# On the p-ranks of Prym varieties 

Ekin Ozman joint work with Rachel Pries

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- $k$ algebraically closed field of characteristic $p>0$,
- $A$ abelian variety of dimension $g$ over $k$,
- p-rank of $A$ is the number $f_{A}$ such that $\# A[p](k)=p^{f_{A}}$, - If $C$ is a curve of genus $g$ over $k$ then its $p$-rank is the p-rank of $\operatorname{Jac}(C)$ and
- $0 \leq f_{A} \leq g$,
- $C$ is called ordinary if $p$-rank of $C$ is $g$ and almost ordinary if $p$-rank of $C$ is $g-1$.
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## Question

Given ( $p, g, f$ ) is there a curve $C$ of genus $g$ with $p$-rank $f$ defined over an algebraically closed field of characteristic $p$ ?

YES, by Faber and Van der Geer


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- Stratify by $p$-rank: $\mathcal{M}_{g}^{0} \subset \ldots \subset \mathcal{M}_{g}^{g-1} \subset \mathcal{M}_{g}^{g}$

Every component of $\mathcal{M}_{g}^{f}$ has codimension $g-f$ in $\mathcal{M}_{g}$ (i.e. has dim $2 \mathrm{~g}-3+\mathrm{f}$ ).

## Similar Questions

Similarly:

- Faber and Van der Geer : Every component of $\mathcal{M}_{g}^{f}$ has codimension $g-f$ in $\mathcal{M}_{g}(\operatorname{dim} 2 g-3+f)$.
- Norman and Oort: $\mathcal{A}_{g}^{f}$ has codimension $g$ - $f$ in $\mathcal{A}_{g}$
- Glass and Pries, Pries and Zhu: Every component of $\mathcal{H}_{g}^{f}$ has codimension $g-f$ in $\mathcal{H}_{g}(\operatorname{dim} g-1+\mathrm{f})$.
where $\mathcal{A}_{g}$ abelian varieties, $\mathcal{H}_{g}$ hyperelliptic curves
By Chai and Oort $\mathcal{A}_{g}^{f}$ is irreducible for $g \geq 3$
in most cases it is not known whether or not $\mathcal{M}_{g}^{f}, \mathcal{H}_{g}^{f}$ are


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in most cases it is not known whether or not $\mathcal{M}_{g}^{f}, \mathcal{H}_{g}^{f}$ are irreducible.


## Similar Questions about Prym varieties

Suppose:
$X$ has genus $\geq 2, \ell \neq p$, prime,
$\pi: Y \rightarrow X$ an unramified $\mathbb{Z} / \ell \mathbb{Z}$-cover.

## Definition

The Prym variety $P_{\pi}$ is the connected component containing 0 of the norm map on Jacobians i.e.
if $\sigma$ generates $\operatorname{Gal}(Y / X)$ then $P_{\pi}=\operatorname{ker}\left(1+\sigma+\ldots+\sigma^{\ell-1}\right)^{0}$.

- If $X \in \mathcal{M}_{g}$ then $Y \in \mathcal{M}_{\ell(g-1)+1}$ and
- $\operatorname{Jac}(Y) \sim \operatorname{Jac}(X) \oplus P_{\pi}$, so $P_{\pi} \in \mathcal{A}_{(g-1)(\ell-1)}$ and
- If $X \in \mathcal{M}_{g}^{f}, P_{\pi} \in \mathcal{A}_{(g-1)(\ell-1)}^{f^{\prime}}$ then $Y \in \mathcal{M}_{g}^{f+f^{\prime}}$.


