

Workshop «Variational Models of Fracture»

A variational approach to gradient plasticity

G. Lancioni

**Dipartimento di Ingegneria Civile, Edile e Architettura,
Università Politecnica delle Marche, Ancona, Italy**

*Joint work with R. March (Rome), G. Del Piero (Ferrara), G. Zitti (Ancona),
T. Yalcinkaya (Ankara), A. Cocks (Oxford)*

Banff International Research Station, Canada

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A variational approach to **gradient plasticity**

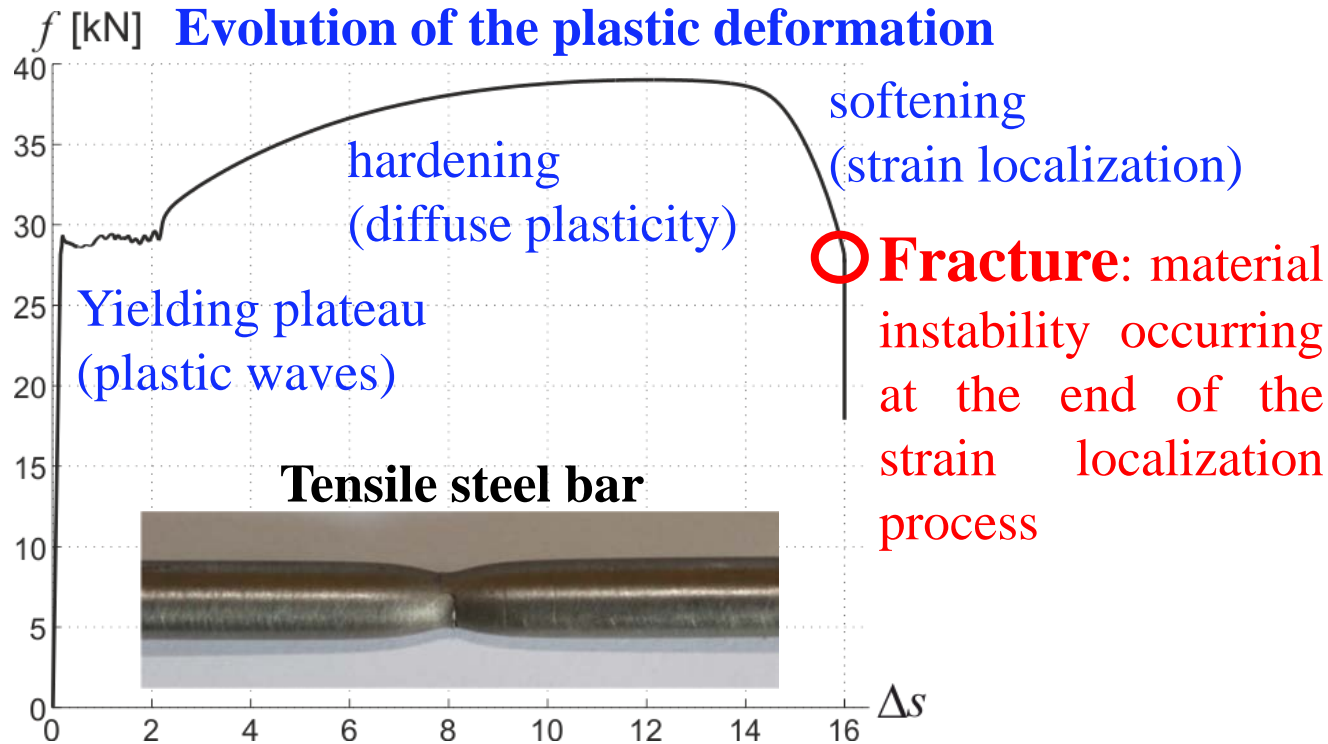
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Variational Model



- Rate-independent
- Non-convex totally dissipative plastic energy
- Non-local energy, depending on the plastic strain gradient
- Evolution of the deformation -> Incremental energy minimization

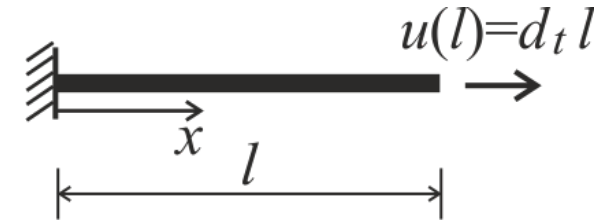
References

- Del Piero, Lancioni, March, JMMS, 2013
- Lancioni, J. Elasticity, 2015

Modeling assumptions

i. Kinematics $u'(x) = \varepsilon(x) + \gamma(x)$
 elastic def. plastic def.

1D Pb.



ii. Energy

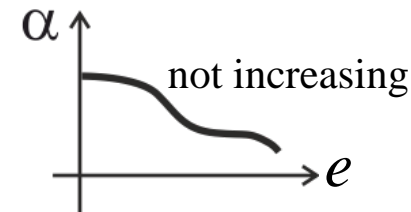
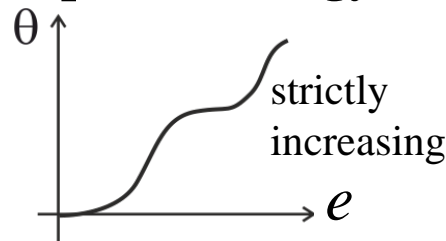
internal variable $e = e(\gamma)$. In isotropic gradient plasticity $e_t = \int_0^t |\dot{\gamma}_\tau| d\tau$, $\dot{e}_t = |\dot{\gamma}_t|$
 accumulated plastic strain

$$E(u, \gamma, e) = \int_0^l \left(\frac{1}{2} E (u' - \gamma)^2 + \theta(e) + \frac{1}{2} \alpha(e) e'^2 \right) dx$$

stored elastic energy

dissipative plastic energy

non-local stored plastic energy



iii. Dissipation $\frac{d}{dt} \theta(e) = \theta'(e) \dot{e} \geq 0$

Energy:
$$E(u, \gamma, e) = \int_0^l \left(\frac{1}{2} E(u' - \gamma)^2 + \theta(e) + \frac{1}{2} \alpha(e) e'^2 \right) dx$$

Comparisons with **non-local variational approaches** in literature

Damage energy [Bourdin, Francfort, Marigo, 2000],...

$$E(u, \alpha) = \int_0^l \left(\frac{1}{2} E(\alpha) u'^2 + \theta(\alpha) + \frac{1}{2} A \alpha'^2 \right) dx$$

Damage and plasticity energy

[Ambrosio, Lemenant, Royer-Carfagni, 2013], [Freddi, Royer-Carfagni, 2014]

$$E(u, \alpha) = \int_0^l \left(\frac{1}{2} E(\alpha) u'^2 + \theta(\alpha) + \frac{1}{2} A \alpha'^2 + \underbrace{\sigma_0}_{\text{yielding stress}} \alpha^2 |u'| \right) dx$$

[Alessi, Marigo, Vidoli, 2014, 2015]

$$E(u, \alpha, \gamma, e) = \int_0^l \left(\frac{1}{2} E(\alpha) (u' - \gamma)^2 + \theta(\alpha) + \frac{1}{2} A \alpha'^2 + \sigma_p(\alpha) e \right) dx$$

Damage + von Mises plasticity

Equilibrium

$$\delta E(u, \gamma, e; \delta u, \delta \gamma, | \delta \gamma |) \geq 0$$



$$\left\{ \begin{array}{l} \sigma' = 0, \quad \text{with } \sigma = E(u' - \gamma) \quad \text{normal stress} \\ \boxed{|\sigma| \leq \sigma^c} \quad \text{with } \sigma^c = \theta' + \frac{1}{2} \alpha' e'^2 - \frac{d}{dx}(\alpha e') \\ \text{Yield condition} \quad \text{Yield limit} \\ e(0) = e(l) = 0, \quad \text{or } e'(0) \leq 0, \quad e'(l) \geq 0 \quad \text{b.c.} \end{array} \right.$$

Flow rule

$$E_{t+\tau}(\dot{u}_t, \dot{\gamma}_t, \dot{e}_t) = E_t + \tau \dot{E}_t(\dot{u}_t, \dot{\gamma}_t, \dot{e}_t),$$

$$\text{with } \dot{E}_t = \int_0^l (-\text{sign}(\dot{\gamma}_t)\sigma_t + \sigma_t^c) \dot{e} dx$$

Necessary condition for a minimum

$$\delta \dot{E}_t(\dot{u}_t, \dot{\gamma}_t, \dot{e}_t; 0, 0, \delta e) \geq 0, \quad \forall \delta e : \dot{e}_t + \delta e \geq 0$$



$$\dot{e}_t \geq 0, \quad -\text{sign}(\dot{\gamma}_t)\sigma_t + \sigma_t^c \geq 0, \quad (-\text{sign}(\dot{\gamma}_t)\sigma_t + \sigma_t^c)\dot{e}_t = 0$$

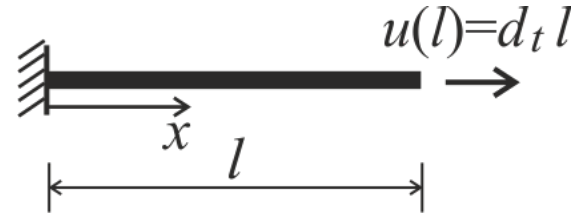


$$\dot{\gamma}_t = \frac{\sigma_t}{\sigma_t^c} \dot{e}_t \quad \text{Flow rule}$$

Tensile test

Assume $d_t \geq 0$

$$\sigma_t \geq 0 \Rightarrow \dot{\gamma} = \dot{\epsilon}$$



$$E(u, \gamma) = \int_0^l \left(\frac{1}{2} E (u' - \gamma)^2 + \theta(\gamma) + \frac{1}{2} \alpha(\gamma) \gamma'^2 \right) dx$$

Dissipation inequality $\dot{\gamma} \geq 0$

Quasi-static evolution

Incremental minimum problem

$$(u_t, \gamma_t) \rightarrow (u_{t+\tau}, \gamma_{t+\tau}), \quad \varepsilon_{t+\tau} = u'_{t+\tau} - \gamma_{t+\tau}$$

$$u_{t+\tau} = u_t + \tau \dot{u}_t,$$

$$\gamma_{t+\tau} = \gamma_t + \tau \dot{\gamma}_t$$

$$\begin{aligned} E(u_{t+\tau}, \gamma_{t+\tau}) &\approx E(u_t, \gamma_t) + \tau \dot{E}(u_t, \gamma_t) + \frac{1}{2} \tau^2 \ddot{E}(u_t, \gamma_t) = \\ &= E(u_t, \gamma_t) + \tau F(u_t, \gamma_t; \dot{u}_t, \dot{\gamma}_t) \end{aligned}$$

→ quadratic functional

$$(\dot{u}_t, \dot{\gamma}_t) = \arg \min \{ F(u_t, \gamma_t; \dot{u}_t, \dot{\gamma}_t), \dot{\gamma} \geq 0, \text{ b.c.} \}$$

Constrained quadratic programming pb.

Necessary condition for a minimum

$$\delta F(\dot{u}_t, \dot{\gamma}_t; \delta \dot{u}, \delta \dot{\gamma}) \geq 0$$

$$\dot{\gamma}_t + \delta \gamma \geq 0$$



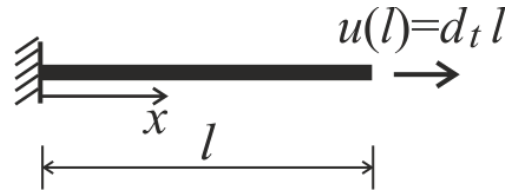
$$\left\{ \begin{array}{l} \dot{\sigma}' = 0, \\ \dot{\gamma}_t \geq 0, \quad \sigma_t + \tau \dot{\sigma}_t \leq \sigma_t^c + \tau \dot{\sigma}_t^c, \quad [\sigma_t + \tau \dot{\sigma}_t - (\sigma_t^c + \tau \dot{\sigma}_t^c)] \dot{\gamma}_t = 0 \end{array} \right.$$

Kuhn-Tucker conditions (**flow rule**)

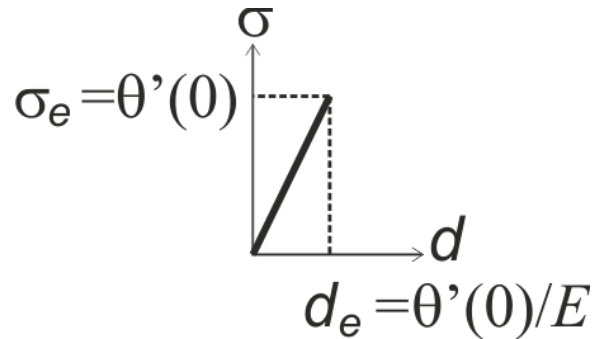
consistency condition

(the yield function maintains equal to zero when γ grows)

Elastic evolution

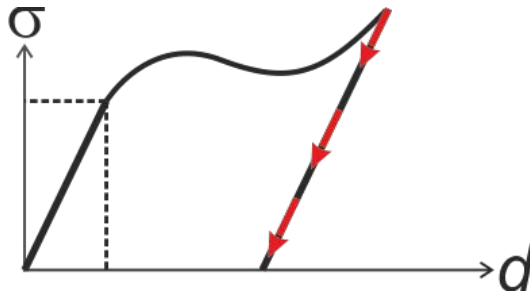


1. *Elastic regime* $0 < d_t \leq d_e = \theta'(0)/E$



$$\begin{aligned} u_t &= x d_t, \\ \gamma_t &= 0, \\ \sigma_t &= E d_t \end{aligned}$$


2. *Elastic unloading* $\dot{d}_t < 0, \quad d_t \geq 0$



$$\begin{aligned} \dot{\gamma}_t &= 0, \\ \dot{\sigma}_t &= E \dot{d}_t < 0 \end{aligned}$$

Evolution of plastic def. from homogeneous configurations

$$u_t = \text{const}, \quad \gamma_t = \text{const}, \quad \sigma_t = \sigma_t^c, \quad \text{bc: } \dot{\gamma}_t(0) = 0 \quad \dot{\gamma}_t(l) = 0$$

