On the p-ranks of Prym varieties

Ekin Ozman joint work with Rachel Pries

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- *k* algebraically closed field of characteristic p > 0,
- A abelian variety of dimension g over k,
- p-rank of A is the number f_A such that $#A[p](k) = p^{f_A}$,
- If C is a curve of genus g over k then its p-rank is the p-rank of Jac(C) and
- $0 \leq f_A \leq g$,
- C is called *ordinary* if p-rank of C is g and *almost ordinary* if p-rank of C is g 1.

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Question

Given (p, g, f) is there a curve *C* of genus *g* with *p*-rank *f* defined over an algebraically closed field of characteristic *p*?

YES, by Faber and Van der Geer

Stratify by *p*-rank: M⁰_g ⊂ ... ⊂ M^{g-1}_g ⊂ M^g_g
 Every component of M^f_g has codimension g − f in M_g(i.e. has dim 2g-3+f).

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- Norman and Oort: \mathcal{A}_{g}^{f} has codimension g f in \mathcal{A}_{g}
- Glass and Pries, Pries and Zhu: Every component of \mathcal{H}_g^f has codimension g f in \mathcal{H}_g (dim g-1+f).

where \mathcal{A}_g abelian varieties, \mathcal{H}_g hyperelliptic curves

By Chai and Oort \mathcal{A}_g^f is irreducible for $g \geq 3$

in most cases it is not known whether or not $\mathcal{M}_{g}^{f}, \mathcal{H}_{g}^{f}$ are irreducible.

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Suppose : *X* has genus $\geq 2, \ell \neq p$, prime, $\pi : Y \to X$ an unramified $\mathbb{Z}/\ell\mathbb{Z}$ -cover.

Definition

The *Prym variety* P_{π} is the connected component containing 0 of the norm map on Jacobians i.e. if σ generates Gal(Y/X) then $P_{\pi} = \text{ker}(1 + \sigma + \ldots + \sigma^{\ell-1})^0$.

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• If
$$X \in \mathcal{M}_g$$
 then $Y \in \mathcal{M}_{\ell(g-1)+1}$ and
• Jac $(Y) \sim \text{Jac}(X) \oplus P_{\pi}$, so $P_{\pi} \in \mathcal{A}_{(g-1)(\ell-1)}$ and
• If $X \in \mathcal{M}_g^f, P_{\pi} \in \mathcal{A}_{(g-1)(\ell-1)}^{f'}$ then $Y \in \mathcal{M}_g^{f+f'}$.

Notation $\pi: Y \to X, \operatorname{Jac}(Y) \sim \operatorname{Jac}(X) \oplus P_{\pi}$

- $\mathcal{R}_{g,\ell} = \{(\pi : Y \to X), X \in \mathcal{M}_g, \pi \text{ unramified } \mathbb{Z}/\ell\mathbb{Z} \text{cover}\}$
- $\Pi_{\ell} : \mathcal{R}_{g,\ell} \to \mathcal{M}_g$, natural projection, $(\pi : Y \to X) \mapsto X$
- $\diamond \ \Pi_\ell \ is \ finite$

$$\diamond \ \operatorname{\mathsf{dim}} \mathcal{R}_{g,\ell} = \operatorname{\mathsf{dim}} \mathcal{M}_g = 3g - 3$$

Question

What is the interaction between the p-ranks f and f'?



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$$\mathcal{R}_{g,\ell} = \{(\pi : Y \to X), X \in \mathcal{M}_g, \pi \text{ unramified } \mathbb{Z}/\ell\mathbb{Z} - \text{cover}\}$$

• $W_g^f = \{(\pi : Y \to X) | (\pi : Y \to X) \in \mathcal{R}_{g,\ell}, X \in \mathcal{M}_g^f\}$

 $\circ \ W_g^f = \Pi_\ell^{-1}(\mathcal{M}_g^f) \text{ and } \dim W_g^f = \dim \mathcal{M}_g^f = 2g - 3 + f$



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Theorem 1 (O., Pries)

Let $\ell \neq p$ and $(g, f) \neq (2, 0)$.

Prym varieties of all unramified cyclic degree ℓ covers of a generic curve X of p-rank f is ordinary.

