

# Erdős-Ko-Rado problems in polar spaces

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(joint work with Valentina Pepe and Leo Storme)

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## Outline

- Introduction of EKR problem in polar spaces
- Approach using algebraic graph theory
- Exceptional cases
- Extras: Open problems & other ideas

## Constructing classical polar spaces

- Consider  $V(n, q)$  and a non-singular quadratic, alternating or Hermitian form  $f$ .
- A subspace is *totally isotropic (t.i.)* if  $f$  vanishes on it.
- Consider all totally isotropic subspaces, and let  $\mathcal{L}$  be incident if one strictly includes the other.
- This incidence structure is a *classical polar space*, with *rank* = maximal dimension  $d$  of the t.i. subspaces.

## Particular types of objects

- *points*: totally isotropic 1-spaces
- *lines*: totally isotropic 2-spaces
- *maximals*: totally isotropic  $d$ -spaces

## Types of classical finite polar spaces

Polar space has parameters  $(s, t) = (s, s^e)$  if:

- every line contains  $s + 1$  points
- every t.i.  $(d - 1)$ -space is in exactly  $t + 1$  maximals

		$(s, t)$	$e$
$Q^+(2d - 1, q)$	$D_d(q)$	$(q, 1)$	0
$H(2d - 1, q^2)$	${}^2A_{2d-1}(q)$	$(q^2, q)$	1/2
$Q(2d, q)$	$B_d(q)$	$(q, q)$	1
$W(2d - 1, q)$	$C_d(q)$	$(q, q)$	1
$H(2d, q^2)$	${}^2A_{2d}(q)$	$(q^2, q^3)$	3/2
$Q^-(2d + 1, q)$	${}^2D_{d+1}(q)$	$(q, q^2)$	2

*Erdős-Ko-Rado* or *EKR set of maximals* =  
 set of maximal t.i. subspaces pairwise intersecting non-trivially

- 1 How large can an *EKR* set be?
- 2 If is that large, how is it constructed?

Good candidate

Point-pencil: set of maximals through fixed isotropic 1-space (=point) !

## Related graphs

- Original EKR problem for subsets  $\implies$  Johnson graph
- EKR for subspaces  $\implies$  Grassmann graph
- EKR for polar spaces  $\implies$  dual polar graph!

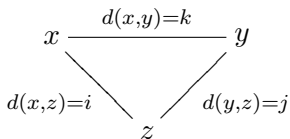
## Dual polar graph

Consider polar space of rank  $d$  with parameters  $(q, q^e)$ :

- vertices: maximals (t.i.  $d$ -spaces)
- adjacency: when intersection is  $(d - 1)$ -space

### Some properties

- number of vertices:  $(q^e + 1) \cdots (q^{d-1+e} + 1)$ , valency:  $q^e \left( \frac{q^d - 1}{q - 1} \right)$
- two  $d$ -spaces are at distance  $i \iff \dim(\pi \cap \pi') = d - i$
- $\Gamma$  has diameter  $d$  and is *distance-regular*: if  $d(x, y) = k$  then  $\# z$  with  $d(x, z) = i, d(y, z) = j$  is constant  $p_{ij}^k$ :



## Some properties (continued)

Consider polar space of rank  $d$  with parameters  $(q, q^e)$ :

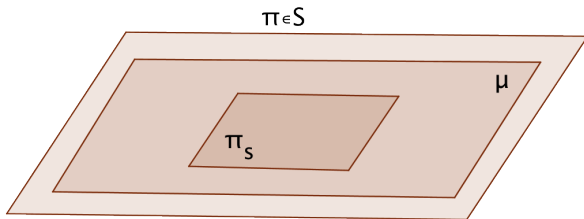
- Maximal clique of dual polar graph =  
all  $q^e + 1$  maximals through fixed  $(d - 1)$ -space



## Observations on maximal EKR set $S$

Consider polar space of rank  $d$  with parameters  $(q, q^e)$ :

- Each maximal clique has 0, 1 or all its  $q^e + 1$  elements in  $S$  (external, tangent or secant  $(d - 1)$ -spaces)
- Every  $\pi$  in  $S$  has  $s$ -dimensional subspace  $\pi_s$ , such that  $(d - 1)$ -space  $\mu$  in  $\pi$  is secant  $\iff \pi_s \subseteq \mu$ :



- $\pi \in S$  then has exactly  $q^e \binom{q^{d-s}-1}{q-1}$  neighbours in  $S$ .

Consider a polar space of rank  $d$  with parameters  $(q, q^e)$ , with set of maximals  $\Omega$ .

- For every  $i \in \{0, \dots, d\}$ : *adjacency matrix*  $A_i$  is  $(0, 1)$ -matrix with  $(A_i)_{\pi_1, \pi_2} = 1 \iff d(\pi_1, \pi_2) = i$ ,  $(A_i)_{\pi_1, \pi_2} = 0$  if not.
- There is a unique orthogonal decomposition

$$\mathbb{R}^\Omega = V_0 \perp \dots \perp V_d,$$

where  $V_j$  is an eigenspace for all  $A_i$ .

