

Wireless network coding over finite rings

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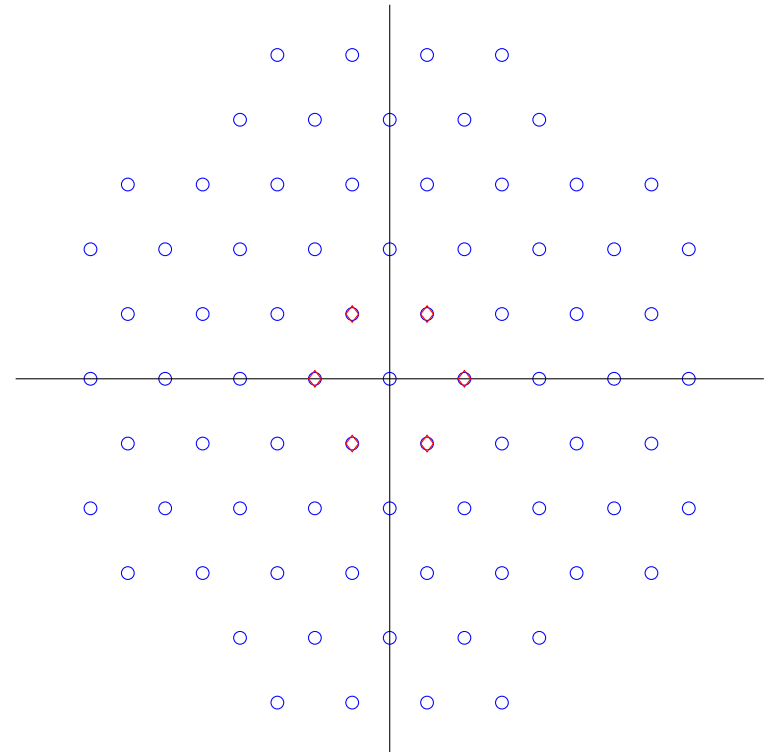
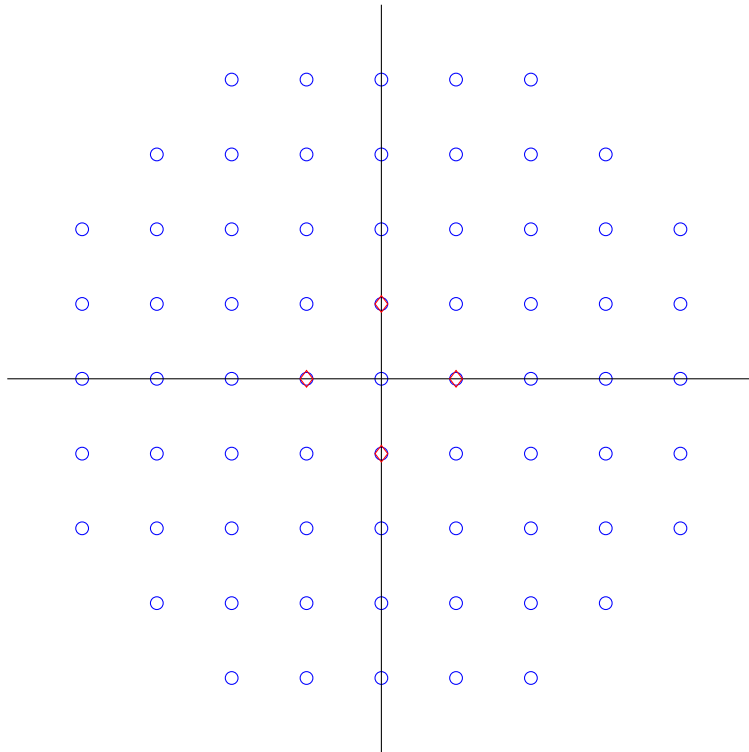
Algebraic Structure in Network Information Theory

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Motivation

- ▶ Mathematicians love prime numbers p and engineers love 2^m
- ▶ **Bit labeling** is a problem with p (loss of rate and additional complexity)
- ▶ Linear codes (n, k) over \mathbf{F}_p can be mapped by Construction A to a lattice Λ and by working mod p to a subset of $(p\text{-PAM})^n$ finite constellation
- ▶ In lattice network coding $+$ and $\times \pmod{p}$ operations provide the natural operations for $p\text{-PAM} \pmod{p}$ constellations and we use the fact that the ring \mathbf{Z}_p is equivalent to the field \mathbf{F}_p .
- ▶ Feng, Silva, and Kschischang, (2010-2011) have shown how to construct lattice network codes by concatenating linear codes over \mathbf{F}_p with a finite 2D constellation with p points.
- ▶ Narayanan (2011) has shown how to improve the shaping of the p and p^2 point 2D constellations.

