

DP-Coloring

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- (2) to show interesting properties and features of this parameter,
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Recall that Vizing introduced list coloring trying to prove an approximation to the Behzad-Vizing Conjecture that the total chromatic number of any graph with maximum degree Δ is at most $\Delta + 2$.

The plan was: given a set D of $\Delta + 3$ colors, color from D the vertices of G , and then every edge will have a list of $\Delta + 1$ available colors.

The plan did not work as planned, but the new notion (introduced also by Erdős, Rubin and Taylor) turned out to be **valuable and interesting**. Some properties of it are **very close** to those of the ordinary coloring, and some are **quite different**.

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One well-known application of list coloring is the **Fleischner-Stiebitz** proof of the **cycle-plus-triangles problem** by Erdős.

A **Gallai forest** is a graph in which every block is either a **complete graph** or an **odd cycle**.

Theorem 1 [Gallai, 1963] If $k \geq 3$ and G is a **k -critical graph**, then the subgraph of G induced by **the vertices of degree $k - 1$** is a **Gallai forest**.

A list L for a graph G is a **degree list** if $|L(v)| \geq \deg_G(v)$ for all $v \in V(G)$.

Theorem 2 [Borodin, 1976; Erdős–Rubin–Taylor, 1979] Let G be a **connected** graph and let L be a **degree list assignment** for G . If G is **not L -colorable**, then G is a **Gallai tree**; furthermore, $|L(u)| = \deg_G(u)$ for all $u \in V(G)$ and if $u, v \in V(G)$ are two adjacent **non-cut vertices**, then $L(u) = L(v)$.

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An interesting thing is that **DP-coloring** is **not a coloring**, it is an **independent set** in an **auxiliary graph**. A result of a similar flavor for **ordinary** coloring is known for a long time:

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Theorem 3 [Plesnevič and Vizing, 1965] A graph G has a **k -coloring** if and only if the **Cartesian product** $G \square K_k$ contains an **independent set of size $|V(G)|$** , i.e., $\alpha(G \square K_k) = |V(G)|$.

Pre-definition

Given a list L for G , the vertex set of the auxiliary graph $H = H(G, L)$ is $\{(v, c) : v \in V(G) \text{ and } c \in L(v)\}$, and two distinct vertices (v, c) and (v', c') are adjacent in H if and only if either $c = c'$ and $vv' \in E(G)$, or $v = v'$.

Since $V(H)$ is covered by $|V(G)|$ cliques, $\alpha(H) \leq |V(G)|$. If H has an independent set I with $|I| = |V(G)|$, then, for each $v \in V(G)$, there is a unique $c \in L(v)$ such that $(v, c) \in I$. And the same color c is not chosen for any two adjacent vertices. So the map $f : V(G) \rightarrow \mathbb{Z}_{>0}$ defined by $(v, f(v)) \in I$ is an L -coloring of G .

Also, if G has an L -coloring f , then the set $\{(v, f(v)) : v \in V(G)\}$ is an independent set of size $|V(G)|$ in H .

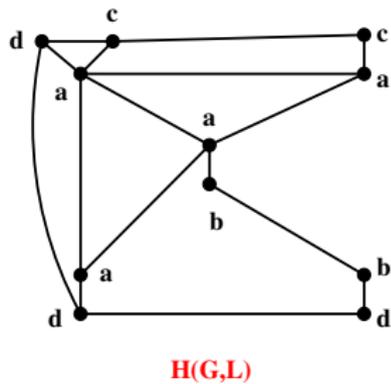
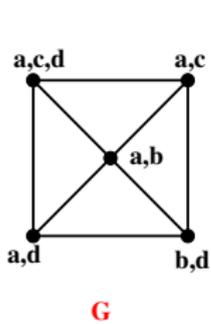


Figure: A graph G with a list L and a cover for (G, L) .

Definition

Let G be a graph. A **cover** of G is a pair (L, H) , where L is an assignment of **pairwise disjoint** sets to the vertices of G and H is a graph with vertex set $\bigcup_{v \in V(G)} L(v)$, satisfying the following:

1. For each $v \in V(G)$, $H[L(v)]$ is a **complete graph**.
2. For each $uv \in E(G)$, the edges between $L(u)$ and $L(v)$ form a **matching** (possibly empty).
3. For each distinct $u, v \in V(G)$ with $uv \notin E(G)$, **no edges of H connect $L(u)$ and $L(v)$** .

