

# Self-consistent perturbation theory for two dimensional twisted bilayers

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Coupled Mathematical Models for Physical and Biological Nanoscale Systems  
and Their Applications, BIRS- Banff, Canada

Perturbation theory for weakly coupled two-dimensional layers,  
Journal of Materials Research, 31 (07), 959-966 (2016).

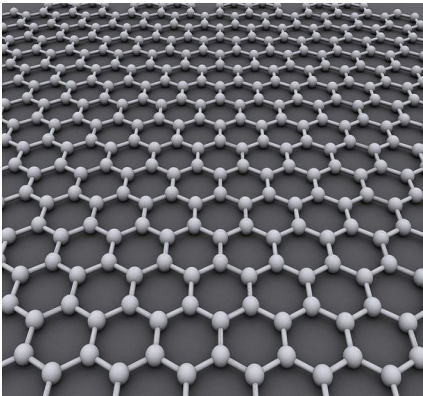


# 2D materials

- Class of materials merely a few atoms thick
  - Exhibit exotic/novel properties
- } Applications in solar cells, transistors, semiconductors

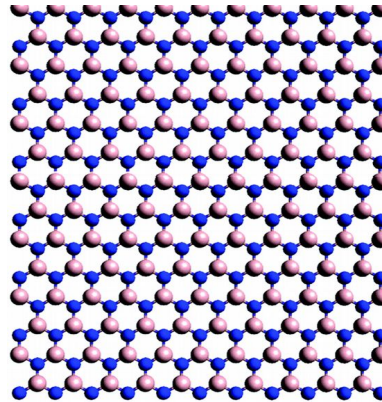
## Graphene Family

### Graphene



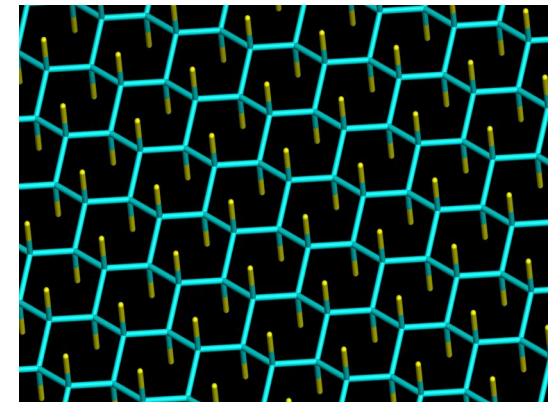
sp<sup>2</sup> hybridized single atom thick C sheet

### Hexagonal boron-nitride



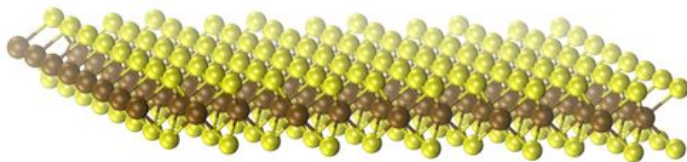
sp<sup>2</sup> hybridized B-N in graphene structure

### Fluorographene

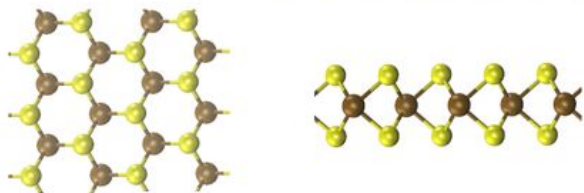


Fluorine saturated graphene

## Transition metal dichalcogenides family



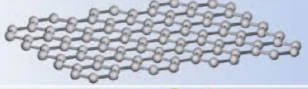

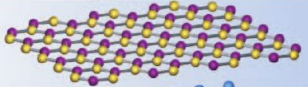

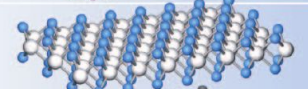

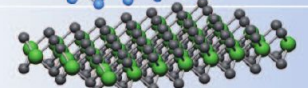
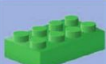
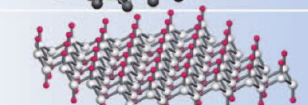

MoS<sub>2</sub>, WS<sub>2</sub>, MoSe<sub>2</sub>, WSe<sub>2</sub>: 3 atomic layers thick



Except graphene, all above materials are semiconducting/insulating

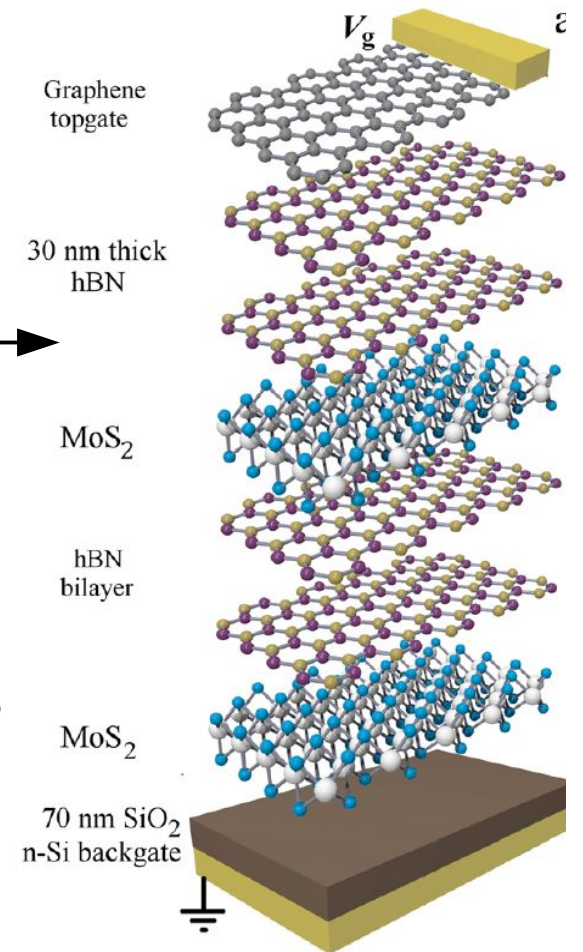
# Layered structures

A. K. Geim (doi:10.1038/nature12385)

	Graphene		Semi-metal
	hBN		insulator
	MoS <sub>2</sub>		Semi-conductor
	WSe <sub>2</sub>		Semi-conductor
	Fluorographene		insulator

2D layers  
as Lego blocks

Build designer  
heterostructures  
by stacking



weak interplanar bonding: van der Waals heterostructures

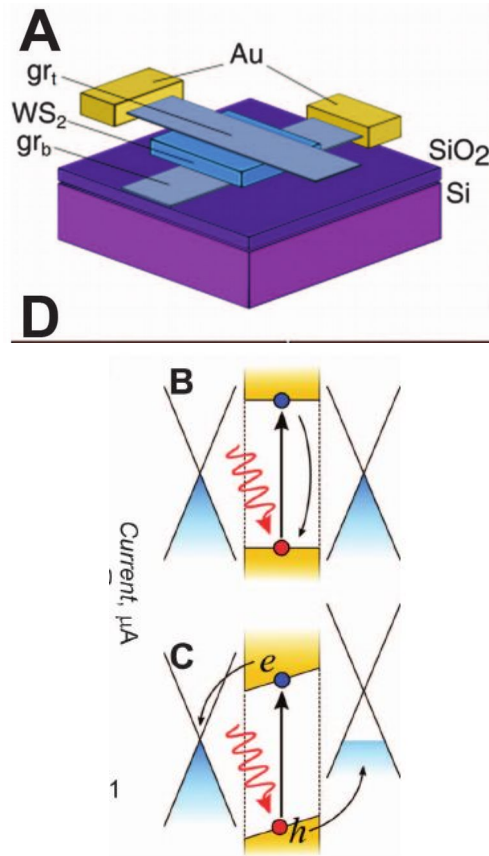
- Clean and atomically sharp interfaces
- Lattice mismatch
- Rotations between layers
- Stacking order

Unusual properties and new phenomena can be explored!

Calman *et. al.* APL 108, 101901 (2016)

# Applications and interesting phenomena

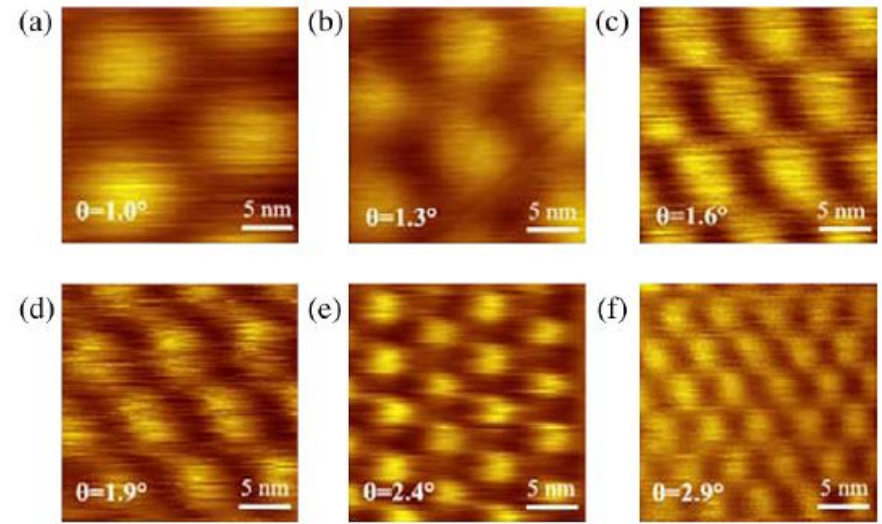
## Excitons: Photovoltaic device



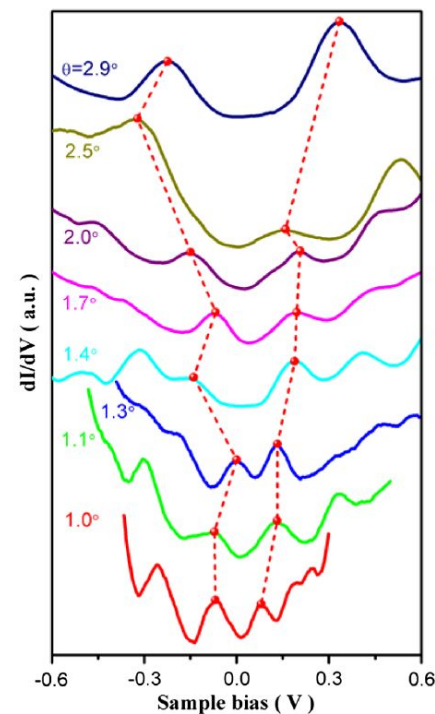
L. Britnell *et al* (K. S. Novoselov),  
Science 340, 1311 (2013)

- Ultra-thin and flexible
- 30% quantum efficiency

## Moire patterns: rotated layers



Yan *et. al.* PRL 109,  
126801 (2012)



## Bilayer graphene

- Fermi velocity renormalization
- Van Hove singularities
- Commensurate to incommensurate transition



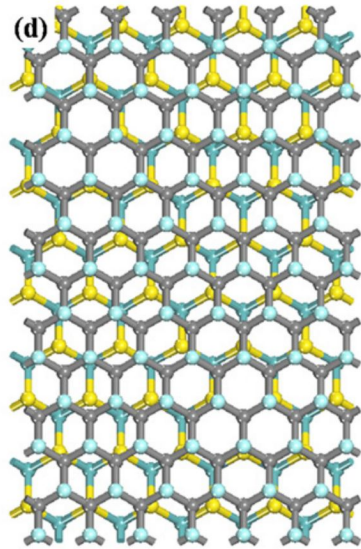
# Different structures due to layering

- **Stacking sequences** between layers: AA, AB, ABC, ...
- Incommensurate layers

$$\frac{a_1}{a_2} = \begin{cases} \text{Commensurate} & : \text{rational number} \\ \text{Incommensurate} & : \text{irrational number} \end{cases}$$

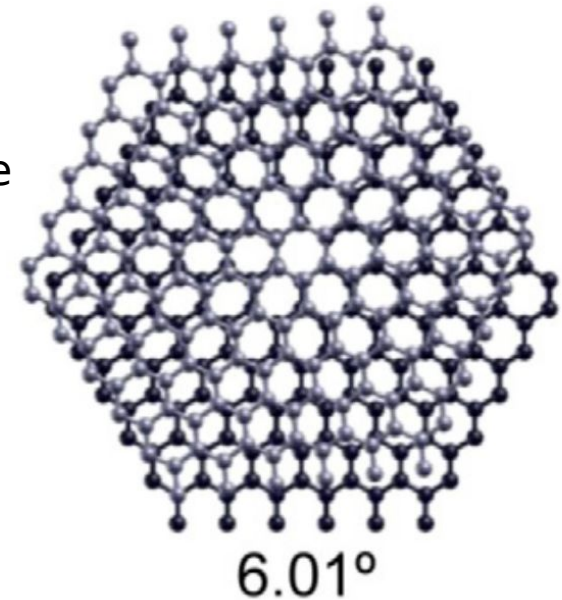
Rotation of layers

Fluorographene  
-MoS<sub>2</sub> bilayer



Lin-Feng Wang *et al*, Nanotechnology 25, 385701 (2014)

bilayer  
graphene

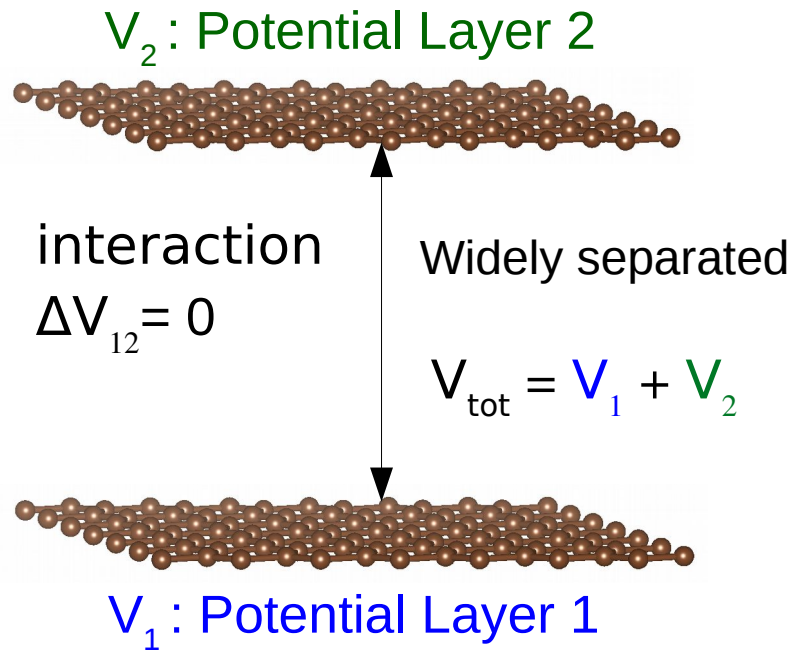


T G Mendes-de-Sa *et al*, Nanotechnology 23, 475602 (2012)

DFT simulations of **incommensurate/rotated** layers  
need **large and expensive supercell calcs.**

Can we circumvent  
full DFT calculations?