The infinite rings $\mathbf{Z}[i]$ and $\mathbf{Z}[\omega]$



- ▶ Basis: $\{1, \theta\}$ where $\theta = i = \sqrt{-1}$ or $\theta = \omega = e^{i2\pi/3}$
- ▶ Elements: $\{a + b\theta : a, b \in \mathbf{Z}\}$
- ▶ Units: $\{\pm 1, \pm i\}$ $\{\pm 1, \pm \omega, \pm \omega^*, \}$

Motivation Cont'd

- ▶ To have almost always **invertible network equations** we need large p
- ▶ An invertible matrix A over a ring R must have

$$\frac{1}{\det(A)} \in R$$

- ▶ Often rings have few invertible elements (**units of R**) hence we have a very limited choice for the network equations.
- ▶ We need more freedom so we need to put in more units.
- ▶ This can be effectively achieved by working in **finite rings** where the integers are taken mod 2^m

$$R = \mathbf{Z}_{2^m}[\theta] = \{a + \theta b \mid a, b \in \mathbf{Z}_{2^m}\}$$

The Gaussian integers mod 2^m

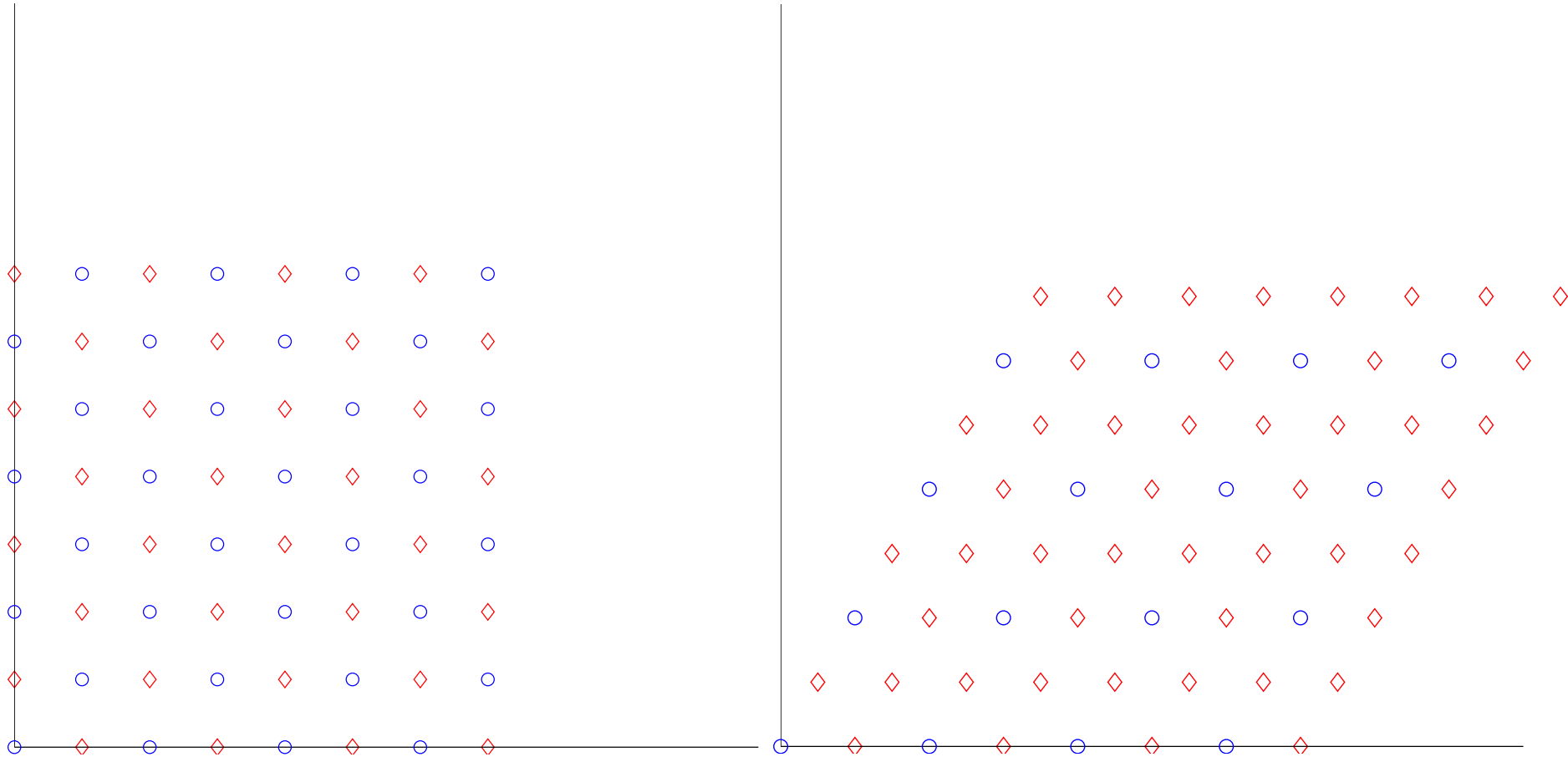
Problem: Build a large set of invertible matrices over the finite ring

