - \beta P_x) \mp i(\beta P_y - \alpha P_x)$$

Consider a quantum dot orbiting in a closed path in the plane of 2DEG



$$P = \frac{d\vec{R}}{dt}, \quad R_x = R_0 \cos \omega t, \quad R_y = R_0 \sin \omega t$$

$$P_x = -R_0 m \omega \sin \omega t$$

$$P_y = R_0 m \omega \cos \omega t$$

$$H_{\pm} = (\alpha P_y - \beta P_x) \mp i(\beta P_y - \alpha P_x)$$

$$H_{\pm} = R_0 m \omega (\alpha e^{\mp i \omega t} \mp \beta e^{\pm i \omega t})$$

Pablo San-Jose et al, *Phys. Rev. B* 77, 045305 (2008)

**Non-Abelian unitary transformation** can be written as

$$\hat{U}_{ad}(t) = T \exp\left(-\frac{i}{\hbar} \int_0^t H_{so}(t') dt'\right) \quad \text{where} \quad H_{so} = H_+ S_+ + H_- S_-$$

The propagator can be expanded by the method based on **Feynman disentangling technique**

$$\hat{U}_{ad}(t_0) = \exp(a\hat{S}_+) \exp(b\hat{S}_0) \exp(c\hat{S}_-)$$

$$S'_\mu = \exp\left[\frac{i}{\hbar} \hat{S}_+ \int_{t_1}^{t_2} x(t') dt'\right] S_\mu \exp\left[-\frac{i}{\hbar} \hat{S}_+ \int_{t_1}^{t_2} x(t') dt'\right]$$

$$a(t') = -\frac{i}{\hbar} \int_{t_1}^{t_2} x(t') dt'$$

*S. Prabhakar, J. E. Reynolds and A. Inomata, and R. Melnik; Phys. Rev. B 82, 195306 (2010); Also see V. S. Popov, Sov. Phys. JETP 35, 687 (1959); R. P. Feynman, Phys. Rev. 84, 108 (1951).*

**Result:**

• *Based on the Feynman disentangling technique, the evolution operator for spin propagator was solved exactly for three different cases:*

- *Pure Rashba case*
- *Pure Dresselhaus Case*
- *Equal strength of Rashba and Dresselhaus spin-orbit coupling*

$$U_{ad}(t,0) = \begin{pmatrix} e^{b/2} + ac e^{-b/2} & a e^{-b/2} \\ c e^{-b/2} & e^{-b/2} \end{pmatrix}$$

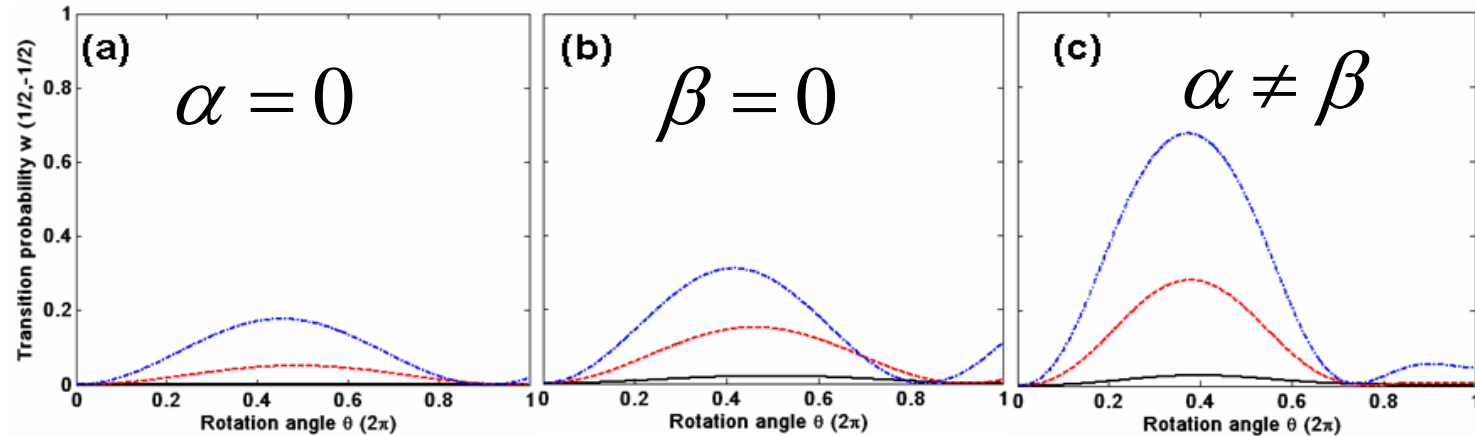
$$a(t) = \frac{i-1}{\sqrt{2}} \frac{e^\phi - 1}{e^\phi + 1} \quad \phi = \pm 2\sqrt{2}iR_0\alpha (1 + \sin \omega t - \cos \omega t)$$

