

HARISH-CHANDRA SERIES IN UNITARY GROUPS AND CRYSTAL GRAPHS

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**Global/Local Conjectures in Representation Theory of
Finite Groups**

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- 1 Harish-Chandra classification
- 2 A generalization
- 3 The conjectures

This is a joint project with many contributors, in particular

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LEVI SUBGROUPS OF FINITE GROUPS OF LIE TYPE

Let G be a finite group of Lie type; then G is a finite group with a split BN -pair, of characteristic p , say.

There is a distinguished class of subgroups of G , the **parabolic subgroups**.

A parabolic subgroup P has a **Levi decomposition** $P = LU$, where U is the **unipotent radical**, L a **Levi complement** of P .

Levi subgroups of G resemble G ; in particular, they are again groups of Lie type.

Later in this talk I will concentrate on the unitary groups.

THE GENERAL UNITARY GROUPS

Let $G = \mathrm{GU}_n(q) = \{A \in \mathrm{GL}_n(q^2) \mid A^{\mathrm{tr}} J \bar{A} = J\}$, with

$$J = \begin{bmatrix} & & & 1 \\ & & \cdot & \\ & & \cdot & \\ 1 & & & \end{bmatrix} \in \mathbb{F}_q^{n \times n}, \text{ and } \overline{[a_{ij}]} := [a_{ij}^q].$$

Let $r, m \in \mathbb{N}$ with $r + 2m = n$. Then

$$\left\{ \begin{bmatrix} A & & \\ & B & \\ & & A^\dagger \end{bmatrix} \mid A \in \mathrm{GL}_m(q^2), B \in \mathrm{GU}_r(q) \right\} \\ \cong \mathrm{GU}_r(q) \times \mathrm{GL}_m(q^2)$$

is a Levi subgroup of G (where $A^\dagger = J \bar{A}^{-\mathrm{tr}} J$).

Choosing all possible r, m with $r + 2m = n$, and in $\mathrm{GL}_m(q^2)$ all Levi subgroups, we obtain all Levi subgroups of G .

HARISH-CHANDRA INDUCTION

Let k be an algebraically closed field with $\text{char}(k) = \ell \neq p$
(this includes the case $\ell = 0$).

Let $L \leq G$ be a Levi subgroup, and $P \leq G$ parabolic with Levi complement L .

The functor

$$R_L^G : kL\text{-mod} \rightarrow kG\text{-mod}$$

$$Y \mapsto R_L^G(Y) := \text{Ind}_P^G(\text{Infl}_L^P(Y))$$

is called **Harish-Chandra induction** (or **parabolic induction**).

It is independent of the choice of P with Levi complement L
(Dipper-Du ('93), Howlett-Lehrer ('94)).

For $Y \in kL\text{-mod}$, we put $H(L, Y) := \text{End}_{kG}(R_L^G(Y))$ for the
Hecke algebra of the pair (L, Y) .

HARISH-CHANDRA CLASSIFICATION

A simple $X \in kG\text{-mod}$ is called **cuspidal**, if $X \not\cong R_L^G(Y)$ for all **proper** Levi subgroups $L \leq G$ and all $Y \in kL\text{-mod}$.

A **cuspidal pair** (L, Y) consists of a Levi subgroup $L \leq G$ and a cuspidal $Y \in kL\text{-mod}$.

Harish-Chandra theory yields the following classification.

THEOREM (HARISH-CHANDRA ('70), GECK-H.-MALLE ('96))

$$\{X \mid X \in kG\text{-mod simple}\} / \text{iso.}$$

$$\updownarrow$$

$$\left\{ (L, Y, \theta) \mid \begin{array}{l} (L, Y) \text{ a cuspidal pair} \\ \theta \in H(L, Y)\text{-mod simple} \end{array} \right\} / \text{conj.}$$

Let (L, Y) be a cuspidal pair.

$\mathcal{E}(G; L, Y) := \{X \leftrightarrow (L, Y, \theta) \mid \theta \in H(L, Y)\text{-mod simple}\} / \text{iso.}$
 is the **Harish-Chandra series** corresponding to (L, Y) .

UNIPOTENT MODULES

From now on assume that $G = \mathrm{GU}_n(q)$.

Restrict to unipotent kG -modules.

Distinguished set of simple kG -modules.

