

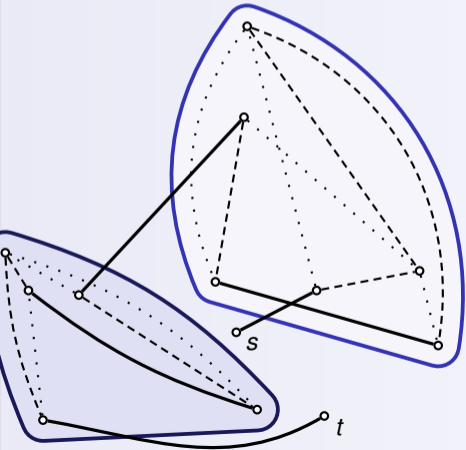
A 1.5-Approximation for Path TSP

Rico Zenklusen

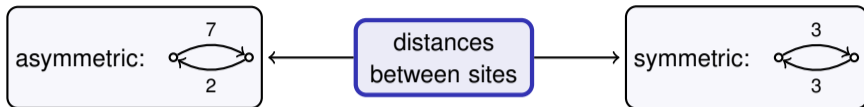
ETH Zurich

Presentation: Martin Nägele, ETH Zurich

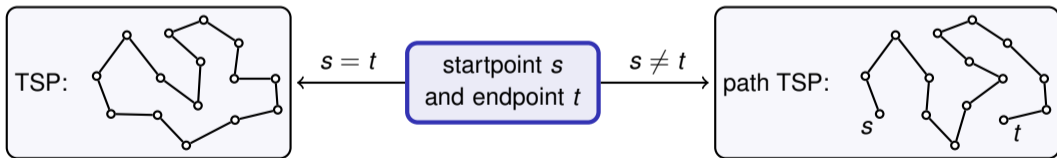
A brief intro to the Traveling Salesman Problem



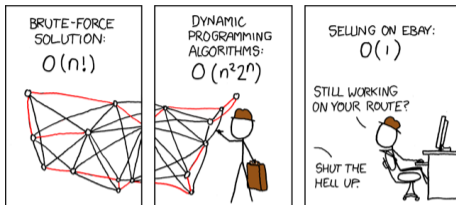
Common Variations of TSP



- ▶ Complete graph $G = (V, E)$.
- ▶ Metric length $\ell: E \rightarrow \mathbb{R}_{\geq 0}$.



- ▶ All variants are well-known to be APX-hard.



- ▶ Major open problem what efficient computation can achieve.

TSP	path TSP
	1.667 [Hoogeveen, 1991]
	1.618 [An, Kleinberg, Shmoys, 2012]
	1.6 [Sebő, 2013]
1.5 [Christofides, 1978]	1.599 [Vygen, 2016]
	1.566 [Gottschalk, Vygen, 2016]
	1.529 [Sebő, van Zuylen, 2016]
	1.5 + ε [Traub, Vygen, 2018a]

Exciting progress for graph metrics:

[Oveis Gharan, Saberi, Singh, 2011]

[Mucha, 2014]

[Sebő, Vygen, 2014]

[Mömke, Svensson, 2016]

[Traub, Vygen, 2018b]

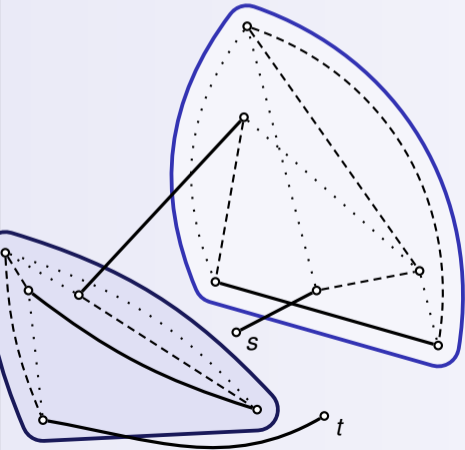
[...]

There is a 1.5-approximation for path TSP.

- ▶ We move away from prior approaches, which focussed on so-called *narrow cuts*.
- ▶ Technical ingredients: Obtain a strong Held-Karp solution z using
 - ▶ Karger's bound on the number of near-min cuts, and
 - ▶ Dynamic programming "à la Traub & Vygen".Run a Christofides-type algorithm with a spanning tree obtained from z .
- ▶ Analysis follows Wolsey's approach.
- ▶ Natural barrier 1.5: Any progress improves upon Christofides' 1.5-approximation for TSP.


Following in Christofides' footsteps

Why it works for TSP but fails for path TSP...
(Spoiler: ...and can be fixed.)




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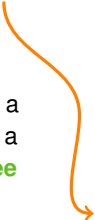


Start building a
solution from a
spanning tree

- ▶ Find **connected Eulerian** graph with good total length, exploit metric lengths to shortcut.



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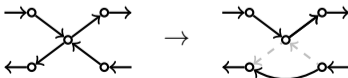
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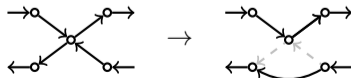
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Christofides' 1.5-approximation for TSP

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$$\implies \ell(T) \leq \ell(\text{OPT}) .$$

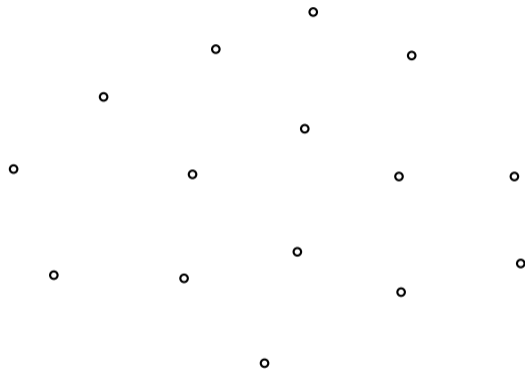
2. Find a shortest odd(T)-join J .

$$\implies \ell(J) \leq \frac{1}{2} \cdot \ell(\text{OPT}) .$$

3. Find Eulerian tour in multiunion of T and J .

4. Return shortcutted Hamiltonian tour H .

$$\implies \ell(H) \leq \ell(T) + \ell(J) \leq \frac{3}{2} \cdot \ell(\text{OPT}) .$$



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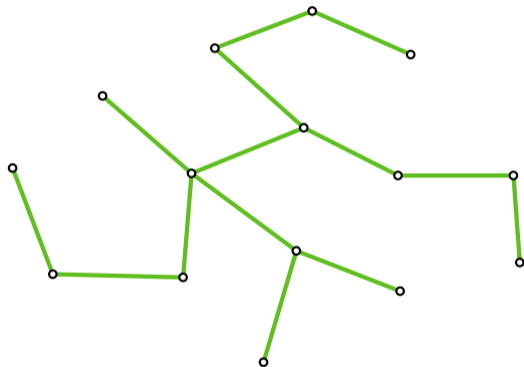
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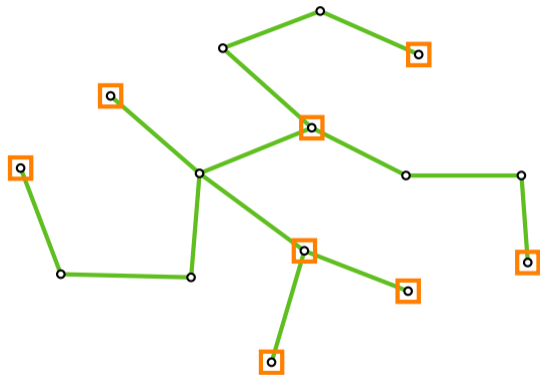
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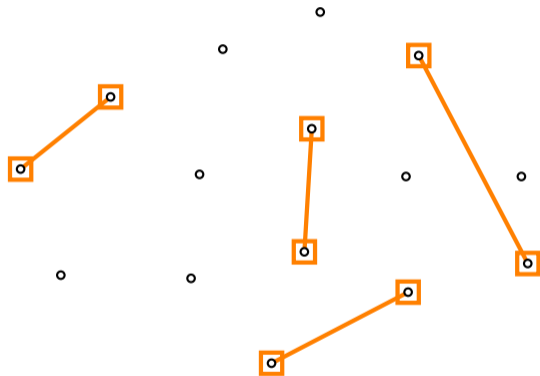
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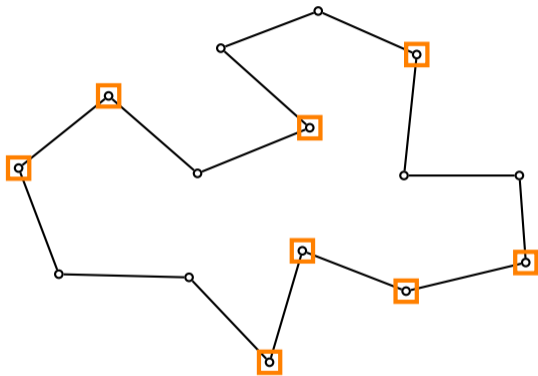
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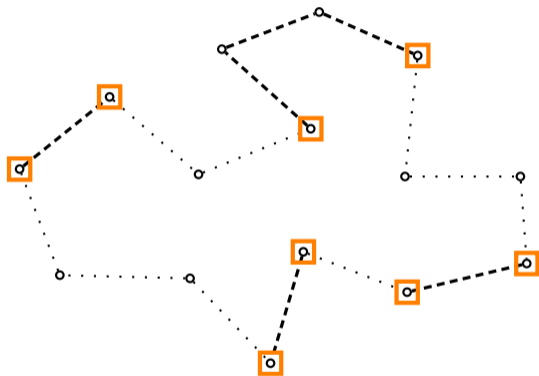
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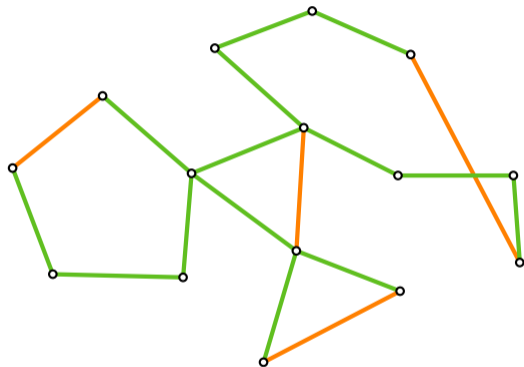
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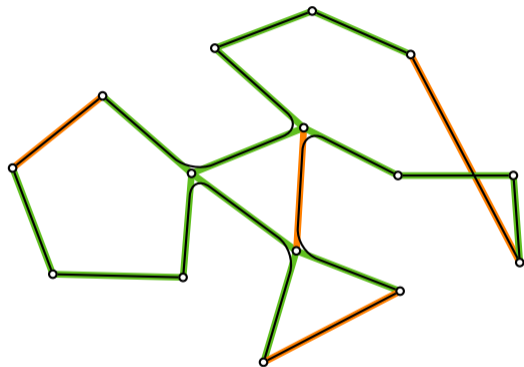
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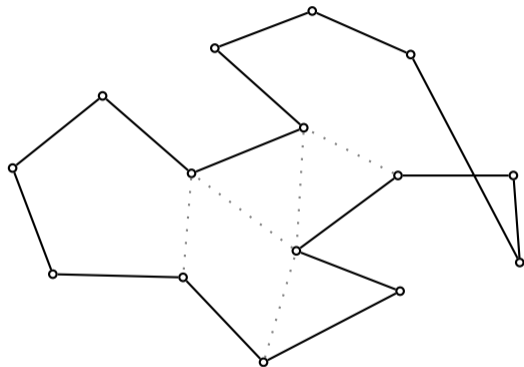
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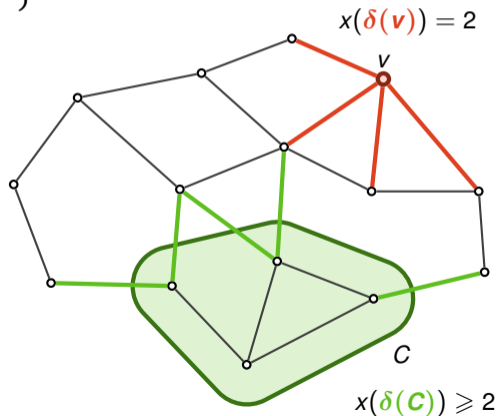