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Let G be a graph and (L, H) be a *cover of G* . An *(L, H) -coloring* of G is an *independent set* $I \subseteq V(H)$ of size $|V(G)|$. G is *(L, H) -colorable* if it admits an (L, H) -coloring.

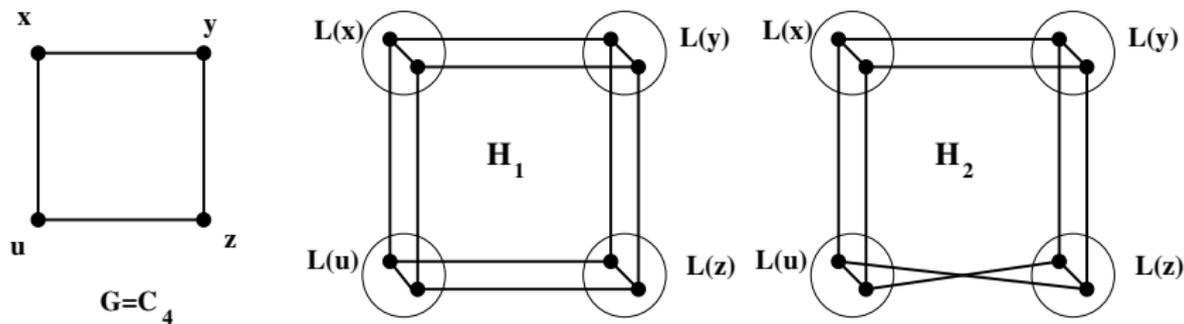


Figure: Graph C_4 and two covers of it such that C_4 is (L, H_1) -colorable but **not** (L, H_2) -colorable.

The *DP-chromatic number*, $\chi_{DP}(G)$, is the minimum k such that G is (L, H) -colorable for each choice of (L, H) with $|L(v)| \geq k$ for all $v \in V(G)$.

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5. $\chi_{DP}(G) > \frac{d/2}{\ln(d/2)}$ for every G with **average degree** d . (A. Bernshteyn)
6. $\chi_{DP}(G) \leq C \frac{d}{\ln d}$ for every **triangle-free** G with **maximum degree** d . (A. B.)

Multigraphs

Let G be a multigraph. A **cover** of G is a pair (L, H) , where L is an assignment of **pairwise disjoint** sets to the vertices of G and H is a graph with vertex set $\bigcup_{v \in V(G)} L(v)$ such that

1. For each $v \in V(G)$, $H[L(v)]$ is a **complete graph**.
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Figure: $\chi_{DP}(K_2^3) = 4$.

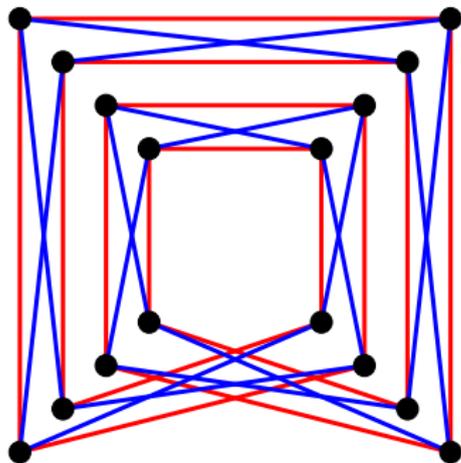
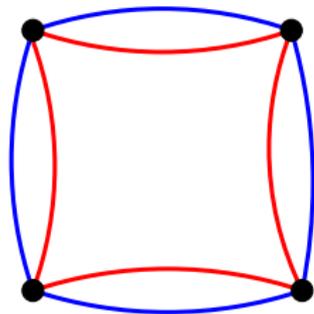


Figure: $\chi_{DP}(C_4^k) = 2k + 1$.

Theorem 4 [Bernshteyn-Pron-A.K., 2016] Let G be a connected multigraph. Then G is not DP-degree-colorable if and only if each block of G is one of the graphs K_n^k, C_n^k for some n and k .