- ① $\mathrm{char}(k) = 0$
 - labelled by partitions of n (Lusztig-Srinivasan, '77)
 - write Y_λ for the unipotent kG -module labelled by $\lambda \vdash n$

- ② $\mathrm{char}(k) = \ell > 0$
 - ℓ -dec. matrix of the Y_λ s lower unitriangular (Geck, '91)
 - \rightsquigarrow labelling of unipotent kG -modules by partitions of n
 - write X_λ for the unipotent kG -module labelled by $\lambda \vdash n$
 - $\{Y_\lambda \mid \lambda \vdash n\}, \{X_\lambda \mid \lambda \vdash n\}$ unions of Harish-Chandra series

A NATURAL QUESTION

Given $\lambda \vdash n$, determine Harish-Chandra series of Y_λ and X_λ .

EXAMPLE (LUSZTIG ('77), FONG-SRINIVASAN, ('90))

- ① Y_λ is cuspidal if and only if $\lambda = \Delta_t := (t, t-1, \dots, 1)$ for some $0 \leq t \leq n$ with $|\Delta_t| \equiv n \pmod{2}$.
- ② Harish-Chandra series of Y_λ determined by $\lambda_{(2)}$ (2-core).
- ③ Given t with $|\Delta_t| \equiv n \pmod{2}$, let $r := |\Delta_t|$, $m = (n-r)/2$, put $(L, Y) = (\mathrm{GU}_r(q) \times \mathrm{GL}_1(q^2)^m, Y_{\Delta_t})$.
Then $H(L, Y) \cong \mathcal{H}_{k, q^{2t+1}, q^2}(B_m)$ (Iwahori-Hecke algebra).
Simple $H(L, Y)$ -modules labelled by bipartitions of m .
- ④ The bijection

$$\mathcal{E}(G; L, Y) \leftrightarrow \{ \theta \in H(L, Y)\text{-mod simple} \} / \text{iso.}$$

is given by the 2-quotient of a partition.

THE MODULAR CASE

Assume now that $\ell > 0$ and put

$$e := \min\{0 \neq i \in \mathbb{N} \mid \ell \text{ divides } (-q)^i - 1\}.$$

If e is **even**, division of $\{X_\lambda \mid \lambda \vdash n\}$ into Harish-Chandra series is known (Dipper-James ('86), Fong-Srinivasan ('89), Geck-H.-Malle ('94), Gruber-H. ('97)).

THEOREM (GECK-H.-MALLE, '96)

Suppose that $e = 1$, $\ell > n$ and let $\lambda, \mu \vdash n$. Then

- ① X_λ is cuspidal if and only if λ' is 2-regular.
- ② Write $\lambda = \lambda_1 + 2\lambda_2$ such that λ'_1 is 2-regular, similarly for μ . Then X_λ and X_μ are in the same Harish-Chandra series, if and only if $\lambda_1 = \mu_1$.

Want: Similar combinatorial description of Harish-Chandra series for **odd** $e > 1$.

EXAMPLE: $GU_7(q)$ (DUDAS-MALLE, '13)

7	1														
61	.	1													
52	1	.	1												
51 ²	.	.	.	1											
43	1										
421	1	1									
41 ³	1	.	1								
3 ² 1	.	.	.	1	.	.	.	1							
32 ²	1						
321 ²	.	.	1	1					
31 ⁴	1	.	1				
2 ³ 1	1	1			
2 ² 1 ³	1	.	1	.	.	1	1		
21 ⁵	1	
1 ⁷	.	.	1	1	.	2	1	.	1
	ps	21	ps	ps	21	1 ³	21	1 ³	ps	<i>B</i>	1 ³	c	1 ³	21	c

PURE LEVI SUBGROUPS

The Dynkin diagram of G equals



DEFINITION

A Levi subgroup of G is called *pure*, if it corresponds to a left connected subset of the Dynkin diagram of G .

A pure Levi subgroup L satisfies $L \cong \mathrm{GU}_r(q) \times \mathrm{GL}_1(q^2)^m$ for some $r, m \leq n$ with $r + 2m = n$.

REMARK

Let $L, M \leq G$ be pure Levi subgroups and let $x \in N$. Then

$$L^x \cap M$$

is a pure Levi subgroup.

HC-CLASSIFICATION WITH PURE LEVI SUBGROUPS

A simple $X \in kG\text{-mod}$ is called **weakly cuspidal**, if $X \not\cong R_L^G(Y)$ for all **proper pure** Levi subgroups $L \leq G$ and all $Y \in kL\text{-mod}$.

A **weak cuspidal pair** (L, Y) consists of a pure Levi subgroup $L \leq G$ and a weakly cuspidal $Y \in kL\text{-mod}$.

Harish-Chandra theory yields the following classification.