- ▶ Held-Karp polytope

$$P_{\text{HK}} := \left\{ x \in \mathbb{R}_{\geq 0}^E \mid \begin{array}{l} x(\delta(v)) = 2 \quad \forall v \in V \\ x(\delta(C)) \geq 2 \quad \forall C \subsetneq V, C \neq \emptyset \end{array} \right\} .$$

- ▶ Held-Karp relaxation

$$\min \{ \ell^\top x \mid x \in P_{\text{HK}} \} .$$



► Let $x^* \in \operatorname{argmin}\{\ell^\top x \mid x \in P_{\text{HK}}\}$.

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Claim

If T is a shortest spanning tree, and J is a shortest odd(T)-join, then

$$\text{(a)} \quad \ell(T) \leq \ell^\top x^*, \quad \text{and} \quad \text{(b)} \quad \ell(J) \leq \frac{1}{2} \cdot \ell^\top x^*.$$

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$$P_{Q\text{-join}}^\uparrow = \left\{ x \in \mathbb{R}_{\geq 0}^E \mid x(\delta(C)) \geq 1 \quad \forall C \subseteq V, |C \cap Q| \text{ odd} \right\}$$

for any $Q \subseteq V$, $|Q|$ even.

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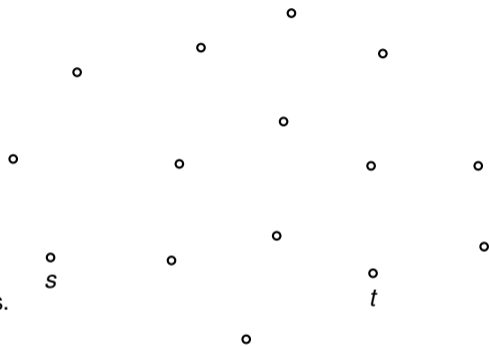
► Shows 1.5-approximation and upper bound on integrality gap.

- ▶ Shortest spanning tree T : $\ell(T) \leq \ell(\text{OPT})$.
- ▶ But: OPT does not contain two disjoint Q_T -joins.
- ▶ Still, shortest Q_T -join J satisfies

$$\ell(J) \leq \frac{2}{3} \cdot \ell(\text{OPT}). \quad [\text{Hoogeveen, 1991}]$$

Proof: Together, OPT and T contain three Q_T -joins.

- ▶ This algorithm is only $\frac{5}{3}$ -approximate on some instances.

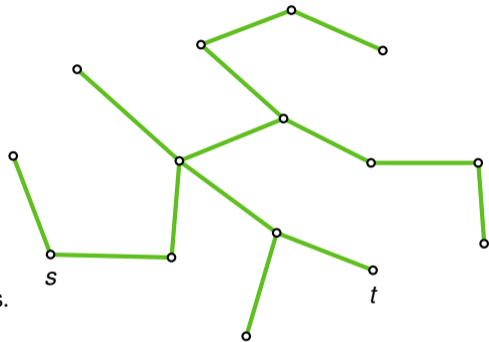


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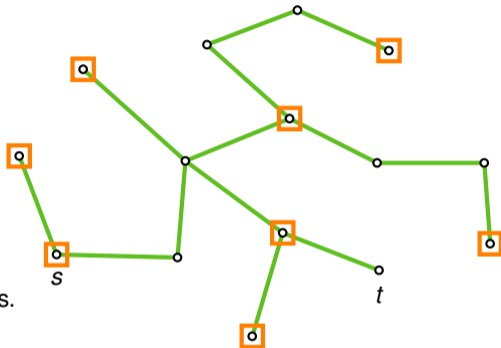
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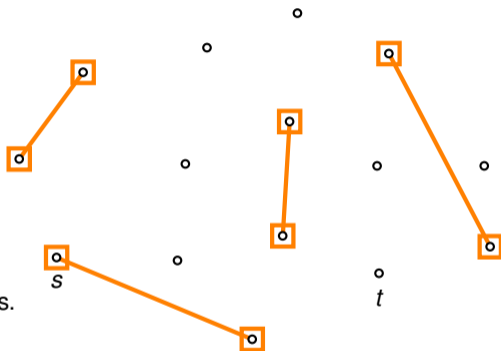
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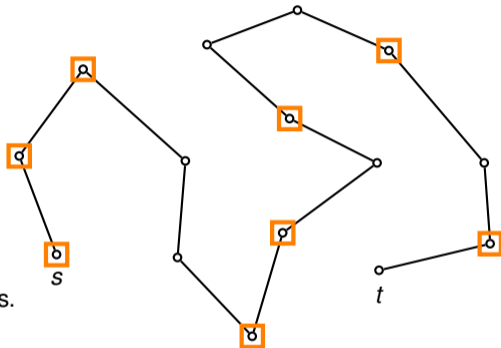
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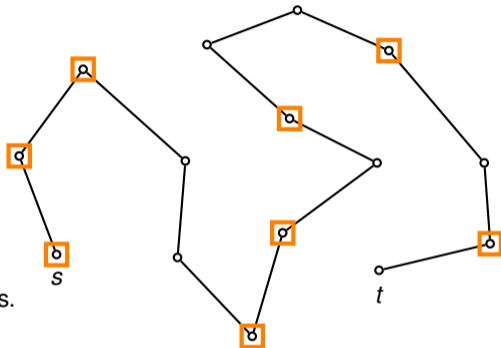
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Goal: Find tree T with $\ell(T) \leq \ell(\text{OPT})$ and s.t. shortest Q_T -join J satisfies $\ell(J) \leq \frac{1}{2} \cdot \ell(\text{OPT})$.

- ▶ Held-Karp polytope for path TSP:

$$P_{\text{HK}} := \left\{ x \in \mathbb{R}_{\geq 0}^E \mid \begin{array}{l} x(\delta(v)) = 1 \quad v \in \{s, t\} \\ x(\delta(v)) = 2 \quad v \in V \setminus \{s, t\} \\ x(\delta(C)) \geq 1 \quad \forall C \subseteq V, |C \cap \{s, t\}| = 1 \\ x(\delta(C)) \geq 2 \quad \forall C \subsetneq V, C \neq \emptyset, |C \cap \{s, t\}| = 0 \end{array} \right\}$$

Where Wolsey's analysis fails

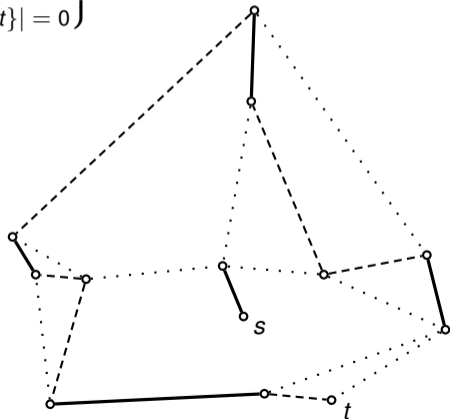
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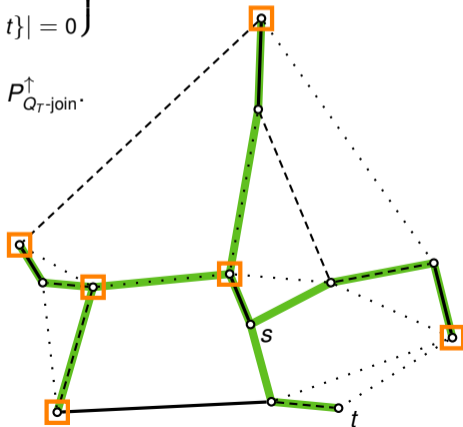
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- ▶ Problem: $\frac{x^*}{2}$ for $x^* \in \operatorname{argmin}\{\ell^T x \mid x \in P_{\text{HK}}\}$ infeasible for $P_{Q_T\text{-join}}^\uparrow$.