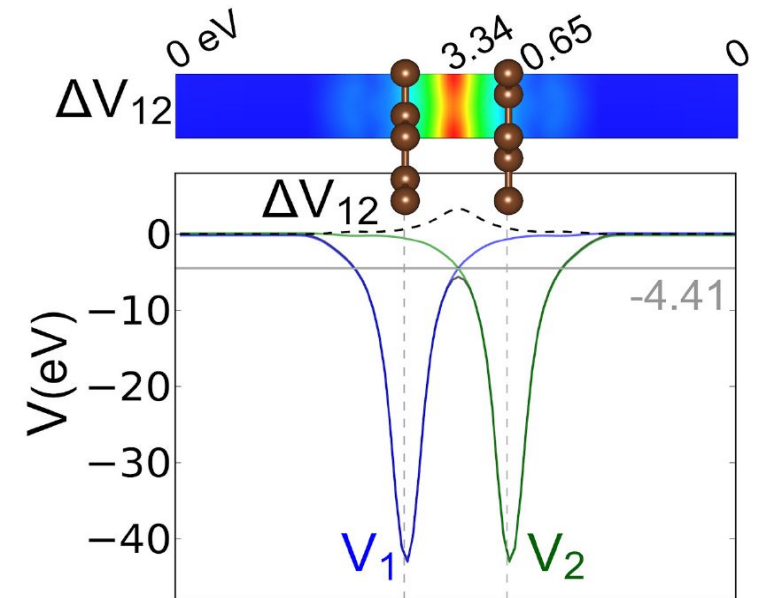
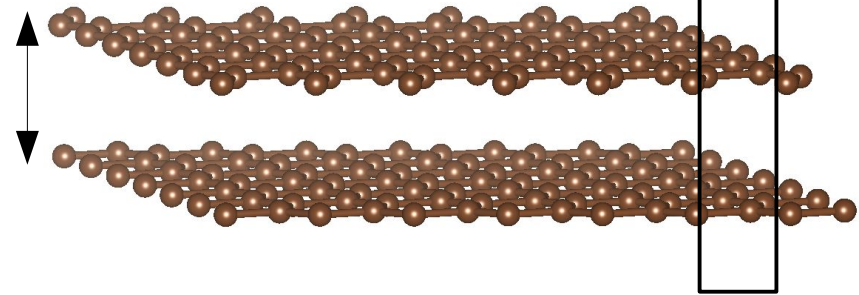
# What in DFT calcs. is expensive?



Bilayer

3.34 Å

$$V_{\text{tot}} = V_1 + V_2 + \Delta V_{12}$$



- $\Delta V_{12}$  required to get exact solution

- Needs full DFT calcs.

- Expensive for incommensurate / rotated structures

- Weakly interacting layers: **Apply perturbation theory!**

- Approximate / self-consistently determine  $\Delta V_{12}$

- $V_2 + \Delta V_{12}$  acts as perturbation on **layer 1** & vice versa

**No full DFT calcs. on supercell!**

# Model

- Holds for finite systems (commensurate/incommensurate)
- Wavefunction total system = Linear combination of individual layers

$$\psi_N^{(n)} = \sum_{m=1}^N c_{1,N}^{(n,m)} \psi_1^{(m)} + c_{2,N}^{(n,m)} \psi_2^{(m)},$$

- Solve the **generalized eigenvalue problem**

$$\mathcal{H}_N C_N^{(n)} = \epsilon_N^{(n)} S_N C_N^{(n)}.$$

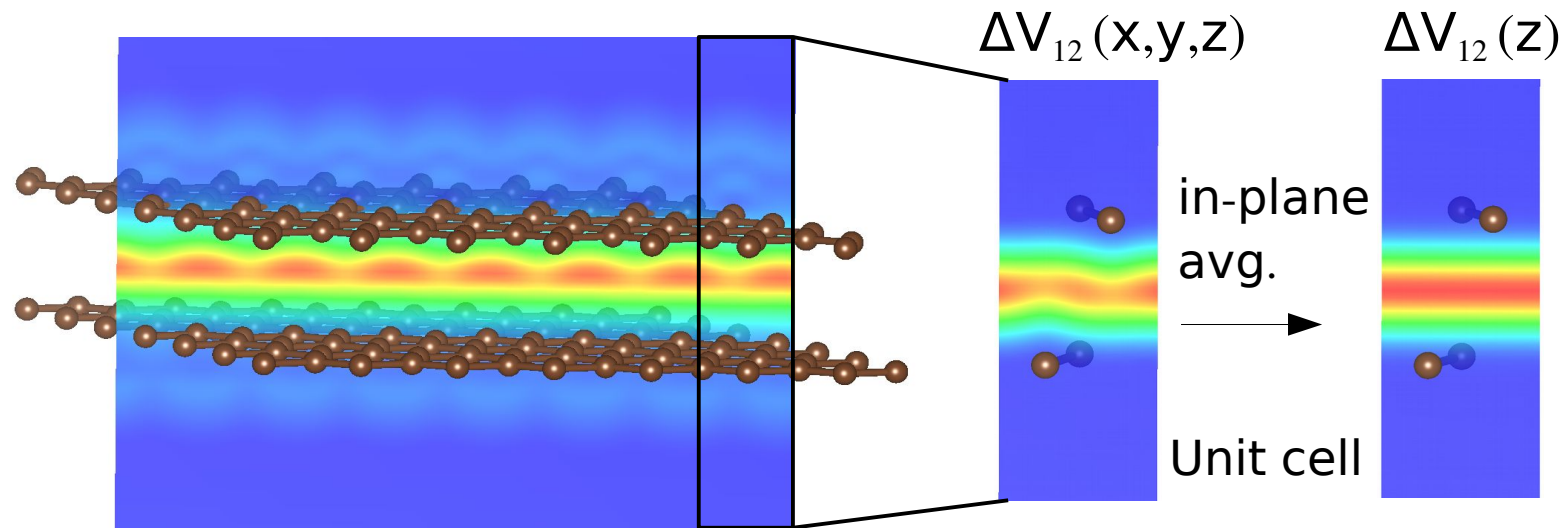
$$\mathcal{H}_N = \left[ \begin{array}{c|c} \mathbf{H}_{11} \text{ (intralayer)} & \mathbf{H}_{12} \text{ interlayer} \\ \hline \mathbf{H}_{12} \text{ interlayer} & \mathbf{H}_{22} \text{ (intralayer)} \end{array} \right]$$

isolated layer (unit cell) DFT calcs. are performed

**What is the form of  $\Delta V_{12}$ ?**

# Methodology

## 1) $\Delta V_{12}$ : in-plane avg.

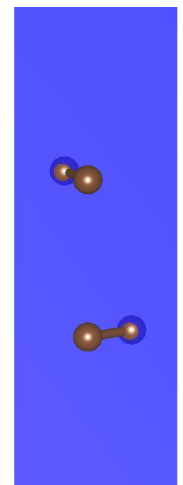


- $\Delta V_{12}(z)$ : constant in x and y
- Extend  $\Delta V_{12}(z)$  in-plane : rotated supercells
- Solve the eigenvalue problem once!

## 2) $\Delta V_{12}$ : self-consistent

- Begin with  $\Delta V_{12} = 0$
- No approximations!

$$\Delta V_{12} = 0$$



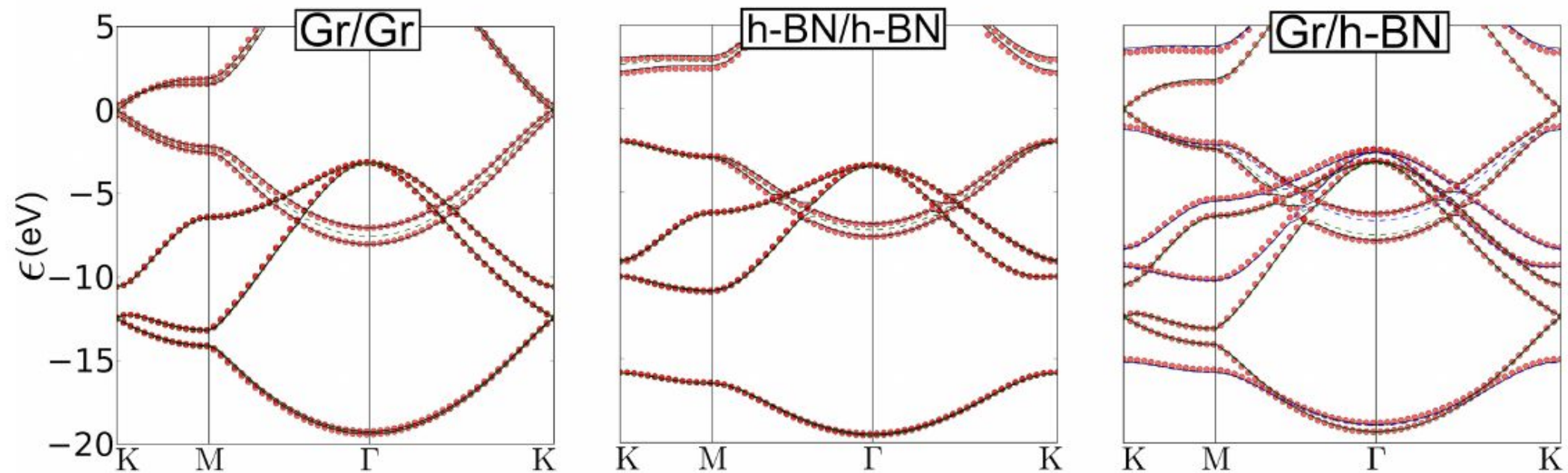
Apply the model to periodic commensurate structures



# 1) $\Delta V_{12}(\mathbf{z})$ : in-plane averaged

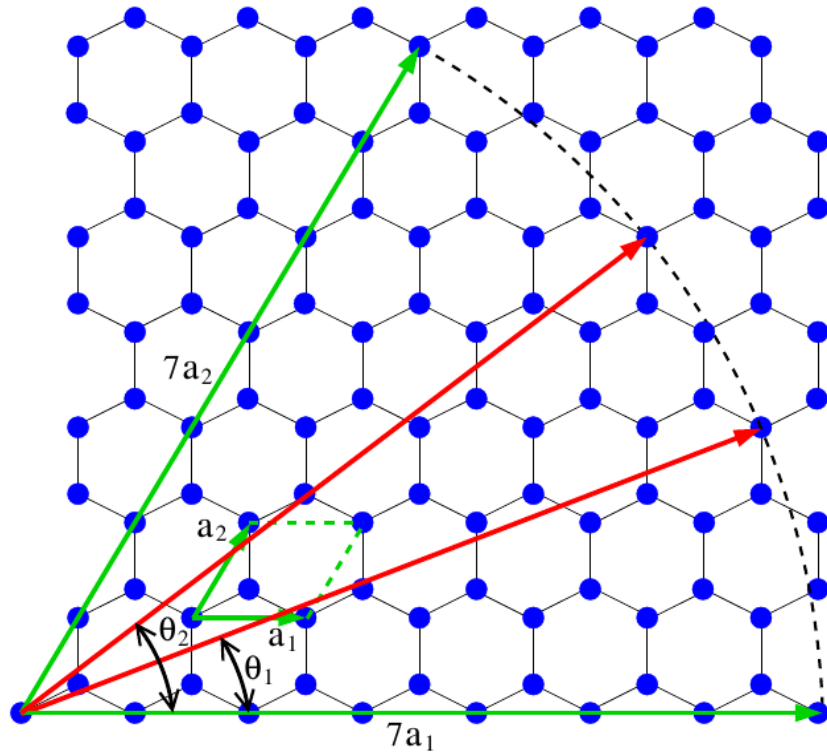
- Unit cells (AB stacked)