$$R = \mathbf{Z}_{2^m}[i] = \{a + ib \mid a, b \in \mathbf{Z}_{2^m}\}$$

- ▶ Units of R : $R^* = \mathbf{Z}_{2^m}[i] = \{a + ib \mid a, b \in \mathbf{Z}_{2^m}, a + b = 1 \pmod{2^m}\} = D_2 + (1, 0) \cap \mathcal{B}$
- ▶ Non units $\bar{R} = \mathbf{Z}_{2^m}[i] = \{a + ib \mid a, b \in \mathbf{Z}_{2^m}, a + b = 0 \pmod{2^m}\} = D_2 \cap \mathcal{B}$
- ▶ Properties:
 - ▷ $\bar{R} + \bar{R} = \bar{R}$
 - ▷ $R^* + R^* = \bar{R}$
 - ▷ $R^* + \bar{R} = \bar{R}$
 - ▷ $\bar{R}\bar{R} = \bar{R}$
 - ▷ $R^*R^* = R^*$
 - ▷ $R^*\bar{R} = \bar{R}$
- ▶ **A possible solution:** the matrix $A = (a_{ij})$ is invertible if $a_{ii} \in R^*$ and $a_{ij} \in \bar{R}$.

What is this? can it be improved/generalized to Eisenstein integers or even quaternions?

The finite rings



- ▶ Red diamonds are the units R^*
- ▶ Blue circles are non-invertible elements \bar{R}

Commutative rings

A *commutative ring* R is a set closed under two binary operations, addition and multiplication such that

1. R is an Abelian group under addition
 2. $ab = ba$ for all $a, b \in R$ (*commutativity*)
 3. $a(bc) = (ab)c$ for all $a, b, c \in R$ (*associativity*)
 4. there exists a element $1 \in R$ such that $1a = a$ for all $a \in R$ (*identity element*)
 5. $a(b + c) = ab + ac$ for all $a, b, c \in R$ (*distributivity*)
- ▶ Examples of rings: \mathbf{Z} , $\mathbf{Z}[i]$
 - ▶ These are not rings: $2\mathbf{Z} + 1$, \mathbf{Z}^+

Ideals

An *ideal* in a commutative ring R is a subset I such that for all $a, b \in R$

1. $0 \in I$;
 2. if $a, b \in I$, then $a + b \in I$;
 3. if $a \in I$ and $r \in R$, then $ra \in I$.
- ▶ Examples of ideals: $2\mathbf{Z}$, $(1 + i)\mathbf{Z}[i]$
 - ▶ These are not ideals: $2\mathbf{Z} + 1$, \mathbf{Z}^+

Invertible elements in \mathbf{Z}_{2^m}

The group of units of \mathbf{Z}_{2^m} is

$$\{1, 3, 5, \dots, 2^m - 1\}$$

Proof

- ▶ Let $a \in \mathbf{Z}_{2^m}$. It is enough consider the modular equation to find the inverse element x

$$ax = 1 \pmod{2^m}$$

- ▶ This has a solution, if and only if we can solve

$$ax - 2^m q = 1$$

for some integers x and q .

- ▶ It is well known that the above equation can be solved using the extended Euclidean algorithm if and only if $\text{GCD}(a, 2^m) = 1$ which is the case for all odd $a = 2k + 1$.
- ▶ Note that an even $a = 2k$ will have $\text{GCD}(a, 2^m) \geq 2$.

Group of units of R

The group of units of R is given by

$$R^* = \{a + ib \mid a, b \in \mathbf{Z}_{2^m}, a + b = 1 \pmod{2}\}$$

and the non units form the maximal ideal

$$\bar{R} = \{a + ib \mid a, b \in \mathbf{Z}_{2^m}, a + b = 0 \pmod{2}\}$$

Proof

- ▶ Let $a + ib \in R$. It is enough to consider the inverse element

$$x = \frac{a - ib}{a^2 + b^2}$$

- ▶ This is in R iff $a^2 + b^2$ is invertible in \mathbf{Z}_{2^m} .
- ▶ This is true iff $a^2 + b^2 = 1 \pmod{2}$, which is equivalent to $a + b = 1 \pmod{2}$.
- ▶ To prove that \bar{R} is an ideal we consider 3) property of ideals.
- ▶ Let $a + ib \in \bar{R}$ and $x + iy \in R$ then by adding real and imaginary part of the product we get

$$(ax - by) + (bx + ay) = (a + b)x + (a - b)y = 0 \pmod{2}$$

since $a - b = 0 \pmod{2}$.