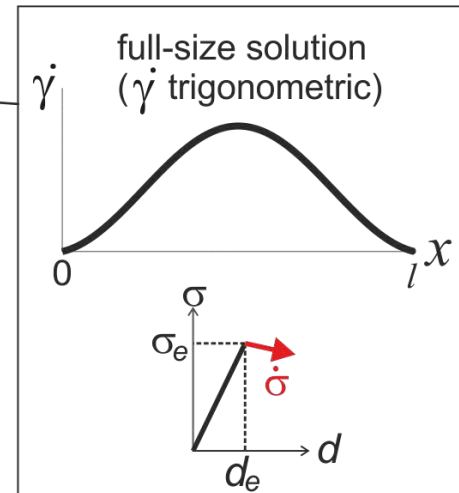
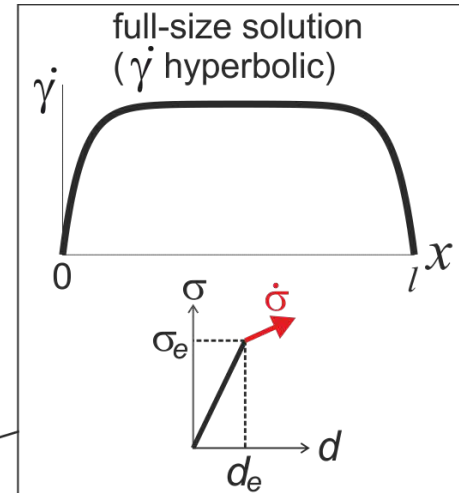
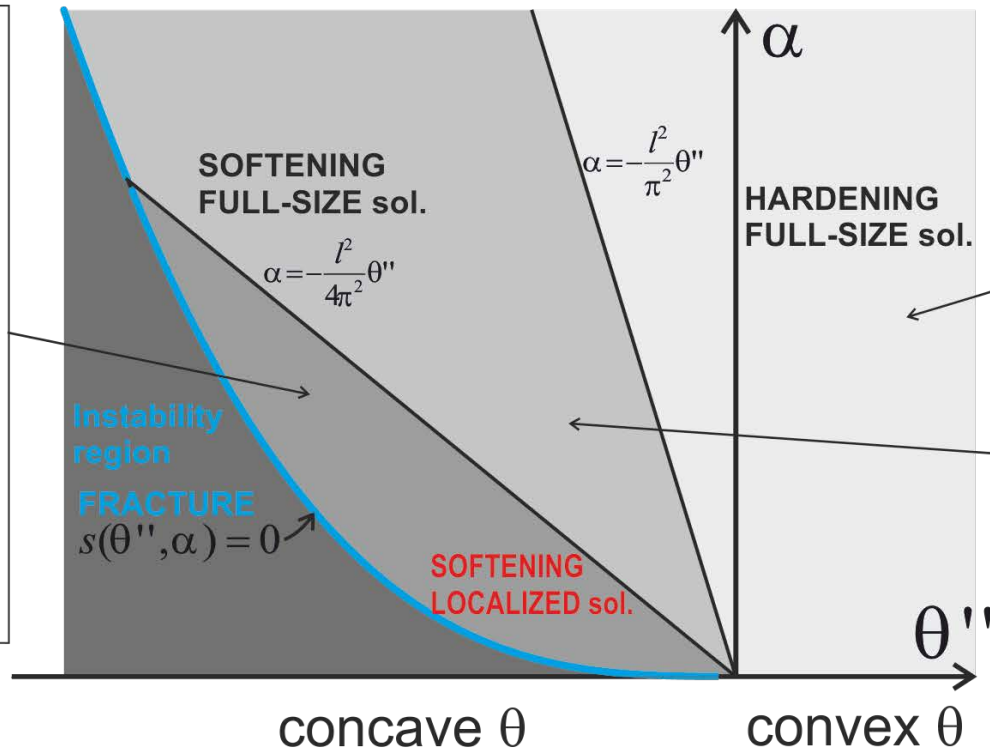
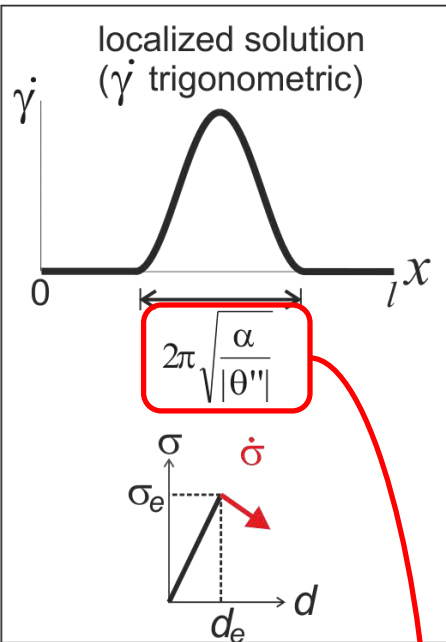
$$\dot{u}_t(0) = 0 \quad \dot{u}_t(l) = \dot{d}_t l$$


Evolution pb.

$$\left[\begin{array}{l} \dot{\gamma}_t \geq 0, \\ \dot{\sigma}_t \leq \dot{\sigma}_t^c, \text{ with } \dot{\sigma}_t = E(\dot{d}_t - \frac{1}{l} \int_0^l \dot{\gamma}_t dx) \\ [\dot{\sigma}_t - \dot{\sigma}_t^c] \dot{\gamma}_t = 0 \end{array} \right. \Rightarrow \begin{array}{l} \dot{\gamma}_t \\ \dot{\varepsilon}_t = \dot{d}_t - \frac{1}{l} \int_0^l \dot{\gamma}_t dx \end{array}$$

$\dot{\gamma}_t$ depends on $\theta(\gamma_t)$, $\alpha(\gamma_t)$, l and E

θ - α map



internal length $l_i = 2\pi \sqrt{\frac{\alpha}{|\theta''|}}$

$l \leq l_i \Rightarrow$ full size sol. } manifestation of the **size-effect**
 $l > l_i \Rightarrow$ localized sol. }

Instability and fracture

Sufficient condition for a minimum:

$\delta F \geq 0$, and $\delta^2 F \geq 0$ for all perturbations for which $\delta F = 0$

$$\delta^2 F(\dot{\gamma}_t, \delta\dot{\gamma}) = \int_0^l (\theta''(\gamma_t)\delta\dot{\gamma}^2 + E\delta\bar{\dot{\gamma}}^2 + \alpha\delta\dot{\gamma}'^2) dx \geq 0, \text{ for all } \delta\dot{\gamma} \text{ in the plastic zone,}$$

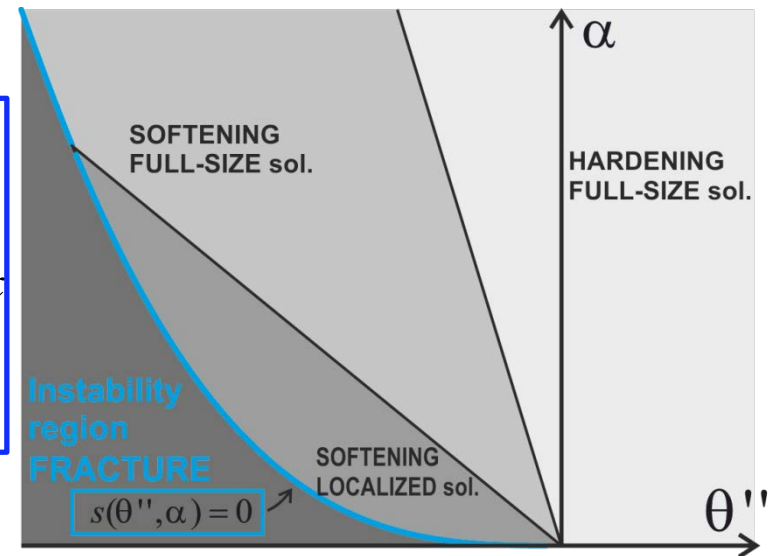
where $\sigma_t = \sigma_t^c$ and $\delta F = 0$



The smallest eigenvalue ρ_1 of the eigenvalue pb

$$\int_0^l (\theta''(\gamma_t)\delta\dot{\gamma}^2 + E\delta\bar{\dot{\gamma}}^2 + \alpha\delta\dot{\gamma}'^2) dx = \rho \int_0^l \delta\dot{\gamma}^2 dx$$

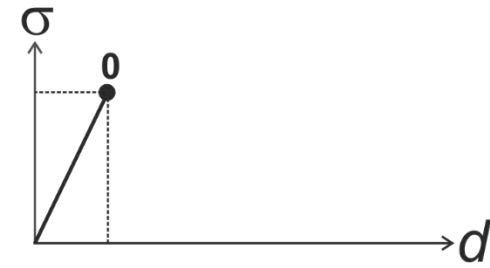
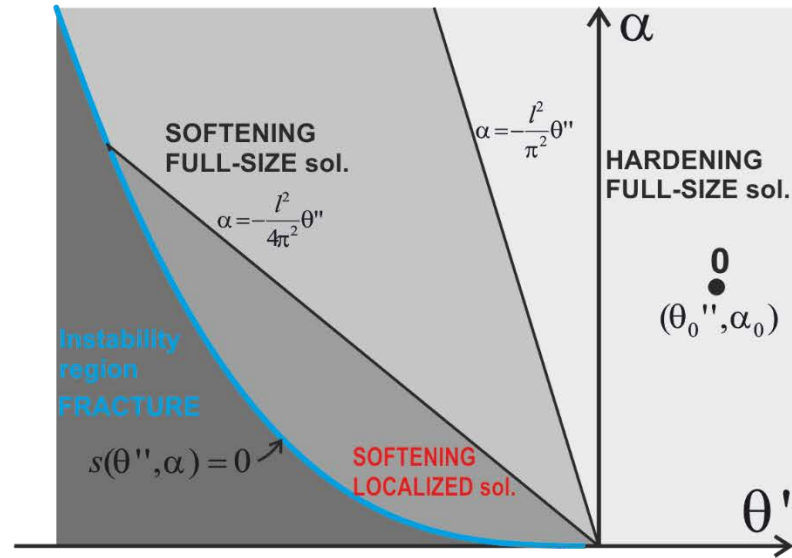
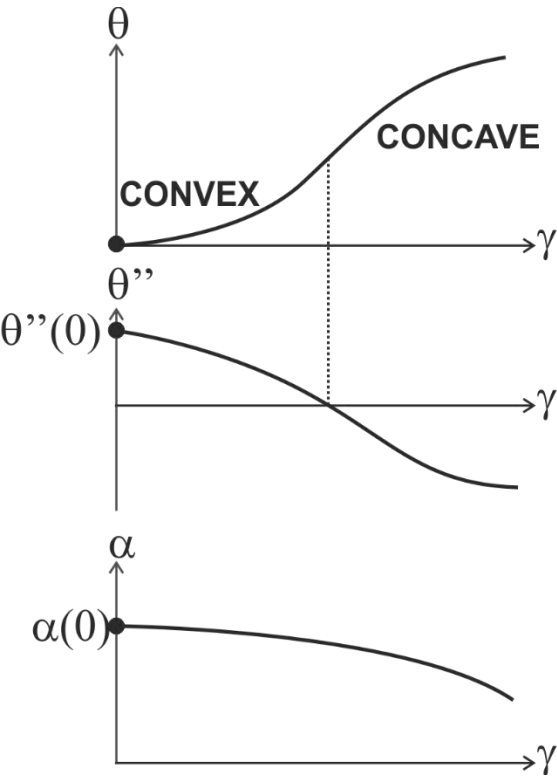
is positive

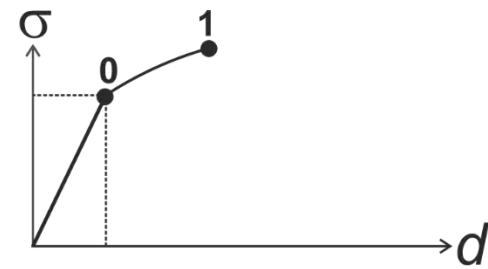
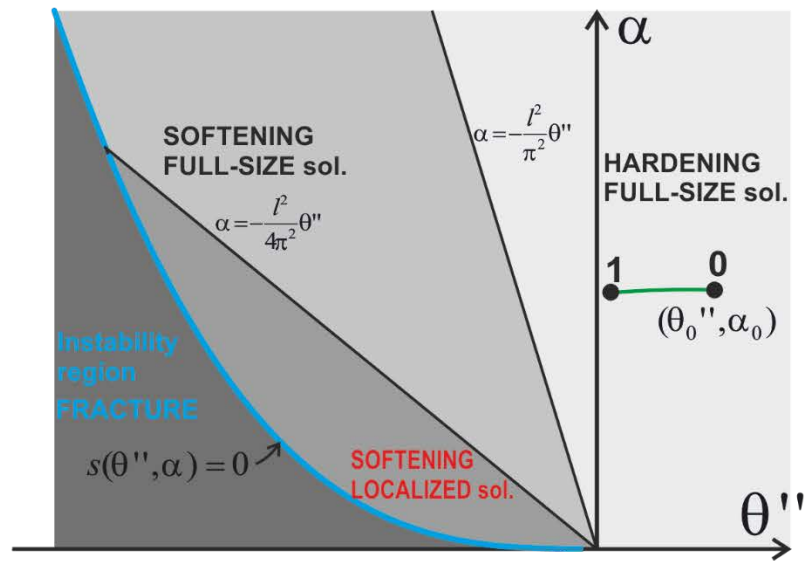
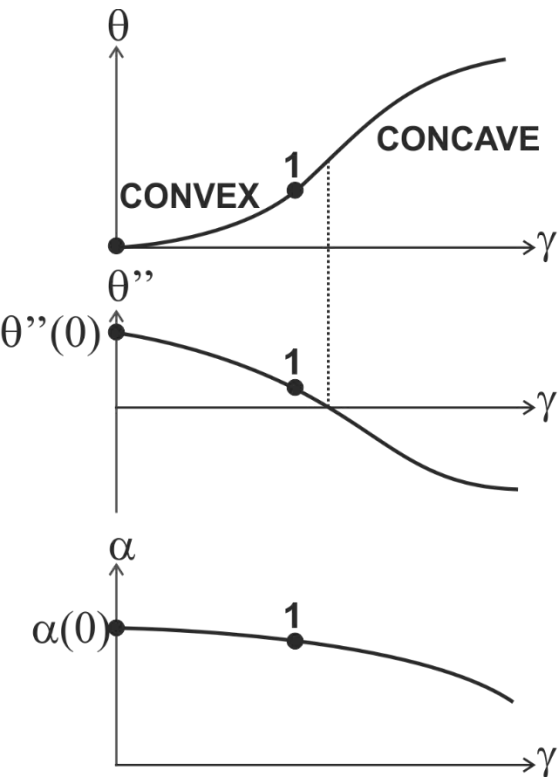


$$\rho_1 \geq 0 \iff s(\theta'', \alpha) = \psi(l\sqrt{-\theta''/\alpha}) + \theta''/E \geq 0$$

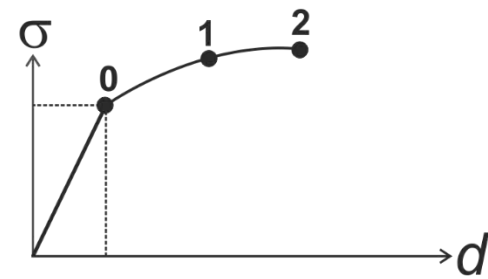
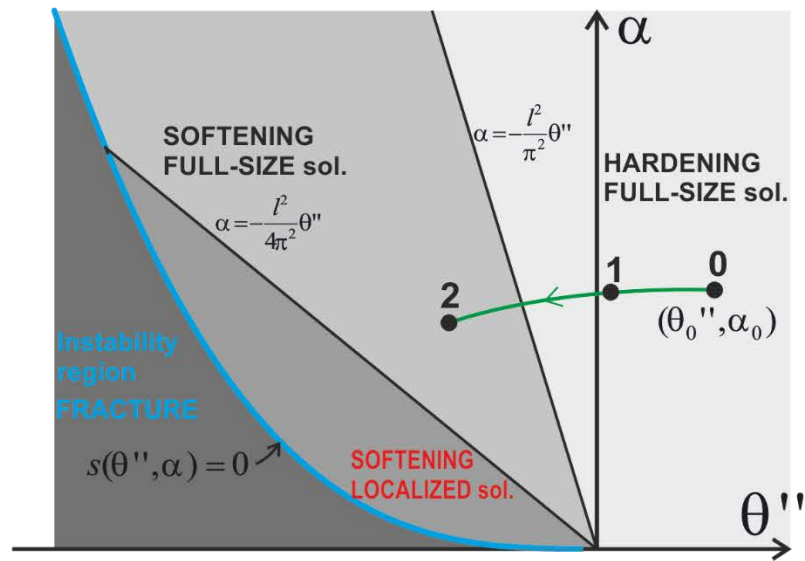
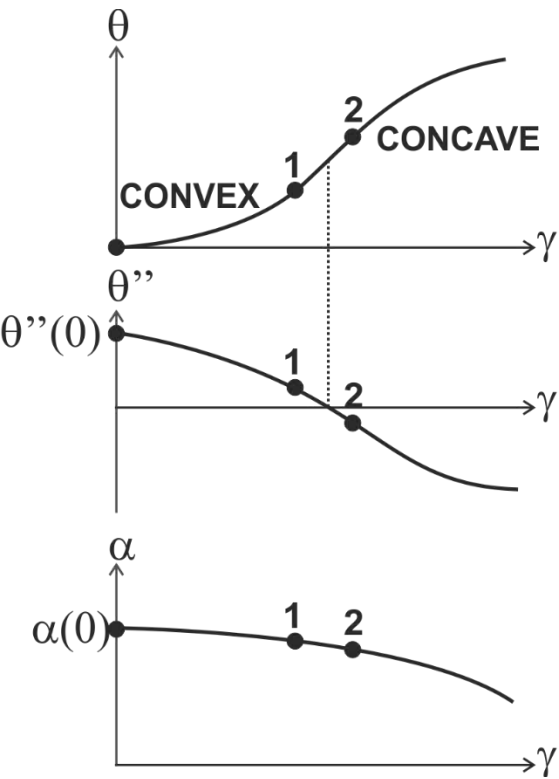
If $s < 0$, F can attain unlimited negative values for perturbations concentrated on intervals of sufficiently small length. \implies This situation variationally characterizes **fractured configurations**.