● Model      — DFT      - - - Single layer DFT



Model results agree well with DFT results

# Rotated structures



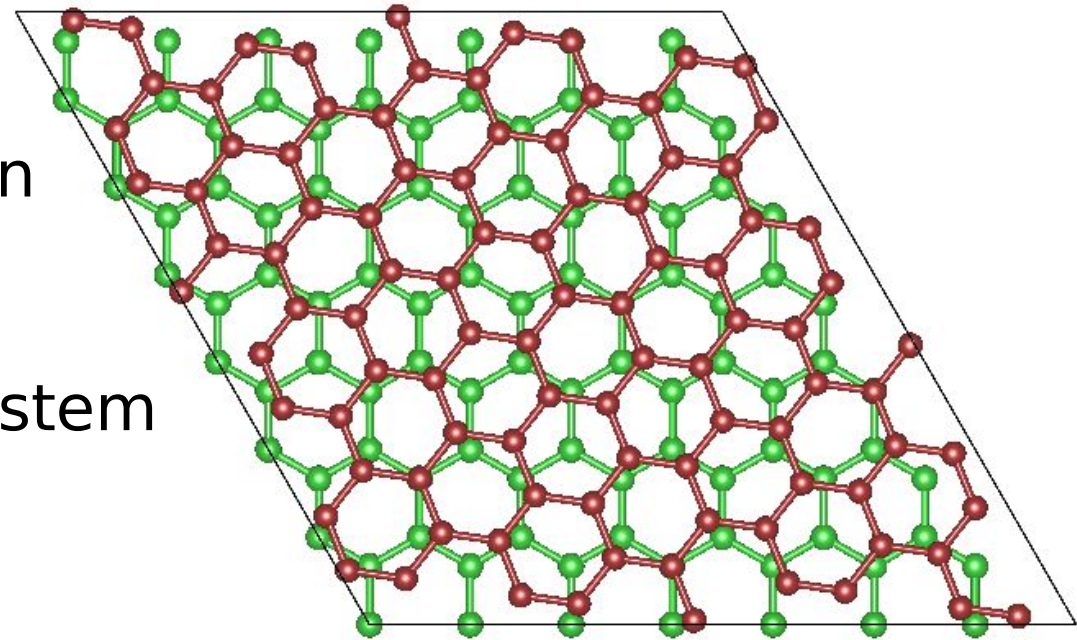
**Rotated cell vector**

**Unrotated cell vector**

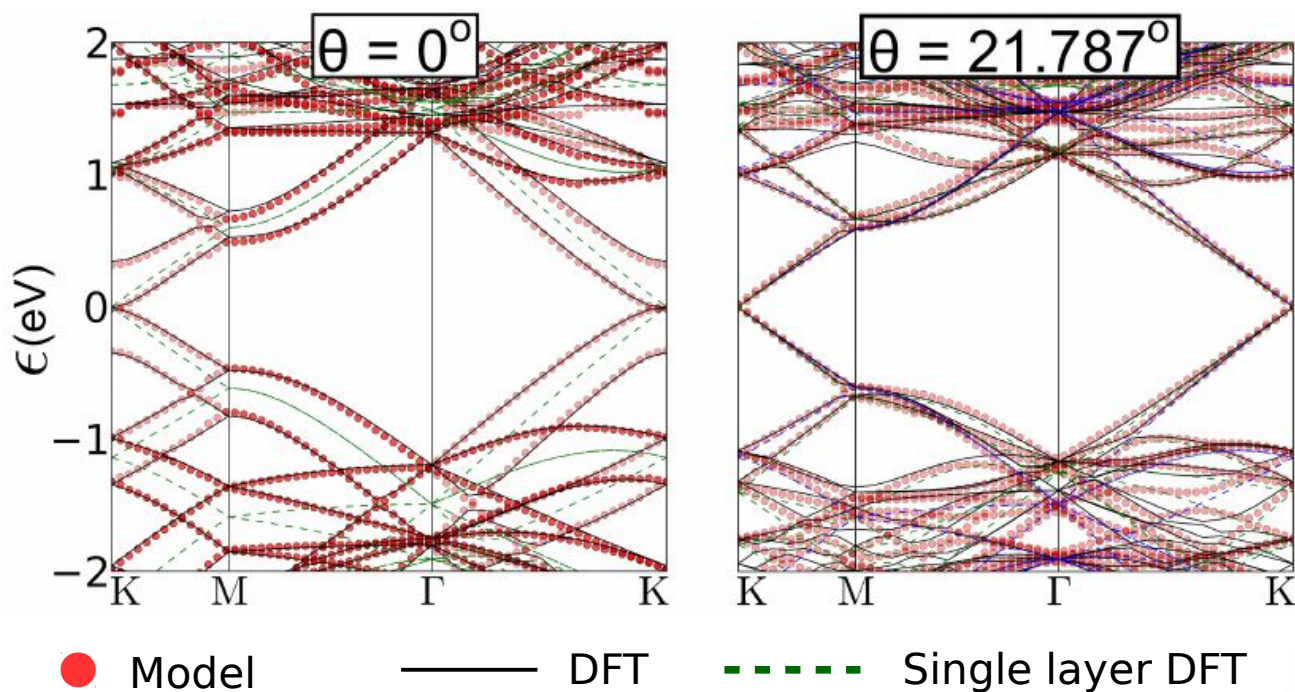
Form supercell  
with non-trivial  
rotations!

7x7 supercell:  $21.787^\circ$  rotation

We test our model on this system

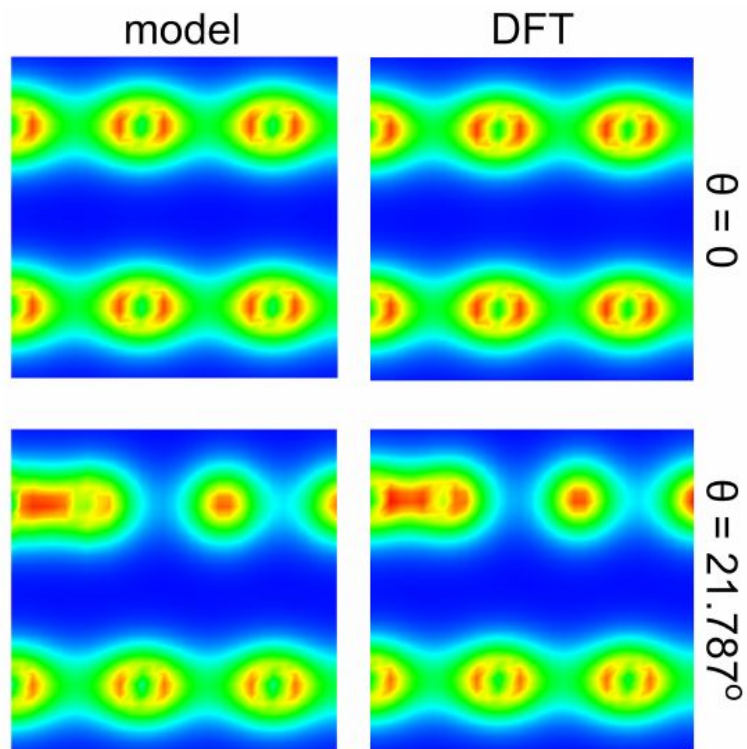


- Supercells: AB stacked Gr/Gr with and without rotation



7x7 supercell

Charge density



Max. Error ~ 0.05 eV for unrotated configurations!

Model results agree well with DFT!

What happens in self-consistent determination of  $\Delta V_{12}$ ?

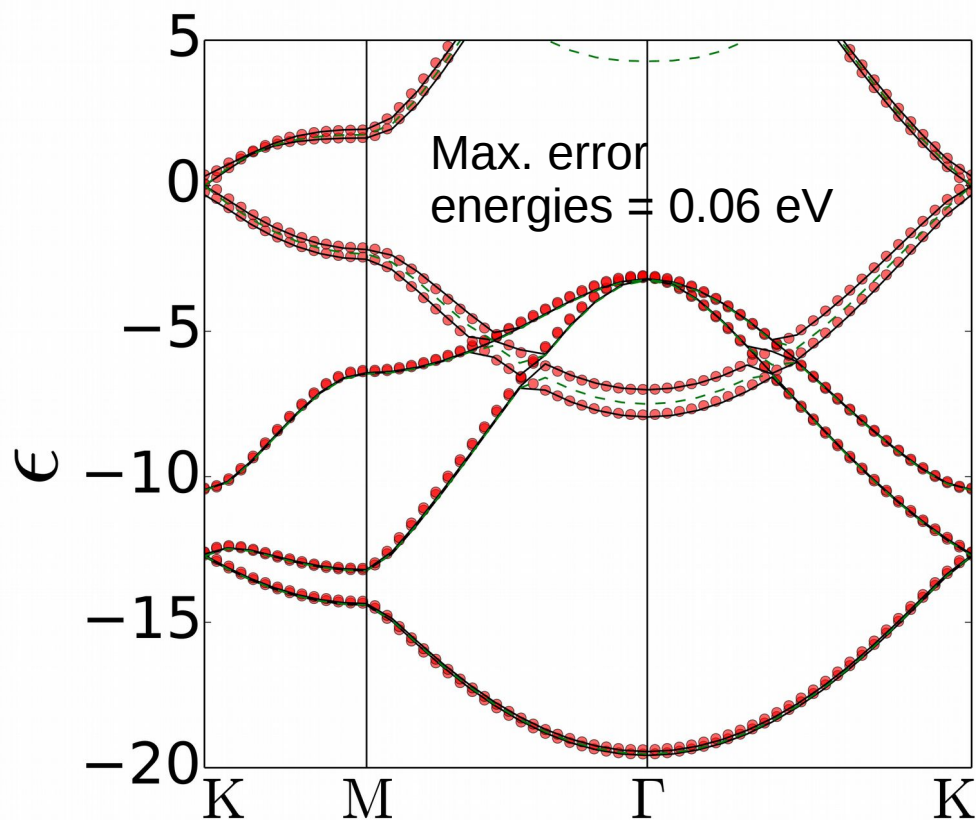


## 2) $\Delta V_{12}$ : Self-consistent

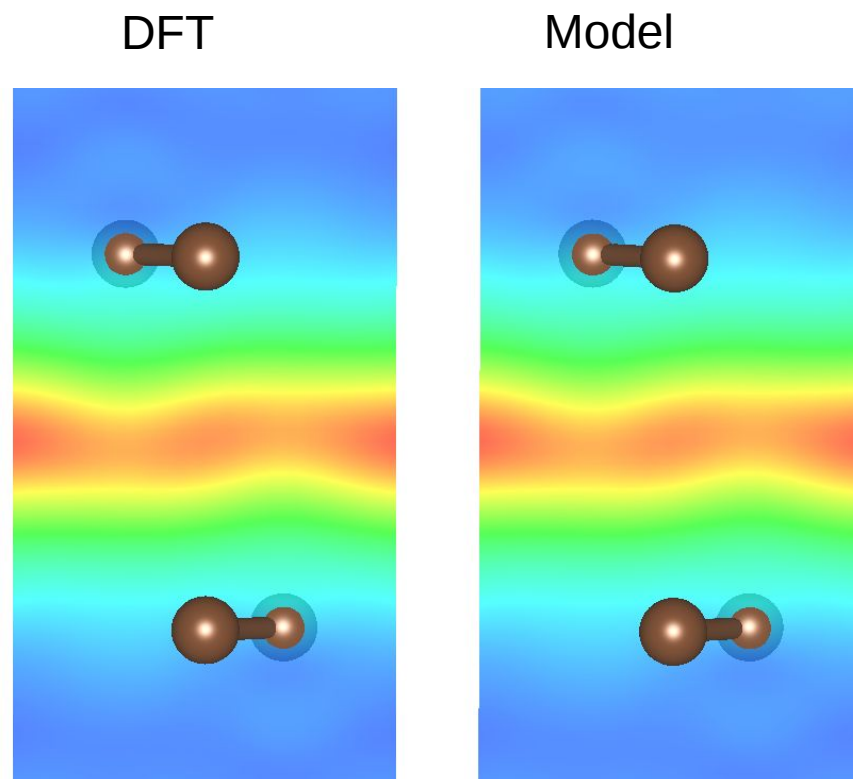
- Unit cells (AB stacked)

