



Lie-primitive subgroups of exceptional algebraic groups:  
Their classification so far

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## Lie-primitive subgroups

Let  $G$  be a simple algebraic group of exceptional type over an algebraically closed field  $K$  of characteristic  $p \geq 0$ . Choose  $G$  to be of adjoint type, although it doesn't really matter for this problem.

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Since all maximal closed, positive-dimensional subgroups of  $G$  are known (see Liebeck–Seitz), we can (at least in theory) find all imprimitive subgroups of  $G$ . Thus Lie-primitive subgroups are the main impediment to understanding all finite subgroups of  $G$ , in particular the maximal subgroups of the finite exceptional groups of Lie type.

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## Equicharacteristic case

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*Suppose that  $H = H(q)$  is a Lie-primitive simple subgroup of the exceptional algebraic group  $G$ , where  $q$  is a power of  $p = \text{char}(G)$ .*

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### Theorem

*Suppose that  $H = H(q)$  is a Lie-primitive simple subgroup of the exceptional algebraic group  $G$ , where  $q$  is a power of  $p = \text{char}(G)$ . Then the untwisted rank of  $H$  (so 4 for  ${}^3D_4$ , for example) is at most half of that of  $G$ , and one of the following holds:*

- $q \leq 9$ ,
- $H = \text{PSL}_3(16), \text{PSU}_3(16)$ ,
- $H = \text{PSL}_2(q), {}^2B_2(q)$  or  ${}^2G_2(q)$ , and  $q < \text{gcd}(2, p) \cdot t(G)$ , where  $t(G)$  is as follows:

$G$	$G_2$	$F_4$	$E_6$	$E_7$	$E_8$
$t(G)$	12	68	124	388	1312

## Determining $\bar{\mathcal{S}}_G$ for $G$ one of $F_4, E_6, E_7$

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We will now present in tables the work that has been done so far, starting with  $\mathcal{S}_G$ , then removing those subgroups previously proved not to be in  $\bar{\mathcal{S}}_G$ , and finally the current state of knowledge.

Disclaimer: Many papers have been written on this problem, and I **think** I am correct on the current state of knowledge. I would be very happy to be corrected.

## $F_4$ : non-equicharacteristic case

All simple subgroups of  $F_4$ :

$p$	$H$
All primes	$\text{Alt}_{5-6}, \text{PSL}_2(q), q = 7, 8, 13, 17, 25, 27,$ $\text{PSL}_3(3), \text{PSU}_3(3), {}^3D_4(2)$
$p = 2$	$\text{Alt}_{7,9,10}, J_2, \text{PSL}_4(3)$
$p = 3$	$\text{PSL}_3(4)$
$p = 5$	$\text{Alt}_7$
$p = 11$	$J_1, M_{11}$

## $F_4$ : non-equicharacteristic case

After Cohen–Wales, Litterick and Magaard:

$p$	$H$
$p \nmid  H $	$\mathrm{PSL}_2(q)$ , $q = 9, 25, 27$
$p = 2$	$\mathrm{Alt}_7$ , $\mathrm{PSL}_2(q)$ , $q = 13, 25, 27$ , $\mathrm{PSL}_3(3)$ , $\mathrm{PSL}_4(3)$
$p = 3$	$\mathrm{PSL}_2(q)$ , $q = 7, 8, 13, 17, 25$ , ${}^3D_4(2)$ , $\mathrm{PSL}_3(4)$
$p = 5$	$\mathrm{Alt}_6$
$p = 7$	$\mathrm{PSL}_2(q)$ , $q = 8, 25, 27$ , $\mathrm{PSU}_3(3)$ , ${}^3D_4(2)$
$p = 13$	$\mathrm{PSL}_2(q)$ , $q = 25, 27$

## $F_4$ : all cases

Current state:

$p$	$H$
$p \nmid  H $	$\mathrm{PSL}_2(q)$ , $q = 9, 25, 27$
$p = 2$	$\mathrm{PSL}_2(q)$ , $q = 13, 25$ , $\mathrm{PSL}_3(3)$
$p = 3$	$\mathrm{PSL}_2(q)$ , $q = 9, 13, 25$
$p = 7$	$\mathrm{PSL}_2(q)$ , $q = 8, 13, 27$
$p = 13$	$\mathrm{PSL}_2(q)$ , $q = 25, 27$

(The case  $\mathrm{PSL}_2(27)$  for characteristic 2 was proved by Magaard and Parker, and the case  $\mathrm{PSL}_2(13)$  for characteristic 13 was proved by Burness and Testerman.)