Which shapes to $\theta(\gamma)$ and $\alpha(\gamma)$? ... hints from the analytical solution

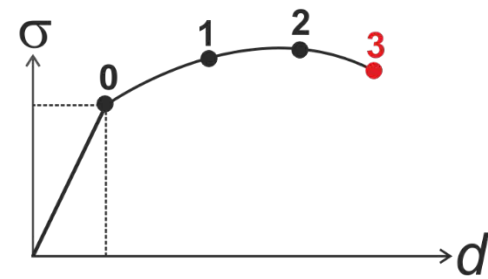
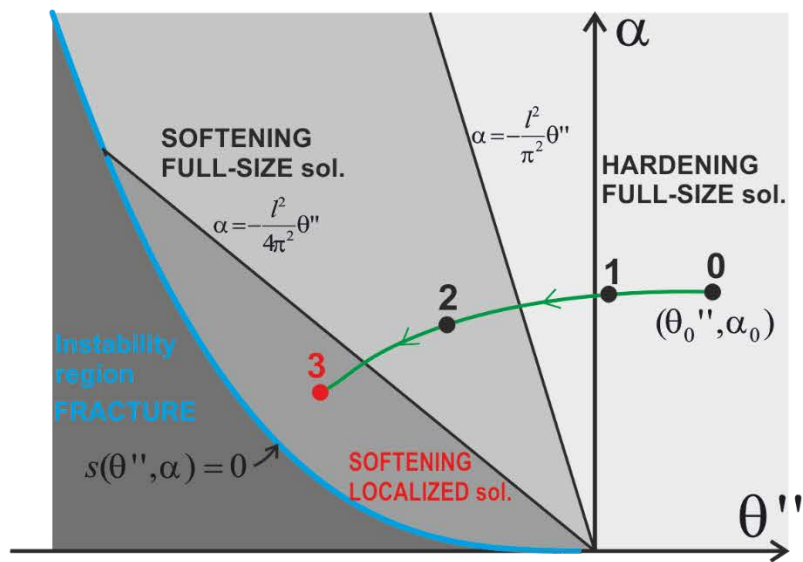
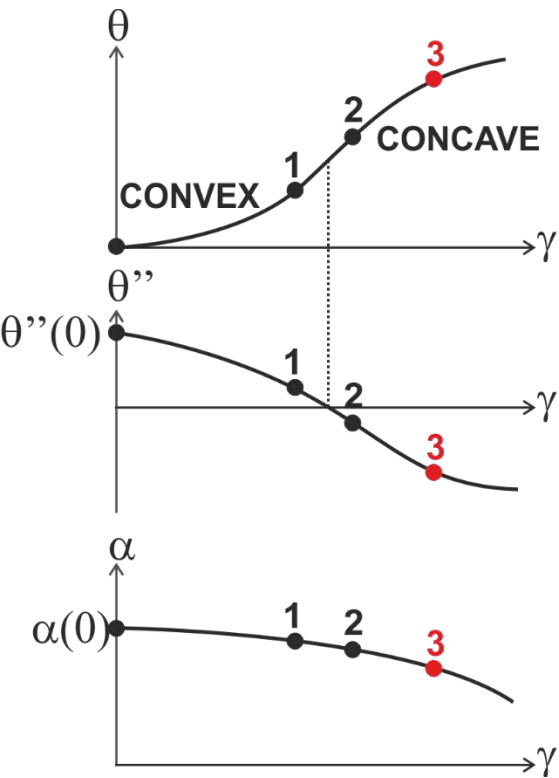




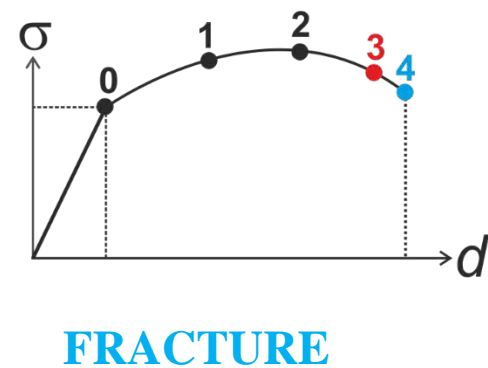
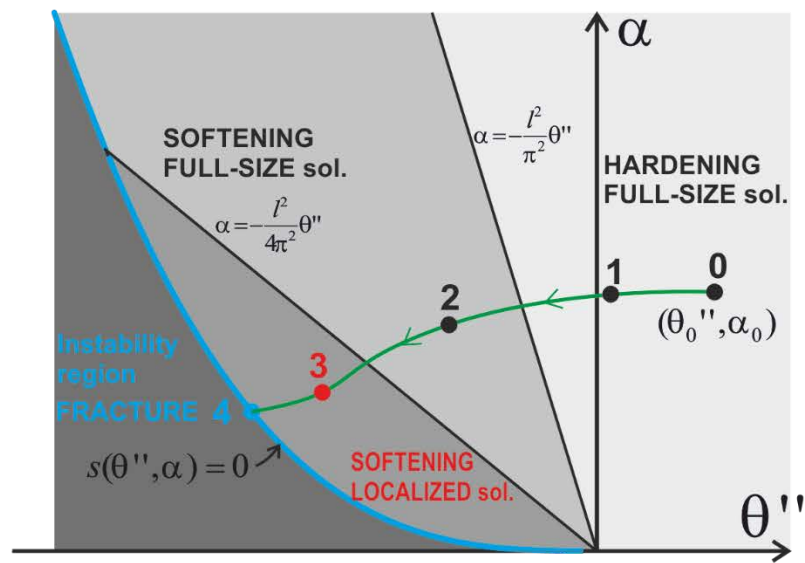
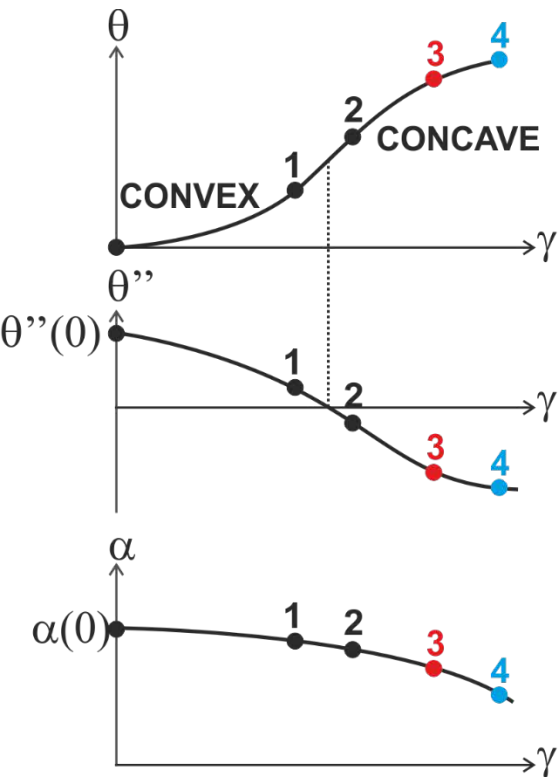
**Stress-hardening
Full size solution**