### a) Gr/Gr

● Model — DFT - - - Single layer DFT



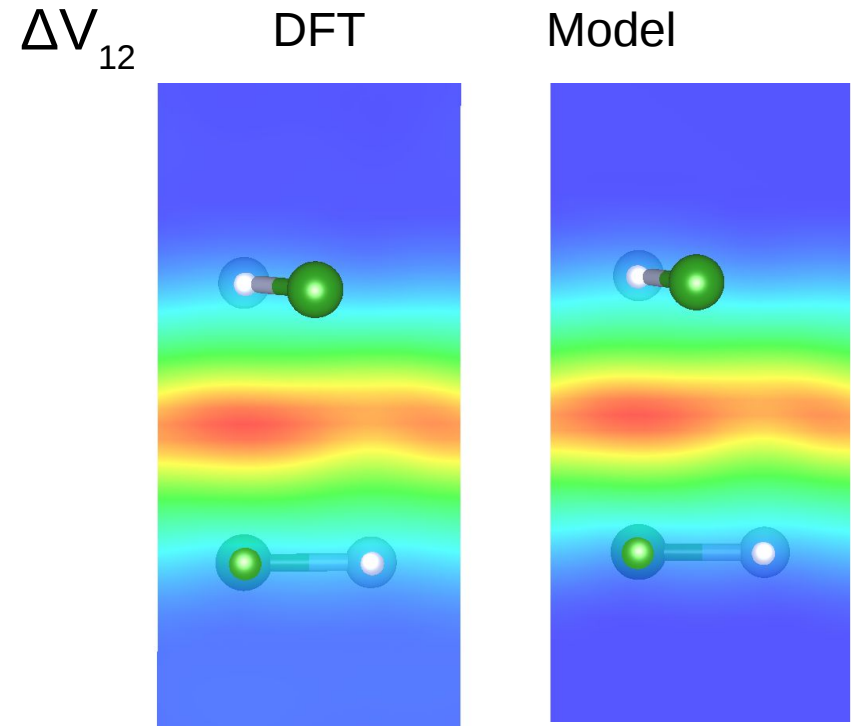
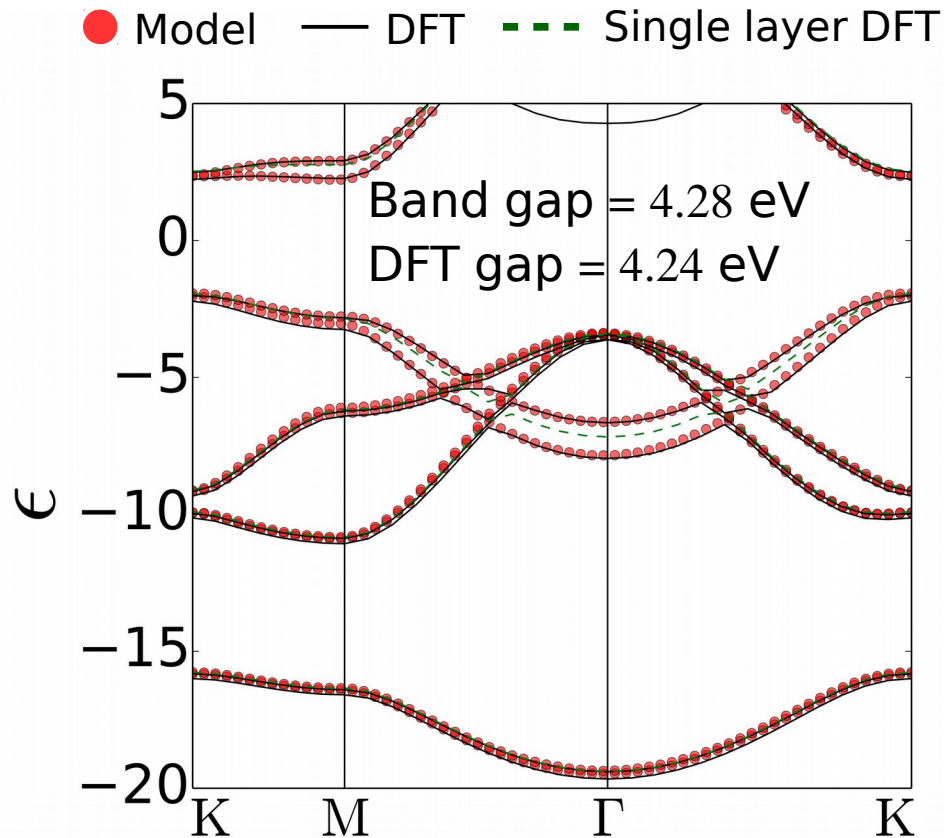
$\Delta V_{12}$



Energy	DFT	Model	Diff
Kinetic	572.69	572.05	-0.64
Hartree	-27.12	-27.26	-0.14
Exc	-38.34	-38.39	-0.05

Error varies **linearly** with iteration  
 Calculation converged at second step!

## b) h-BN/h-BN



Energy	DFT	Model	Diff
Kinetic	643.37	642.86	-0.51
Hartree	-27.62	-27.64	-0.02
Exc	-37.04	-37.08	0.06

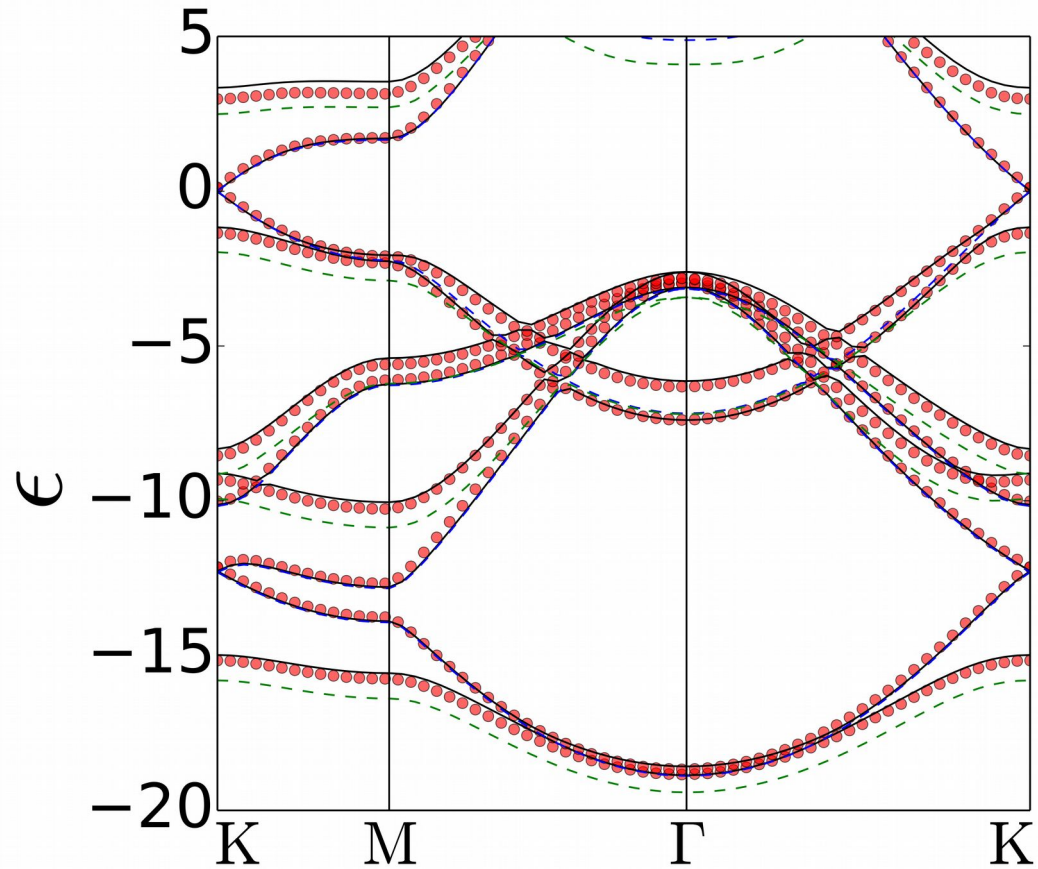
Error saturates at the first step.

Convergence is better for insulators!



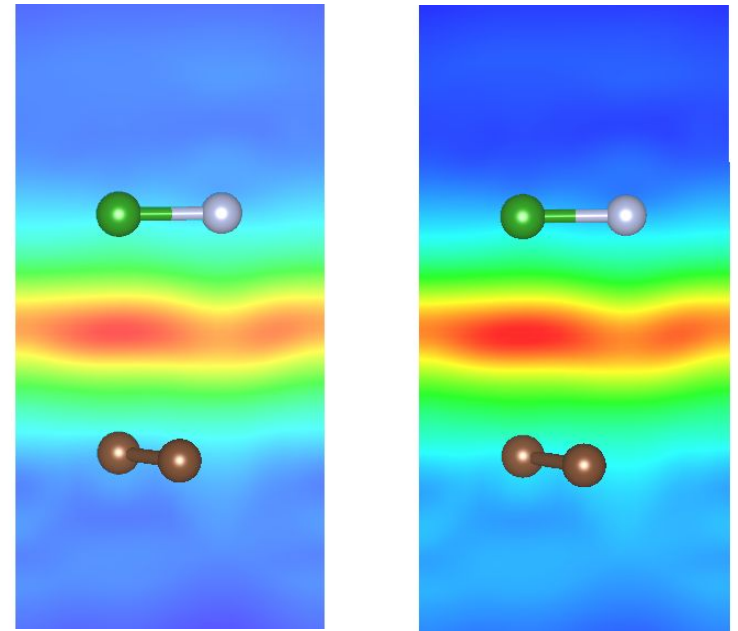
# c) Gr/h-BN

● Model — DFT - - - Single layer DFT



Band gap = 0.030 eV  
DFT gap = 0.038 eV

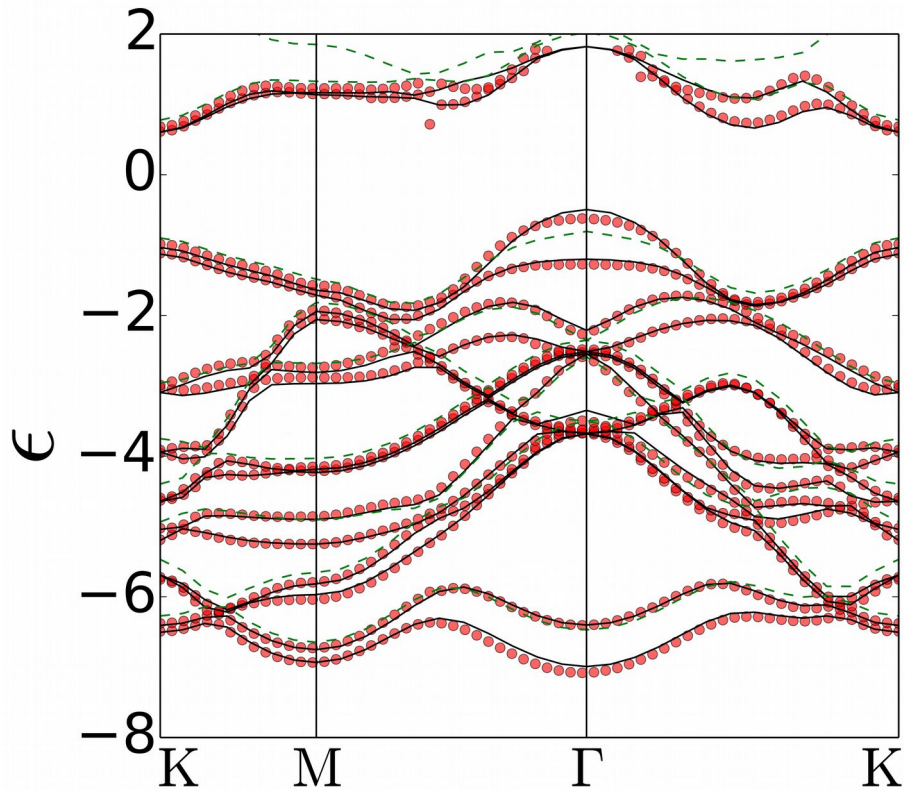
$\Delta V_{12}$  DFT Model



Energy	DFT	Model	Diff
Kinetic	605.24	604.58	-0.66
Hartree	-23.23	-23.38	-0.15
Exc	-36.45	-36.51	-0.06