THEOREM (VARIOUS AUTHORS)

$$\begin{array}{c} \{X \mid X \in kG\text{-mod simple}\} / \text{iso.} \\ \updownarrow \\ \left\{ (L, Y, \theta) \mid \begin{array}{l} (L, Y) \text{ a weak cuspidal pair} \\ \theta \in H(L, Y)\text{-mod simple} \end{array} \right\} / \text{conj.} \end{array}$$

Let (L, Y) be a weak cuspidal pair.

$\mathcal{E}(G; L, Y) := \{X \leftrightarrow (L, Y, \theta) \mid \theta \in H(L, Y)\text{-mod simple}\} / \text{iso.}$
is the **weak Harish-Chandra series** corresponding to (L, Y) .

EXAMPLE: $GU_7(q)$ (DUDAS-MALLE, '13)

7	1														
61	.	1													
52	1	.	1												
51 ²	.	.	.	1											
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421	1	1									
41 ³	1	.	1								
3 ² 1	.	.	.	1	.	.	.	1							
32 ²	1						
321 ²	.	.	1	1					
31 ⁴	1	.	1				
2 ³ 1	1	1			
2 ² 1 ³	1	.	1	.	.	1	1		
21 ⁵	1	
1 ⁷	.	.	1	1	.	2	1	.	1
	ps	21	ps	ps	21	1 ³	21	1 ³	ps	<i>B</i>	1 ³	c	1 ³	21	c

THE HARISH-CHANDRA BRANCHING GRAPH

Let $\iota \in \{0, 1\}$.

The Harish-Chandra branching graph $\mathcal{B}_{\iota, \ell}$ has vertices

$$\{\lambda \vdash n \mid n \equiv \iota \pmod{2}\}.$$

There is a directed edge $\lambda \rightarrow \mu$ if and only if $\lambda \vdash n$ and $\mu \vdash n + 2$ for some $n \in \mathbb{N}$, and

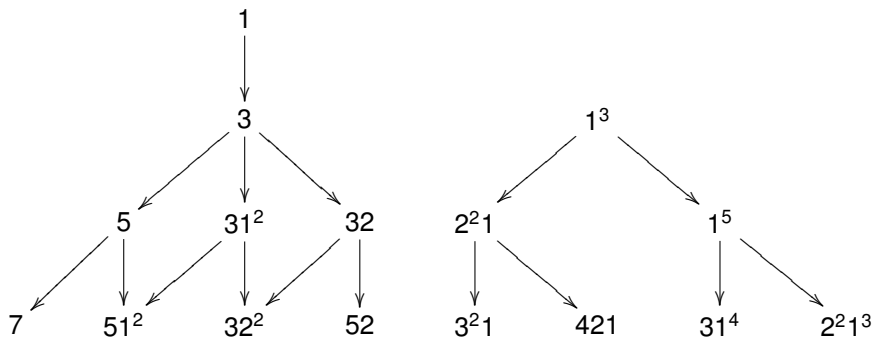
$$X_{\mu} \leq R_{\text{GU}_n(q)}^{\text{GU}_{n+2}(q)}(X_{\lambda}).$$

PROPOSITION

- ① *The root vertices of $\mathcal{B}_{\iota, \ell}$ correspond to the weak cuspidal pairs.*
- ② *Let κ be a root vertex in $\mathcal{B}_{\iota, \ell}$ and let λ be any vertex in $\mathcal{B}_{\iota, \ell}$. Then X_{λ} lies in the weak Harish-Chandra series of κ , if and only if there is a path from κ to λ in $\mathcal{B}_{\iota, \ell}$.*

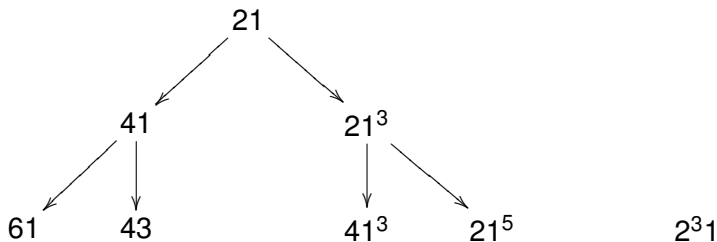
A TRUNCATED HARISH-CHANDRA BRANCHING GRAPH

Let $\iota = 1, \ell \mid q^2 - q + 1$ ($e = 3$), $n \leq 7$.