Stress-softening Full size solution

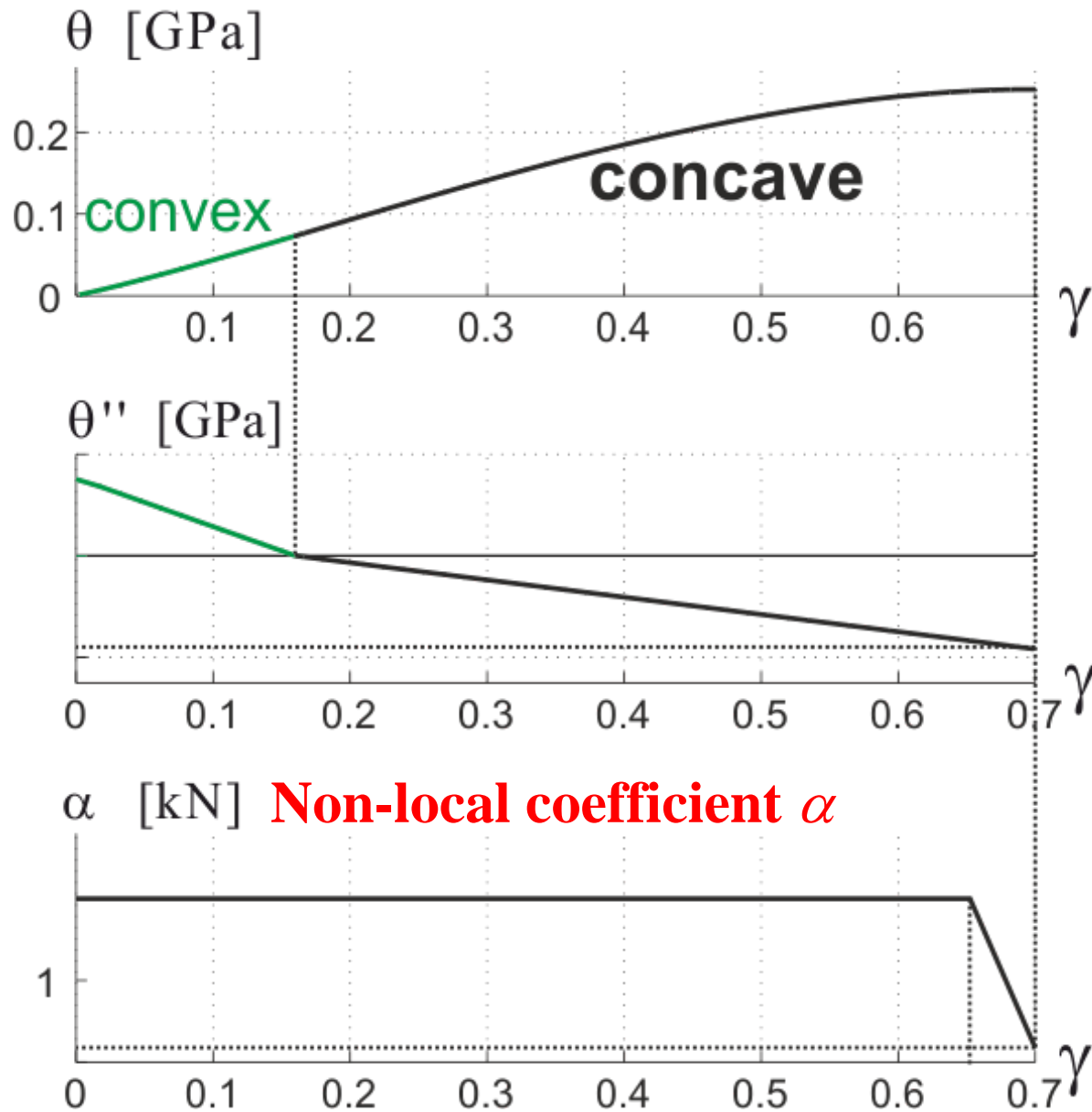


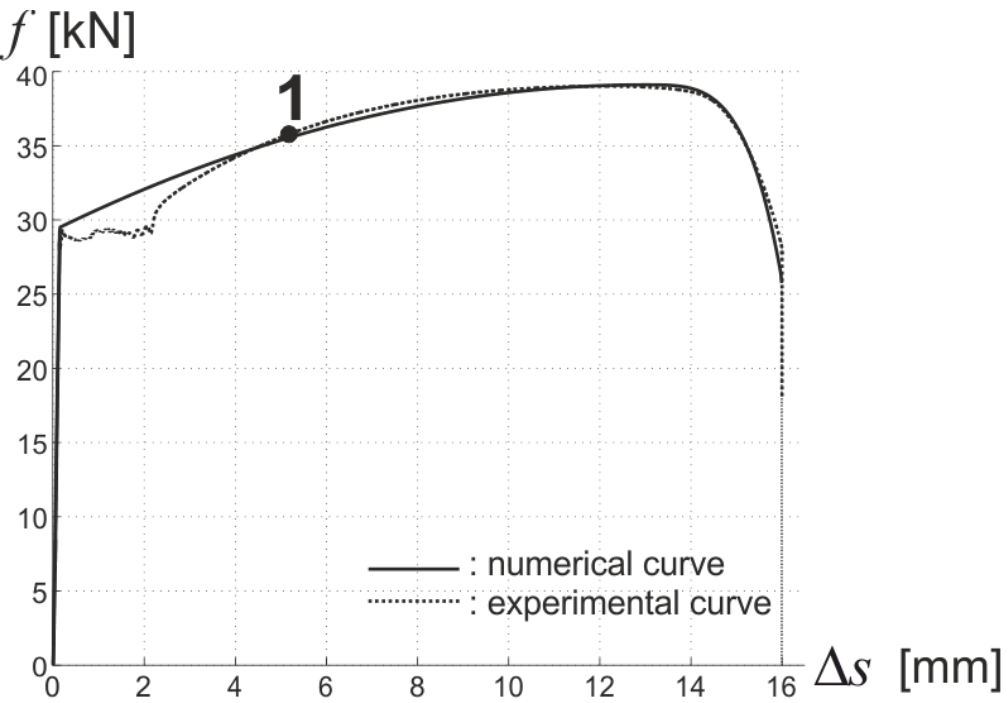
**Stress-softening
Localized solution**



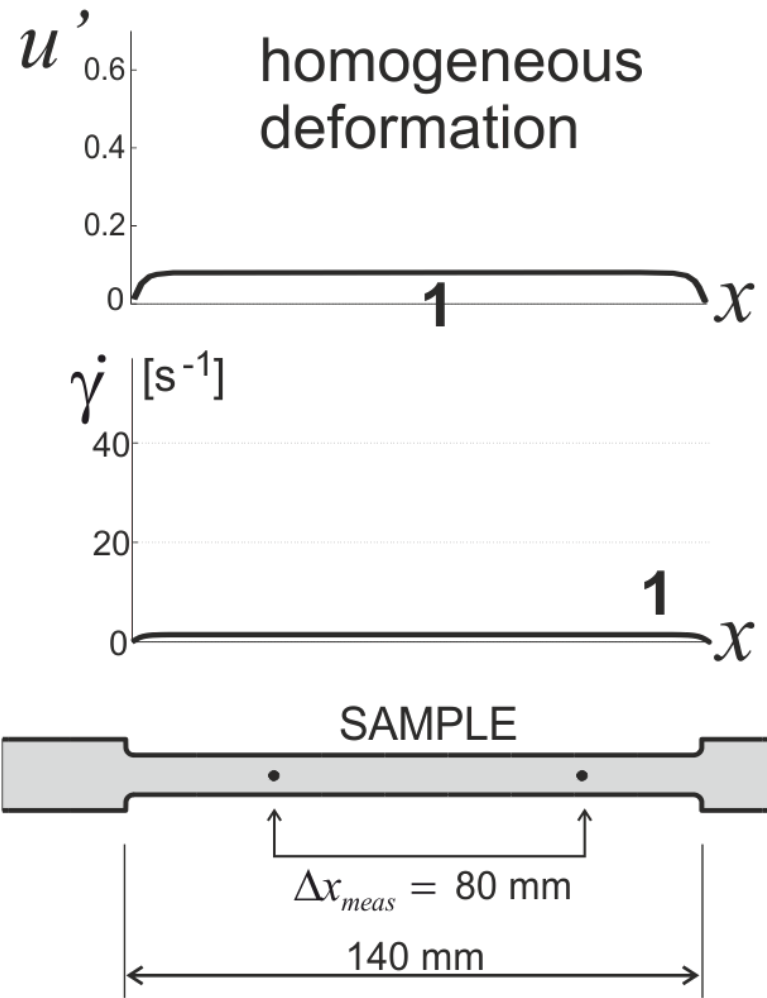
FRACTURE

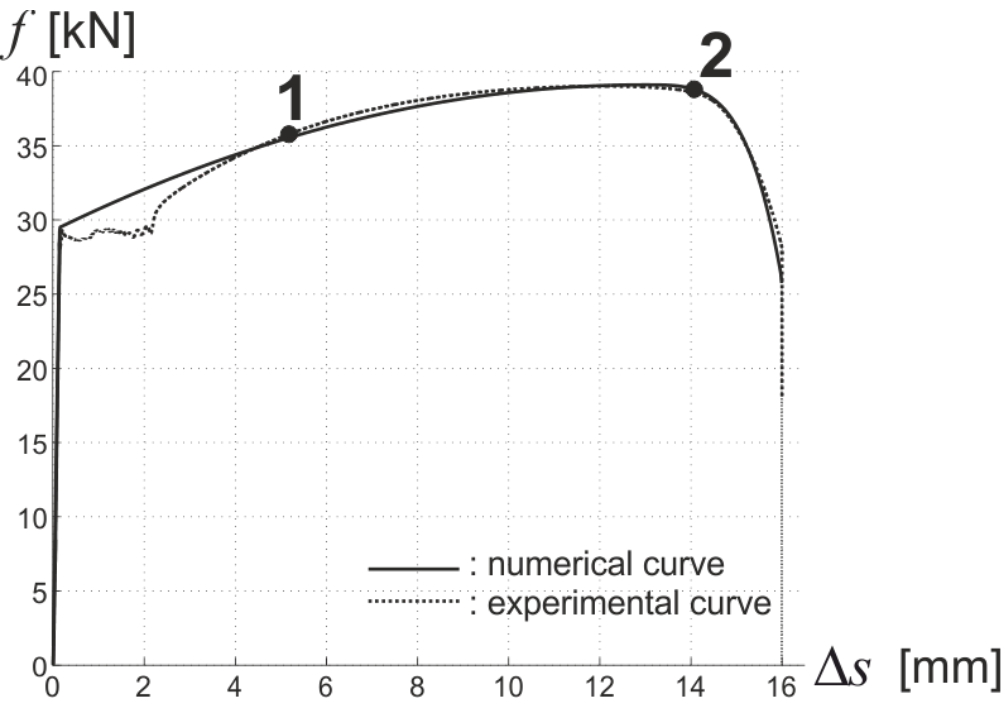
Plastic energy θ , piecewise cubic



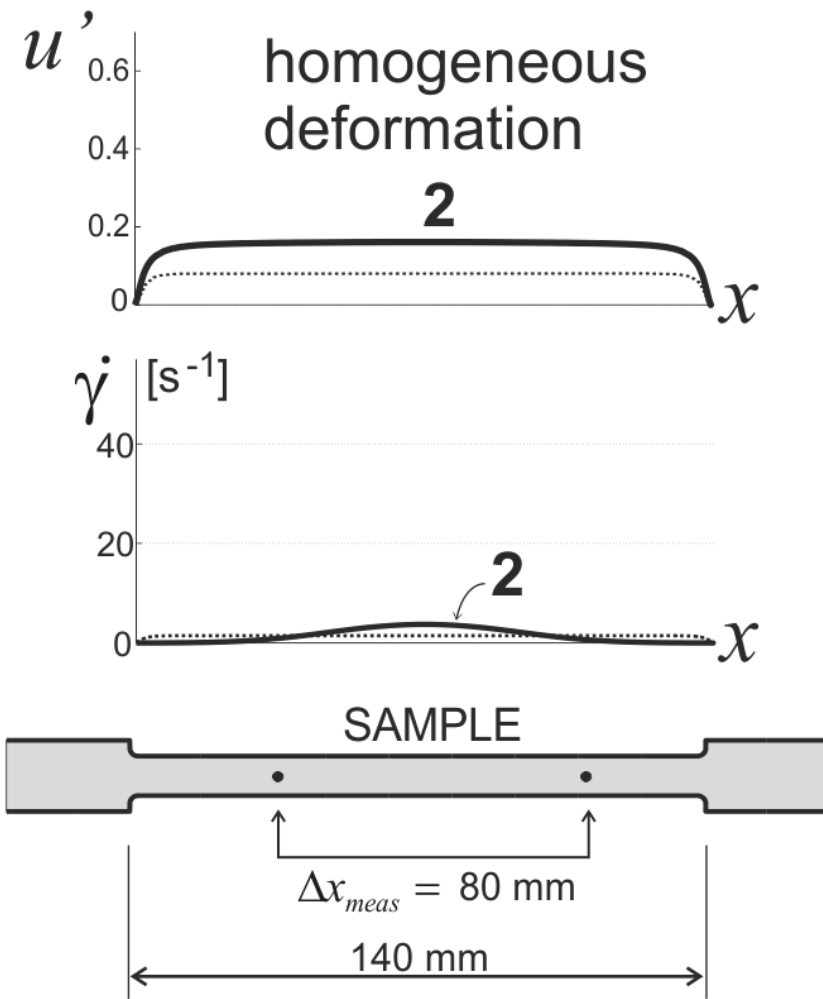


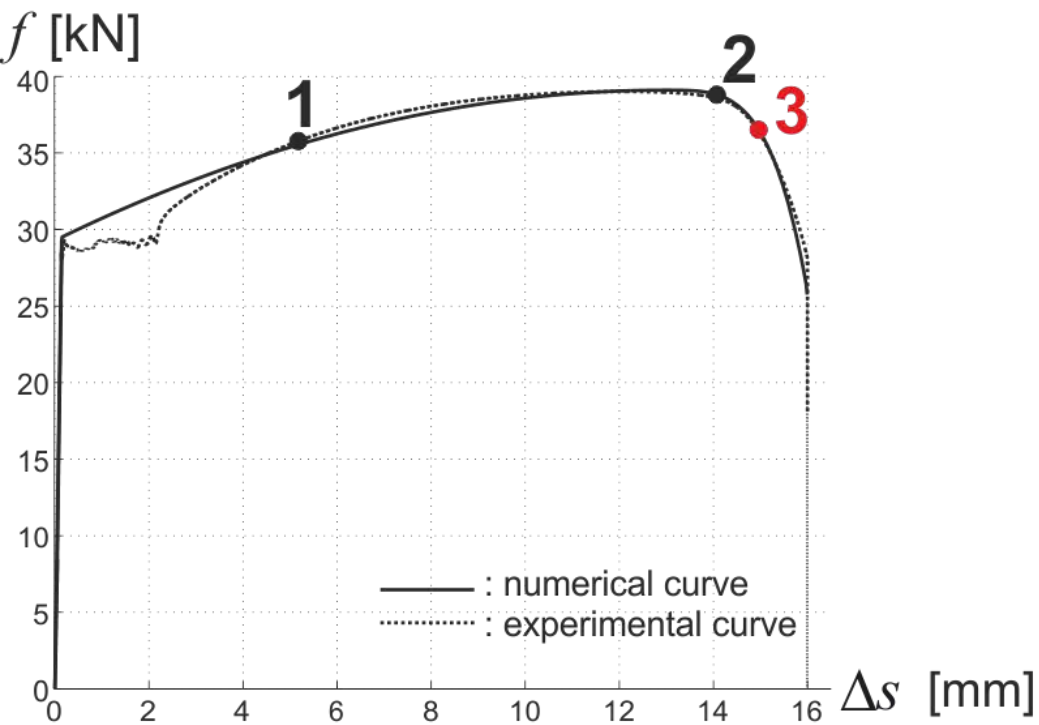
Lancioni, J. Elasticity, 2015



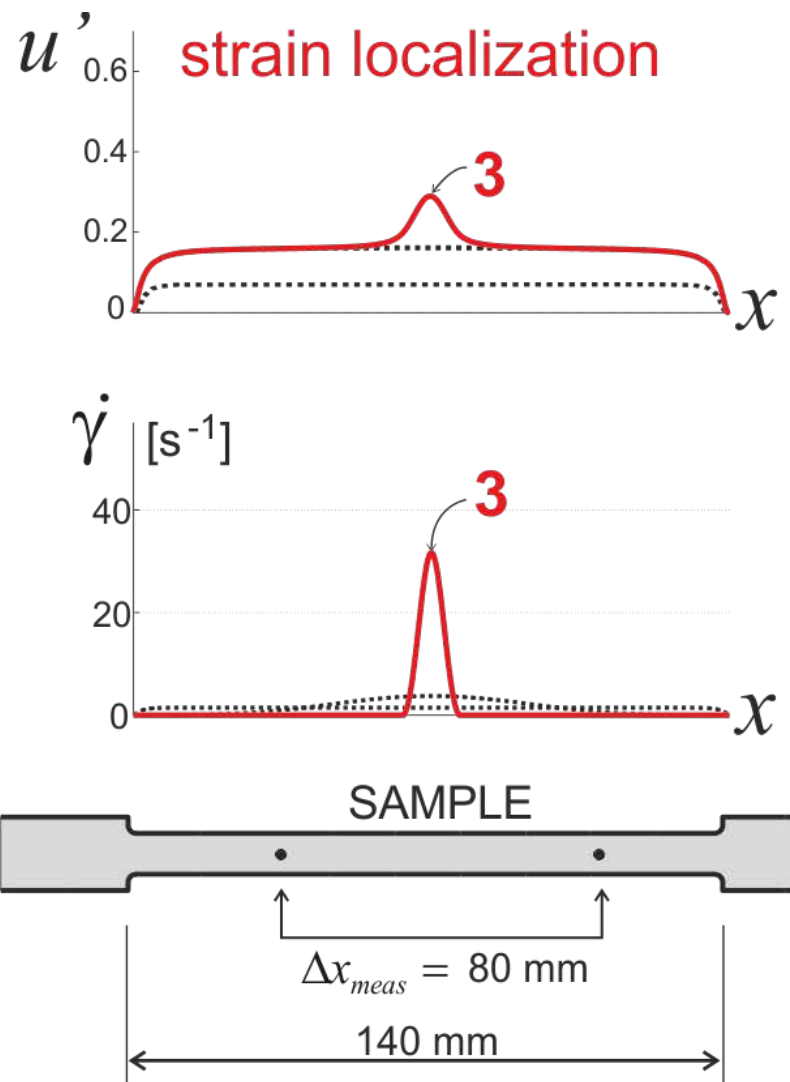


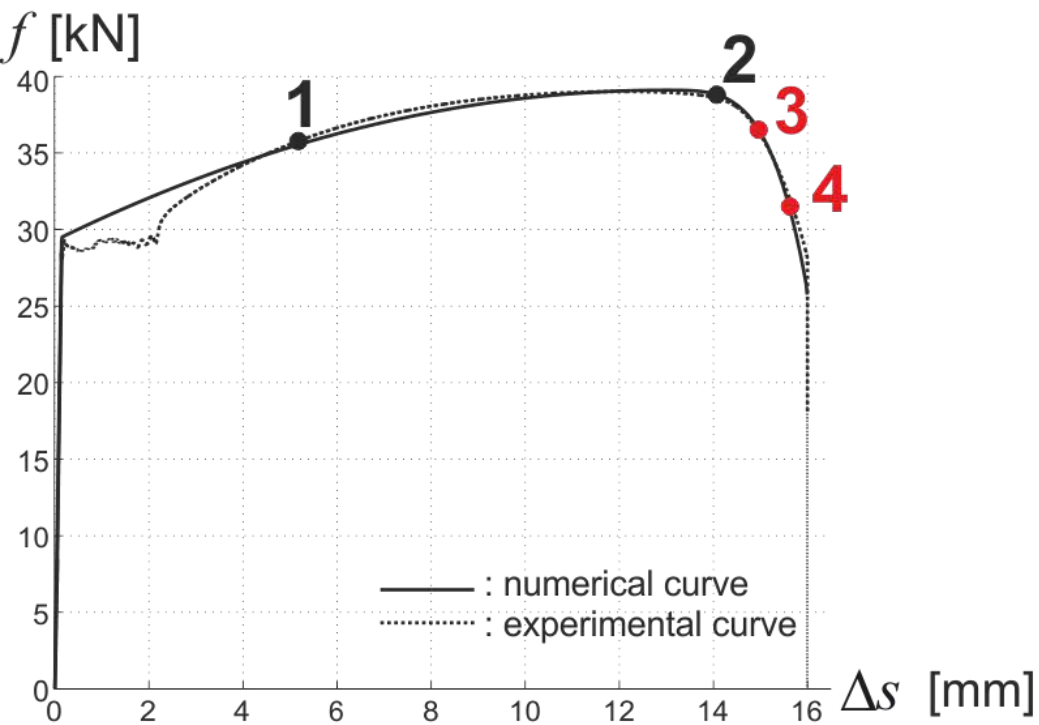
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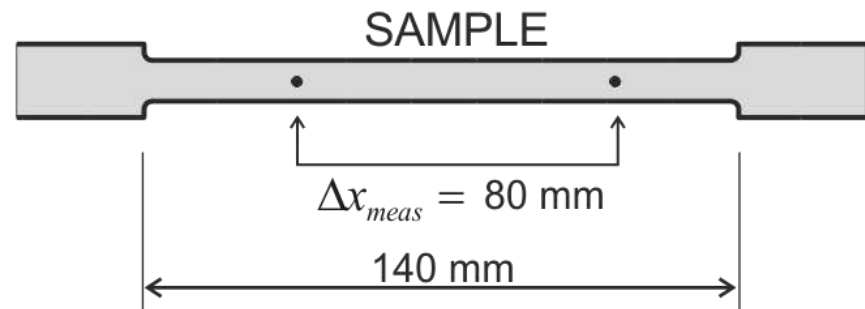
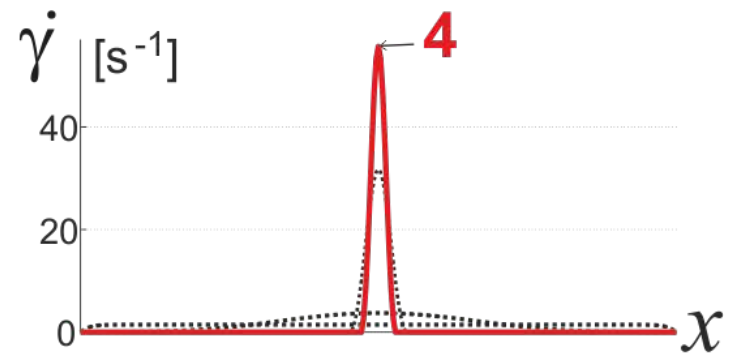
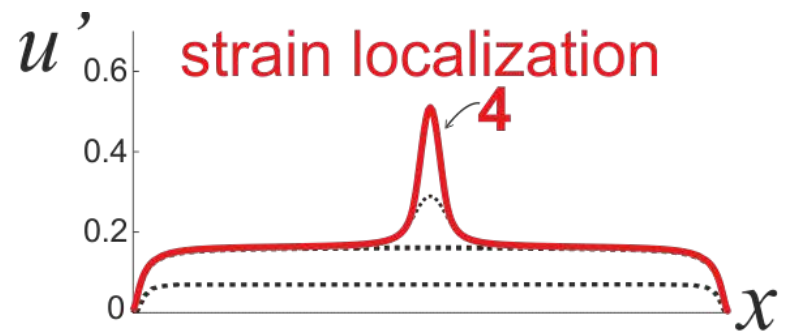