# d) MoS<sub>2</sub>/ MoS<sub>2</sub>

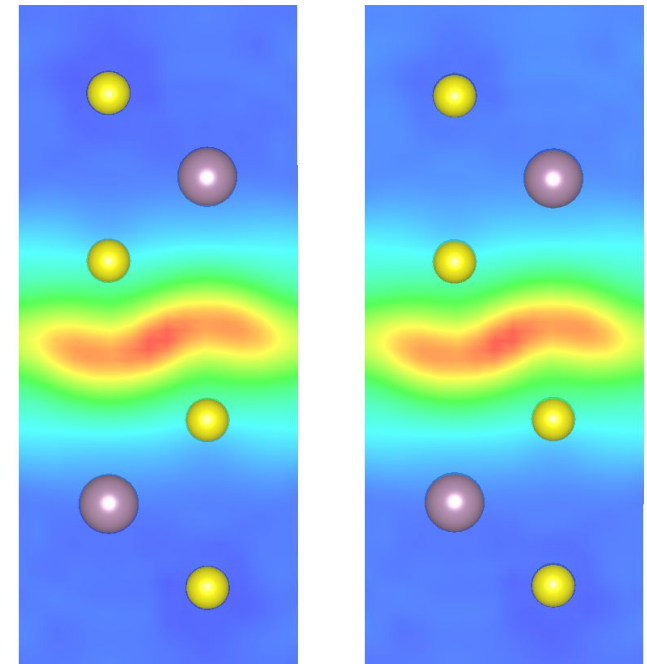
● Model — DFT - - - Single layer DFT



$\Delta V_{12}$

DFT

Model

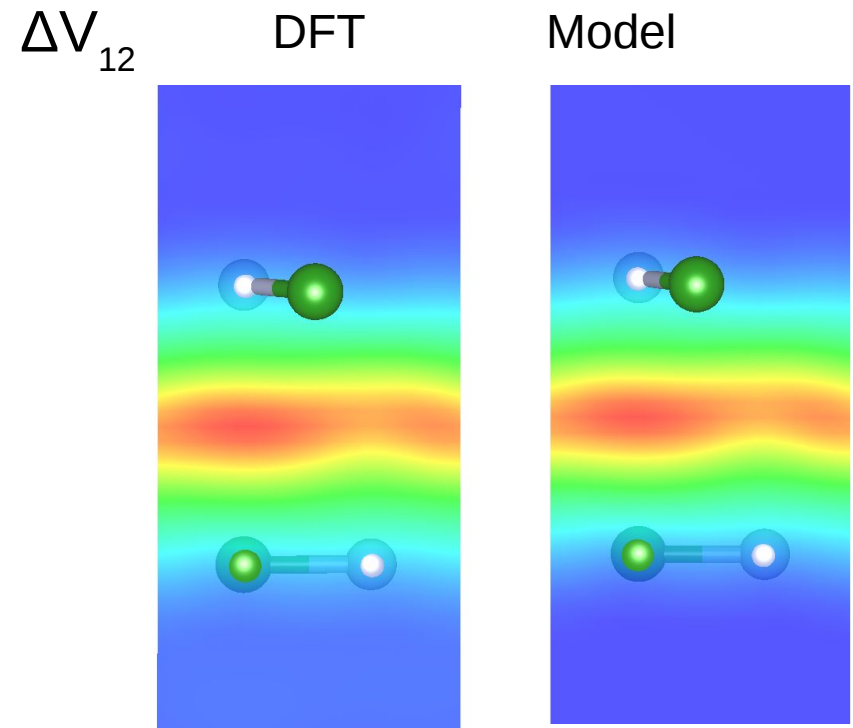
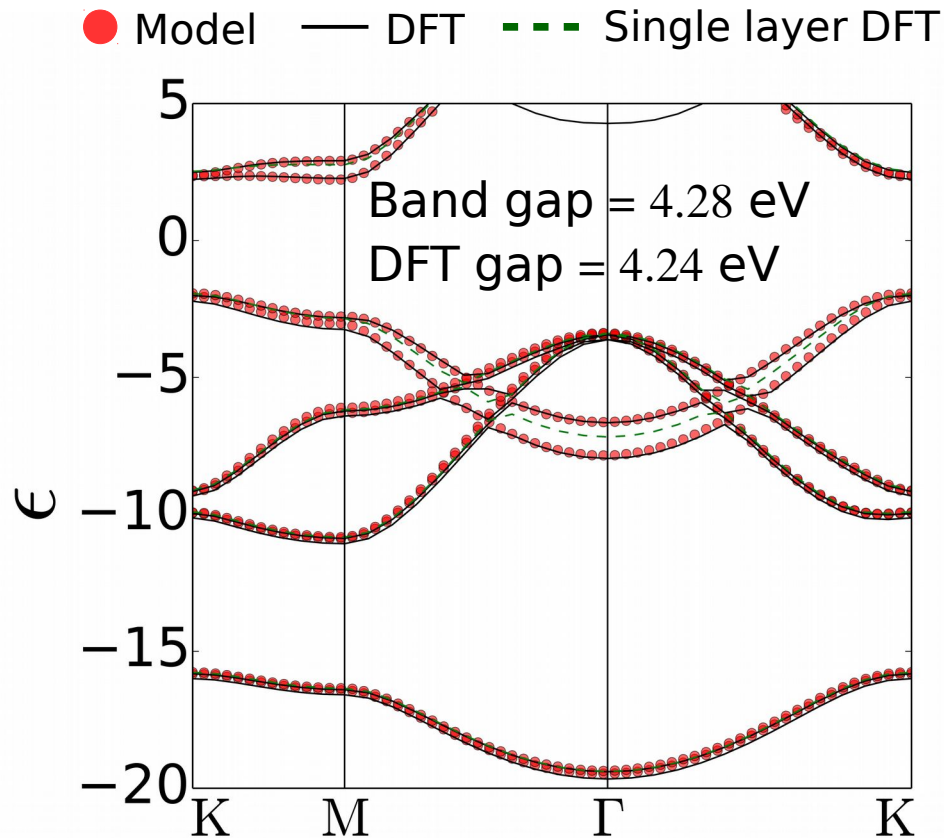


Energy	DFT	Model	Diff
Kinetic	1493.32	1491.40	-1.92
Hartree	-22.34	-22.81	-0.47
Exc	-35.99	-36.08	-0.09

Error larger than Gr-Gr.

The band structure is well represented!

## b) h-BN/h-BN



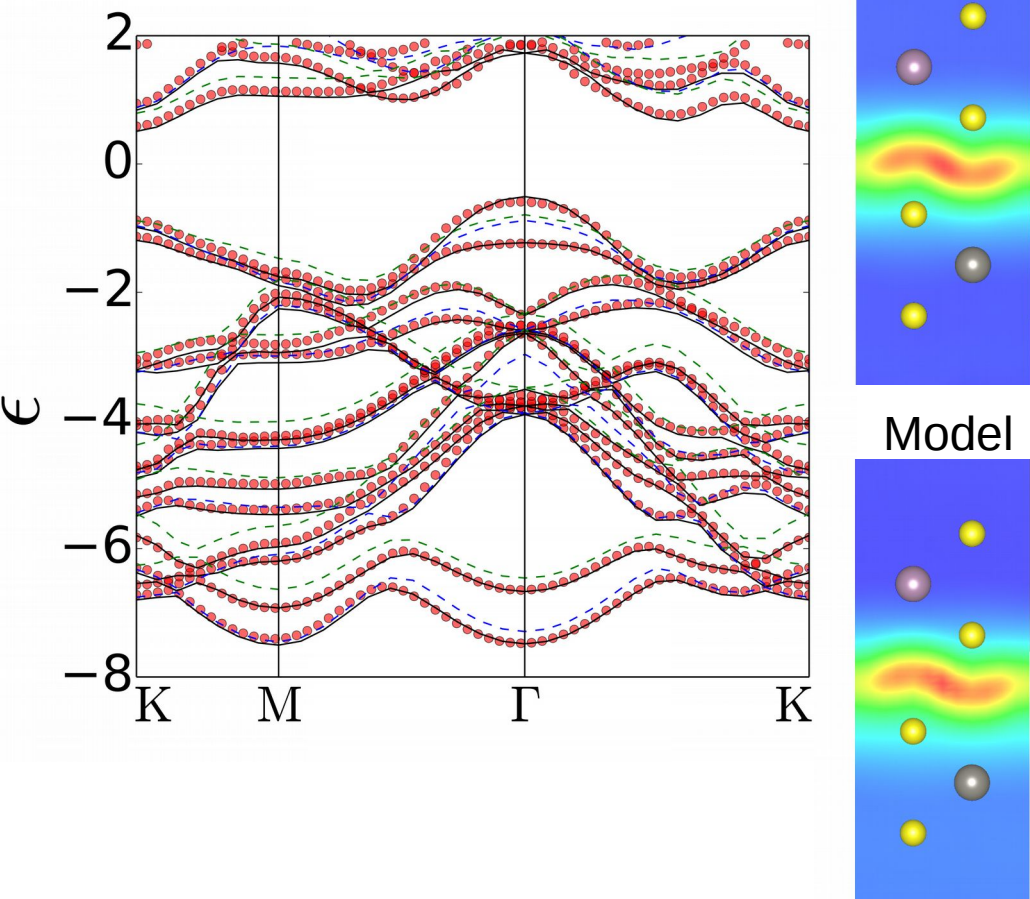
Energy	DFT	Model	Diff
Kinetic	643.37	642.86	-0.51
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Error saturates at the first step.

Convergence is better for insulators!



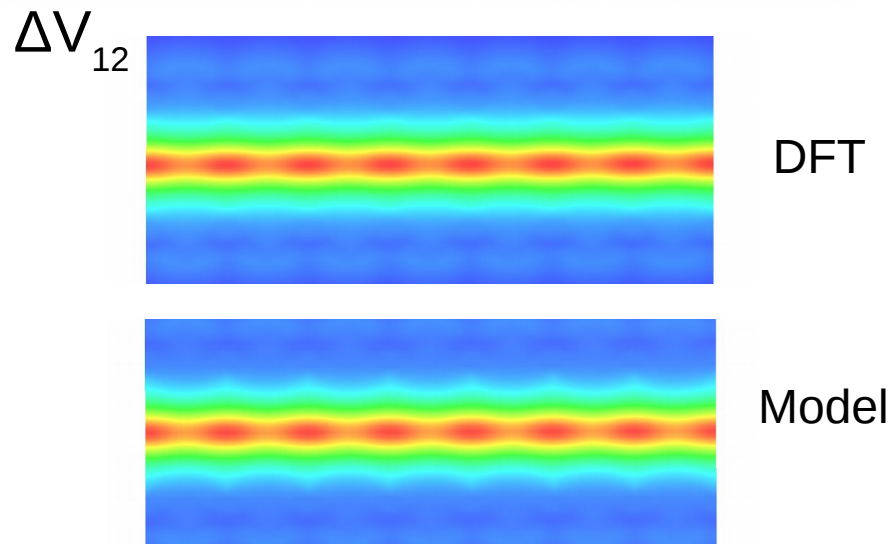
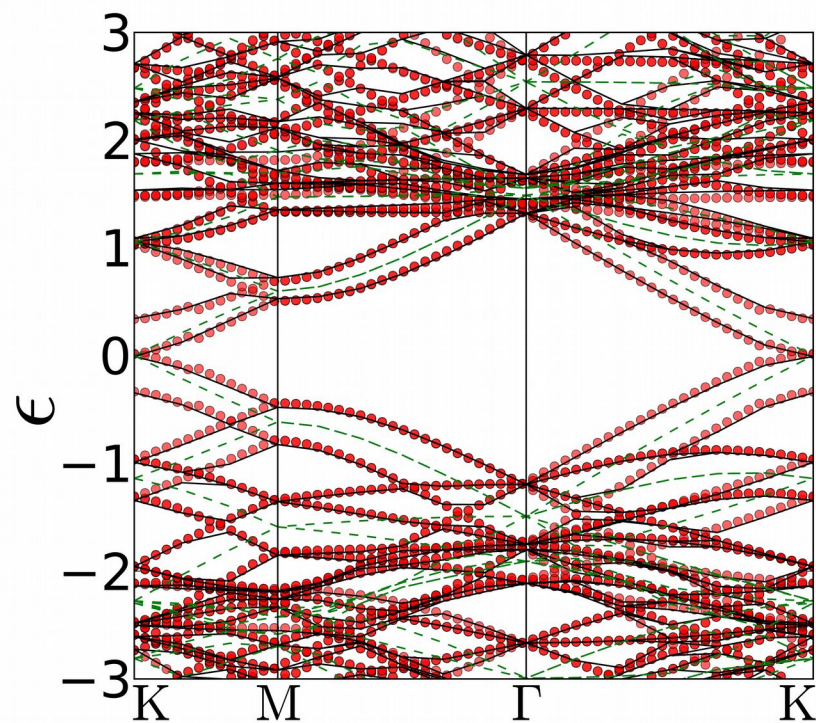
### e) MoS<sub>2</sub>/ WS<sub>2</sub> $\Delta V_{12}$ DFT