Two further root vertices: $1^7, 321^2$

A TRUNCATED HARISH-CHANDRA BRANCHING GRAPH, CONTINUED



THE FOCK SPACE (OF LEVEL 2)

Fix $\mathbf{c} = (c_1, c_2) \in \mathbb{Z}^2$ and $2 \leq e \in \mathbb{Z}$.

The Fock space (of level 2) and charge \mathbf{c} is the $\mathbb{Q}(v)$ -vector space

$$\mathcal{F}_{\mathbf{c},e} := \bigoplus_{m \in \mathbb{N}} \bigoplus_{\mu \vdash_2 m} \mathbb{Q}(v) |\mu, \mathbf{c}\rangle.$$

There is an action of the quantum group $\mathcal{U}'_v(\widehat{\mathfrak{sl}}_e)$ on $\mathcal{F}_{\mathbf{c},e}$, with:

- ① $\mathcal{F}_{\mathbf{c},e}$ is an integrable $\mathcal{U}'_v(\widehat{\mathfrak{sl}}_e)$ -module;
- ② $|\mu, \mathbf{c}\rangle$ is a weight vector for every $m \in \mathbb{N}$ and $\mu \vdash_2 m$;
- ③ $|\emptyset, \mathbf{c}\rangle$ is a highest weight vector and

$$\mathcal{U}'_v(\widehat{\mathfrak{sl}}_e) \cdot |\emptyset, \mathbf{c}\rangle \cong V(\Lambda(\mathbf{c})),$$

the simple highest weight module with weight $\Lambda(\mathbf{c})$
(computable from \mathbf{c}).

THE CRYSTAL GRAPH

There is a **crystal graph** $\mathcal{G}_{\mathbf{c},e}$, describing the canonical basis of the integrable $\mathcal{U}'_V(\widehat{\mathfrak{sl}}_e)$ -module $\mathcal{F}_{\mathbf{c},e}$.

The vertices of $\mathcal{G}_{\mathbf{c},e}$ are the highest weight vectors $|\mu, \mathbf{c}\rangle$, $m \in \mathbb{N}$, $\mu \vdash_2 m$.

There is a directed edge $|\mu, \mathbf{c}\rangle \rightarrow |\nu, \mathbf{c}\rangle$ if and only if ν is obtained from μ by adding a **good node**.

The notion of good addable node depends on e and \mathbf{c} .

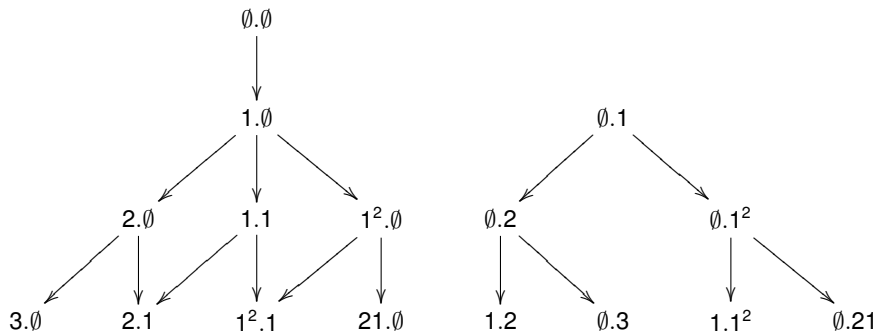
The good nodes of $|\mu, \mathbf{c}\rangle$ can be computed algorithmically.

Each connected component of $\mathcal{G}_{\mathbf{c},e}$ spans a simple highest weight module of $\mathcal{U}'_V(\widehat{\mathfrak{sl}}_e)$, whose highest weight vector is the unique root vertex of the component.

(Jimbo, Misra, Miwa, Okada ('91); Uglov ('99))

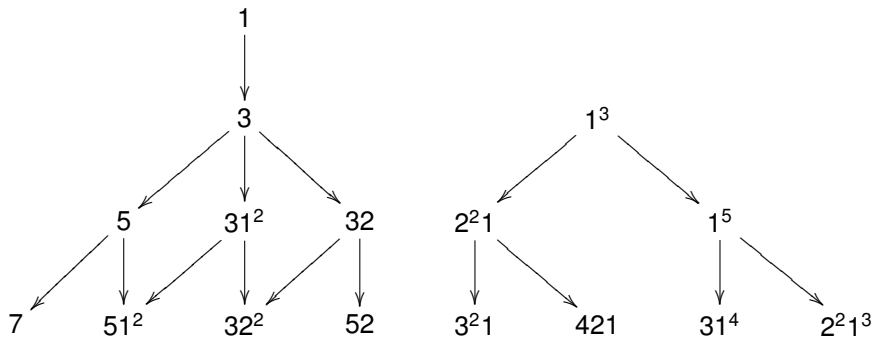
A TRUNCATED CRYSTAL GRAPH

Let $e = 3$, $\mathbf{c} = (0, 0)$.