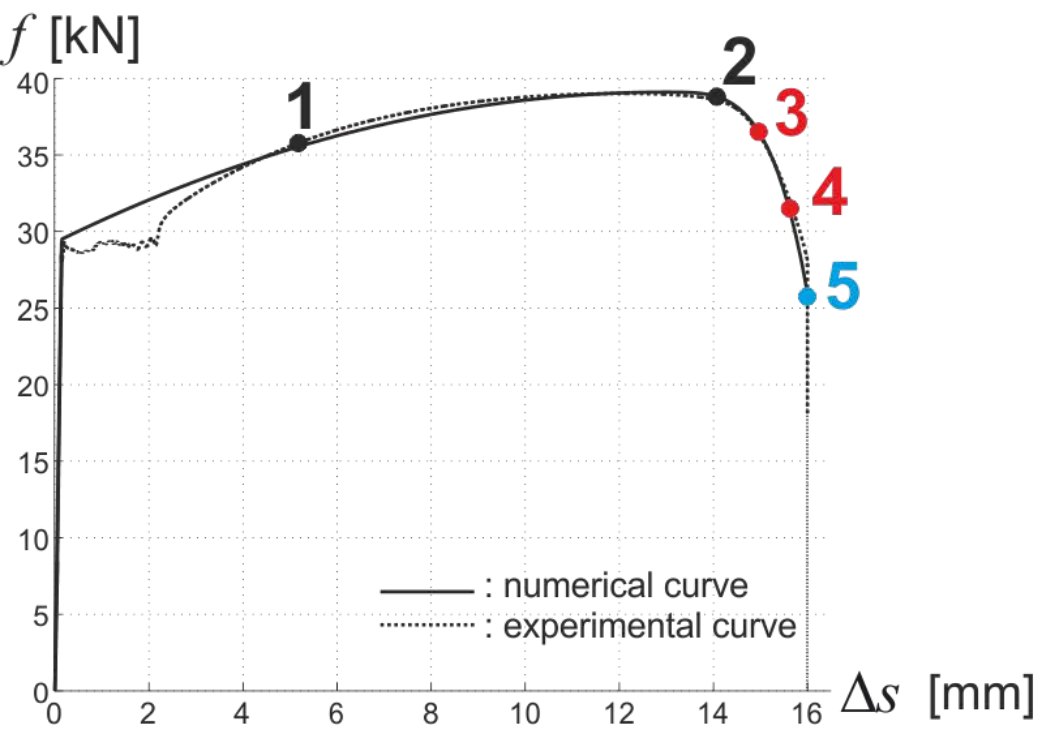
Lancioni, J. Elasticity, 2015



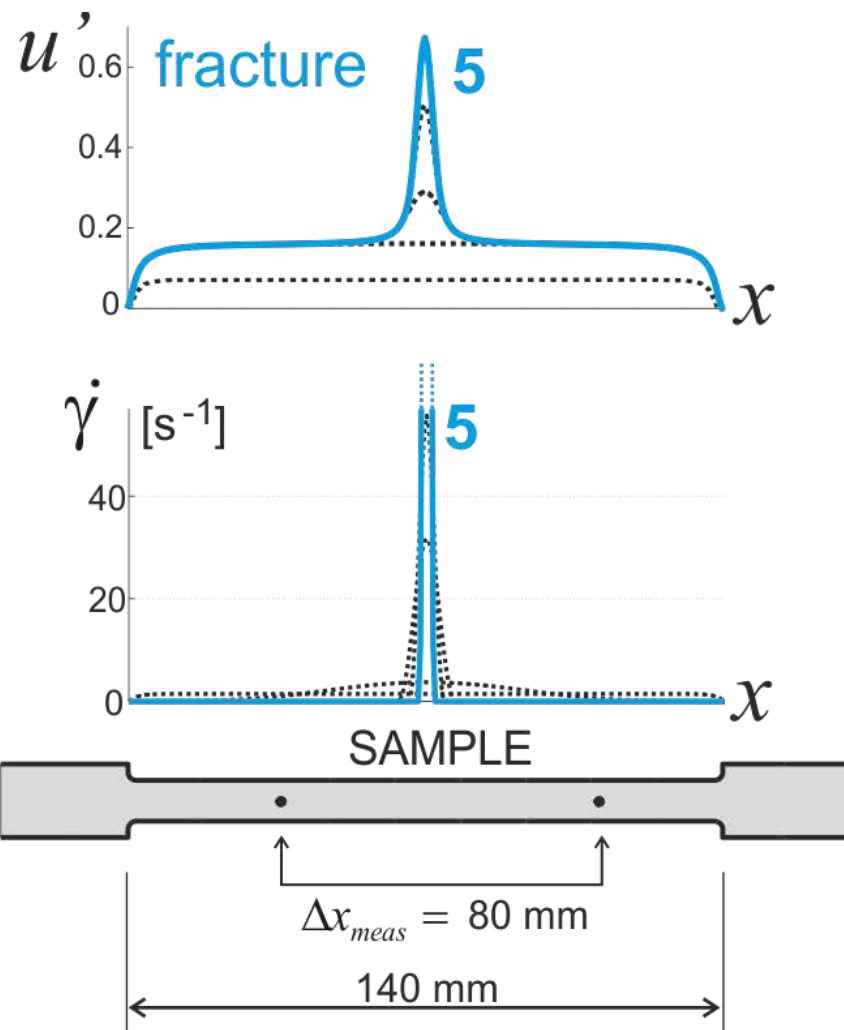


Lancioni, J. Elasticity, 2015





Lancioni, J. Elasticity, 2015



Constitutive parameters setting [Lancioni, J. Elast., 2015]

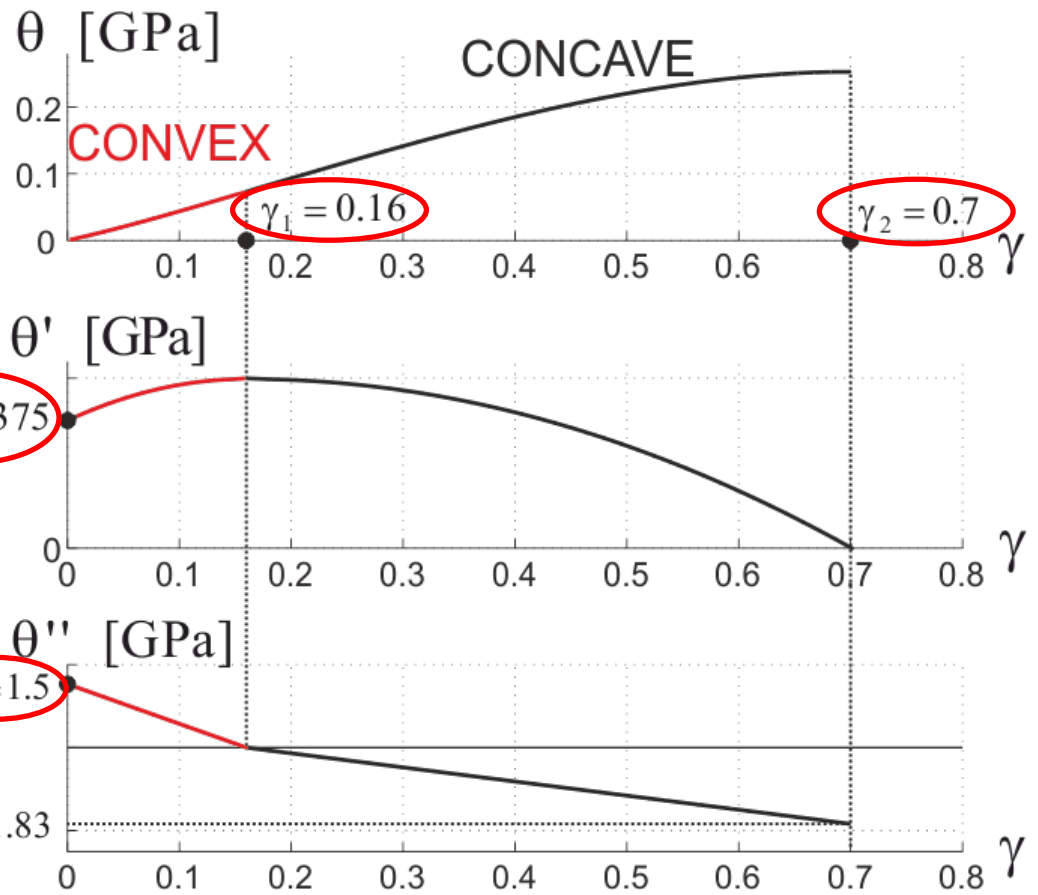
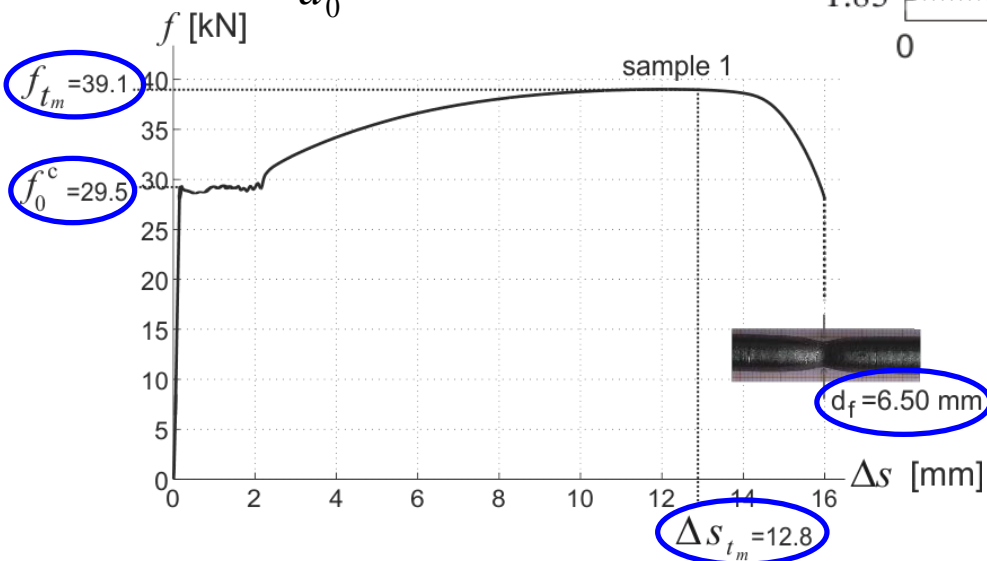
$E=210 \text{ kN/mm}^2$,

$$c_1 = \frac{f_0^c}{A}$$

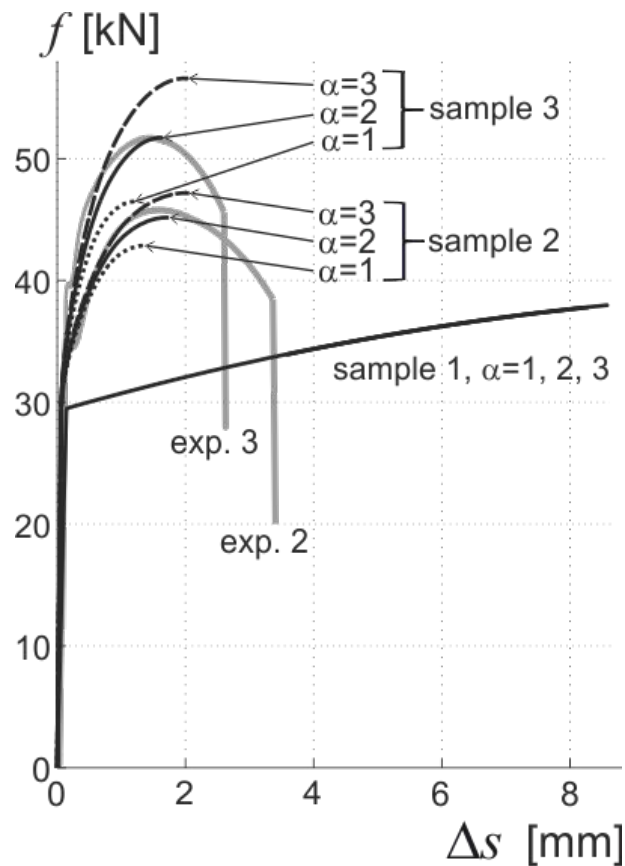
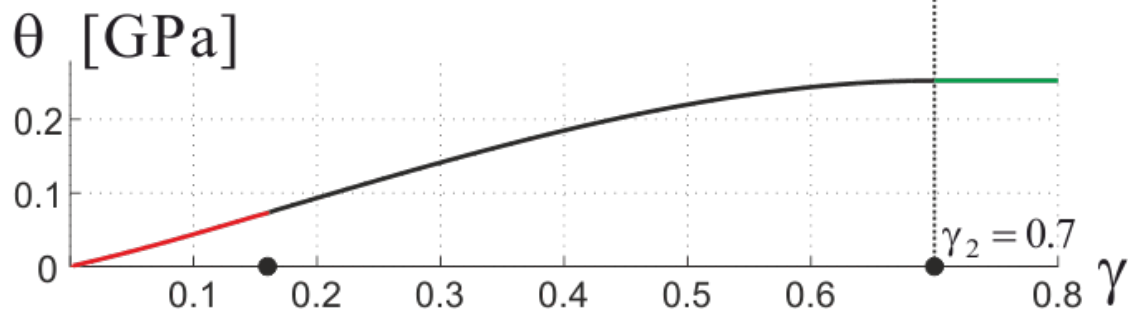
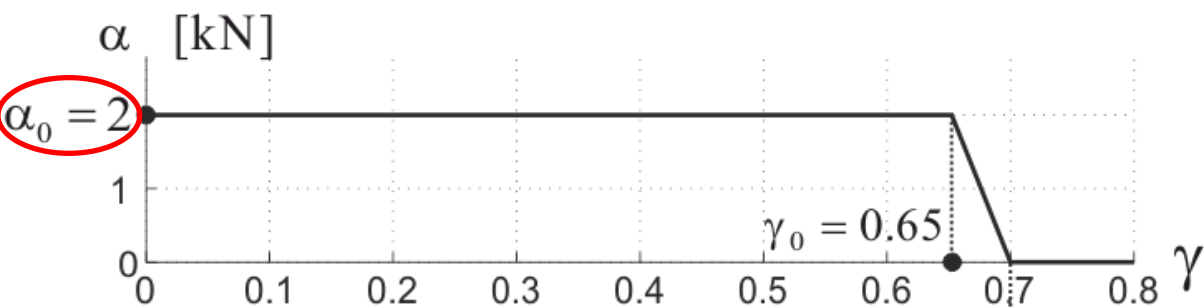
$$\gamma_1 = \frac{\Delta s_{t_m}}{\Delta x} - \frac{f_{t_m}}{EA}$$