● Model — DFT - - - Single layer DFT

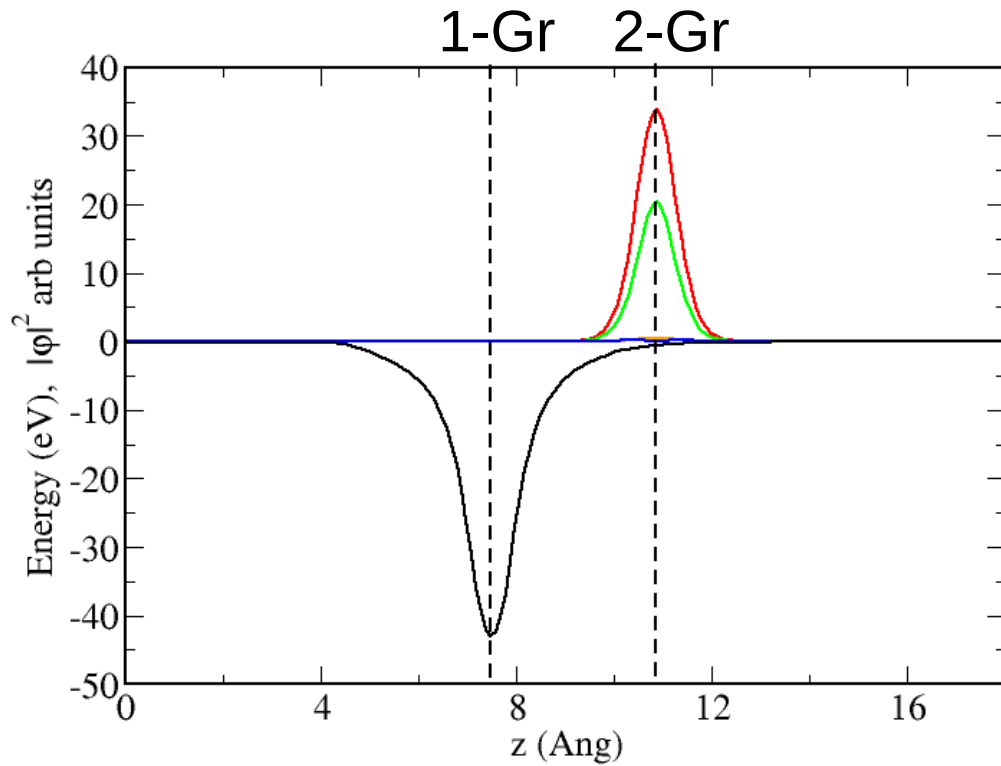
### f) 7x7 Supercells

#### a) Gr/Gr (0° rotation)

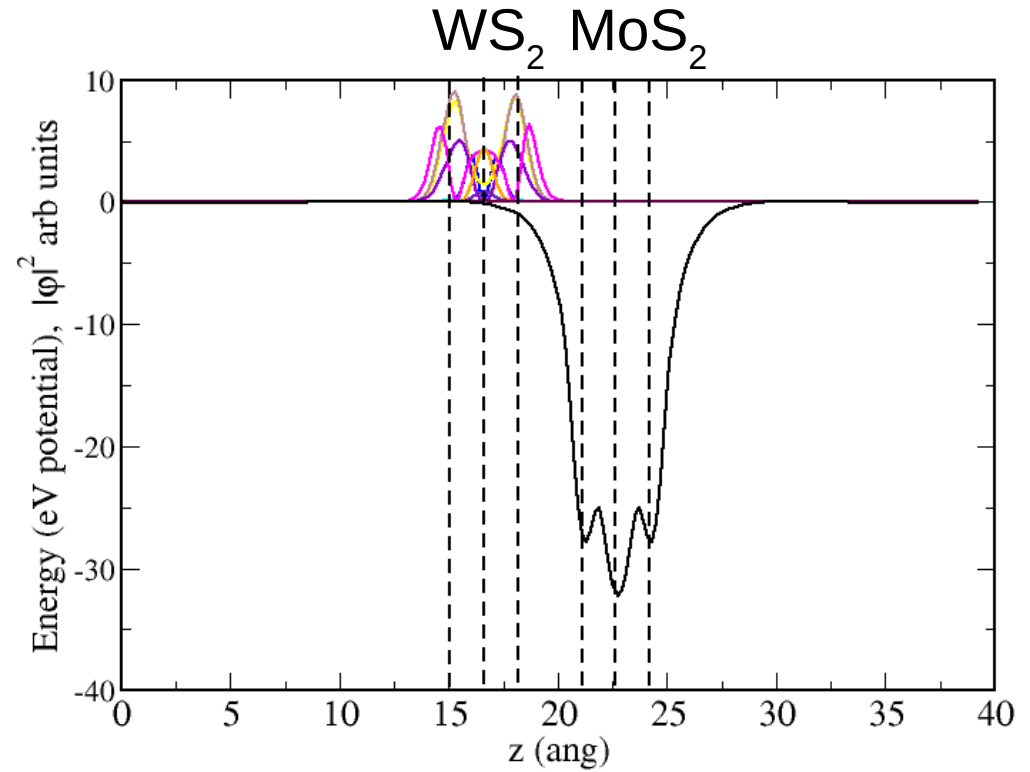


Energy	DFT	Model	Diff
Kinetic	1439.16	1440.69	1.53
Hartree	-34.23	-34.19	0.04
Exc	-40.80	-40.08	0.72

# Shortcomings



Occupied 'p' orbitals of layer 2  
decay fast near potential of layer 1



Occupied 'd' orbitals of WS<sub>2</sub>  
do not decay fast near potential of MoS<sub>2</sub>

- Occupied 'd' orbitals do not decay fast enough near the other layer
- Errors larger in MoS<sub>2</sub> as compared with Graphene



# Conclusions

- Capability of the Code
  - Rotated and Unrotated structures
  - k-point grid and path in k-space
  - Generates DOS and Band structures
  - Extended to self-consistent calculations
  - Total Energy can be calculated
- No a priori knowledge of interaction potential required
- Errors ~ 50 meV w.r.t. DFT calculations
- Lattice mismatched / rotated incommensurate structures can be simulated without full DFT calculations!
- Future scope: heterostructures MoS<sub>2</sub>, Black phosphorus, Gr ...

# Acknowledgements



Efthimios Kaxiras

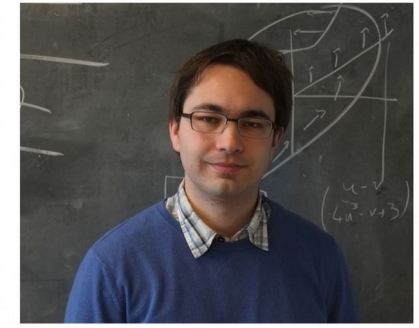


Georgios Tritsarlis

## Collaborators



Mitchell Luskin



Paul Cazeaux

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Petr Plechac

University of Delaware



Eric Cances

Ecole des Ponts

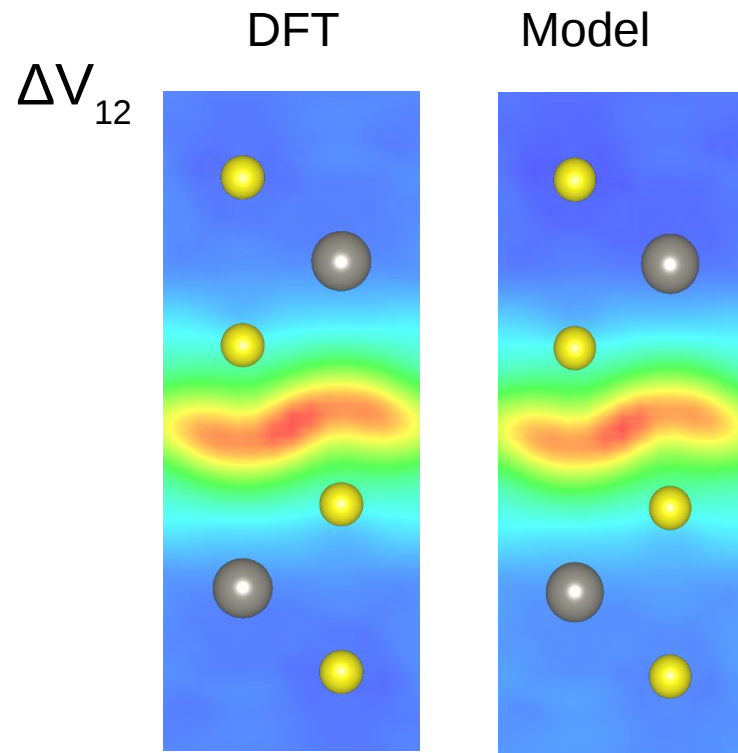
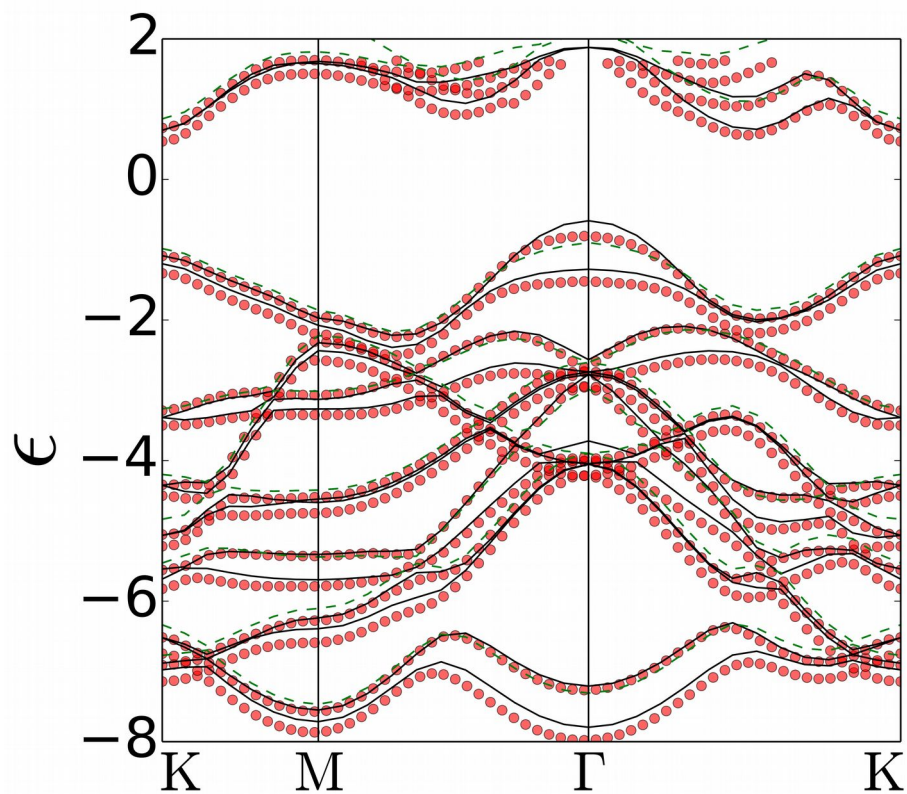
- ARO MURI Award W911NF-14-0247
- Odyssey cluster at Harvard University
- XSEDE

# Thank you

Thank you

# e) $WS_2/WS_2$

● Model — DFT - - - Single layer DFT



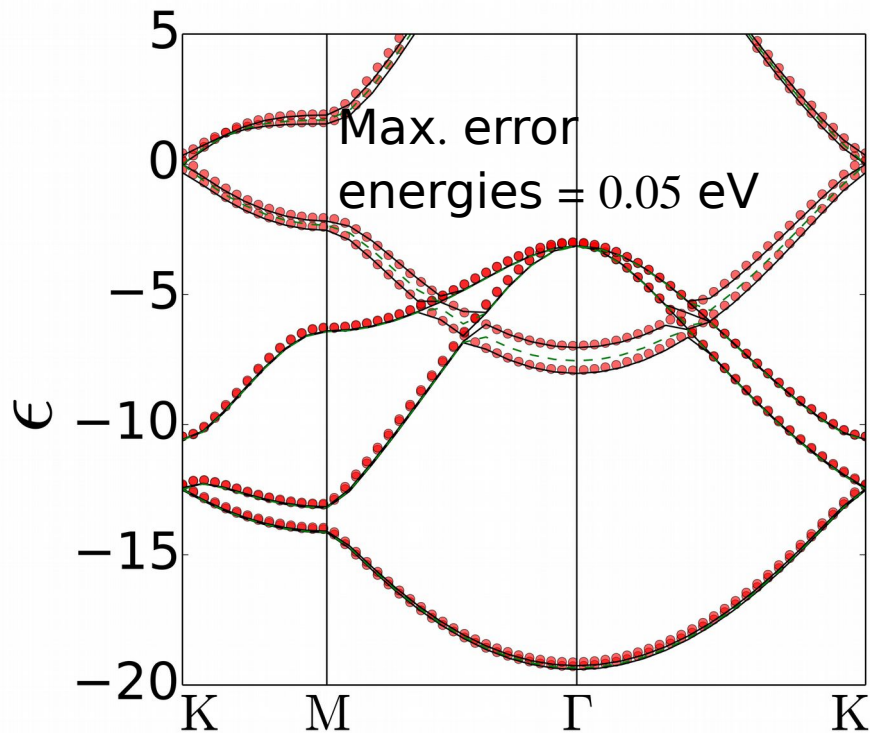
Energy	DFT	Model	Diff
Kinetic	1385.69	1386.77	1.08
Hartree	-46.22	-47.08	-0.86
Exc	-45.54	-45.65	-0.11

## 2) $\Delta V_{12}$ : Self-consistent

- Unit cells (AB stacked)

### a) Gr/Gr

● Model — DFT - - - Single layer DFT

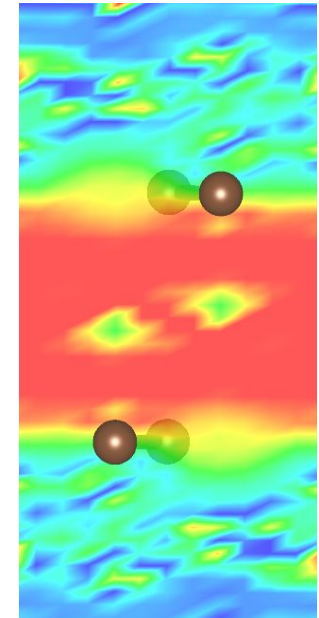
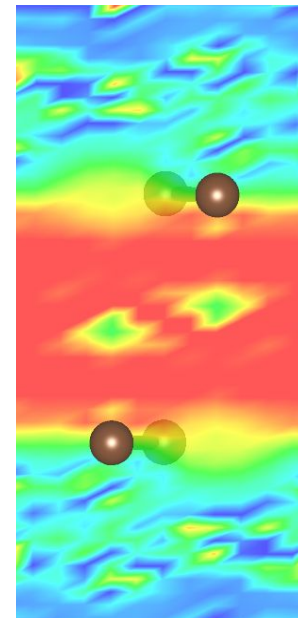


Calculation converged at first step!