$$e^{b(t)} = \frac{4 e^{\phi \mp i 2\sqrt{2}R_0\alpha}}{(1 + e^\phi)^2} \quad c(t) = \frac{\sqrt{2}(1+i)}{1 + e^{\pm i 2\sqrt{2}R_0\alpha}} \frac{1 - e^{\phi \mp i 2\sqrt{2}R_0\alpha}}{e^\phi + 1}$$

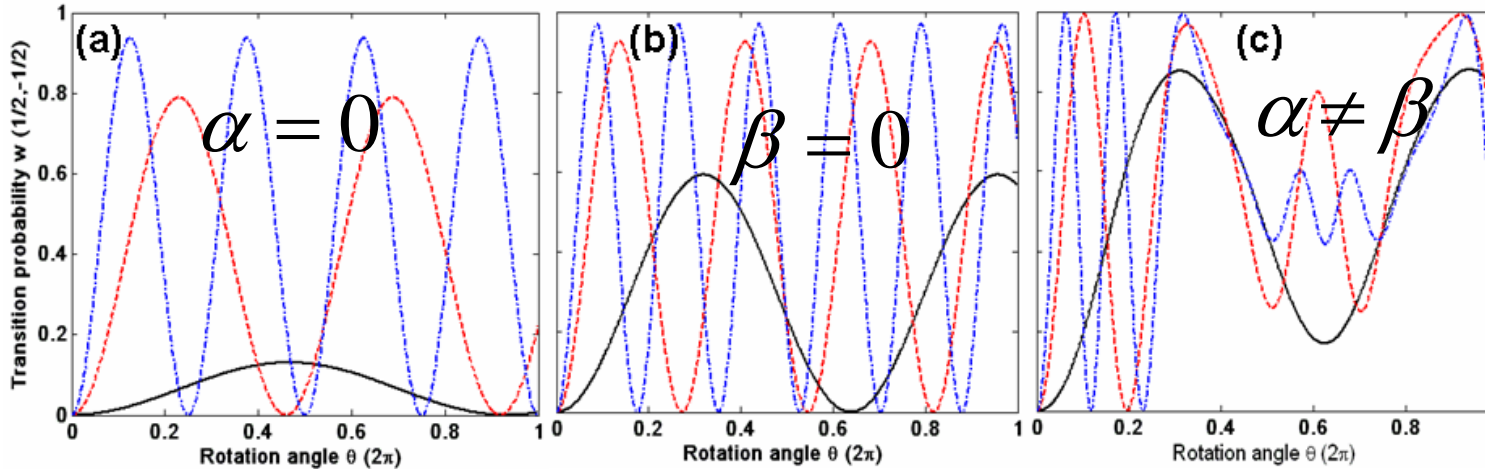


# A single function needed to calculate the transition probability of Electron Spin

$$W_{-1/2,1/2} = |a|^2 \left(1 + |a|^2\right)^{-1} \quad E = (1, 5, 10) \times 10^5 \text{ V / cm}$$