## Notation

$\pi: Y \rightarrow X, \operatorname{Jac}(Y) \sim \operatorname{Jac}(X) \oplus P_{\pi}$

- $\mathcal{R}_{g, \ell}=\left\{(\pi: Y \rightarrow X), X \in \mathcal{M}_{g}, \pi\right.$ unramified $\mathbb{Z} / \ell \mathbb{Z}$ - cover $\}$
- $\Pi_{\ell}: \mathcal{R}_{g, \ell} \rightarrow \mathcal{M}_{g}$, natural projection, $(\pi: Y \rightarrow X) \mapsto X$
$\diamond \Pi_{\ell}$ is finite
$\diamond \operatorname{dim} \mathcal{R}_{g, \ell}=\operatorname{dim} \mathcal{M}_{g}=3 g-3$

What is the interaction between the p-ranks $f$ and f'?


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## Question

What is the interaction between the p -ranks f and $\mathrm{f}^{\prime}$ ?


## Notation

## $\pi: Y \rightarrow X, \operatorname{Jac}(Y) \sim \operatorname{Jac}(X) \oplus P_{\pi}$

- $\mathcal{R}_{g, \ell}=\left\{(\pi: Y \rightarrow X), X \in \mathcal{M}_{g}, \pi\right.$ unramified $\mathbb{Z} / \ell \mathbb{Z}$ - cover $\}$
- $W_{g}^{f}=\left\{(\pi: Y \rightarrow X) \mid(\pi: Y \rightarrow X) \in \mathcal{R}_{g, \ell}, X \in \mathcal{M}_{g}^{f}\right\}$
$\diamond W_{g}^{f}=\Pi_{\ell}^{-1}\left(\mathcal{M}_{g}^{f}\right)$ and $\operatorname{dim} W_{g}^{f}=\operatorname{dim} \mathcal{M}_{g}^{f}=2 g-3+f$


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## Main Result 1

Let $g \geq 2$ and $0 \leq f \leq g$.
Theorem 1 (O., Pries)
Let $\ell \neq p$ and $(g, f) \neq(2,0)$.
Prym varieties of all unramified cyclic degree $\ell$ covers of a generic curve $X$ of $p$-rank $f$ is ordinary.

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For each irreducible component $S$ of $\mathcal{M}_{g}^{f}, \Pi_{\ell}^{-1}(S)$ is irreducible of dimension $2 g-3+f$ and the cover represented by the generic point of $\Pi_{\ell}^{-1}(S)$ has an ordinary Prym.
the cover represented by the generic point of $Q$ is ordinary.

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If $Q$ is an irreducible component of $W_{g}^{f}$ then the Prym of the cover represented by the generic point of $Q$ is ordinary.

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By Theorem 1 we know the dimension of the folowing stratum:


## Comparing with previous work

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This generalizes the following theorem:
Nakajima, 1983: The cover represented by the generic point of $\mathcal{R}_{g, \ell}$ has an ordinary Prym.
and also:
Raynaud, 1982: For any genus $g$ curve $X$ and for sufficiently large $\ell$, there is an unramified $\mathbb{Z} / \ell \mathbb{Z}$-cover $\pi$ such that $P_{\pi}$ is ordinary.

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## Idea of the proof

Aim: is to produce unramified $\mathbb{Z} / \ell$-cover $\pi: Y \rightarrow X$ such that $X \in \mathcal{M}_{g}^{f}$ and $P_{\pi}$ is ordinary.
Naive idea: Build a cover of singular curves, deform it to a smooth curve and proceed by induction

STP 1 Let $S_{0}$ be an irreducible component of $\overline{\mathcal{M}}_{g}^{f}$. Then $\Pi_{\ell}^{-1}\left(S_{0}\right)$ is also irreducible, follows from a result of Achter and Pries
STP 2 An irreducible component $Q$ of $W_{g}^{f}$ intersects a particular boundary

## Idea of the proof

STP 2 An irreducible component $Q$ of $W_{g}^{f}$ intersects a particular boundary.
In fact, $Q$ contains a component of $\kappa_{i, g-i}\left(W_{i, 1}^{f_{1}} \times W_{g-i, 1}^{f_{2}}\right)$

$1 \rightarrow \mathbb{T} \rightarrow P_{\pi} \rightarrow P_{\pi_{1}} \oplus P_{\pi_{2}} \rightarrow 1$, where $\mathbb{T}$ is a torus of rank $\ell-1$.