$\Delta V_{12}$

DFT

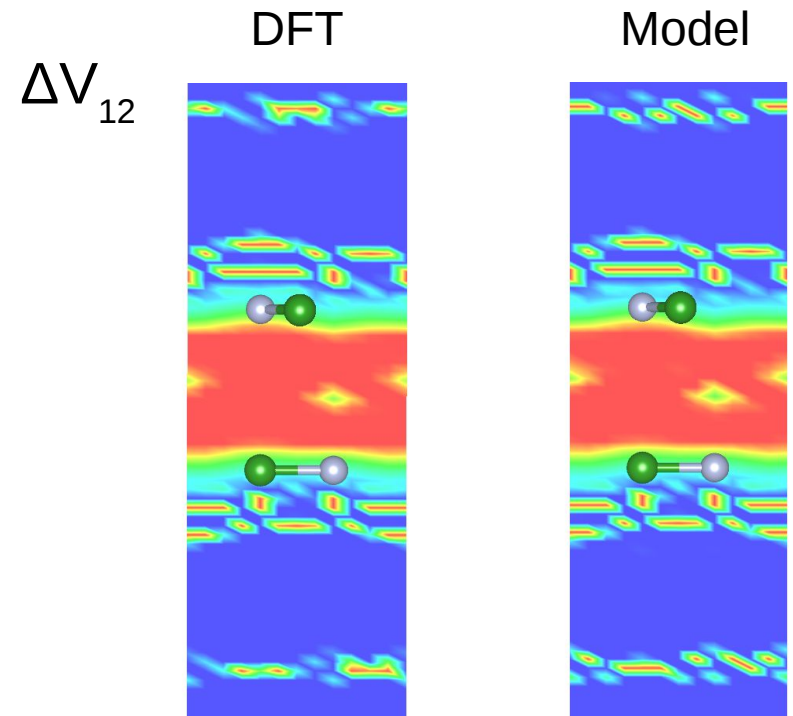
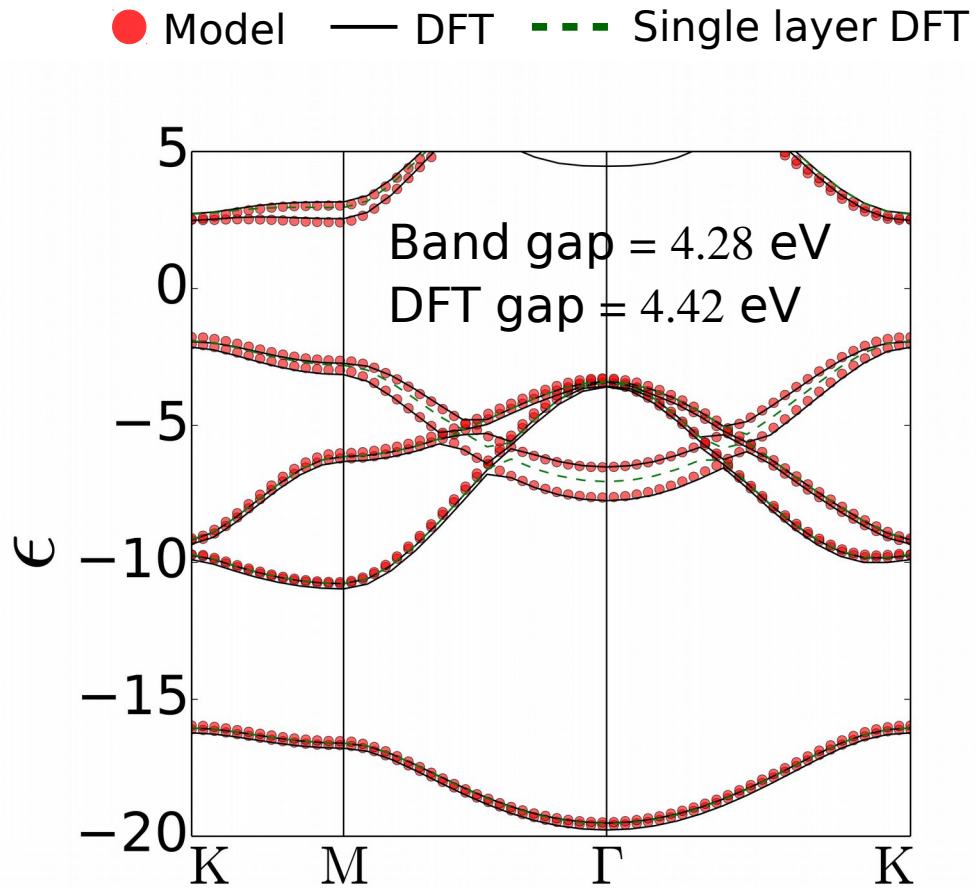
Model



Energy	DFT	Model	Diff
Kinetic	579.19	578.70	-0.49
Hartree	-33.25	-33.19	0.06
Exc	-52.10	-52.05	0.05



## b) h-BN/h-BN



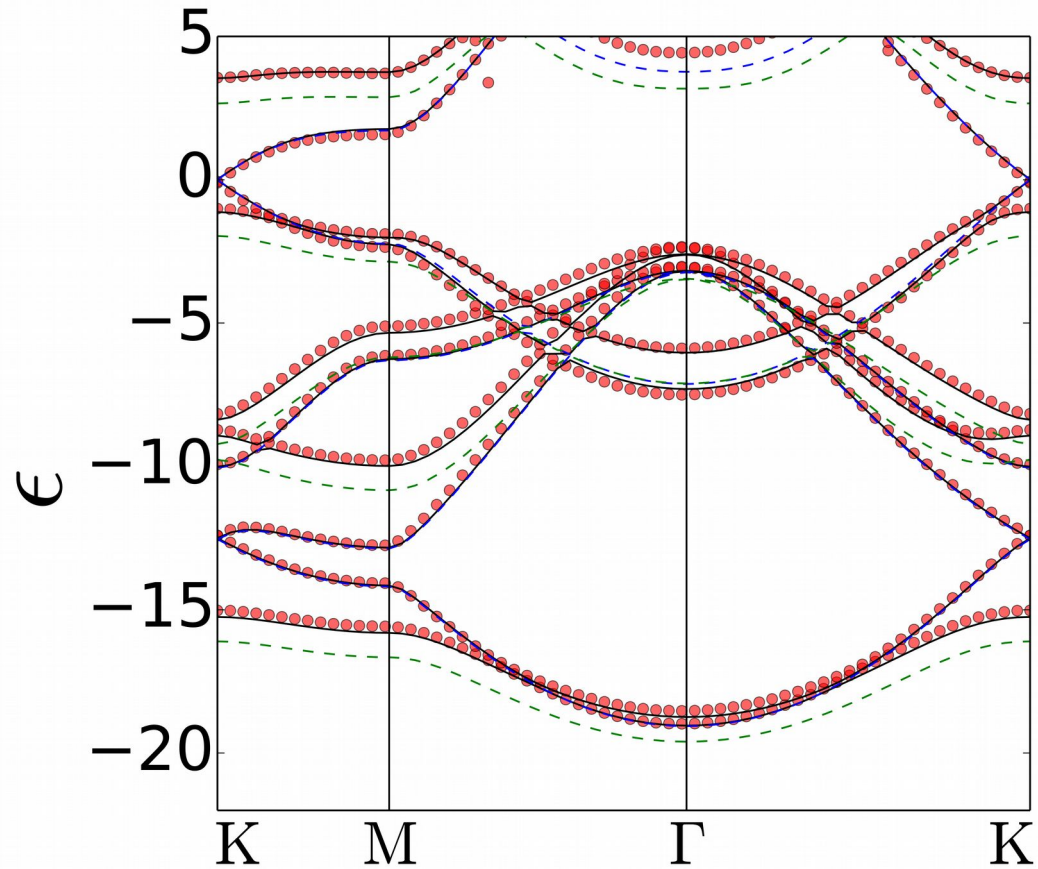
Energy	DFT	Model	Diff
Kinetic	648.82	647.70	-1.12
Hartree	-33.25	-34.53	-1.28
Exc	-51.84	-51.78	0.06

Error saturates at the first step.

Convergence is better for insulators!

# c) Gr/h-BN

● Model — DFT - - - Single layer DFT

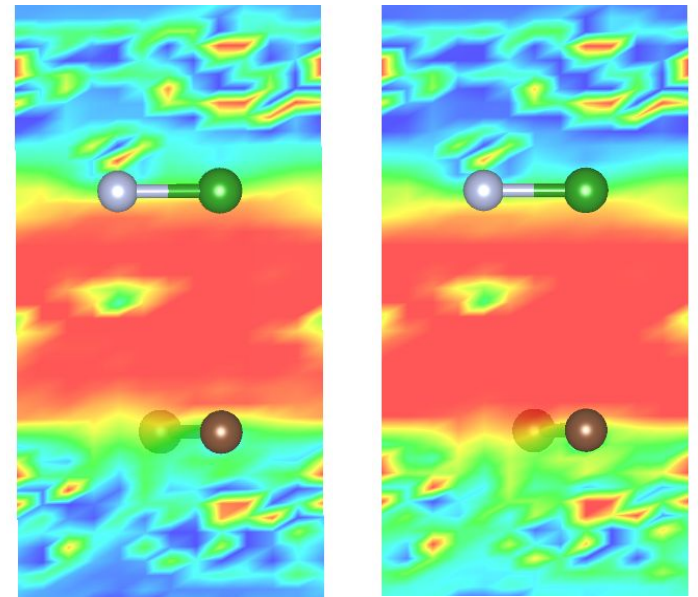


Band gap = 0.047 eV  
DFT gap = 0.034 eV

$\Delta V_{12}$

DFT

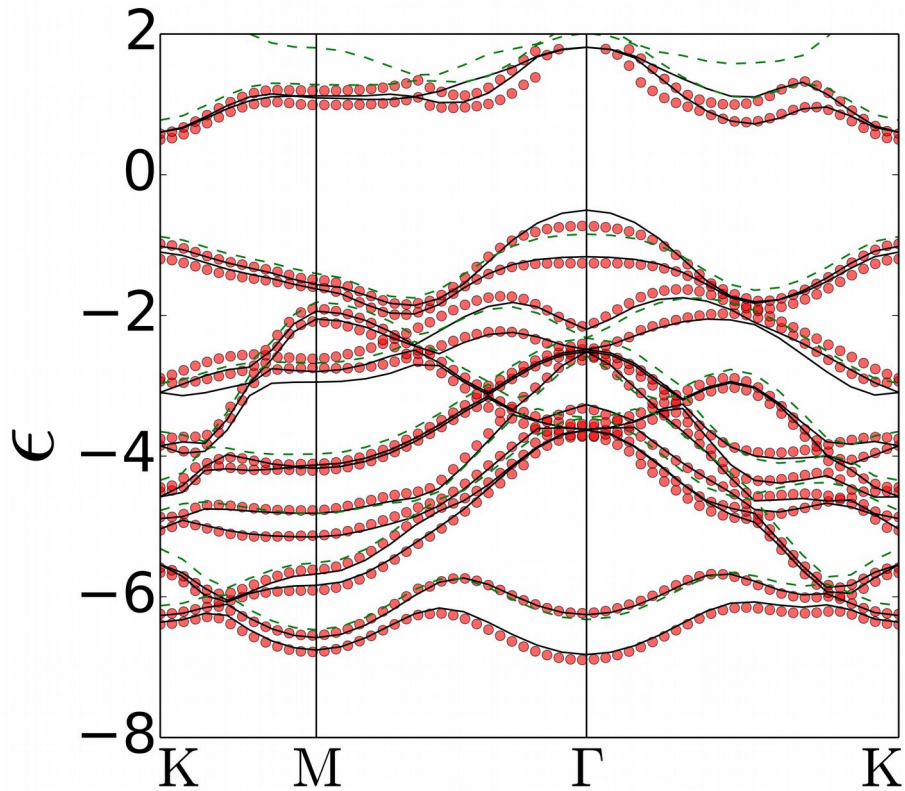
Model



Energy	DFT	Model	Diff
Kinetic	648.82	647.70	-1.12
Hartree	-33.25	-34.53	-1.28
Exc	-51.84	-51.78	0.06

# d) MoS<sub>2</sub>/ MoS<sub>2</sub>

● Model — DFT - - - Single layer DFT

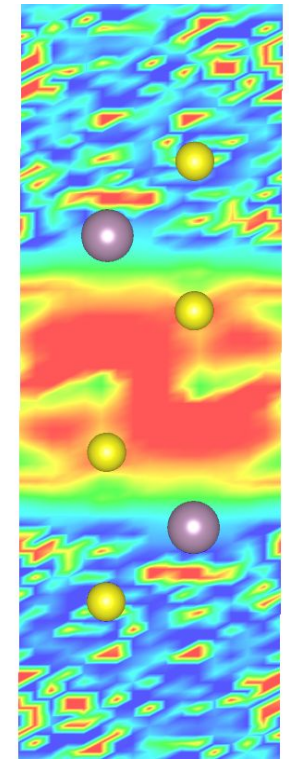
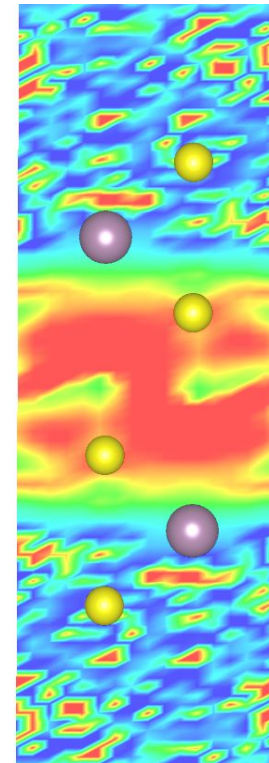


Error larger than Gr-Gr.  
The band structure is well represented!