$R_0 = 60 \text{ nm}$



$R_0 = 500 \text{ nm}$

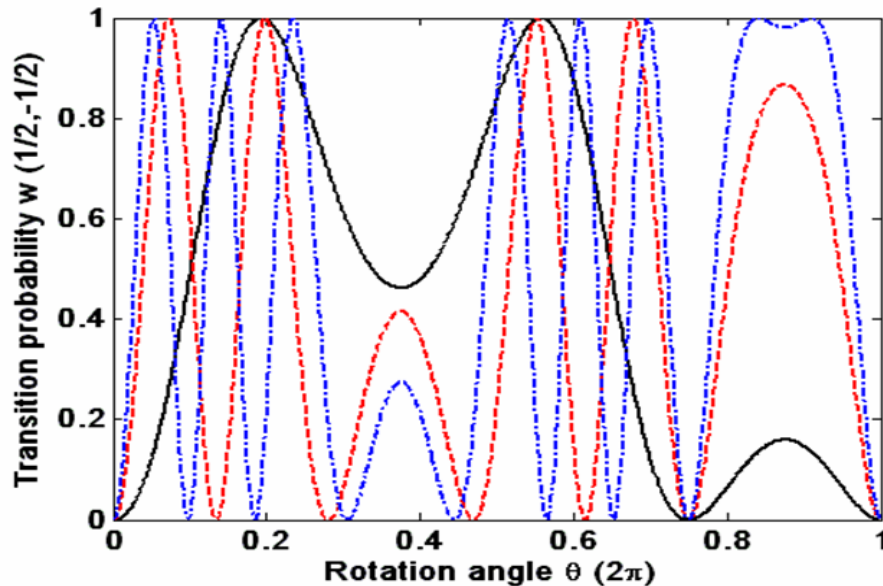
**Exact Non-Abelian unitary transformation and Transition Probability of Electron Spin were found for equal strength of Rashba and Dresselhaus spin orbit coupling**

• **Exact Analytical Expression of the coefficient 'a' can be found as**

$$a(\tau) = \frac{e^{-i\theta} - i e^{i\theta}}{\sqrt{2} [1 + \sin 2\theta]^{1/2}} \frac{1 - e^{i\phi}}{1 + e^{i\phi}}, \quad \text{where } \phi = \frac{\sqrt{2} m^* R_0 \alpha}{\hbar} (\sin \theta - \cos \theta + 1)$$

• **Transition probability of electron spin can be found as**

$$w_{-1/2,1/2} = |a|^2 (1 + |a|^2)^{-1} = \sin^2 \left[ \frac{m^* R_0 \alpha}{\hbar \sqrt{2}} (\sin \theta - \cos \theta + 1) \right]$$