For each irreducible component *S* of \mathcal{M}_{g}^{f} , $\Pi_{\ell}^{-1}(S)$ is irreducible of dimension 2g - 3 + f and the cover represented by the generic point of $\Pi_{\ell}^{-1}(S)$ has an ordinary Prym.

If Q is an irreducible component of W_g^f then the Prym of the cover represented by the generic point of Q is ordinary.

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By Theorem 1 we know the dimension of the folowing stratum:



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This generalizes the following theorem:

Nakajima, 1983: The cover represented by the generic point of $\mathcal{R}_{g,\ell}$ has an ordinary Prym.

and also:

Raynaud, **1982:** For any genus *g* curve *X* and for sufficiently large ℓ , there is an unramified $\mathbb{Z}/\ell\mathbb{Z}$ -cover π such that P_{π} is ordinary.

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Aim: is to produce unramified \mathbb{Z}/ℓ -cover $\pi: Y \to X$ such that $X \in \mathcal{M}_q^f$ and \mathcal{P}_{π} is ordinary.

Naive idea: Build a cover of singular curves, deform it to a smooth curve and proceed by induction

- STP 1 Let S_0 be an irreducible component of $\overline{\mathcal{M}}_g^f$. Then $\Pi_\ell^{-1}(S_0)$ is also irreducible, follows from a result of Achter and Pries
- STP 2 An irreducible component Q of W_g^f intersects a particular boundary

Idea of the proof

STP 2 An irreducible component Q of W_g^f intersects a particular boundary.

In fact, *Q* contains a component of $\kappa_{i,g-i}(W_{i,1}^{f_1} \times W_{g-i,1}^{f_2})$



 $1 \to \mathbb{T} \to P_{\pi} \to P_{\pi_1} \oplus P_{\pi_2} \to 1$, where \mathbb{T} is a torus of rank $\ell - 1$.

STP 3 Inductive Step: Choose C_1, C_2 with *p*-ranks f_1, f_2 s.t. $f_1 + f_2 = f$ such that there exists $\pi_1 : C'_1 \to C_1, \pi_2 : C'_2 \to C_2$ s.t. P_{π_1}, P_{π_2} are ordinary. Then

$$f_{\pi} = f_{\pi_1} + f_{\pi_2} + \ell - \mathbf{1}$$

 $f_{\pi} = (\ell - 1)(g_1 - 1), f_{\pi_2} = (\ell - 1)(g_2 - 1),$

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Notation $\pi: Y \to X$ unramified double cover $\operatorname{Jac}(Y) \sim \operatorname{Jac}(X) \oplus P_{\pi}$

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$$\mathcal{R}_g = \{(\pi : Y \to X), X \in \mathcal{M}_g, \pi \text{ unramified } \mathbb{Z}/2\mathbb{Z} - \text{cover}\}$$

• $V_g^{f'} = \{(\pi : Y \to X) | (\pi : Y \to X) \in \mathcal{R}_g, P_\pi \text{ has p-rank } f'\}$



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$$\mathcal{R}_{g} = \{(\pi : Y \to X), X \in \mathcal{M}_{g}, \pi \text{ unramified } \mathbb{Z}/2\mathbb{Z} - \text{cover}\}$$

• $\mathcal{R}_{g}^{(f,f')} = \{(\pi : Y \to X) \in \mathcal{R}_{g}, X \in \mathcal{M}_{g}^{f}, P_{\pi} \in \mathcal{A}_{g-1}^{f'}\}$
 $\diamond \ \mathcal{R}_{g}^{(f,f')} = W_{g}^{f} \cap V_{g}^{f'}$



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Question

What is the interaction between the p-ranks *f* and *f*? What can be said about the dimension of $\mathcal{R}_g^{(f,f')}$?

Let $g \ge 2$ and $0 \le f \le g$. For $\ell = 2$ and $p \ge 5$.

Theorem 2 (O., Pries)

For a curve of genus g and p-rank f there is an unramified double cover π such that P_{π} is almost ordinary(has p-rank g - 2)

For each irreducible component *S* of \mathcal{M}_{g}^{f} , the locus of points for which there exists an unramified double cover π with P_{π} almost ordinary is nonempty with codimension one in *S*.

$$\dim \mathcal{R}_g^{(f,g-2)} = 2g - 4 + f$$

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Raynaud, **2000:** For any genus *g* curve *X* there is an unramified solvable cover $Z \rightarrow X$ s.t. *Z* is not ordinary.