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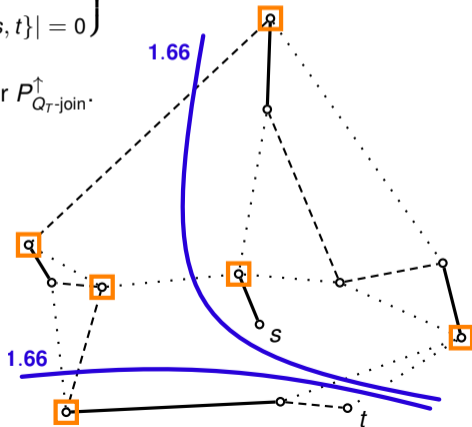
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- ▶ Infeasibility caused by *narrow cuts*:

- cuts C with $x^*(\delta(C)) < 2$.
- s - t -cuts, form a chain.
- appear in $P_{Q_T\text{-join}}^\uparrow$ only if $|T \cap \delta(C)|$ even.



Where Wolsey's analysis fails

- ▶ Held-Karp polytope for path TSP:

$$P_{HK} := \left\{ x \in \mathbb{R}_{\geq 0}^E \mid \begin{array}{ll} x(\delta(v)) = 1 & v \in \{s, t\} \\ x(\delta(v)) = 2 & v \in V \setminus \{s, t\} \\ x(\delta(C)) \geq 1 & \forall C \subseteq V, |C \cap \{s, t\}| = 1 \\ x(\delta(C)) \geq 2 & \forall C \subsetneq V, C \neq \emptyset, |C \cap \{s, t\}| = 0 \end{array} \right\}$$

..... $x^*(e) = 1/3$

----- $x^*(e) = 2/3$

———— $x^*(e) = 1$

- ▶ Problem: $\frac{x^*}{2}$ for $x^* \in \operatorname{argmin}\{\ell^T x \mid x \in P_{HK}\}$ infeasible for $P_{Q_T\text{-join}}^\uparrow$.

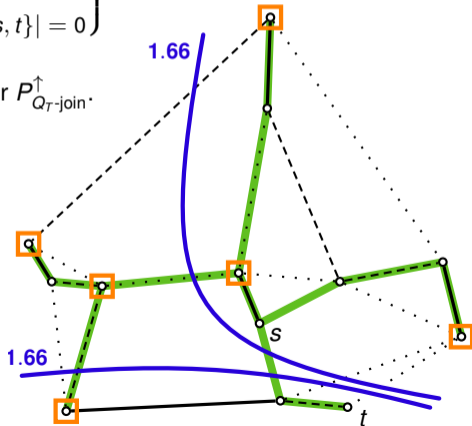
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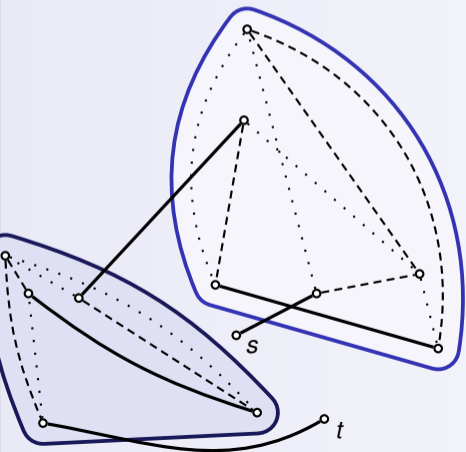
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1.5-approximation:
The high-level plan

A new ingredient: Finding $\mathcal{B}(x^*)$ -good points

► Let $x^* \in \operatorname{argmin}\{\ell(x) \mid x \in P_{\text{HK}}\}$.

► Let

$$\mathcal{B}(x^*) := \{C \subseteq V \mid s \in C, t \notin C, x^*(\delta(C)) < 3\} .$$

By Karger's result, $|\mathcal{B}(x^*)|$ is polynomially bounded. [Karger 1993]

► We will find a shortest point $y \in P_{\text{HK}}$ that is $\mathcal{B}(x^*)$ -good:

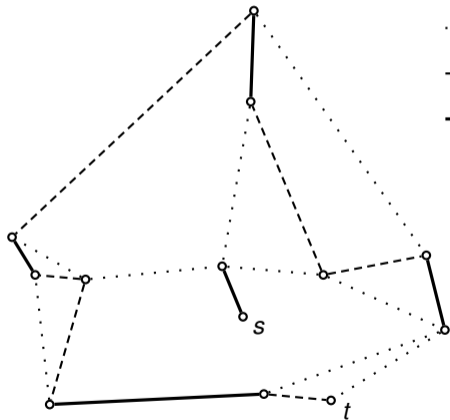
For each $B \in \mathcal{B}(x^*)$, either

- $y(\delta(B)) \geq 3$, or
- $y(\delta(B)) = 1$ and y is 0/1 on $\delta(B)$.

A new ingredient: Finding $\mathcal{B}(x^*)$ -good points

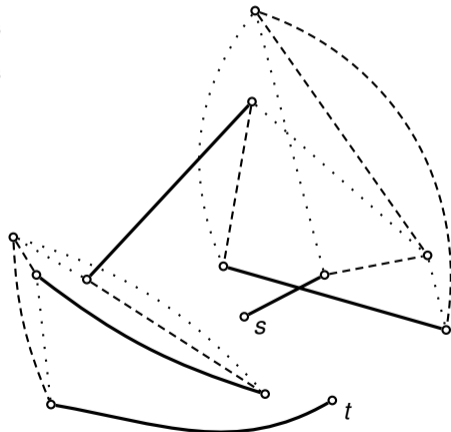
$\mathcal{B}(x^*)$ -good

$y \in P_{\text{HK}}$ is $\mathcal{B}(x^*)$ -good: For all $B \in \mathcal{B}(x^*)$, $\blacktriangleright y(\delta(B)) \geq 3$, or $\blacktriangleright y(\delta(B)) = 1$ and y is 0/1 on $\delta(B)$.



$x^* \in P_{\text{HK}}$

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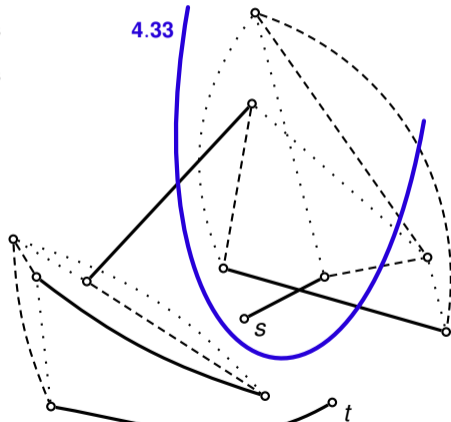
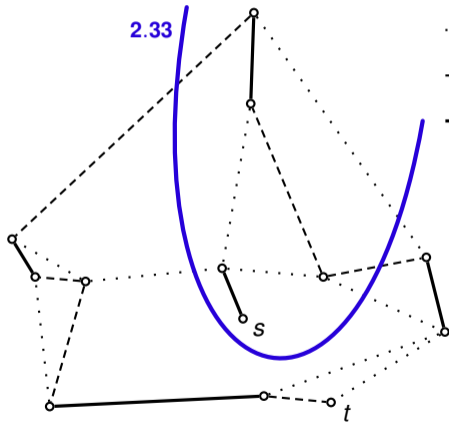


$\mathcal{B}(x^*)$ -good point y

A new ingredient: Finding $\mathcal{B}(x^*)$ -good points

$\mathcal{B}(x^*)$ -good

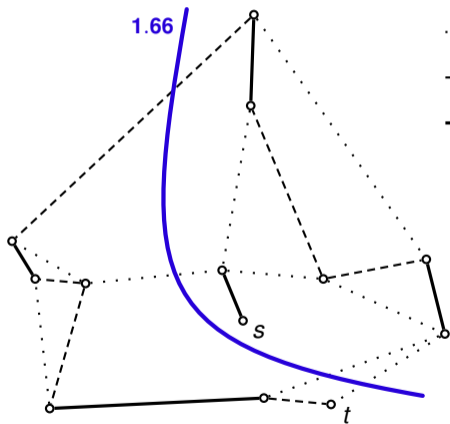
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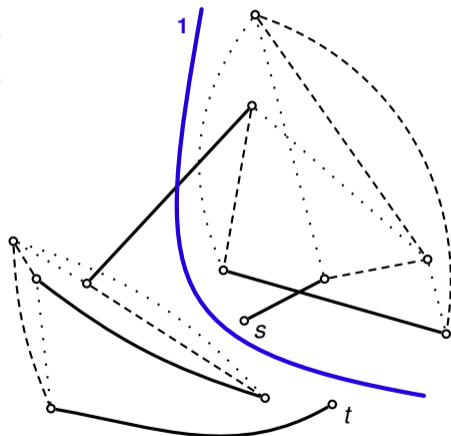
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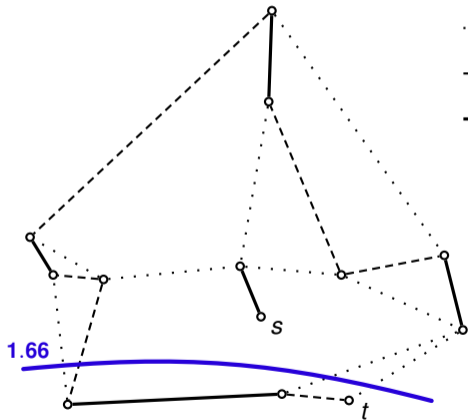