• **Orbit radius = 60, 175, 250 nm**

• **Rashba and Dresselhaus spin-orbit interaction becomes equal at**

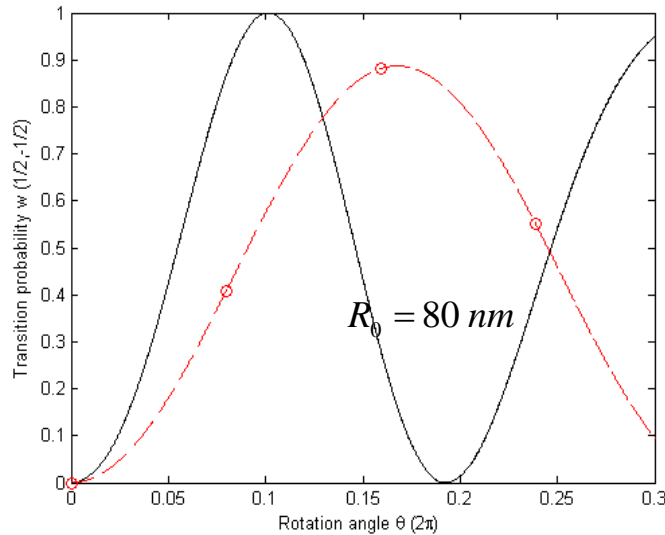
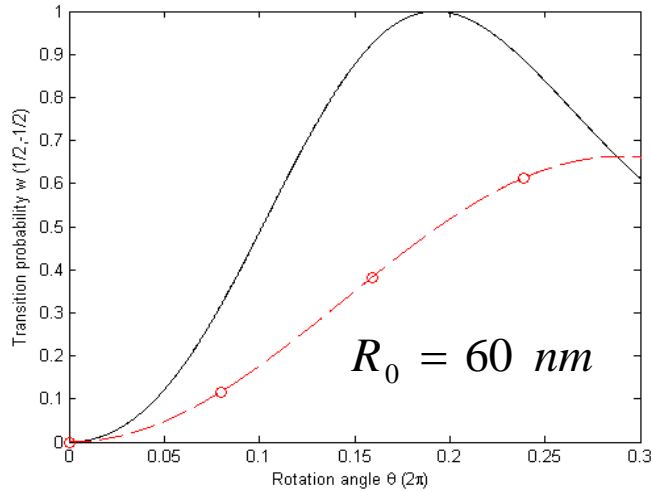
$$E = 3.02 \times 10^6 \text{ V/cm}$$

S. Prabhakar, J. E. Reynolds and A. Inomata, and R. Melnik; Phys. Rev. B 82, 195306 (2010)

## Diffusion length and persistent spin-helix

### •Rashba and Dresselhaus spin orbit

interaction becomes equal at  $E = 3.02 \times 10^6 \text{ V/cm}$



•Solid Line (Black) is for equal strength of Rashba and Dresselhaus spin-orbit coupling

•Dashed open circles (red) is for either Rashba or Dresselhaus spin-orbit coupling

$$w_{-1/2,1/2} = \sin^2 \left[ \frac{m^* R_0 \alpha}{\hbar \sqrt{2}} (\sin \theta - \cos \theta + 1) \right]$$

$$\theta = \varepsilon \approx 0 \text{ or, } \theta = \frac{3\pi}{2} - \varepsilon \quad \sin \theta - \cos \theta + 1 \approx \varepsilon$$

$$w_{-1/2,1/2} = \sin^2 \left\{ \sqrt{2} \alpha R_0 \varepsilon \right\}$$

$$0 \leq \sqrt{2} R_0 \alpha \varepsilon \leq \pi/2$$

Spin diffusion length  $L_s = \frac{R_0 \varepsilon}{\pi} = \frac{1}{2\sqrt{2} \alpha}$

$$\alpha = \frac{\alpha_R e E}{\hbar} \text{ for GaAs, } \alpha_R = 0.044 \text{ nm}^2$$

S. Prabhakar, J. E. Reynolds and A. Inomata, and R. Melnik,

Phys. Rev. B 82, 195306 (2010); Koralek et al, Nature 458,610 (2009) **(19)**

## Conclusions

- ***Adiabatic control of spin states through geometric phase has been proposed.***
- ***Exact analytical solution of the evolution operator for spin propagator and transition probability has been found.***
- ***It was found that spin-orbit interaction enhances the electron spin transition probability.***
- ***Spin diffusion length for equal strength of Rashba and Dresselhaus spin-orbit coupling has been found through the application of geometric phase.***

***Funding Agency: This work is supported by Canada Research Chair Program and NSERC.***

- ***S. Prabhakar, J Raynolds, A. Inomata, R. Melnik, Phys. Rev. B, 82, 195306 (2010).***
- ***S. Prabhakar, R. Melnik, J. Appl. Phys., 108, 064330 (2010).***
- ***S. Prabhakar and J. Raynolds, Phys. Rev. B, 79, 195307 (2009).***