## $E_6$ : non-equicharacteristic case

All simple subgroups of  $E_6$ :

$p$	$H$
All primes	$\text{Alt}_{5-7}, M_{11}, \text{PSL}_2(q), q = 7, 8, 11, 13, 17, 19, 25, 27,$ $\text{PSL}_3(3), \text{PSU}_3(3), \text{PSU}_4(2), {}^3D_4(2), {}^2F_4(2)'$
$p = 2$	$\text{Alt}_{9-12}, M_{12}, M_{22}, J_2, J_3, \text{Fi}_{22},$ $\text{PSL}_4(3), \text{PSU}_4(3), \Omega_7(3), G_2(3)$
$p = 5$	$M_{12}$
$p = 11$	$J_1$

## $E_6$ : all cases

After Cohen–Wales, Litterick and particularly Aschbacher:

$p$	$H$
$p \nmid  H $	$\text{PSL}_2(q)$ , $q = 7, 9, 19$ , $\text{PSL}_3(3)$ , $\text{PSU}_3(3)$ ,
$p = 2$	$J_2$ , $\text{Alt}_8$ , $\text{PSL}_2(q)$ , $q = 9, 13, 19$ ,
$p = 3$	$\text{PSL}_2(q)$ , $q = 13, 19$
$p = 5$	$\text{Alt}_{6,7}$ , $\text{PSL}_2(19)$ , $M_{11}$ , $M_{12}$
$p = 11$	$J_1$
$p = 13$	$\text{PSL}_3(3)$

## $E_6$ : all cases

Current state:

$p$	$H$
$p \nmid  H $	$\text{PSL}_2(q), q = 7, 9, 19, \text{PSL}_3(3)$
$p = 2$	$\text{PSL}_2(q), q = 13, 19$
$p = 3$	$\text{PSL}_2(q), q = 13, 19$
$p = 5$	$\text{PSL}_2(19)$
$p = 13$	$\text{PSL}_3(3)$

## $E_7$ : non-equicharacteristic case

All simple subgroups of  $E_7$ :

$p$	$H$
All primes	$\text{Alt}_{5-9}$ , $\text{PSL}_2(q)$ , $q = 7, 8, 11, 13, 17, 19, 25, 27, 29, 37$ , $\text{PSL}_3(3)$ , $\text{PSL}_3(4)$ , $\text{PSU}_3(3)$ , $\text{PSU}_3(8)$ , $\text{PSU}_4(2)$ , $\text{Sp}_6(2)$ , $\Omega_8^+(2)$ , ${}^3D_4(2)$ , ${}^2F_4(2)'$ , $M_{11}$ , $M_{12}$ , $J_2$
$p = 2$	$\text{Alt}_{10-13}$ , $\text{PSL}_4(3)$
$p = 5$	$\text{Alt}_{10}$ , $M_{22}$ , $Ru$ , $HS$
$p = 11$	$J_1$

## $E_7$ : non-equicharacteristic case

After Litterick:

$p$	$H$
$p \nmid  H $	$\mathrm{PSL}_2(q)$ , $q = 5, 7, 9, 11, 13, 19, 27, 29, 37$ , $\mathrm{PSL}_3(4)$ , $\mathrm{PSU}_3(3)$ , $\mathrm{PSU}_3(8)$ , $\Omega_8^+(2)$ ,
$p = 2$	$J_2$ , $\mathrm{PSL}_2(q)$ , $q = 11, 13, 19, 27, 29, 37$
$p = 3$	$\mathrm{PSL}_2(q)$ , $q = 7, 8, 11, 13, 19, 27, 29, 37$ , $\mathrm{PSL}_3(4)$ , $\mathrm{PSU}_3(8)$ , $\Omega_8^+(2)$ , $\mathrm{Alt}_{8,9}$
$p = 5$	$M_{12}$ , $M_{22}$ , $Ru$ , $HS$ , $\mathrm{Alt}_{7,8}$ , $\mathrm{PSL}_2(q)$ , $q = 5, 9, 11, 19, 29$ , $\mathrm{PSL}_3(4)$ , $\Omega_8^+(2)$ , ${}^2B_2(8)$
$p = 7$	$\mathrm{PSL}_2(q)$ , $q = 8, 13, 27, 29$ , $\mathrm{PSL}_3(4)$ , $\mathrm{PSU}_3(8)$ , $\Omega_8^+(2)$
$p = 13$	$\mathrm{PSL}_2(27)$
$p = 19$	$\mathrm{PSL}_2(37)$ , $\mathrm{PSU}_3(8)$

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$p = 7$	$\text{PSL}_2(q)$ , $q = 7, 13, 27, 29$ , $\text{PSL}_3(4)$ , $\text{PSU}_3(3)$
$p = 13$	$\text{PSL}_2(27)$
$p = 19$	$\text{PSL}_2(37)$

(The case  $\text{PSL}_2(19)$  for characteristic 19 was proved by Burness and Testerman. Several that act irreducibly on  $L(G)$  can be deduced from  $\text{Hom}(\Lambda^2(L(G)), L(G))$  being 1-dimensional on restriction to  $H$ .)

## So what Lie-primitive subgroups are known to exist?

We just give one example here:  $G = F_4$ .

$p$	$H$
$p \nmid  H $	$\text{PSL}_2(q), q = 8, 13, 17, 25, 27, \text{PSL}_3(3), {}^3D_4(2)$
$p = 2$	$\text{PSL}_2(q), q = 25, 27, \text{PSL}_3(3), \text{PSL}_4(3)$
$p = 3$	$\text{PSL}_2(q), q = 13, 25, {}^3D_4(2)$
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