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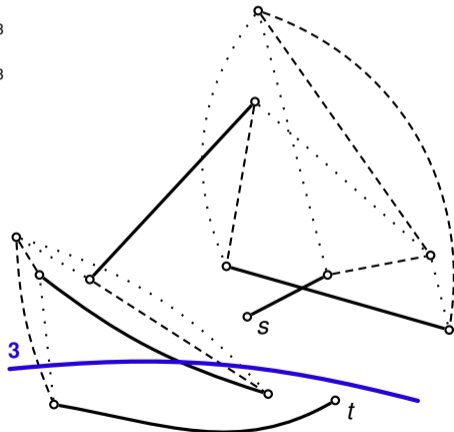
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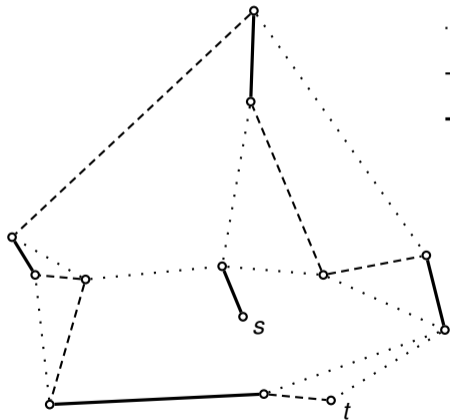


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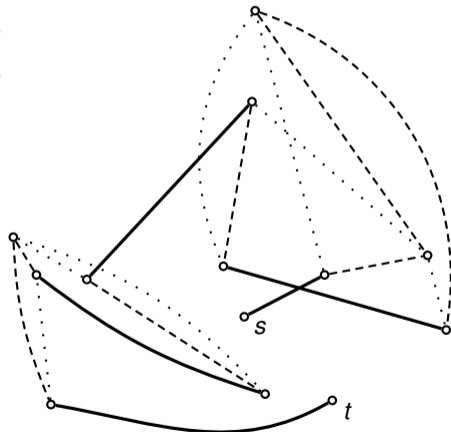
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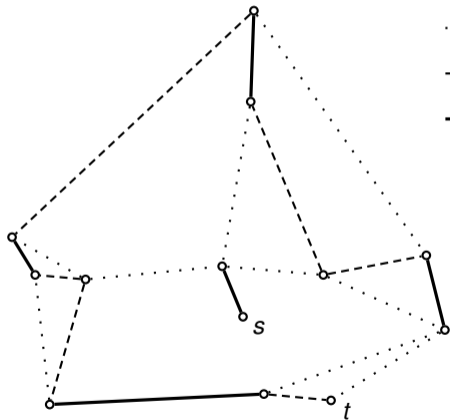


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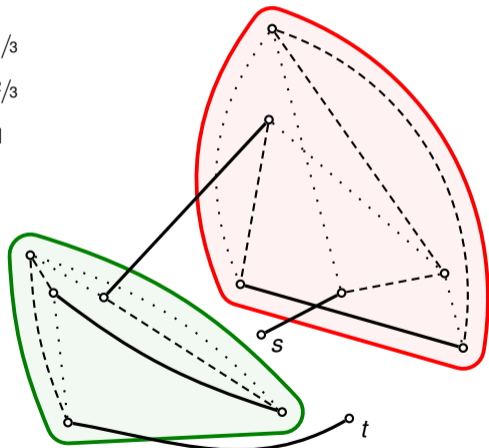
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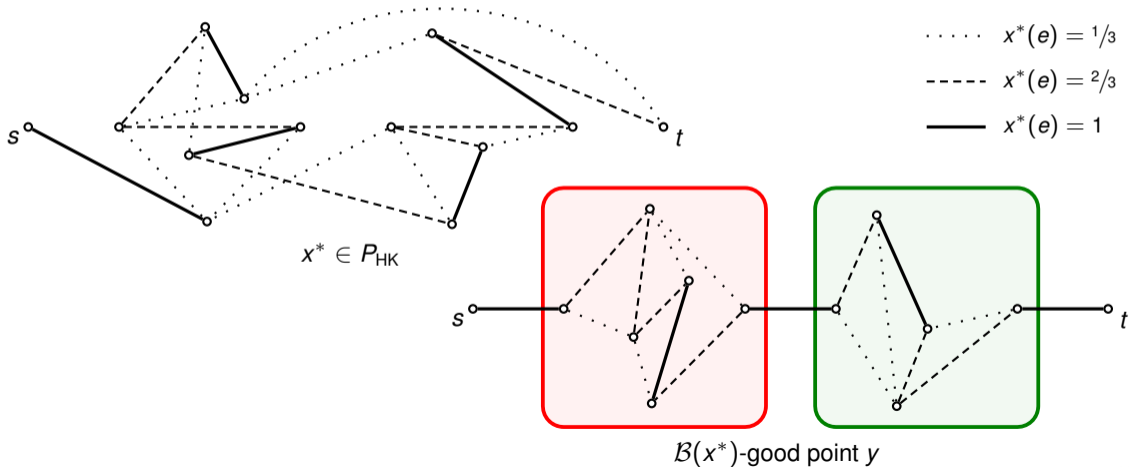


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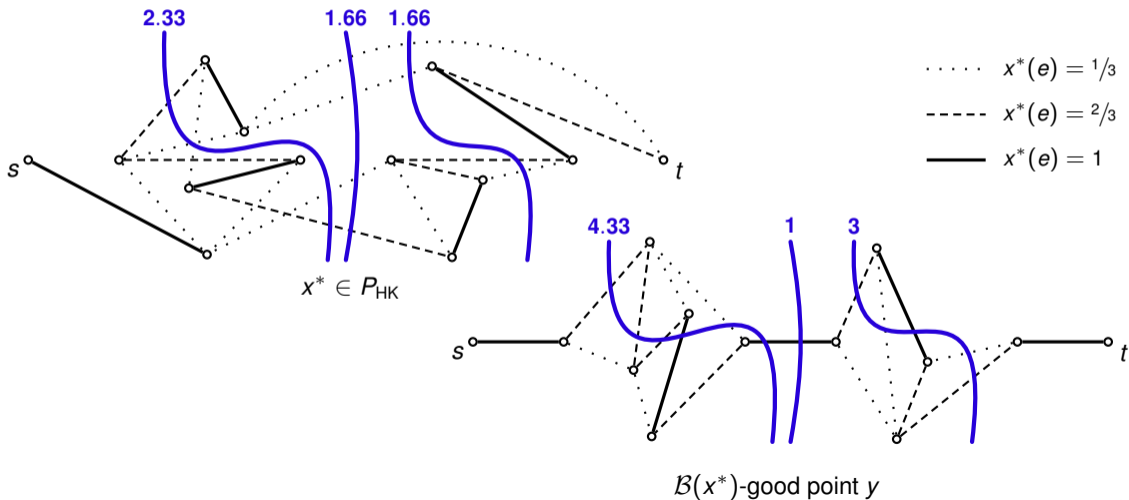
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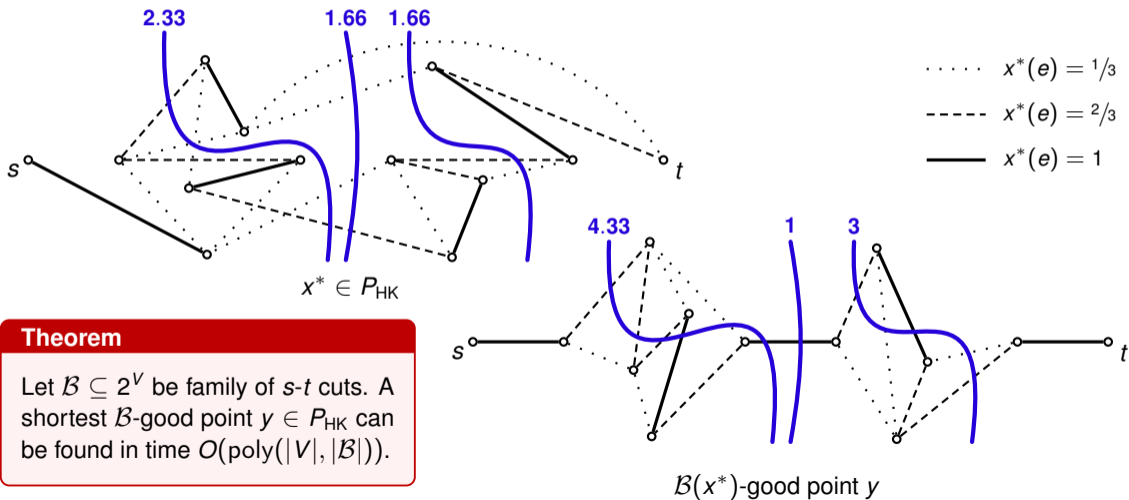
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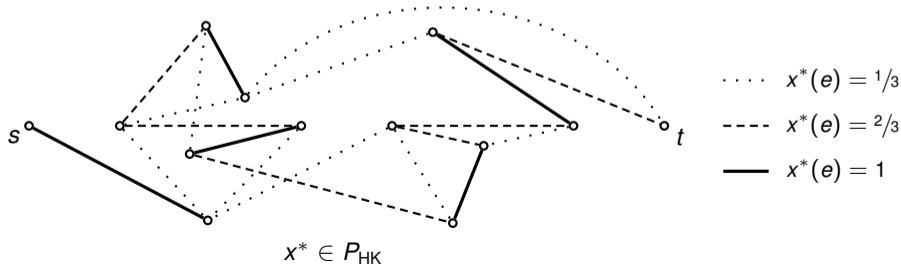


Theorem

Let $\mathcal{B} \subseteq 2^V$ be family of s - t cuts. A shortest \mathcal{B} -good point $y \in P_{\text{HK}}$ can be found in time $O(\text{poly}(|V|, |\mathcal{B}|))$.