- eigenvalues of dual polar graph = eigenvalues of  $A_1$  :

$$q^e \left( \frac{q^{d-j} - 1}{q - 1} \right) - \frac{q^j - 1}{q - 1} \text{ for } V_j.$$

- For a subset  $S \subseteq \Omega$ : *characteristic vector*  $\chi_S$ :

$$\chi_S = (1, 1, 0, \dots, 1, 0, 1)^T,$$

with  $(\chi_S)_\omega = 1$  if  $\omega \in S$ ,  $(\chi_S)_\omega = 0$  if  $\omega \notin S$ .

In polar space of rank  $d$ :

EKR set of maximals  $S$

= set of pairwise non-trivially intersecting maximals

= set of vertices in dual polar graph  $\Gamma$ , no two at distance  $d$

= cliques of maximum distance relation w.r.t  $\Gamma$

Stanton (1980) used Hoffman's eigenvalue bound for  $|S|$

Equality  $\implies \chi_S$  is in the span of few eigenspaces!

For most types of polar spaces:

- Upper bound = size of point-pencil EKR set
- Equality  $\implies \chi_S \in (V_0 \perp V_1)$

Consider a non-empty subset  $S$  in any distance-regular graph  $\Gamma$ .

Width  $w$

$w$ : maximal distance between elements of  $S$

Dual width  $w^*$

If there is a  $Q$ -polynomial (“meaningful”) ordering of eigenspaces for  $\Gamma$ .

$$\mathbb{R}^\Omega = V_0 \perp \cdots \perp V_d$$

$w^*$ : minimal index for which:

$$\chi_S \in V_0 \perp \cdots \perp V_{w^*}.$$

EKR sets of maximals = subsets in dual polar graph with  $w < d$  !

## Known results

- Brouwer-Godsil-Koolen-Martin (2003):  
subsets with  $w + w^* = d$  yield induced subschemes
- Tanaka (2006):  
classification of all sets with  $w + w^* = d$  in dual polar graphs

## Some immediate consequences

In most polar spaces, if  $S$  has width  $w \leq d - 1$ :

- $|S| \leq$  size point-pencil construction,
  - equality  $\implies \chi_S \in V_0 \perp V_1$  (i.e. dual width  $w^* = 1$ ),
- $\implies$  EKR sets of maximum size = point-pencils !

## Hyperbolic quadric

Hyperbolic quadric  $D_d(q)/Q^+(2d-1, q)$  for even  $d$ 

- Upper bound for EKR set  $S = 2(q+1) \cdots (q^{d-2} + 1)$   
= size point-pencil construction
- equality  $\implies \chi_S \in V_0 \perp V_1 \perp V_{d-1}$
- but here the dual polar graph is bipartite!

## Solution: use half dual polar graph

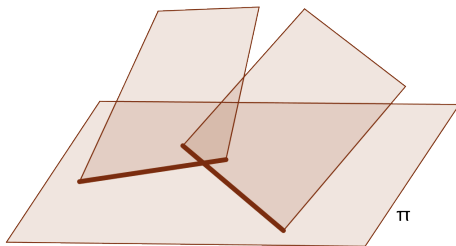
- set of vertices: one half
- adjacency: when at distance 2 in original graph
- distance-regular with diameter  $d' = d/2$

## New approach

- We look for EKR sets of size  $(q+1) \cdots (q^{d-2} + 1)$  in each half.
- Here they satisfy  $w + w^* = d'$  with  $w = 1$  and  $w^* = d' - 1$ .

## EKR sets of maximum size in one half of $D_d(q)/Q^+(2d-1, q)$

- $\forall \pi \in \mathcal{S}$ : we can count those in  $\mathcal{S}$  intersecting  $\pi$  in a 2-space (=line)
- Using  $w + w^* = \text{diameter} \implies$  the 2-spaces intersect non-trivially, and there are at least  $\frac{(q^{d-1}-1)(q^{d-2}-1)}{(q^2-1)(q-1)}$  such lines:



- Erdős-Ko-Rado for vector space  $V(d, q)$ :  
for  $d \geq 6$ : they are the lines through fixed 1-space (=point)

The symplectic space  $C_d(q)/W(2d-1, q)$  for odd  $d$

- Upper bound for  $|S|$ : size of a point-pencil but...
- equality  $\implies \chi_S \in V_0 \perp V_1 \perp V_d$
- Same parameters as parabolic quadric  $B_d(q)/Q(2d, q)$ , but not isomorphic for odd  $q$ ...