Pop, Saidi, 2003: If X is non-ordinary or if Jac(X) is simple then there is an unramified $\mathbb{Z}/\ell\mathbb{Z}$ -cover π such that P_{π} is not ordinary for infinitely many ℓ .

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This gives us:

Theorem 2 (O., Pries)



Corollary (O., Pries)

Let $\ell = 2, g \ge 4$ and $p \ge 5$ and $\frac{g}{2} - 1 \le f' \le g - 3$. Then there exits a smooth curve X over \mathbb{F}_p of genus g and p-rank f having an unramified double cover $\pi : Y \to X$ for which P_{π} has p-rank f'.

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Question

Given g, f, f' such that $g \ge 2, 0 \le f \le g, 0 \le f' \le g - 1$, does there exists a curve X over $\overline{\mathbb{F}}_p$ of genus g and *p*-rank *f* having an unramified double cover $\pi : Y \to X$ with *p*-rank of P_{π} being f'?

The answer is YES for $p \ge 3$ and $0 \le f \le g$ when:

- g = 2, unless p = 3 and f = 0, 1 and f' = 0, in which case the anser is NO by Faber and van der Geer.
- $g \ge 3$ and f' = g 1 by Theorem 1
- $g \ge 3$ and f' = g 2 (with $f \ge 2$ when p = 3) by Theorem 2
- when $p \ge 5$ and $g \ge 4$ and $\frac{g}{2} 1 \le f' \le g 3$ by Corollary

Question

Given g, f, f' such that $g \ge 2, 0 \le f \le g, 0 \le f' \le g - 1$, does there exists a curve X over $\overline{\mathbb{F}}_p$ of genus g and p-rank f having an unramified double cover $\pi : Y \to X$ with p-rank of P_{π} being f'?

First open case: g = 3, P_{π} has *p*-rank 0 studied as part of WINE 2 project and the answer is yes for $3 \le p \le 19$,moreover

Theorem (CEGNOPT)

If $3 \le p \le 19$, the answer to the question above is YES for all $g \ge 2$ as long as f is bigger than (appr.) $\frac{2g}{3}$ and f' bigger than (appr.) $\frac{g}{3}$.

Thm:[O., Pries] Once we know that $\mathcal{R}_g^{(f,f')} \neq \emptyset$ then each of its components has dimension at least g - 2 + f + f' (an application of purity)

This lower bound is realized when: \diamond [Thm 1] f' = g - 1, dim $\mathcal{R}_g^{(f,f')} = 2g - 3 + f$ \diamond [Thm 2] f' = g - 2, with $f \ge 2$ when p = 3, dim $\mathcal{R}_g^{(f,f')} = 2g - 4 + f$ \diamond [Cor.] $p \ge 5$ and $\frac{g}{2} - 1 \le f' \le g - 3$, at least one component of $\mathcal{R}_g^{(f,f')}$ has dimension g - 2 + f + f'

Thm:[O., Pries] Once we know that $\mathcal{R}_g^{(f,f')} \neq \emptyset$ then each of its components has dimension at least g - 2 + f + f' (an application of purity)

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- ♦ [Thm 1] f' = g 1, dim $\mathcal{R}_{g}^{(f,f')} = 2g 3 + f$
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◊ [Cor.] p ≥ 5 and $\frac{g}{2} - 1 ≤ f' ≤ g - 3$, at least one component of $\mathcal{R}_{g}^{(f,f')}$ has dimension g - 2 + f + f'

Corollary (CEGNOPT)

If $3 \le p \le 19$, $\mathcal{R}_g^{(f,f')}$ has a nonempty component of dimension g - 2 + f + f' for all $g \ge 2$ as long as f is bigger than (appr.) 2g/3 and f' bigger than (appr.) g/3.

Remark

Condition on *p* is needed to show that $\mathcal{R}_3^{(2,0)}$ has dimension 3

Open Question: What is the dimension of $\mathcal{R}_3^{(2,0)}$? Is there a 3-dimensional family of smooth plane quartics *X* with *p*-rank 2 having an unramified double cover π such that P_{π} has *p* rank 0.

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