- ▶ Finally, since $R = R^* \cup \bar{R}$, \bar{R} is a *maximal ideal* of R , i.e. is not contained in any larger non trivial ideal of R .

More definitions

- ▶ Given the two rings R and S , a *ring homomorphism* is a mapping $\varphi : R \rightarrow S$ such that for all $a, b \in R$
 1. $\varphi(a + b) = \varphi(a) + \varphi(b)$
 2. $\varphi(ab) = \varphi(a)\varphi(b)$
 3. $\varphi(1) = 1$
- ▶ Given the two sided ideal I we define the *quotient ring* R/I where addition \oplus and multiplication \otimes are defined as

$$(a + I) \oplus (b + I) = ((a + b) + I)$$

$$(a + I) \otimes (b + I) = (ab + I)$$

where $a, b \in R$ and $'+'$ and \cdot are the operations in the ring R

- ▶ We define the *natural map* $\phi : R \rightarrow R/I$ as the ring homomorphism defined by $a \mapsto a + I$.

Mapping to \mathbb{F}_2

The quotient ring R/\bar{R} is isomorphic to the field \mathbb{F}_2 .

Proof – The image of the natural map $\phi : R \rightarrow R/\bar{R}$ is composed of two elements \bar{R} and R^* . By mapping

$$\bar{R} \mapsto 0$$

$$R^* \mapsto 1$$

the above properties provide the explicit addition and multiplication tables of $\mathbb{F}_2 = \{0, 1\}$.

Alternatively, the proof is a direct application on the quotient of a commutative ring by a maximal ideal.

The invertible matrices

The matrices $A = (a_{ij})$ with $a_{ii} \in R^*$ and $a_{ij} \in \bar{R}$ $i \neq j$ are invertible in the ring of matrices $\mathcal{M}_n(R)$ with coefficients in R .

Proof – It is enough to show that $\det(A) \in R^*$, i.e., it has an inverse in R .

Extending the natural map ϕ we define the the matrix ring homomorphism

$$\Phi : \mathcal{M}_n(R) \rightarrow \mathcal{M}_n(\mathbf{F}_2).$$

All the matrices A are mapped to the identity matrix I in $\mathcal{M}_n(\mathbf{F}_2)$, which is invertible in \mathbf{F}_2 .

Using the properties of ring homomorphisms in the Leibniz formula for the determinant

$$\det(A) = \sum_{\pi \in S_n} \text{sgn}(\pi) \prod_{i=1}^n a_{i,\pi(i)}$$

we have

$$\phi(\det(A)) = \det(\Phi(A)) = \det(I) = 1$$

which implies that that $\det(A) \in R^*$.

More invertible matrices

More invertible matrices can be obtained by applying the inverse map Φ^{-1} to any binary invertible matrix.

$$\begin{pmatrix} R^* & \bar{R} & \bar{R} \\ \bar{R} & R^* & \bar{R} \\ \bar{R} & \bar{R} & R^* \end{pmatrix} \quad \begin{pmatrix} \bar{R} & \bar{R} & R^* \\ R^* & \bar{R} & \bar{R} \\ \bar{R} & R^* & \bar{R} \end{pmatrix} \quad \begin{pmatrix} R^* & \bar{R} & \bar{R} \\ \bar{R} & \bar{R} & R^* \\ \bar{R} & R^* & \bar{R} \end{pmatrix} \quad \dots$$

"Disquisitiones"

- ▶ We have made the engineers happy with 2^m .
- ▶ We can still generate many invertible network equations, which quantize the channel well.
- ▶ We do not rely on large field and randomness.
- ▶ In physical layer network coding we need a ring structure because of the multiplicative effect of the channel.
- ▶ The field structure is often used because we know a lot about codes over fields ...
- ▶ ... but the code over the field is not usually easy to match to a finite constellation: Hamming distance or Lee distance is not matched to Euclidean distance
- ▶ Using the ring structure we do not need to go through a linear code over a field and we are allowed to take any lattice that we like, as for BCM and set-partitioning.
- ▶ With ring codes we can work with channels that are **ring homomorphisms** transformations of the input ring.