$$c_2 = \frac{2}{A\gamma_1} (f_{t_m} - f_0^c)$$

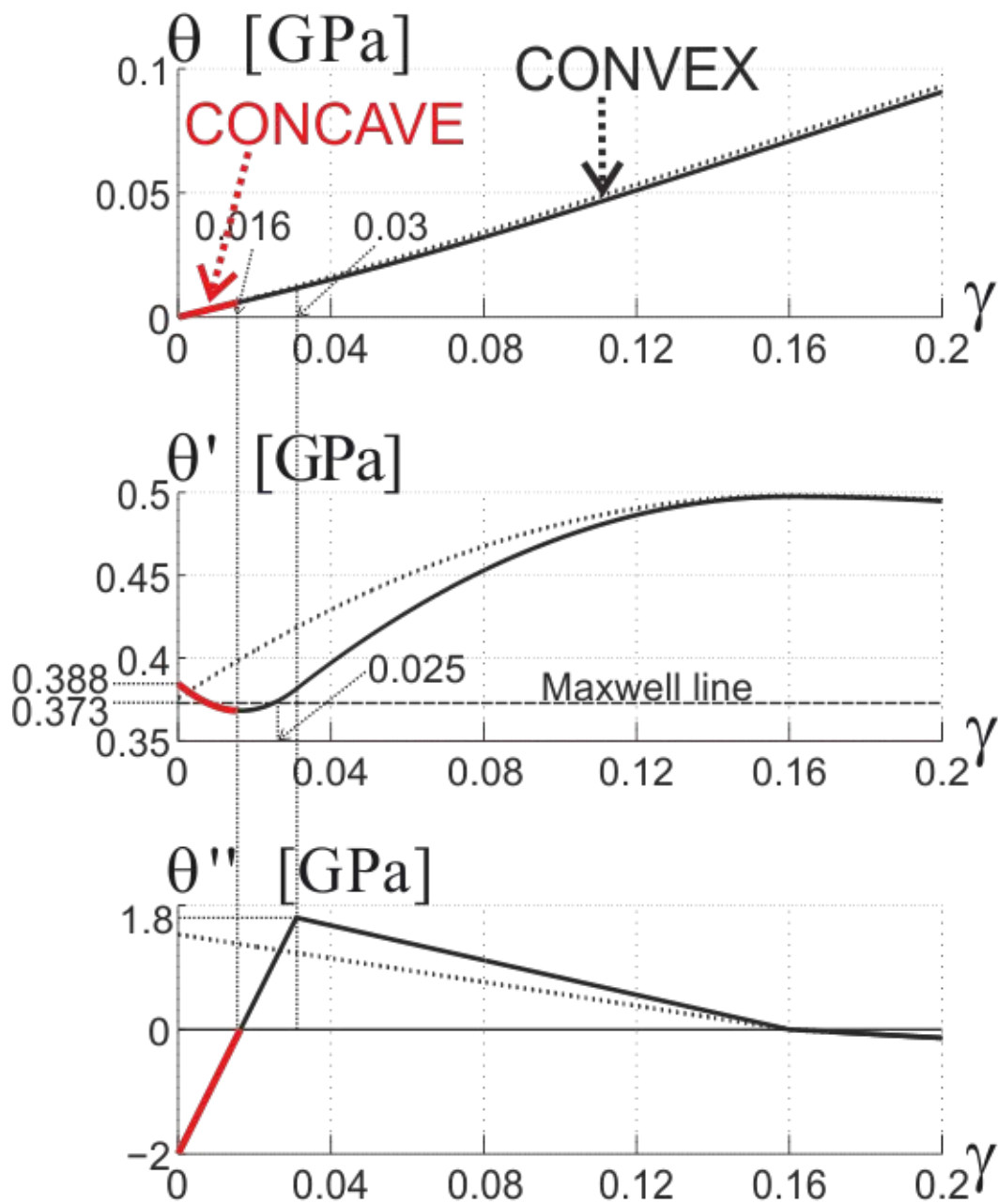
$$\gamma_2 = 2 \frac{d_0 - d_f}{d_0}$$

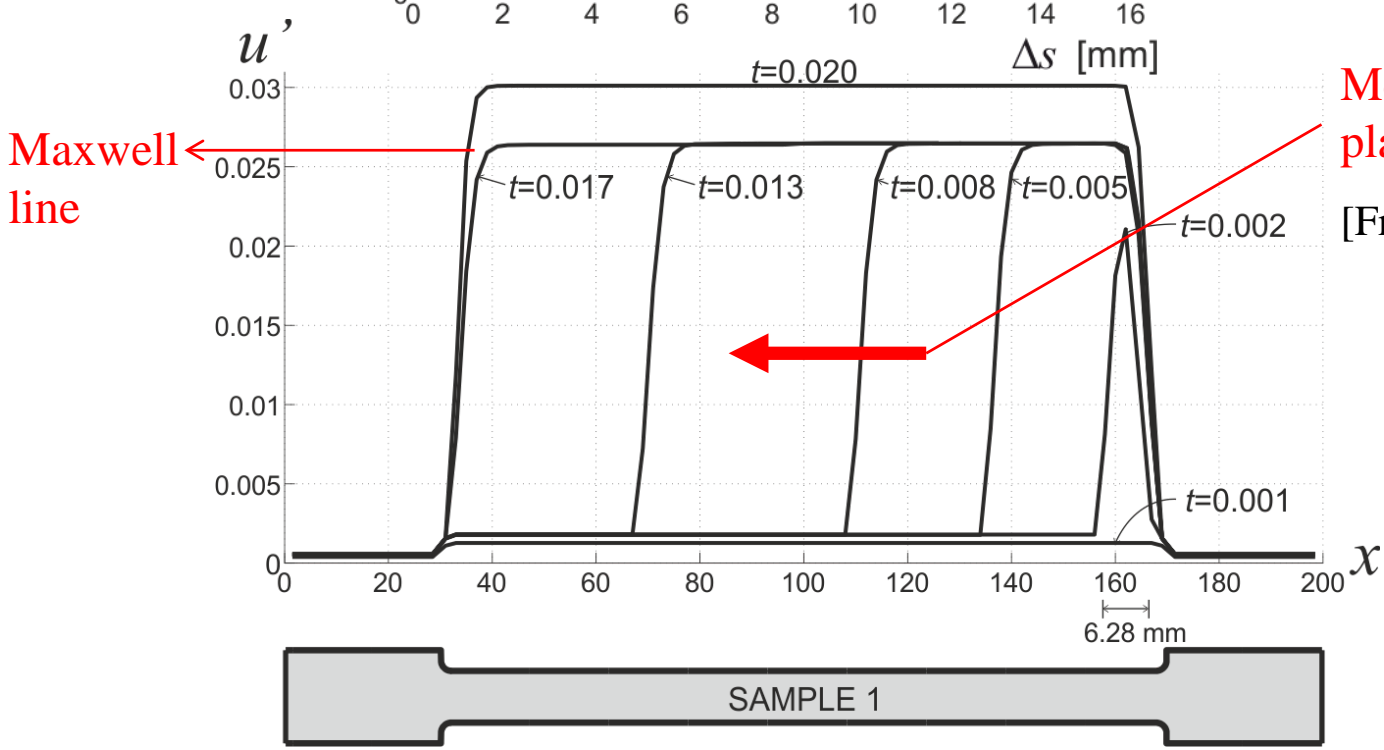
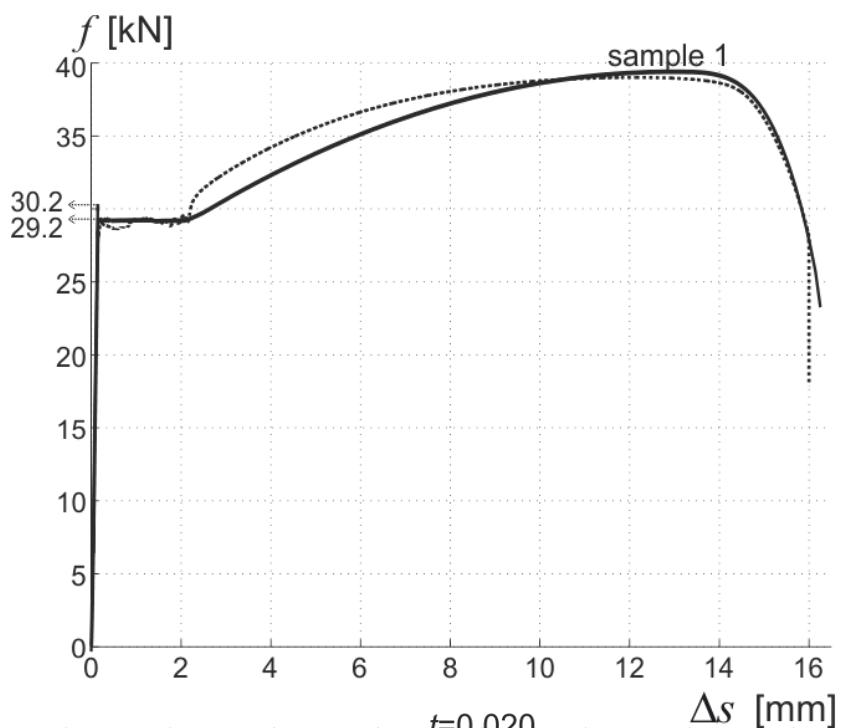


α

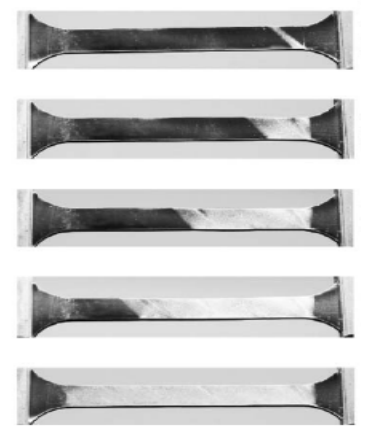


θ concave-convex-concave

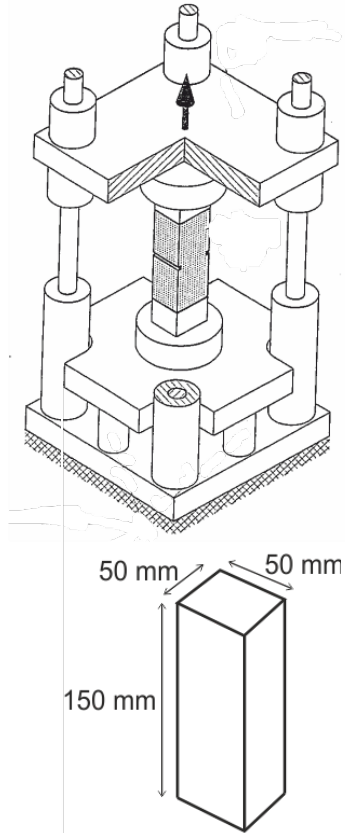




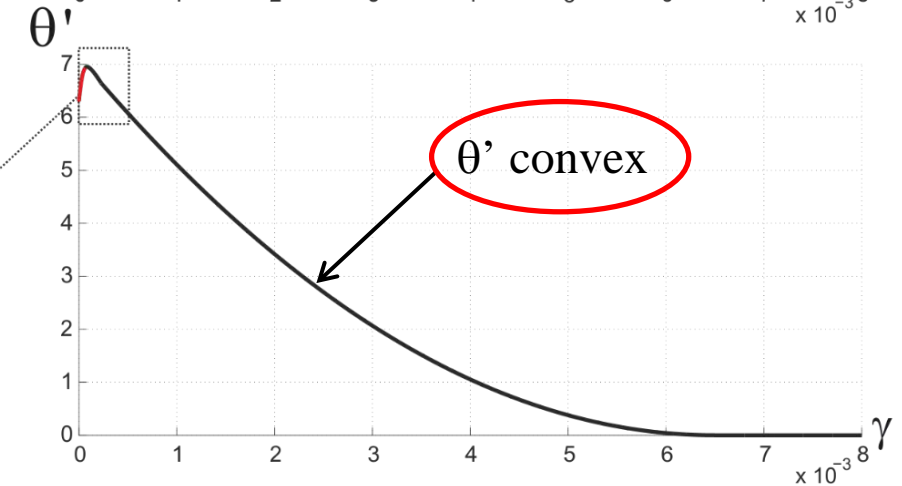
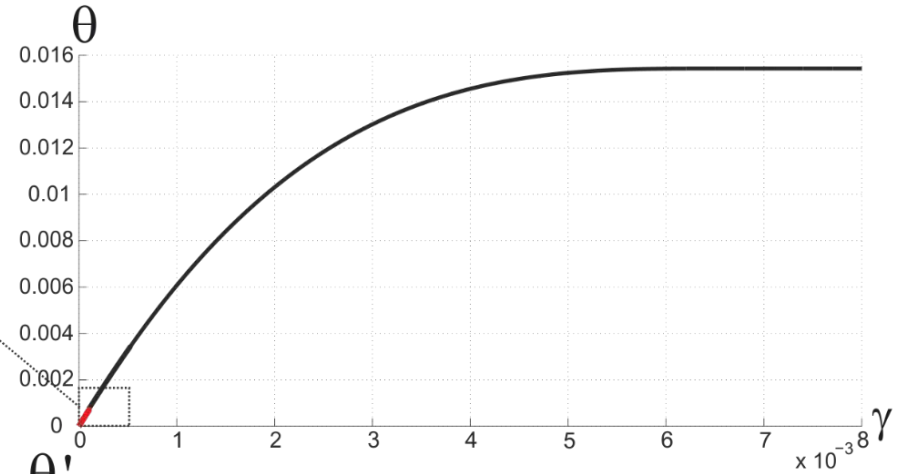
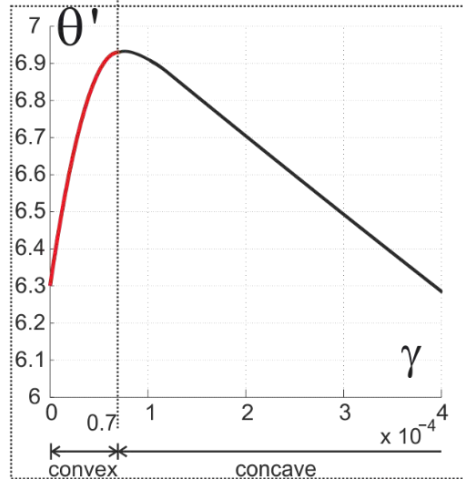
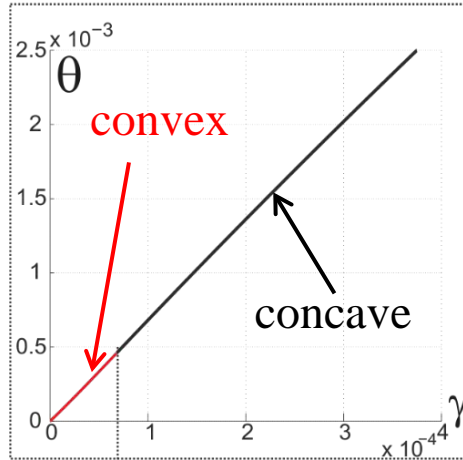
McReynolds' slow plastic wave (1948)
 [Froli, Royer-Carfagni, 1999]

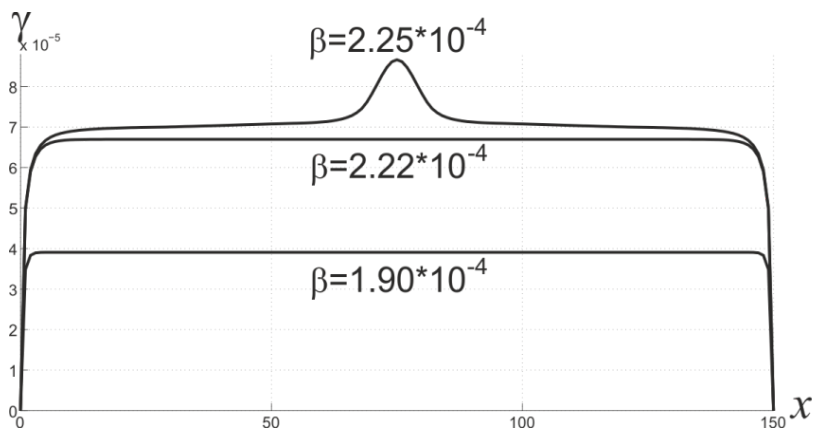
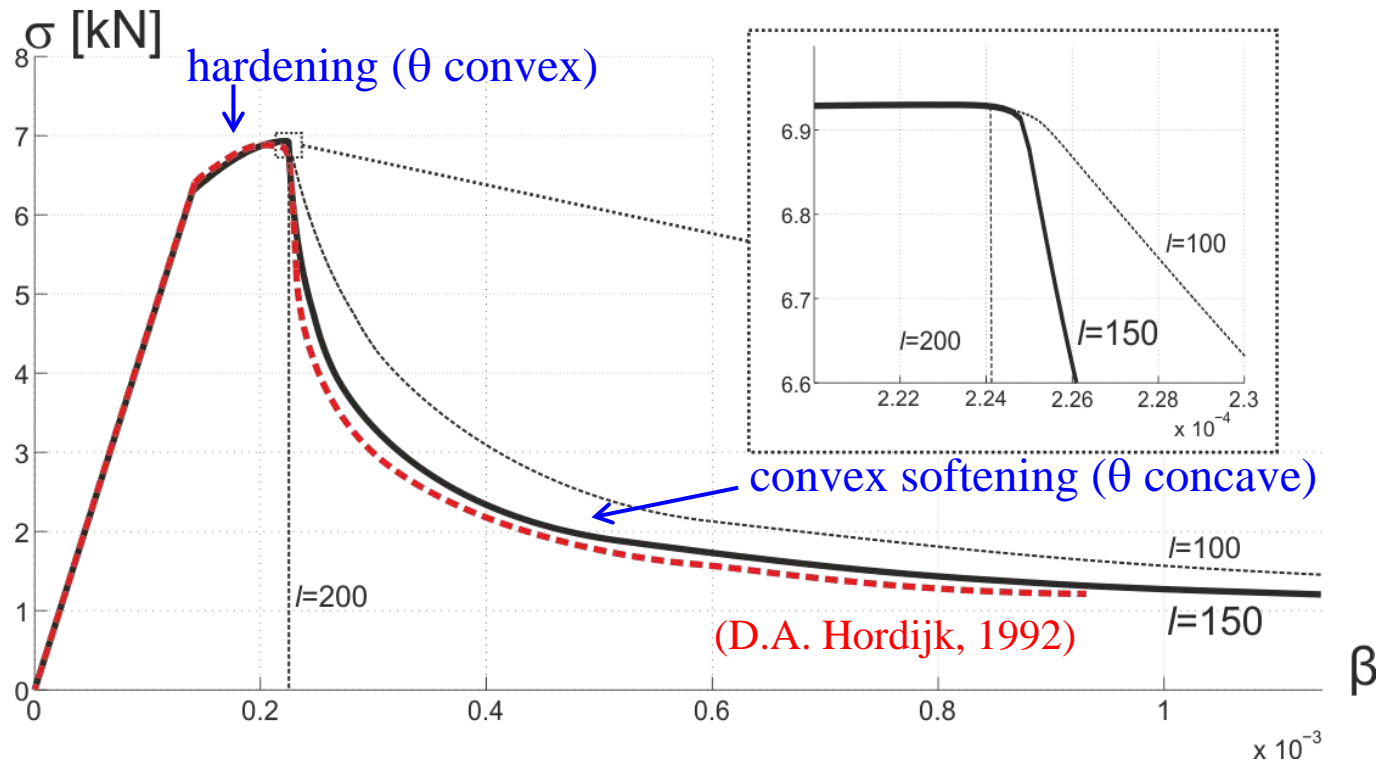