## Idea of the proof

STP 3 Inductive Step:
Choose $C_{1}, C_{2}$ with p-ranks $f_{1}$, $f_{2}$ s.t. $f_{1}+f_{2}=f$ such that there exists $\pi_{1}: C_{1}^{\prime} \rightarrow C_{1}, \pi_{2}: C_{2}^{\prime} \rightarrow C_{2}$ s.t. $P_{\pi_{1}}, P_{\pi_{2}}$ are ordinary. Then

$$
f_{\pi}=f_{\pi_{1}}+f_{\pi_{2}}+\ell-1
$$

$$
f_{\pi}=(\ell-1)\left(g_{1}-1\right), f_{\pi_{2}}=(\ell-1)\left(g_{2}-1\right),
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\Rightarrow f_{\pi}=(\ell-1)(g-1)
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## Notation

$\pi: Y \rightarrow X$ unramified double cover $\operatorname{Jac}(Y) \sim \operatorname{Jac}(X) \oplus P_{\pi}$

- $\mathcal{R}_{g}=\left\{(\pi: Y \rightarrow X), X \in \mathcal{M}_{g}, \pi\right.$ unramified $\mathbb{Z} / 2 \mathbb{Z}$ - cover $\}$
- $V_{g}^{f^{\prime}}=\left\{(\pi: Y \rightarrow X) \mid(\pi: Y \rightarrow X) \in \mathcal{R}_{g}, P_{\pi}\right.$ has p-rank $\left.f^{\prime}\right\}$


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- $\mathcal{R}_{g}^{\left(f, f^{\prime}\right)}=\left\{(\pi: Y \rightarrow X) \in \mathcal{R}_{g}, X \in \mathcal{M}_{g}^{f}, P_{\pi} \in \mathcal{A}_{g-1}^{f^{\prime}}\right\}$
$\diamond \mathcal{R}_{g}^{\left(f, f^{\prime}\right)}=W_{g}^{f} \cap V_{g}^{f^{\prime}}$



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## Question

What is the interaction between the p-ranks $f$ and $f^{\prime}$ ?
What can be said about the dimension of $\mathcal{R}_{g}^{\left(f, f^{\prime}\right)}$ ?

## Main Result 2

Let $g \geq 2$ and $0 \leq f \leq g$. For $\ell=2$ and $p \geq 5$.
Theorem 2 (O., Pries)
For a curve of genus $g$ and $p$-rank $f$ there is an unramified double cover $\pi$ such that $P_{\pi}$ is almost ordinary(has $p$-rank $g-2$ )

> For each irreducible component $S$ of $\mathcal{M}_{q}^{f}$, the locus of points for which there exists an unramified double cover $\pi$ with $P_{\pi}$ almost ordinary is nonempty with codimension one in $S$.

Raynaud, 2000: For any genus $g$ curve $X$ there is an
unramified solvable cover $Z \rightarrow X$ s.t. $Z$ is not ordinary.
Pop, Saidi, 2003: If $X$ is non-ordinary or if $\operatorname{Jac}(X)$ is simple then there is an unramified $\mathbb{Z} / \ell \mathbb{Z}$-cover $\pi$ such that $P_{\pi}$ is not ordinary for infinitely many

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This gives us:


## An application

Let $g \geq 2$ and $0 \leq f \leq g$.
Corollary (O., Pries)
Let $\ell=2, g \geq 4$ and $p \geq 5$ and $\frac{g}{2}-1 \leq f^{\prime} \leq g-3$.
Then there exits a smooth curve $X$ over $\mathbb{F}_{p}$ of genus $g$ and $p$-rank $f$ having an unramified double cover $\pi: Y \rightarrow X$ for which $P_{\pi}$ has p-rank f'.