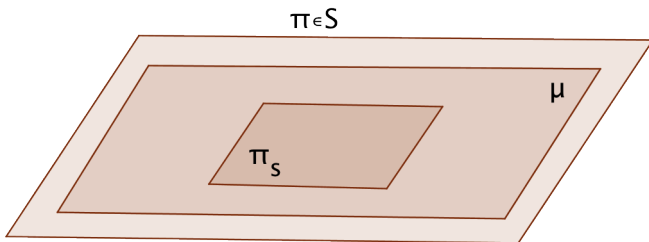
## Approach

- Eigenspace  $V_d =$   
kernel incidence matrix between  $(d-1)$ -spaces and  $d$ -spaces
- $\implies$  counting elements in  $S$  w.r.t  $(d-1)$ -spaces is easier
- similar ideas by Calderbank-Delsarte (1993) and Delsarte (2004)



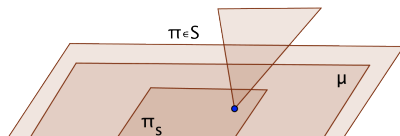
The symplectic space  $C_d(q)/W(2d-1, q)$  for odd  $d$

- Recall: every  $(d-1)$ -space has 0, 1 or  $q+1$  of the  $d$ -spaces through it in the maximal EKR set (external, tangent or secant)
- $\forall \pi \in S$ : there is an  $s$ -space  $\pi_s$  in all secant  $(d-1)$ -spaces in  $\pi$ .



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- counting w.r.t. to  $(d-1)$ -spaces  $\mu$ : secant  $(d-1)$ -space intersects exactly  $(q+1)q^{(d-2)(d+1)/2}$  element of  $S$  in just a 1-space
- algebraic property of  $\chi_S \implies \pi$  itself intersects exactly  $q^{d(d-1)/2-s+1} \binom{q^s-1}{q-1}$  elements of  $S$  in just a 1-space
- if  $0 \leq s \leq d-1$ , then a  $(d-1)$ -space  $\mu$  with  $\pi_s \subseteq \mu \subset \pi$  is secant, and every element of  $S$  intersecting  $\pi$  in 1-space also intersects  $\mu$  in 1-space:



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- $\forall \pi \in S$ : there is an  $s$ -space  $\pi_s$  in all secant  $(d-1)$ -spaces in  $\pi$ .
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- algebraic property of  $\chi_S \implies \pi$  itself intersects exactly  $q^{d(d-1)/2-s+1} \left( \frac{q^s-1}{q-1} \right)$  elements of  $S$  in just a 1-space
- if  $0 \leq s \leq d-1$ , then a  $(d-1)$ -space  $\mu$  with  $\pi_s \subseteq \mu \subset \pi$  is secant, and every element of  $S$  intersecting  $\pi$  in 1-space also intersects  $\mu$  in 1-space:

$$q^{d(d-1)/2-s+1} \left( \frac{q^s-1}{q-1} \right) \leq (q+1)q^{(d-2)(d+1)/2}$$

... or:  $0 \leq s \leq 2$ .

## Classification of EKR sets

(i.e. subsets of maximal totally isotropic subspaces,  
 pairwise intersecting non-trivially)  
 of maximum size in all polar spaces...  
 ...except for  ${}^2A_{2d-1}(q)/H(2d-1, q^2)$  for odd  $d \geq 5$ .

${}^2A_{2d-1}(q)/H(2d-1, q^2)$  for odd  $d$

- size point-pencil construction:  $|\Omega|/(q^{2d-1} + 1)$
- Hoffman bound: EKR set  $S$  satisfies  $|S| \leq |\Omega|/(q^d + 1)$ ,  
with equality iff  $\chi_S \in V_0 \perp V_d$

Small rank  $d$

- $d = 3$ : EKR set of maximum size:  
one 3-space + all those intersecting it in line (1-sphere in graph)
- $d = 5$ :  
size point-pencil  $\sim q^{16}$ ,  
Delsarte's linear programming bound:  $\sim q^{17}$ ,  
Hoffman bound:  $\sim q^{20}$

## Alternative approach to symplectic $C_d(q)/W(2d-1, q)$ for odd $d$

- Here EKR set  $S$  of maximum size satisfies:  $\chi_S \in V_0 \perp V_1 \perp V_d$
- *Ustimenko graph*:  
same vertices as dual polar graph  $C_d(q)/W(2d-1, q)$ ,  
adjacency: when at distance 1 or 2 in dual polar graph
- EKR set  $S$  of maximum size:  
sets with  $w^* = 1$  and  $w + w^* = \text{diameter}$  in Ustimenko graph
- Tanaka (2010): classified all sets with  $w + w^* = \text{diameter}$   
in 15 families of graphs, including Ustimenko graphs

## Alternative approach to symplectic $C_d(q)/W(2d-1, q)$ for odd $d$ ?

- From parameters  $B_d(q)/Q(2d, q)$  or  $C_d(q)/W(2d-1, q)$ :  
EKR set  $S$  of maximum size with no adjacent vertices  
all elements of  $S$  at even distance
- Construction exists for  $B_d(q)/Q(2d, q)$  with  $d$  odd,  
but how to prove that for odd  $q$  there is no analog in  
 $C_d(q)/W(2d-1, q)$ ?
- Suda (2010): “dual zero intervals”  $\implies S$  induces a scheme
- ...?

## More general problem

- Instead of demanding every two elements intersect non-trivially....  
*t-intersecting*: any two intersect in at least a  $t$ -space
- $t$ -intersecting set of maximal totally isotropic subspaces =  
set with no two at distance more than  $d - t$  in dual polar graph

## Linear programming bound

- Usually much higher than known constructions!
- In some cases only integer for few  $q$ !



# Thank you for your attention!

Slides (and more) on <http://cage.ugent.be/~fvanhove>