Tensile response of a concrete specimen

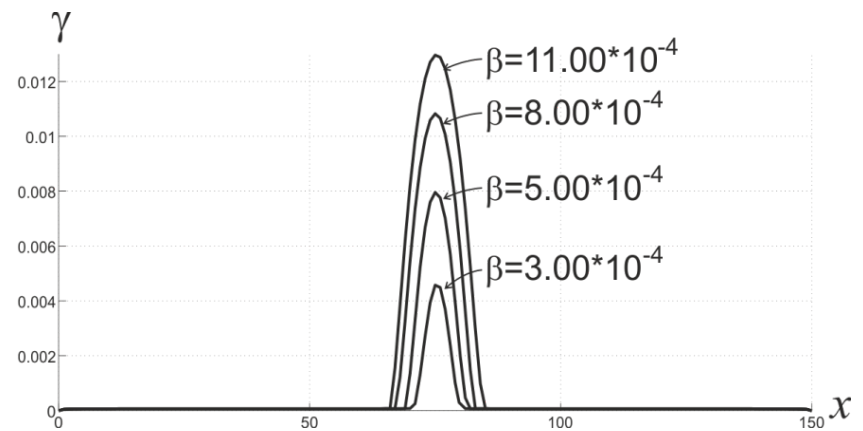


$E=18 \text{ kN/mm}^2$,
 $A=50*50 \text{ mm}^2$,
 $\theta'(0)=6.9 \text{ kN}$ (yielding force),
 $\alpha=3500 \text{ kN mm}^2$





full-size inelastic deformation (θ convex)



Localization and enlargement of the plastic zone (θ concave)

Multi-dimensional extensions

1D rate-dependent plasticity model

[Yalcinkaya, Brekelmans, Geers, JMPS, 2011]

Virtual work principle, dissipation inequality;

Nonconvex plastic potential;

Non-local gradient energy term.

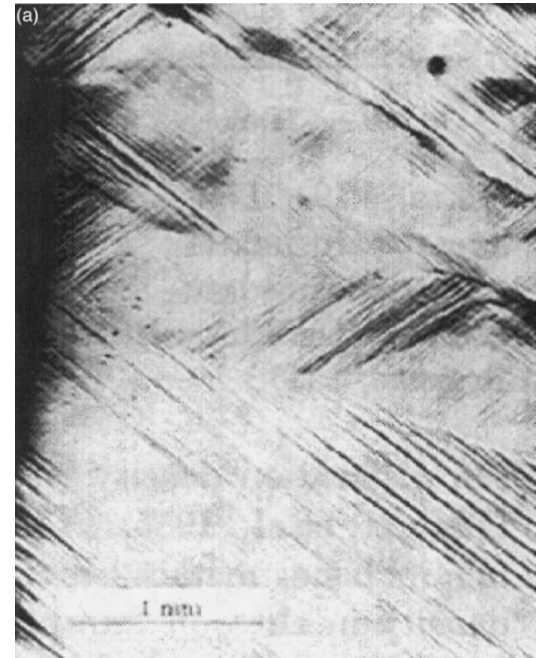
Plastic deformation partially recoverable and partially dissipated through a viscous micro-stress

See [Lancioni, Yalcinkaya, Cocks, *Proc. R. Soc. A*, 2015] for models comparison.

Extension to 2D single crystal plasticity

[Yalcinkaya, Brekelmans, Geers, *Int. J. Solids Struct.*, 2012]

... joint work with Gianluca Zitti (PhD at Univpm)



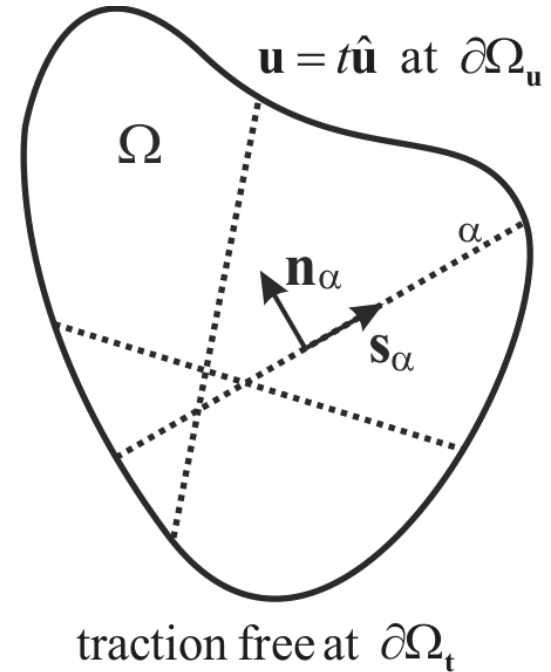
Plastic single-slip domains
[Saimoto, 1963]

Deformation

$$\underbrace{\text{sym}\nabla\mathbf{u}(x)}_{\substack{\text{total} \\ \text{def.}}} = \underbrace{\mathbf{E}^e(x)}_{\substack{\text{elastic} \\ \text{def.}}} + \underbrace{\mathbf{E}^p(x)}_{\substack{\text{plastic} \\ \text{def.}}}$$

$$\mathbf{E}^p(x) = \sum_{\alpha} \underbrace{\gamma_{\alpha}}_{\substack{\text{plastic} \\ \text{slip}}} \underbrace{\text{sym}(\mathbf{s}_{\alpha} \otimes \mathbf{n}_{\alpha})}_{\substack{\text{Schmid tensor} \\ \text{slip direction} \otimes \text{slip-plane normal}}}$$

Single crystal



Energy

$$E(\mathbf{u}, \gamma_\alpha) = \int_{\Omega} \left(\underbrace{\psi_e(\mathbf{E}^e)}_{\text{Elastic energy}} + \underbrace{\theta(|\gamma_\alpha|)}_{\text{Plastic energy}} + \underbrace{\psi_{\nabla\gamma}(\nabla\gamma_\alpha)}_{\text{Non-local energy}} \right) dx$$

Free energy density (stored)

$$\psi(\mathbf{u}, \gamma_\alpha) = \psi_e(\mathbf{E}^e) + \psi_{\nabla\gamma}(\nabla\gamma_\alpha)$$

$$\psi_e(\mathbf{E}^e) = \frac{1}{2} \mathbf{C}[\mathbf{E}^e] \cdot \mathbf{E}^e, \quad \psi_{\nabla\gamma}(\nabla\gamma_\alpha) = \frac{1}{2} \sum_{\alpha} \mathbf{A}_{\alpha} [\nabla\gamma_{\alpha}] \cdot \nabla\gamma_{\alpha}$$

Dissipative plastic energy

$$\downarrow$$

$$\mathbf{A}_{\alpha} = A_{sa} \mathbf{s}_{\alpha} \otimes \mathbf{s}_{\alpha} + A_{na} \mathbf{n}_{\alpha} \otimes \mathbf{n}_{\alpha}.$$

$$\frac{d}{dt} \theta(|\gamma_\alpha|) = \sum_{\alpha} \text{sign}(\gamma_\alpha) \frac{d\theta(|\gamma_\alpha|)}{d|\gamma_\alpha|} \dot{\gamma}_\alpha \geq 0$$

Suppose that $\theta(|\gamma_\alpha|)$ is strictly increasing in each variable $|\gamma_\alpha|$,

the **dissipation condition** reduces to $\text{sign}(\gamma_\alpha) \dot{\gamma}_\alpha \geq 0.$

Equilibrium

perturbation

$$\delta E(\mathbf{u}, \gamma_\alpha, \delta \mathbf{u}, \delta \gamma_\alpha) \geq 0, \quad \text{sign}(\gamma_\alpha) \delta \gamma_\alpha \geq 0$$



$$\text{div} \mathbf{T} = 0, \quad \text{with } \mathbf{T} = \mathbf{C}[\mathbf{E}^e]$$

Macroscopic
balance equation

$$|\mathbf{T} \mathbf{n}_\alpha \cdot \mathbf{t}_\alpha| \leq \pi_\alpha - \text{sign}(\gamma_\alpha) \text{div} \boldsymbol{\xi}_\alpha$$

Yield condition

resolved shear

yield limit

$$\text{with } \pi_\alpha = \frac{d\theta(|\gamma_\alpha|)}{d|\gamma_\alpha|} \quad \text{and} \quad \boldsymbol{\xi}_\alpha = \frac{d\psi_{\nabla\gamma}(\nabla\gamma_\alpha)}{d\nabla\gamma_\alpha}$$

microscopic stress power-conjugated to $\dot{\gamma}_\alpha$

microscopic stress power-conjugated to $\nabla \dot{\gamma}_\alpha$

Evolution Pb. \Rightarrow Incremental energy minimization

$$(\mathbf{u}_t, \gamma_{\alpha,t}) \rightarrow \begin{cases} \mathbf{u}_{t+\tau} = \mathbf{u}_t + \tau \dot{\mathbf{u}}_t \\ \gamma_{\alpha,t+\tau} = \gamma_{\alpha,t} + \tau \dot{\gamma}_{\alpha,t} \end{cases} \text{Unknowns}$$

$$E_{t+\tau}(\dot{\mathbf{u}}, \dot{\gamma}_\alpha) \approx E_t + \tau \dot{E}_t(\dot{\mathbf{u}}, \dot{\gamma}_\alpha) + \frac{1}{2} \tau^2 \ddot{E}_t(\dot{\mathbf{u}}, \dot{\gamma}_\alpha) = E_t + \tau J_t(\dot{\mathbf{u}}, \dot{\gamma}_\alpha)$$

$$(\dot{\mathbf{u}}_t, \dot{\gamma}_{\alpha,t}) = \arg \min \{ J_t(\dot{\mathbf{u}}, \dot{\gamma}_\alpha), \text{sign}(\gamma_\alpha) \dot{\gamma}_\alpha \geq 0, \text{b.c.} \}$$

Constrained quadratic programming pb.

Necessary condition for a minimum $\delta J_t(\dot{\mathbf{u}}, \dot{\gamma}_\alpha; \delta \dot{\mathbf{u}}, \delta \dot{\gamma}_\alpha) \geq 0, \quad \dot{\gamma}_\alpha + \delta \dot{\gamma}_\alpha \geq 0$



$$\text{div} \dot{\mathbf{T}} = 0$$

Balance of the macroscopic
stress evolution

Kuhn-Tucker conditions (**flow rule**)

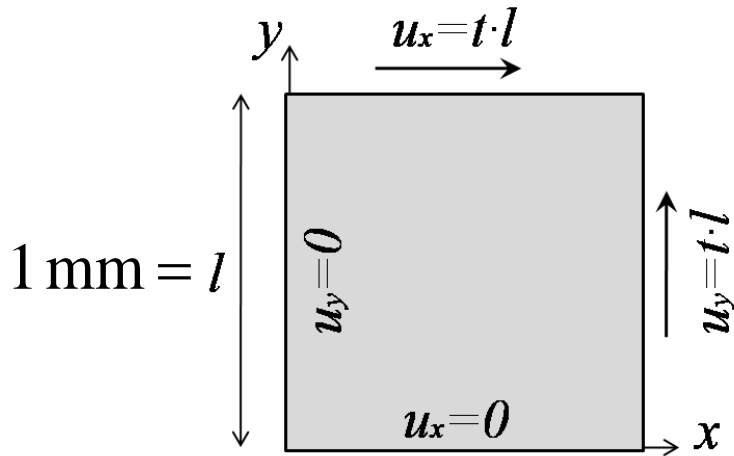
$$\text{sign}(\gamma_{\alpha t}) \dot{\gamma}_\alpha \geq 0, \quad |(\mathbf{T} + \tau \dot{\mathbf{T}}) \mathbf{n}_\alpha \cdot \mathbf{t}_\alpha| \leq (\pi_\alpha + \tau \dot{\pi}_\alpha) - \text{sign}(\gamma_{\alpha t}) \text{div}(\xi_\alpha + \dot{\xi}_\alpha)$$

$$\left((\pi_\alpha + \tau \dot{\pi}_\alpha) - \text{sign}(\gamma_{\alpha t}) \text{div}(\xi_\alpha + \dot{\xi}_\alpha) - |(\mathbf{T} + \tau \dot{\mathbf{T}}) \mathbf{n}_\alpha \cdot \mathbf{t}_\alpha| \right) \dot{\gamma}_\alpha = 0$$

consistency condition

(the yield function maintains equal to zero when γ grows)

Numerical results – plane pure shear test



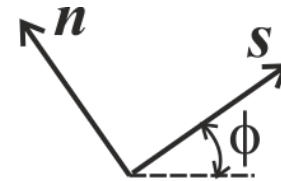
Periodic b.c.

$$u_x(l, y) = u_x(0, y); u_y(x, l) = u_y(x, 0);$$

$$\gamma(x, l) = \gamma(x, 0); \gamma(l, y) = \gamma(0, y);$$

Single slip system

$$\mathbf{E}^p(x) = \gamma \text{sym}(\mathbf{s} \otimes \mathbf{n})$$



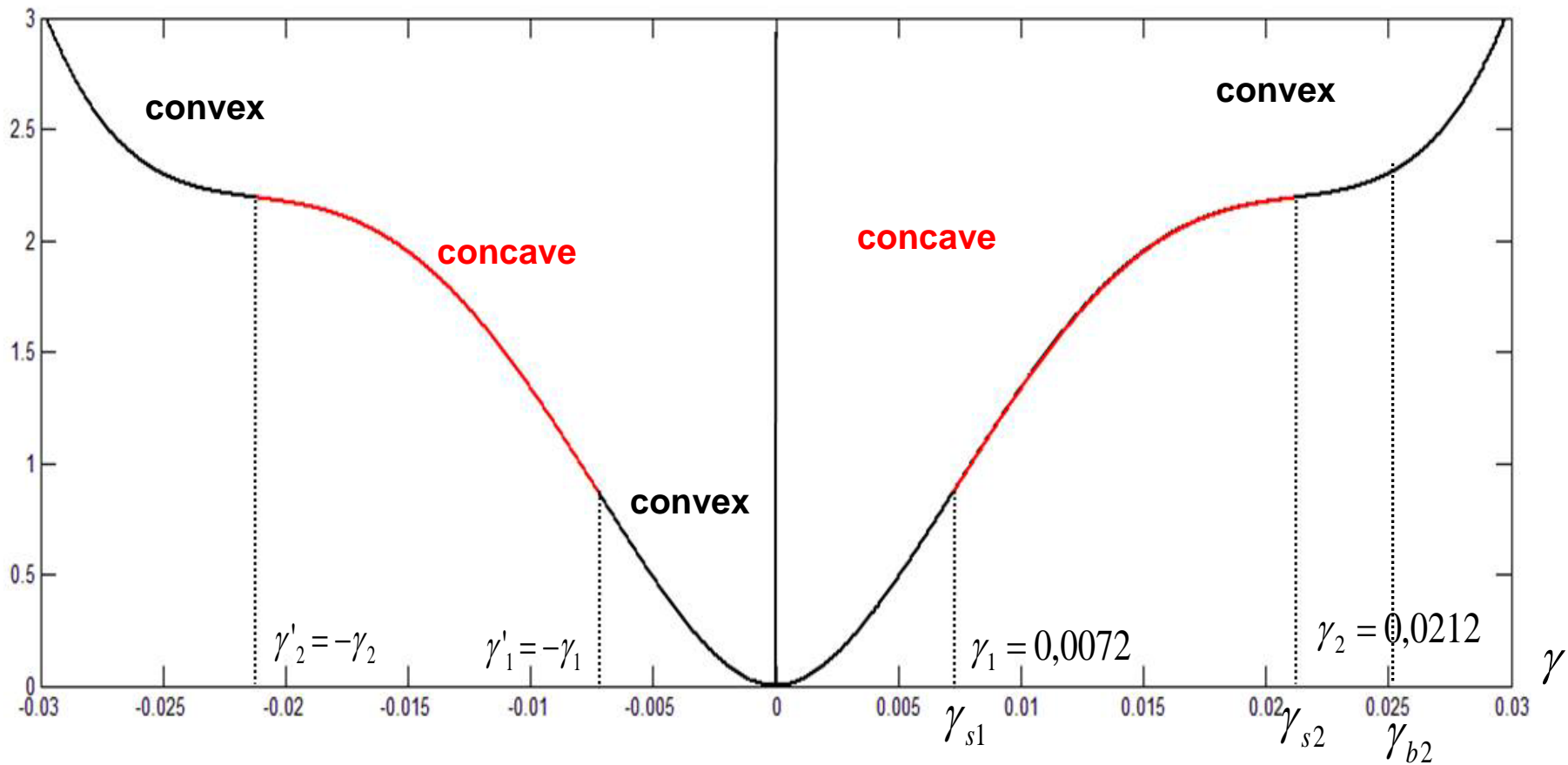
Orientations: $\phi = 5^\circ; 15^\circ; 30^\circ$