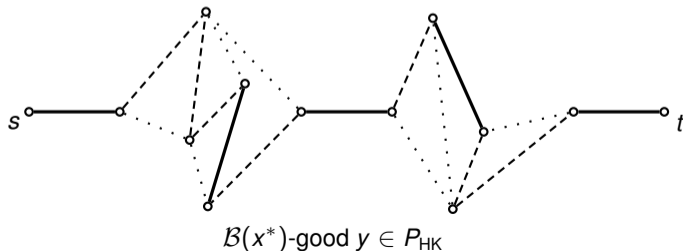
From short $\mathcal{B}(x^*)$ -good points to 1.5-approx.

1. Let $x^* \in \operatorname{argmin}\{\ell(x) \mid x \in P_{\text{HK}}\}$.
2. Let y be a shortest $\mathcal{B}(x^*)$ -good point.
3. Let T be a shortest spanning tree in $(V, \operatorname{supp}(y))$.
4. Let J be a shortest Q_T -join.
5. Return shortcutted tour in multiunion of T and J .



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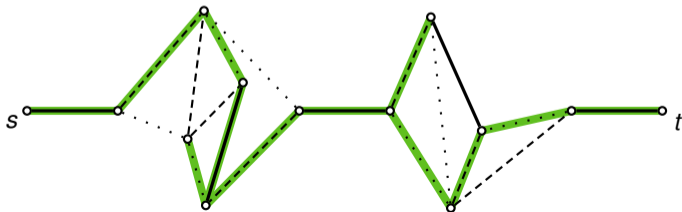
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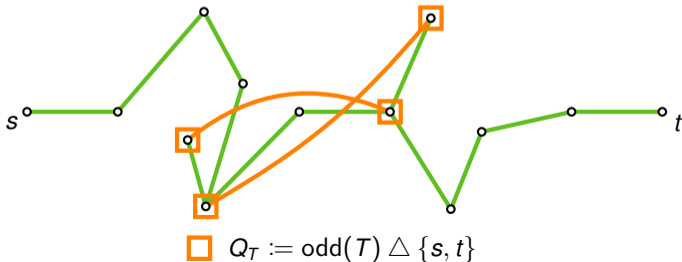
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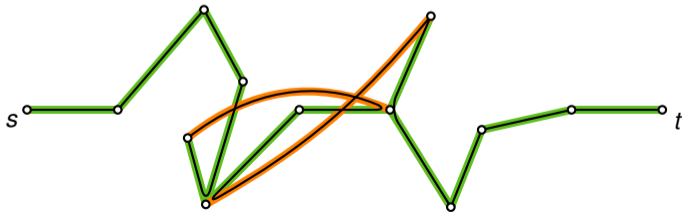
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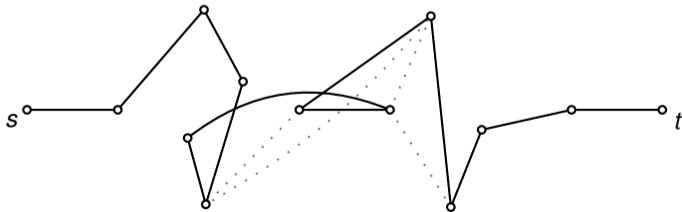
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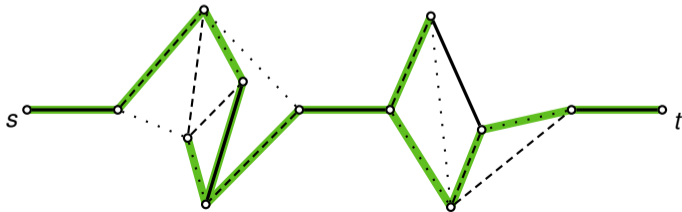
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The spanning tree T is cheap: $\ell(T) \leq \ell(\text{OPT})$

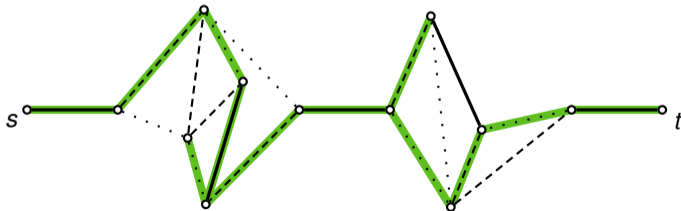
1. Let $x^* \in \operatorname{argmin}\{\ell(x) \mid x \in P_{\text{HK}}\}$.
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The spanning tree T is cheap: $\ell(T) \leq \ell(\text{OPT})$

- We have $y \in P_{\text{HK}} \subseteq P_{\text{ST}}$.
 $\implies \ell(T) \leq \ell^T y$.

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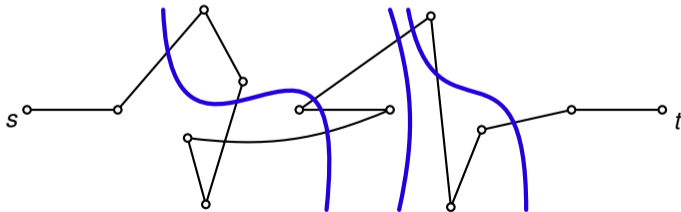
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► OPT is \mathcal{B} -good for any family \mathcal{B} of s - t cuts.

$$\implies \ell^T y \leq \ell(\text{OPT}) .$$

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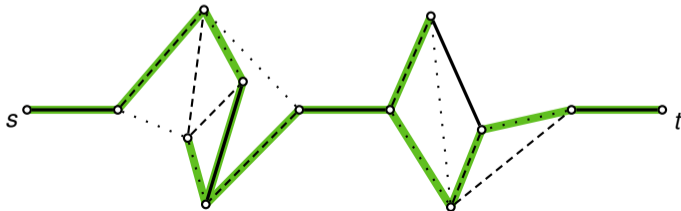
▶ OPT is \mathcal{B} -good for any family \mathcal{B} of s - t cuts.

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▶ Together, we conclude

$$\ell(T) \leq \ell^T y \leq \ell(\text{OPT}) .$$

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The Q_T -join J is cheap: $\ell(J) \leq \frac{1}{2} \ell(\text{OPT})$

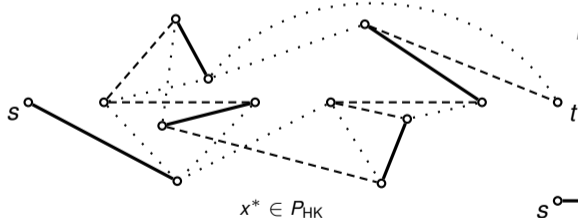
► We show $\frac{1}{4}x^* + \frac{1}{4}y \in P_{Q_T\text{-join}}^\uparrow$.

$$\implies \ell(J) \leq \frac{1}{4} (\ell^\top x^* + \ell^\top y) \leq \frac{1}{2} \ell(\text{OPT}).$$

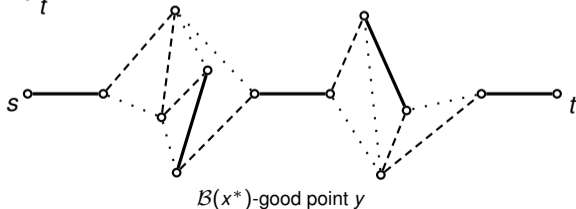
► Distinguish cases:

1. 2. 3. 4.

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► Distinguish cases:

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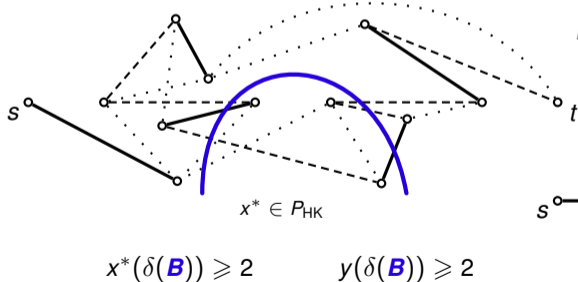
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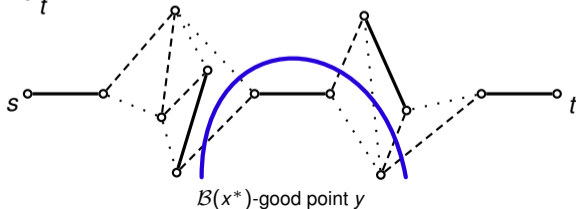
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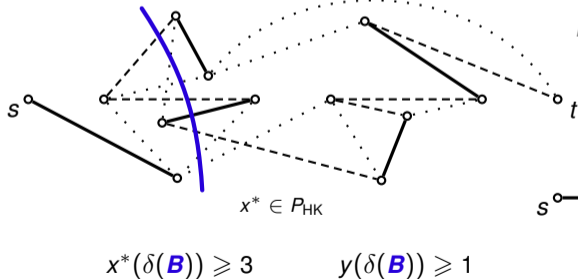
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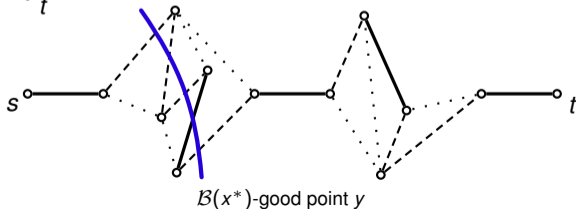
► Distinguish cases:

1. 2. s - t cuts not in $\mathcal{B}(x^*)$. 3. 4.