Two further root vertices: 0.1^3 , $1^3.0$

A TRUNCATED HARISH-CHANDRA BRANCHING GRAPH



Two further root vertices: 1^7 , $3 2 1^2$

CONJECTURE I

Suppose that e is odd.

CONJECTURE I

Let $\lambda, \mu \vdash n$. If X_λ, X_μ lie in the same weak Harish-Chandra series, then λ and μ have the same 2-core.

In other words, Y_λ and Y_μ lie in the same (ordinary) Harish-Chandra series.

If Conjecture I is true, the weak Harish-Chandra series form a refinement of the ordinary Harish-Chandra series.

Let $t \in \mathbb{N}$ and let $\iota = |\Delta_t| \bmod 2 \in \{0, 1\}$ and let $\mathcal{B}_{\iota, \ell, t}$ denote the induced subgraph of $\mathcal{B}_{\iota, \ell}$ with vertices λ such that $\lambda_{(2)} = \Delta_t$.

If Conjecture I is true, $\mathcal{B}_{\iota, \ell, t}$ is a union of connected components of $\mathcal{B}_{\iota, \ell}$.

CONJECTURE II

Let $t \in \mathbb{N}$ and let $\iota = |\Delta_t| \bmod 2 \in \{0, 1\}$.

Let $\mathcal{B}'_{\iota, \ell, t}$ be obtained from $\mathcal{B}_{\iota, \ell, t}$ by replacing the labels λ by their 2-quotients.

CONJECTURE II

With the above notation, $\mathcal{B}'_{\iota, \ell, t}$ agrees with the crystal graph $\mathcal{G}_{\mathbf{c}, e}$ with $\mathbf{c} = (t + (1 - e)/2, 0)$ below rank ℓ , i.e. for vertices that correspond to partitions of $n < \ell$.

In particular, the root vertices of $\mathcal{G}_{\mathbf{c}, e}$ correspond to the weakly cuspidal $k\mathrm{GU}_n(q)$ -modules, if $n < \ell$,

and the vertices at distance m from a root vertex of $\mathcal{G}_{\mathbf{c}, e}$ label the modules in the weak Harish-Chandra series in $\mathrm{GU}_n(q)$ corresponding to this root vertex for $n = |\Delta_t| + 2m < \ell$.

Perhaps Conjecture II is true without the restrictions on n .

THE EVIDENCE

- ① The conjectures are true for $n \leq 10$ and $e \in 3, 5$ and $\ell > n$.
- ② The conjectures are true for $n = 12$, $e = 3$ and $\ell \geq 13$ (as far as I could compute the Harish-Chandra series).
- ③ The “In particular” part of Conjecture II is true for the connected component of $\mathcal{B}'_{\ell, \ell, t}$ containing Δ_t , provided $\ell \gg 0$ (Geck, Geck-Jacon, '06),
 i.e. the vertices at distance m from the root vertex are the same in both graphs.
 Either of these sets of vertices labels the simple modules of $\mathcal{H}_{k, q^{2t+1}, q^2}(B_m)$ for $m \in \mathbb{N}$.
- ④ Some consequences of the conjectures for $\mathcal{G}_{c, e}$ hold (e.g., X_{1n} is cuspidal, if and only if $e \mid n$ or $e \mid n - 1$).

CONJECTURE III

CONJECTURE III

Let $\lambda \vdash n$ such that X_λ is weakly cuspidal.

Then the e -core of λ is a 2-core.

Again, Conjecture III is true for $n \leq 10$.

It is also true for $\lambda \vdash n$ of e -weight 1.

PROPOSITION

Let $\lambda \vdash r$ such that X_λ is weakly cuspidal and such that the e -core of λ equals Δ_s for some $s \in \mathbb{N}$.

Let $n = r + 2m$, $G = \mathrm{GU}_n(q)$ and $L = \mathrm{GU}_r(q) \times \mathrm{GL}_1(q^2)^m$.

Then $H(L, X_\lambda) \cong \mathcal{H}_{k, q^{2s+1}, q^2}(B_m)$.

This gives a parametrization of the (L, X_λ) Harish-Chandra series by the simple modules of $\mathcal{H}_{k, q^{2s+1}, q^2}(B_m)$, i.e. by the connected component of $B'_{\iota, \ell, s}$ containing Δ_s .