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Corollary 5 [B-P-K] Let $k \geq 4$ and let G be a DP- k -critical graph distinct from K_k . Then

$$2|E(G)| \geq \left(k - 1 + \frac{k - 3}{k^2 - 3} \right) n.$$

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Theorem 6 [Dirac, 1957] Let $k \geq 4$ and let G be a k -critical graph distinct from K_k . Set $n := |V(G)|$ and $m := |E(G)|$. Then

$$2m \geq kn + k - 3.$$

For $k \geq 4$, a graph G is *k-Dirac* if $V(G)$ can be partitioned into three subsets V_1, V_2, V_3 so that

- (a) $|V_1| = k - 1, |V_2| = k - 2, |V_3| = 2$;
- (b) the graphs $G[V_1]$ and $G[V_2]$ are complete;
- (c) each $y_i \in V_1$ is adjacent to exactly one $z_j \in V_3$, and each $z_j \in V_3$ has a neighbor in V_1 ;
- (d) each $x_i \in V_2$ is adjacent to both $z_j \in V_3$; and
- (e) G has no other edges.

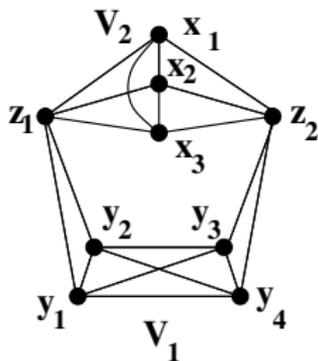


Figure: A 5-Dirac graph.

Let \mathcal{D}_k denote the family of all k -Dirac graphs.

Theorem 7 [Dirac, 1974] Let $k \geq 4$ and let G be a k -critical graph distinct from K_k . Set $n := |V(G)|$ and $m := |E(G)|$. Then

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Theorem 8 [A.K.-Stiebitz, 2002] Let $k \geq 4$ and let L be a list assignment for G such that G is L -critical and $|L(u)| = k - 1$ for all $u \in V(G)$. Suppose that G does not contain a clique of size k . Set $n := |V(G)|$ and $m := |E(G)|$. Then

$$2m \geq kn + k - 3.$$

Question [A.K.-Stiebitz, 2002] Does Theorem 7 hold for list coloring?

Theorem 9 [B-K] Let $k \geq 4$, G be a graph and let (L, H) be a cover of G such that G is (L, H) -critical and $|L(u)| = k - 1$ for all $u \in V(G)$. Suppose that G does not contain a clique of size k . Set $n := |V(G)|$ and $m := |E(G)|$. If $G \notin \mathcal{D}_k$, then

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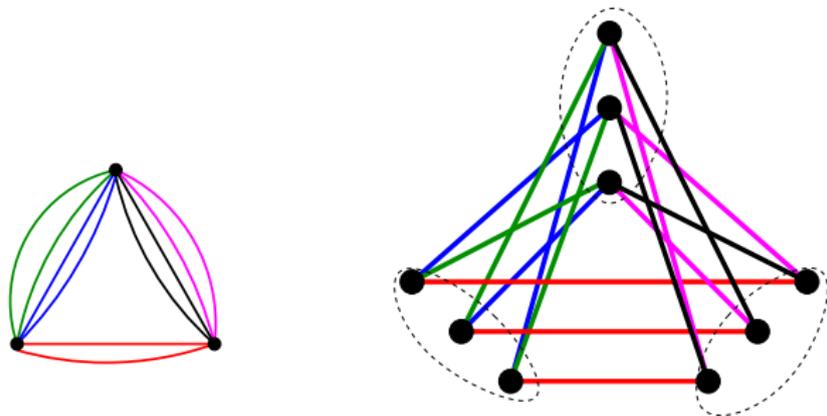


Figure: A DP-7-critical multigraph.

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3. Gallai proved that if $k \geq 4$ and $n \leq 2k - 2$ then every k -critical n -vertex graph G has a **spanning complete bipartite subgraph**; in other words, the **complement of G is disconnected**. For list- k -critical graphs the same claim follows from the theorem by **Noel, Reed and Wu** that for every $k > n/2 - 1$, if G is an n -vertex graph with $\chi(G) = k$, then $\chi_\ell(G) = k$.

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Does there exist $0 < \alpha < 1$ such that for every n and every $k > \alpha n$, each n -vertex **DP- k -critical** graph G has a **spanning complete bipartite subgraph**.