1. Let $x^* \in \operatorname{argmin}\{\ell(x) \mid x \in P_{\text{HK}}\}$.
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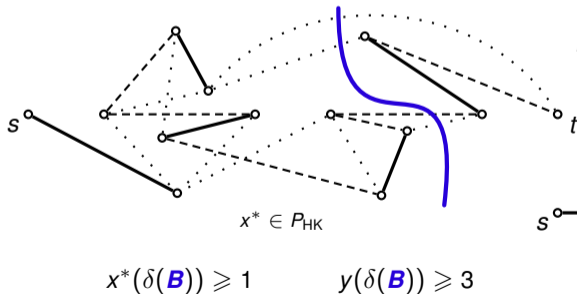
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► We show $\frac{1}{4}x^* + \frac{1}{4}y \in P_{Q_T\text{-join}}^\uparrow$.

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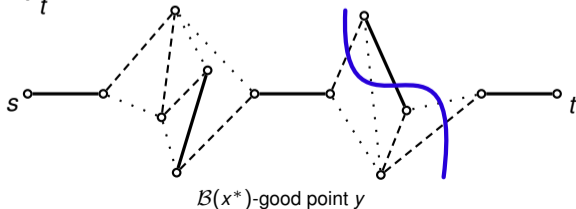
► Distinguish cases:

- 1.
- 2.
3. s - t cuts $B \in \mathcal{B}(x^*)$ with $y(\delta(B)) \geq 3$.
- 4.



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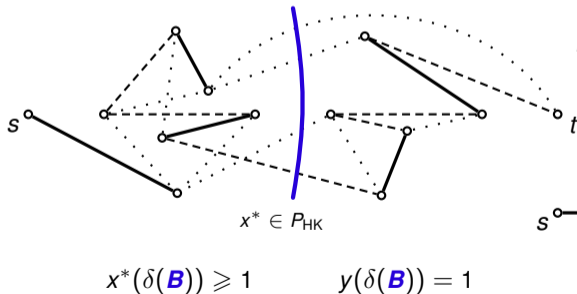
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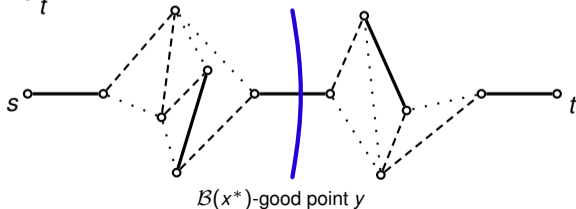
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- 1.
- 2.
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5. Return shortcutted tour in multiunion of T and J .

$$P_{Q_T\text{-join}}^\uparrow = \left\{ x \in \mathbb{R}_{\geq 0}^E \mid x(\delta(C)) \geq 1 \quad \forall C \subseteq V, |C \cap Q_T| \text{ odd} \right\}$$



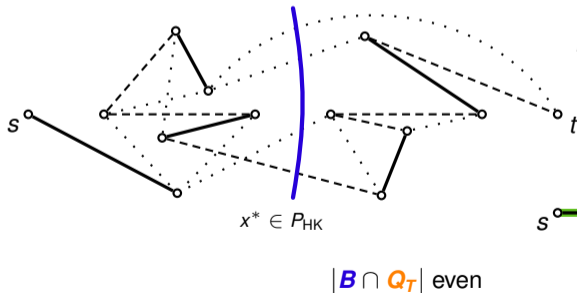
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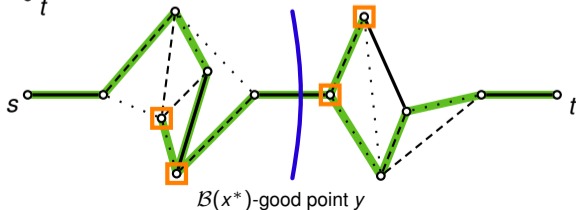
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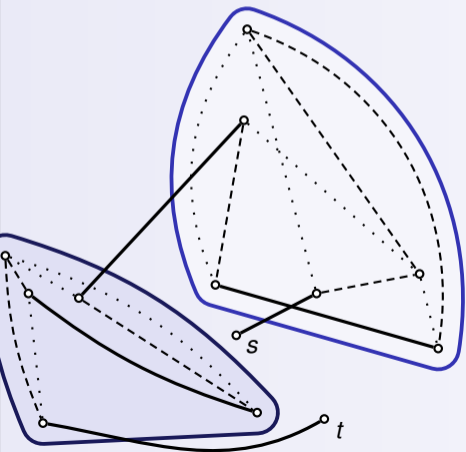
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The dynamic program

The DP: Finding shortest \mathcal{B} -good points

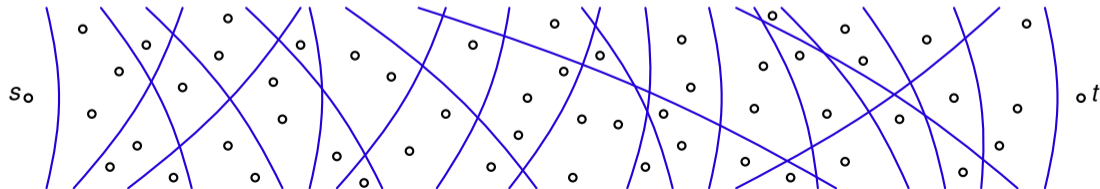
Theorem

Let $\mathcal{B} \subseteq 2^V$ a family of s - t cuts. A shortest \mathcal{B} -good point $y \in P_{\text{HK}}$ can be found in time $O(\text{poly}(|V|, |\mathcal{B}|))$.

\mathcal{B} -good point y

For all $B \in \mathcal{B}$, either

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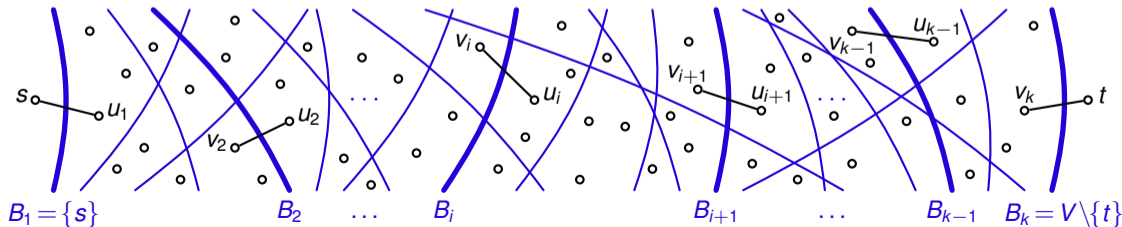
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▶ DP can be interpreted as a simplified version of the one used by Traub & Vygen [SODA 2018].

▶ Key plan:

- ▶ “Guess” cuts $B_1, \dots, B_k \in \mathcal{B}$ with $y(\delta(B_i)) = 1$, and the single edge in these cuts.
- ▶ Observation: B_1, \dots, B_k must form a chain \rightarrow can split into subproblems on $B_{i+1} \setminus B_i$.



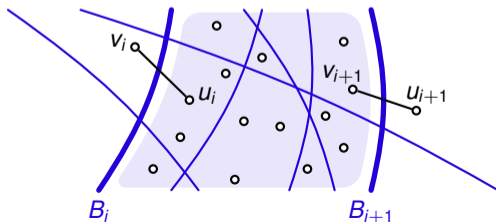
Solving a single subproblem

- ▶ Restriction to $B_{i+1} \setminus B_i$, start at u_i , end at v_{i+1} .
- ▶ Enforce $y(\delta(B)) \geq 3$ for $B \in \mathcal{B}$ with $B_i \subsetneq B \subsetneq B_{i+1}$.
- ▶ Corresponding LP formulation:

$$\lambda(B_{i+1} \setminus B_i, u_i, v_{i+1}) = \min \ell^\top y$$

$$y \in P_{\text{HK}}(B_{i+1} \setminus B_i, u_i, v_{i+1})$$

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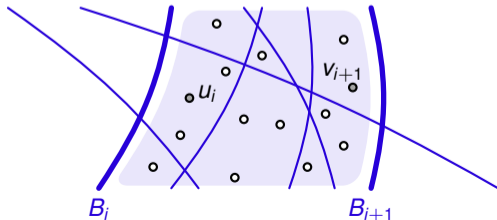
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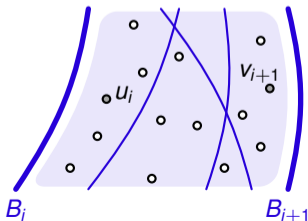
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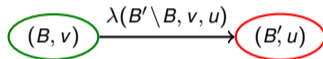
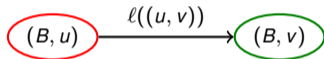


- ▶ Idea: Advance from one cut B with $y(\delta(B)) = 1$ to another.