## Summary and Further Directions

## Question

Given $g, f, f^{\prime}$ such that $g \geq 2,0 \leq f \leq g, 0 \leq f^{\prime} \leq g-1$, does there exists a curve $X$ over $\mathbb{F}_{p}$ of genus $g$ and $p$-rank $f$ having an unramified double cover $\pi: Y \rightarrow X$ with $p$-rank of $P_{\pi}$ being $f^{\prime}$ ?

The answer is YES for $p \geq 3$ and $0 \leq f \leq g$ when:

- $g=2$, unless $p=3$ and $f=0,1$ and $f^{\prime}=0$, in which case the anser is NO by Faber and van der Geer.
- $g \geq 3$ and $f^{\prime}=g-1$ by Theorem 1
- $g \geq 3$ and $f^{\prime}=g-2$ (with $f \geq 2$ when $p=3$ ) by Theorem 2
- when $p \geq 5$ and $g \geq 4$ and $\frac{g}{2}-1 \leq f^{\prime} \leq g-3$ by Corollary


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## Question

Given $g, f, f^{\prime}$ such that $g \geq 2,0 \leq f \leq g, 0 \leq f^{\prime} \leq g-1$, does there exists a curve $X$ over $\mathbb{F}_{p}$ of genus $g$ and $p$-rank $f$ having an unramified double cover $\pi: Y \rightarrow X$ with $p$-rank of $P_{\pi}$ being $f^{\prime}$ ?

First open case: $g=3, P_{\pi}$ has $p$-rank 0 studied as part of WINE 2 project and the answer is yes for $3 \leq p \leq 19$,moreover

## Theorem (CEGNOPT)

If $3 \leq p \leq 19$, the answer to the question above is YES for all $g \geq 2$ as long as $f$ is bigger than (appr.) $\frac{2 g}{3}$ and $f^{\prime}$ bigger than (appr.) $\frac{g}{3}$.

## Summary and Further Directions

Thm:[O., Pries] Once we know that $\mathcal{R}_{g}^{\left(f, f^{\prime}\right)} \neq \emptyset$ then each of its components has dimension at least $g-2+f+f^{\prime}$ (an application of purity)

This lower bound is realized when:

component of $\mathcal{R}_{g}^{\left(f, f^{\prime}\right)}$ has dimension $g-2+f+f^{\prime}$

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This lower bound is realized when:
$\diamond\left[\right.$ Thm 1] $f^{\prime}=g-1, \operatorname{dim} \mathcal{R}_{g}^{\left(f, f^{\prime}\right)}=2 g-3+f$
$\diamond\left[\right.$ Thm 2] $f^{\prime}=g-2$, with $f \geq 2$ when $p=3, \operatorname{dim} \mathcal{R}_{g}^{\left(f, f^{\prime}\right)}=2 g-4+f$
$\diamond$ [Cor.] $p \geq 5$ and $\frac{g}{2}-1 \leq f^{\prime} \leq g-3$, at least one component of $\mathcal{R}_{g}^{\left(f, f^{\prime}\right)}$ has dimension $g-2+f+f^{\prime}$

## Summary and Further Directions

Similarly:
Corollary (CEGNOPT)
If $3 \leq p \leq 19, \mathcal{R}_{g}^{\left(f, f^{\prime}\right)}$ has a nonempty component of dimension
$g-2+f+f^{\prime}$ for all $g \geq 2$ as long as $f$ is bigger than (appr.) $2 g / 3$ and $f^{\prime}$ bigger than (appr.) $g / 3$.

Remark


Open Question: What is the dimension of $\mathcal{R}_{3}^{(2,0)}$ ? Is there a 3-dimensional family of smooth plane quartics $X$ with $p$-rank 2 having an unramified double cover $\pi$ such that $P_{\pi}$ has $p$ rank 0 .

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Condition on $p$ is needed to show that $\mathcal{R}_{3}^{(2,0)}$ has dimension 3

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