$\Delta V_{12}$

DFT

Model

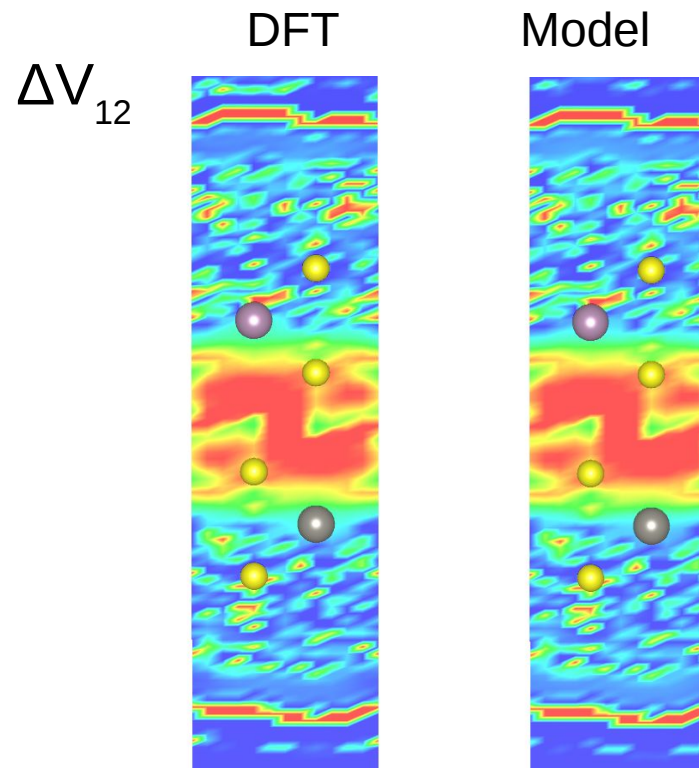
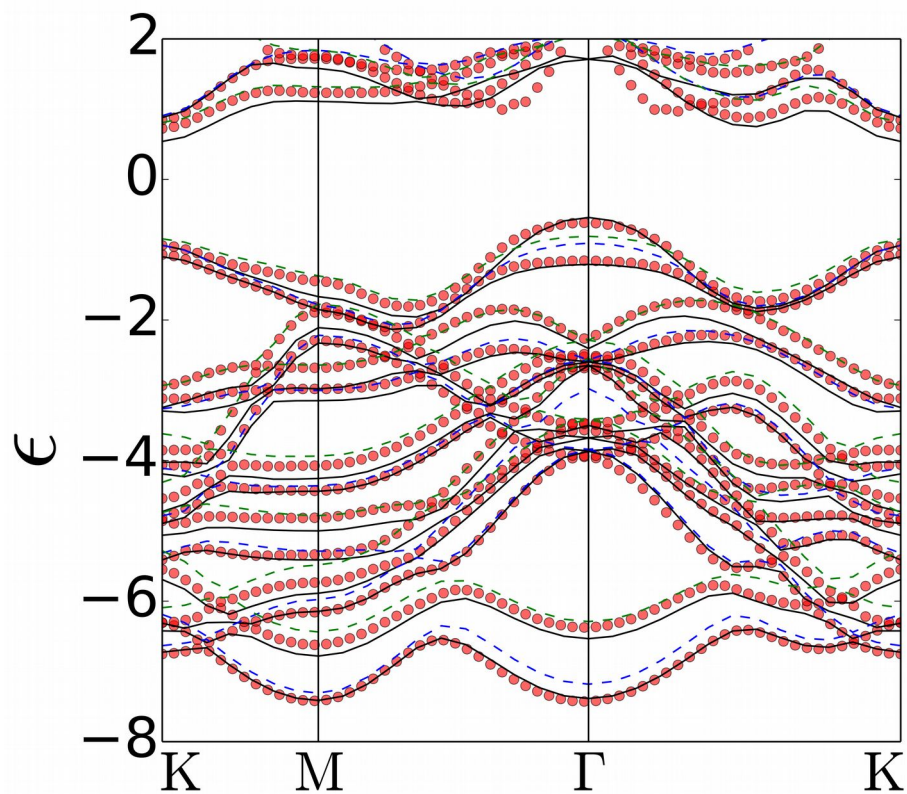


Energy	DFT	Model	Diff
Kinetic	1502.2	1502.7	0.5
Hartree	-61.06	-60.42	-0.36
Exc	-187.34	-187.23	0.11



# e) MoS<sub>2</sub>/ WS<sub>2</sub>

● Model — DFT - - - Single layer DFT

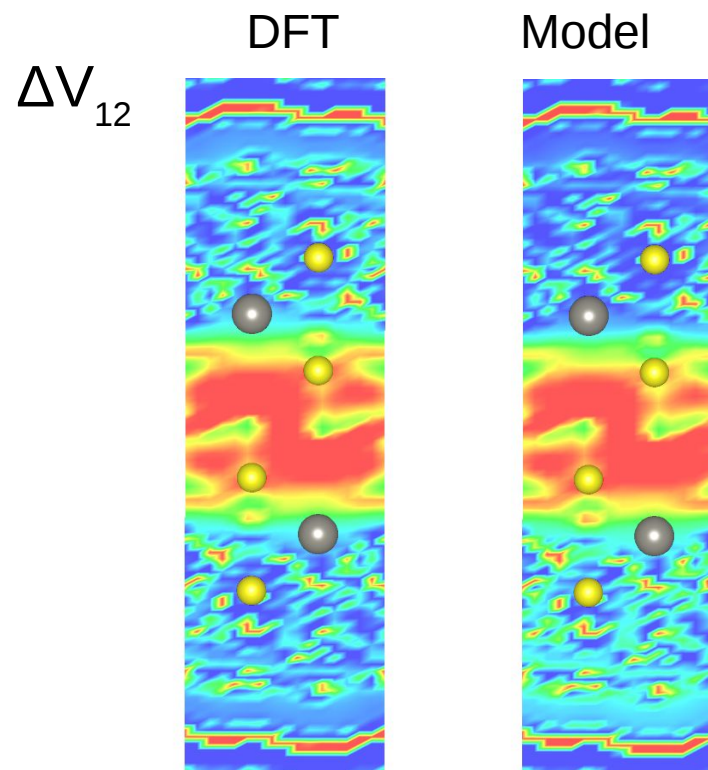
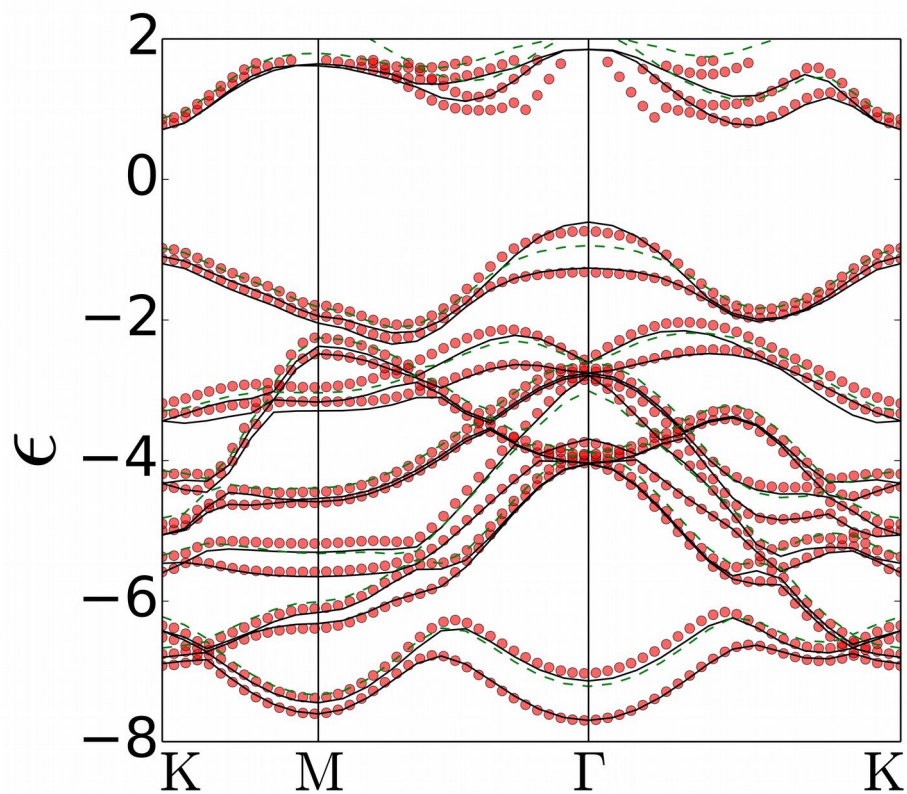


Energy	DFT	Model	Diff
Kinetic	1446.56	1447.09	0.53
Hartree	-74.31	-72.80	1.51
Exc	-231.49	-231.26	0.23



# f) $WS_2/WS_2$

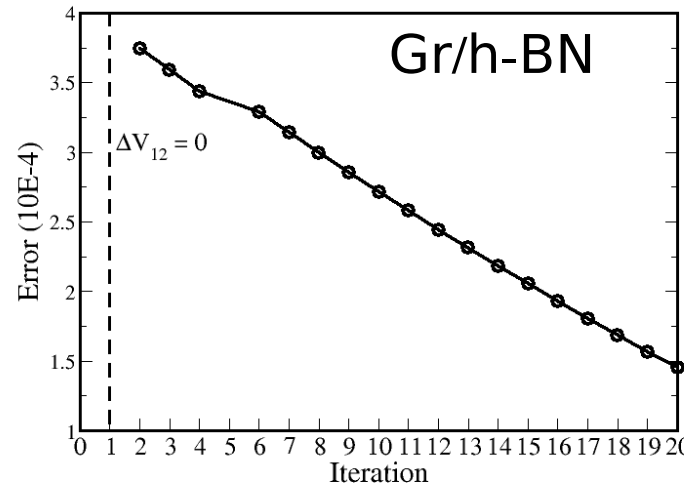
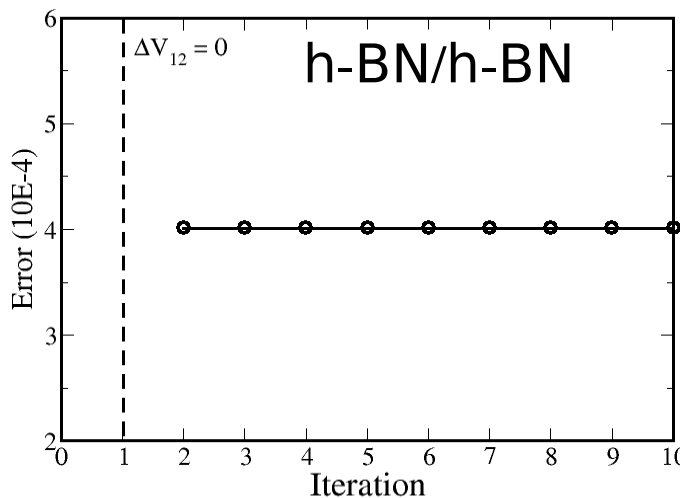
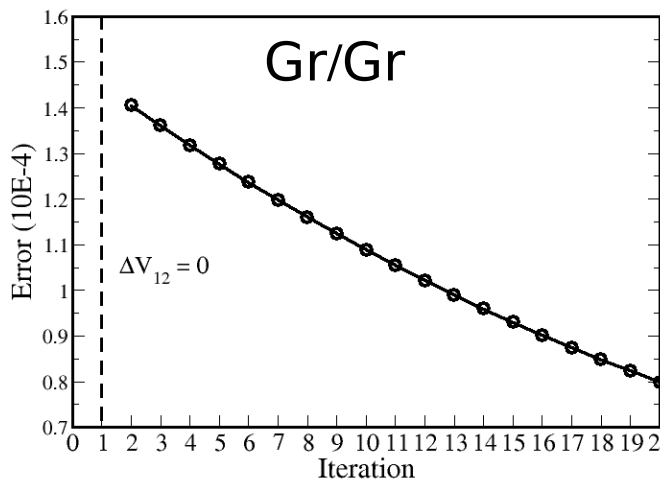
● Model — DFT - - - Single layer DFT



Energy	DFT	Model	Diff
Kinetic	1391.90	1387.75	-4.5
Hartree	-86.46	-85.70	0.76
Exc	-275.60	-275.48	0.12

$$\text{Error} = \left| \int (\rho_{\text{in}} - \rho_{\text{out}}) dr \right|$$

Error decreases linearly



Self-consistent results agree well with DFT!