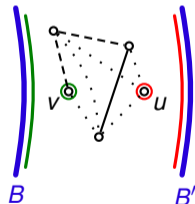
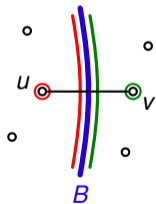
- ▶ Idea: Advance from one cut B with $y(\delta(B)) = 1$ to another.
- ▶ Formulation as a shortest path problem on auxiliary digraph:

Nodes: Pairs (B, v) for $B \in \mathcal{B}$ and $v \in V$.

Edges: Two types of steps corresponding to extension of a solution.



$u \in B$
 $v \in V \setminus B$

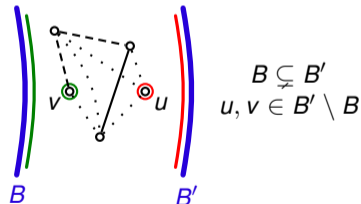
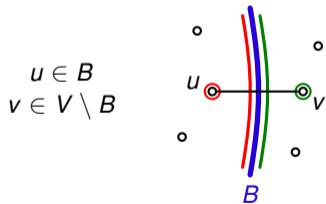
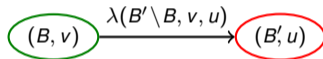
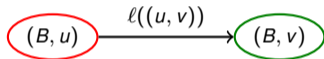


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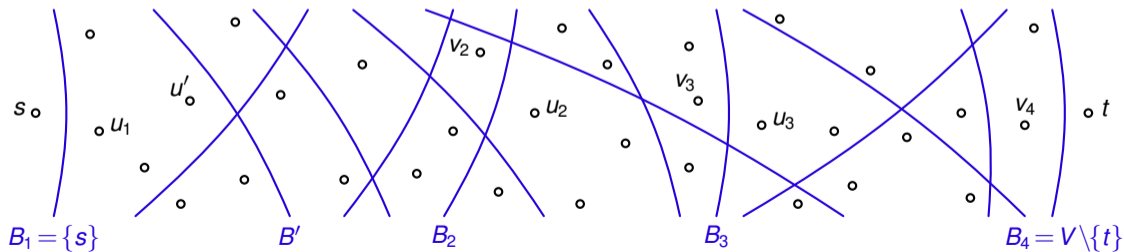
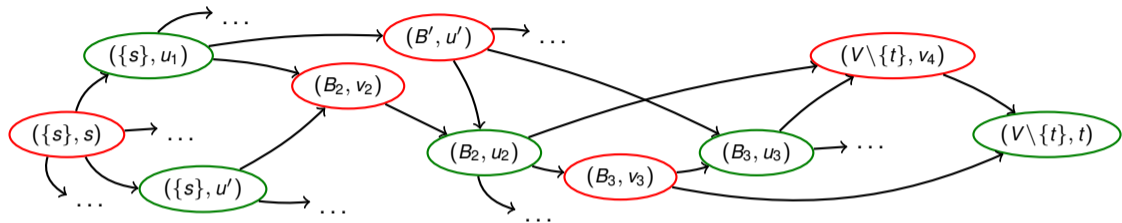
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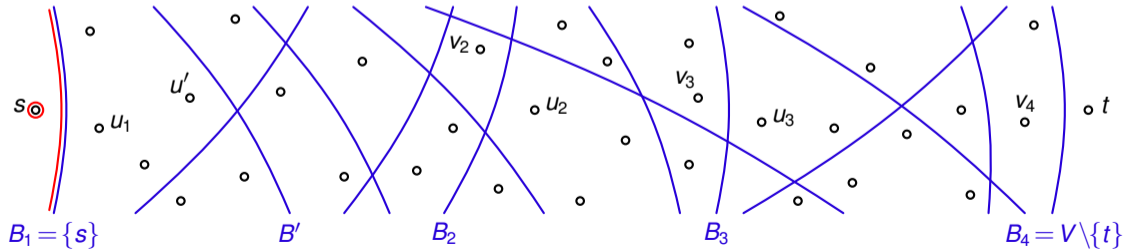
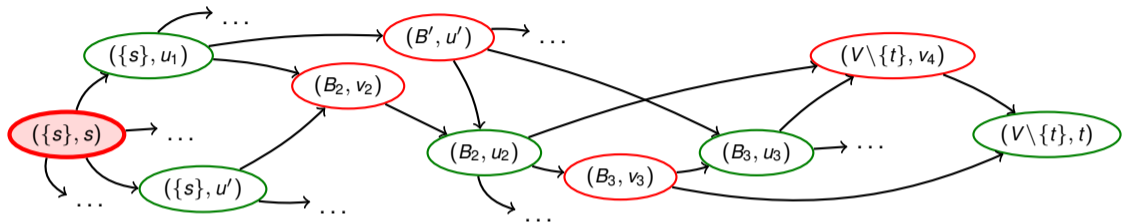


- ▶ Optimal solution: Shortest $(\{s\}, s) \rightarrow (V \setminus \{t\}, t)$ path in auxiliary digraph.

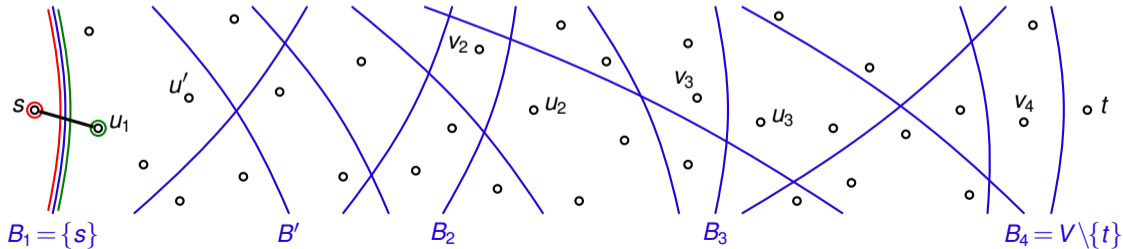
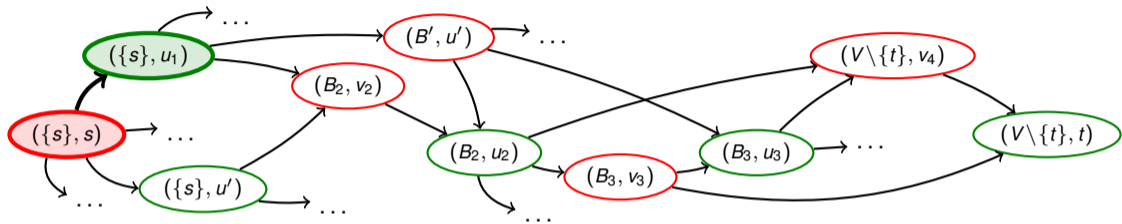
DP auxiliary graph: An example