$E = 210 \text{ GPa}, \nu = 0,33,$

$A_s = 52,5 \text{ kN}, A_n = 10,5 \text{ kN}$

Double-well plastic energy

θ [GPa]



τ [MPa]

800

600

400

200

0

0.002

0.004

0.006

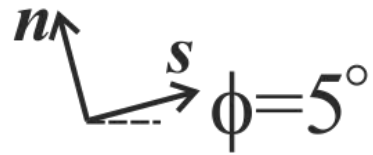
0.008

0.01

0.012

0.014

t



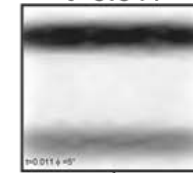
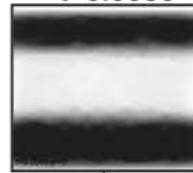
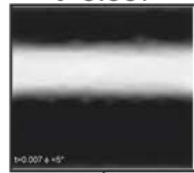
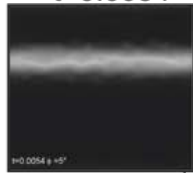
$t=0.0054$

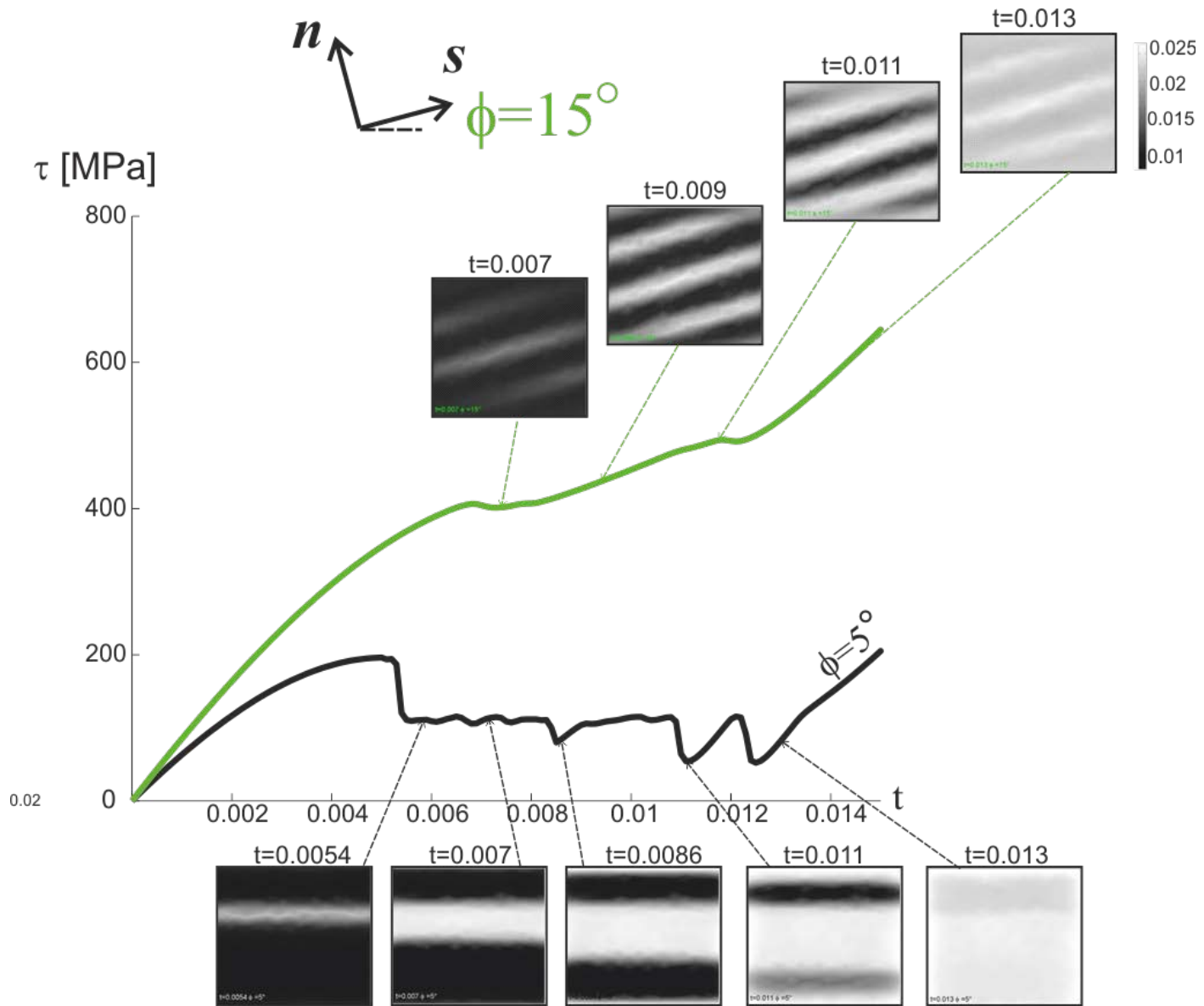
$t=0.007$

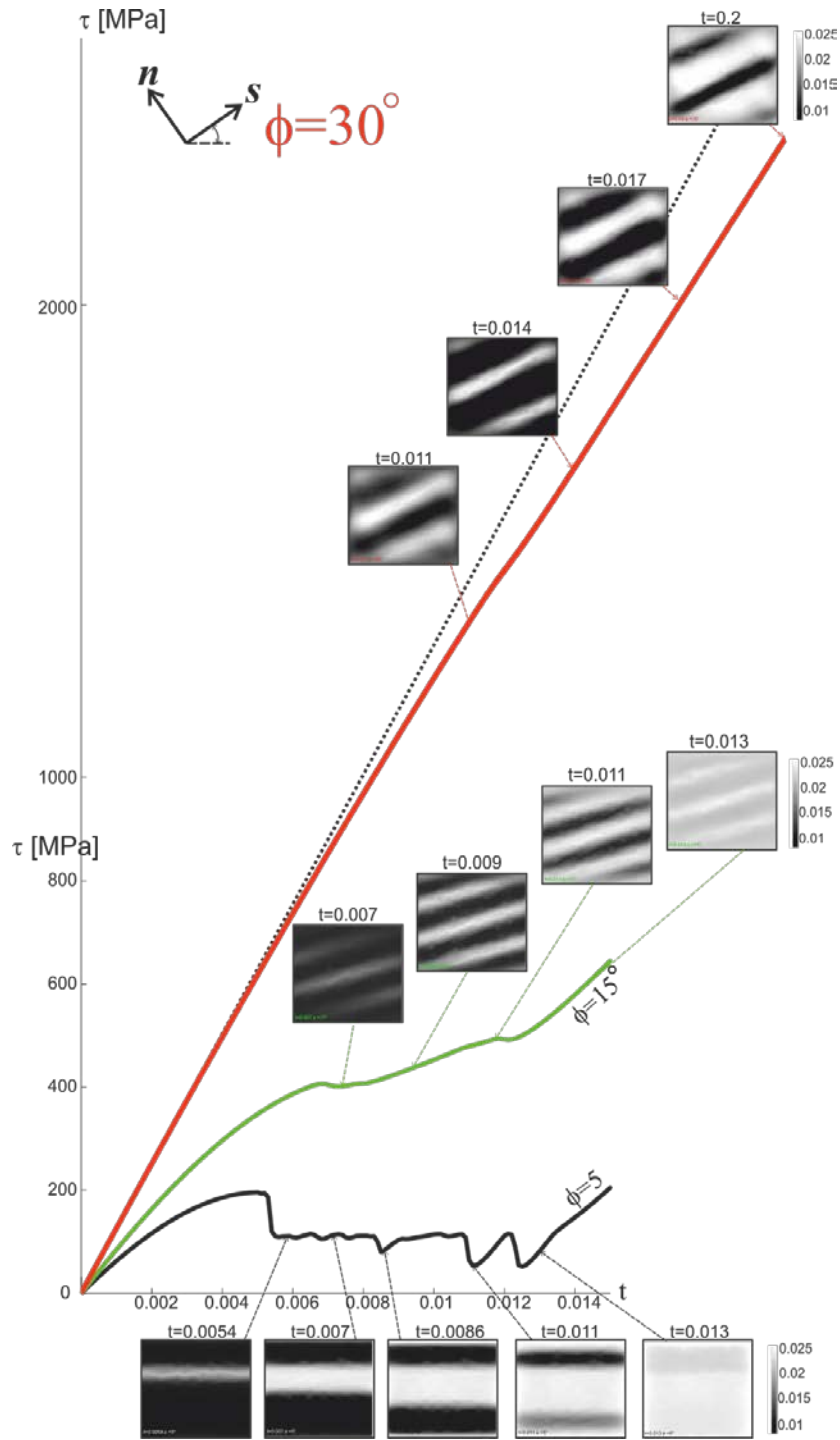
$t=0.0086$

$t=0.011$

$t=0.013$







Conclusions

The proposed model represents a *variational approach to softening gradient plasticity* (Aifantis-type model). Advantages:

- i. the laws of classical plasticity are variationally deduced (and not given a priori);
- ii. clear dependence of the response on the *shape of the plastic energy* $\theta(\gamma)$:
 - $\theta(\gamma)$ convex \rightarrow stress-hardening, diffuse plasticity
 - $\theta(\gamma)$ concave \rightarrow stress-softening, $\theta''(\gamma)$ decreasing \rightarrow strain localization
 - $\theta''(\gamma)$ increasing \rightarrow localization zone enlargement
 - $\theta(\gamma)$ double-wells \rightarrow plastic wave propagation

Ductile failure is described as a *bulk process* of progressive strain localization, which concludes with a final *material instability*, variationally interpreting *fracture*.

Physical motivation: process zone, where strains localize, and only at the very end they coalesce in fracture surfaces.

\Rightarrow The model presents as an *alternative to classical cohesive fracture theories*, which concentrate inelasticity on surfaces.

Perspectives

1. Extension to **multi-dimension**.
Crystal plasticity: multiple slip systems
2. Find correlations between the **covexity-concavity properties of θ** and its derivatives and the **microstructure** of real materials.
Crystal plasticity: non-convex energy proposed by Ortiz-Repetto (1999), accounting for latent hardening

Conclusions

Rate-Independent model based on **incremental energy minimization**;

Non-convex dissipative plastic energy \Rightarrow - Irreversibility of plastic def.
- non-convexity leads to localization

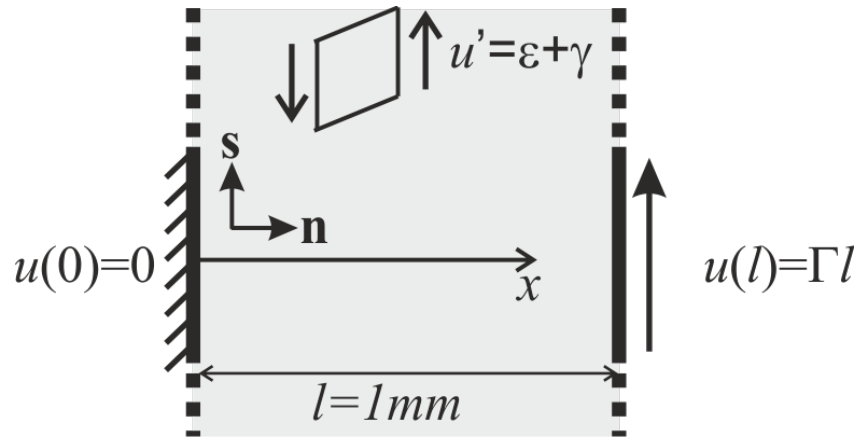
Non-local energy \Rightarrow - internal length scale (it makes possible to simulate phenomena at different scales)
- stabilizing effect (ductile failure; no brittle fracture)

Perspectives

- Simulations with **multiple slip systems** and plastic energy functions of different shapes;
- Find correlations between the **convexity-concavity properties of θ** and its derivatives and the **microstructure** of real materials \rightarrow non-convex energy proposed by Ortiz-Repetto (1999), accounting for latent hardening

Numerical results

i. Slip patterning in an infinite long strip (1D Pb)

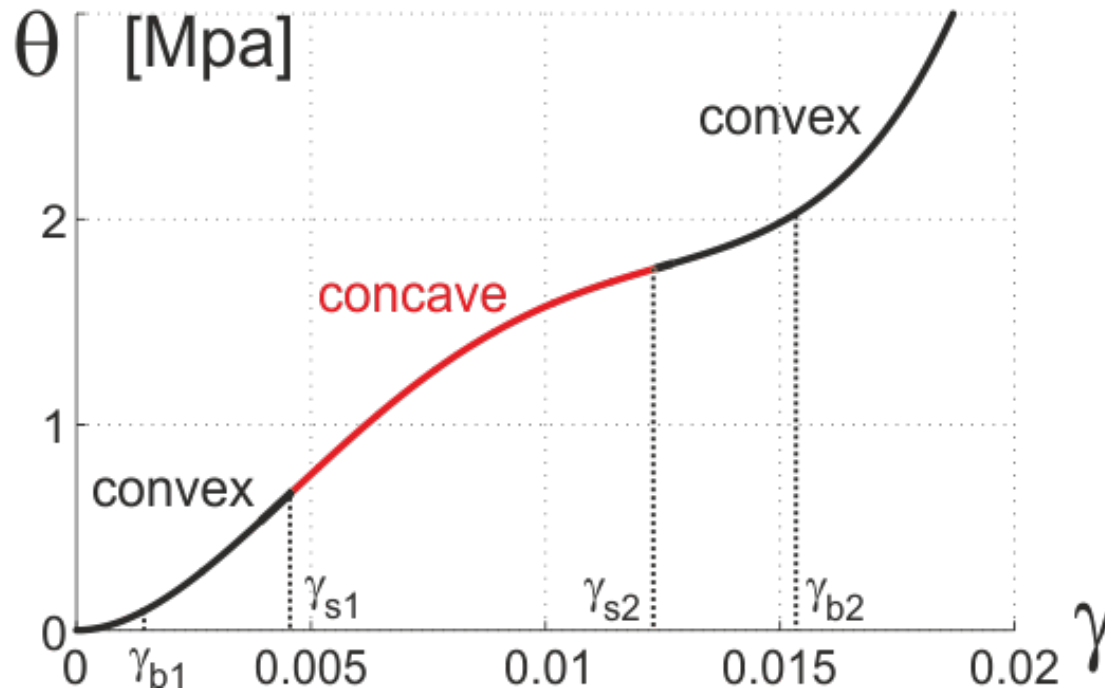


Single slip system

$$\mathbf{E}^p(x) = \gamma \text{sym}(\mathbf{s} \otimes \mathbf{n})$$

$$E = 210 \text{ GPa}, \nu = 0.33,$$

$$A_n = 147.29 \text{ N}$$



Soft boundary conditions $\gamma'(0)=0, \gamma'(l)=0$