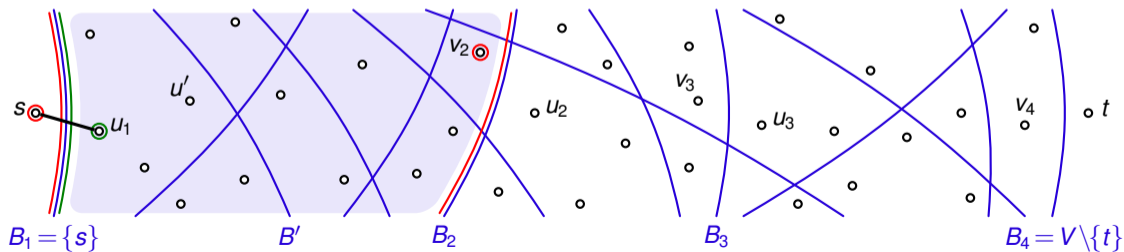
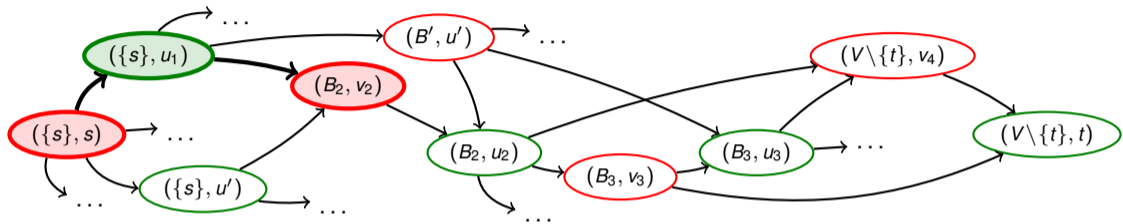
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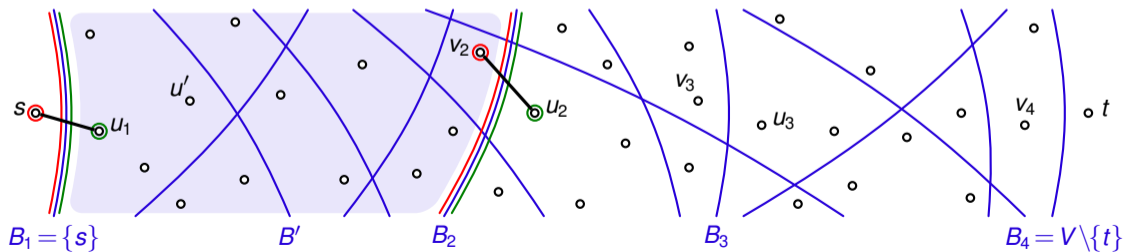
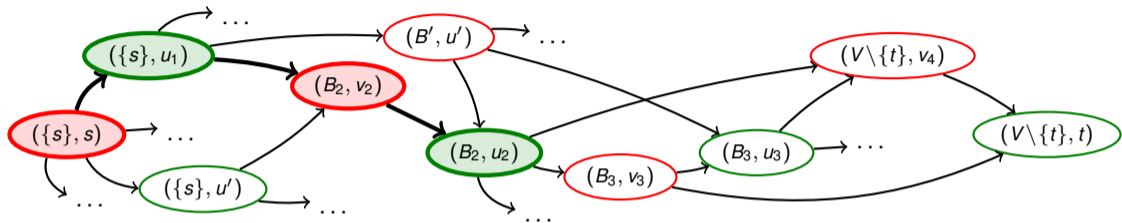
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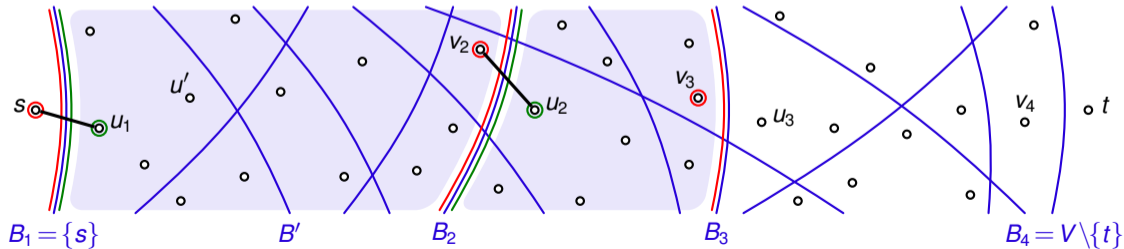
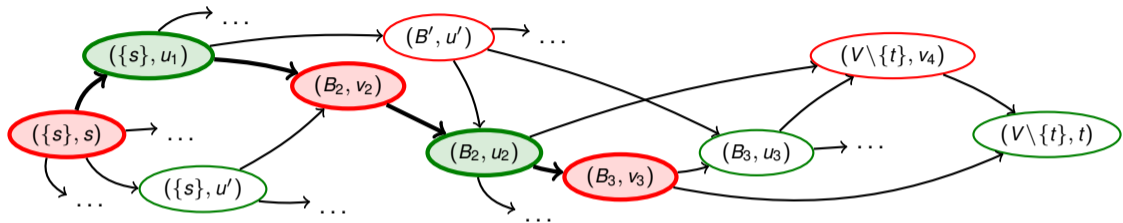
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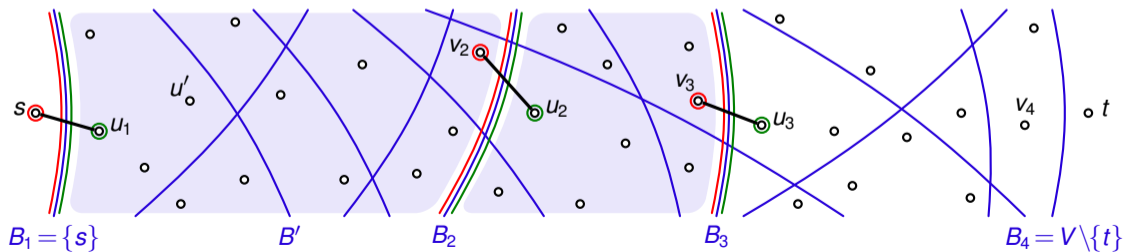
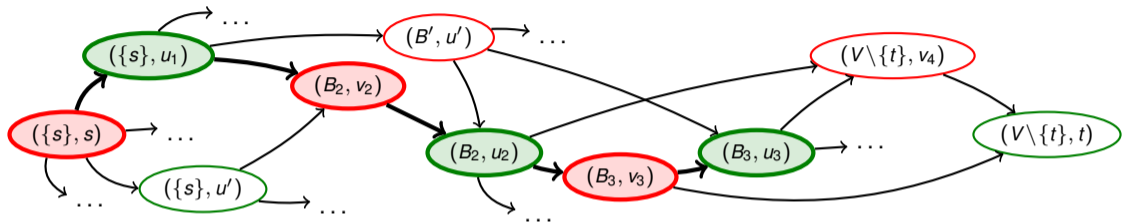
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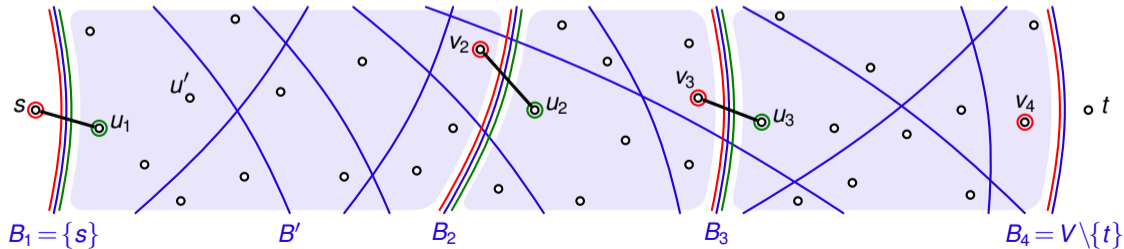
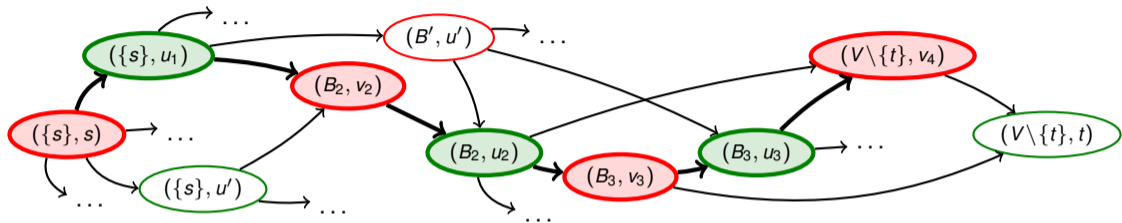
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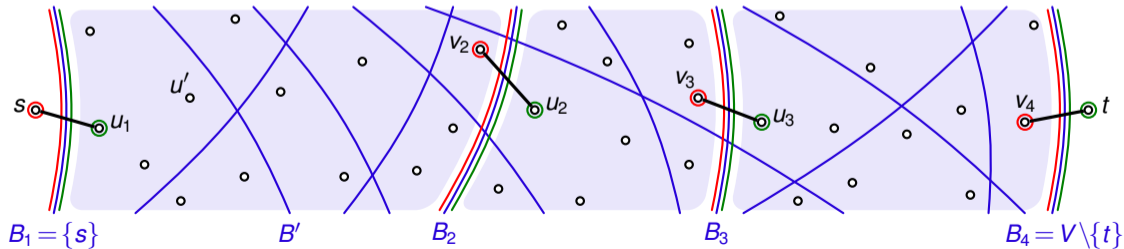
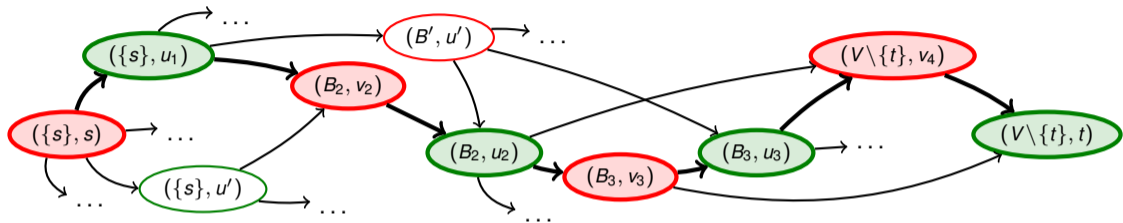
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Theorem (basic properties of DP solutions)

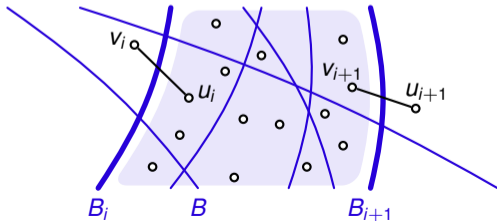
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Proof. Need to show that DP solution y satisfies $y(\delta(B)) \geq 3$ for all $B \in \mathcal{B} \setminus \{B_1, \dots, B_k\}$.

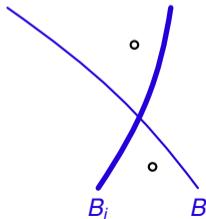


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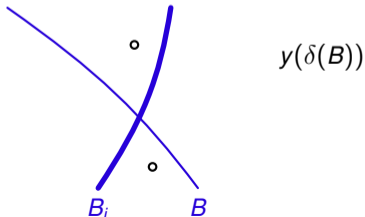


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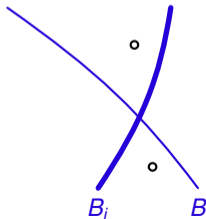


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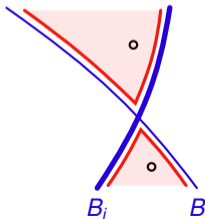
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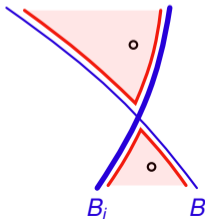
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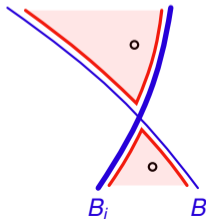
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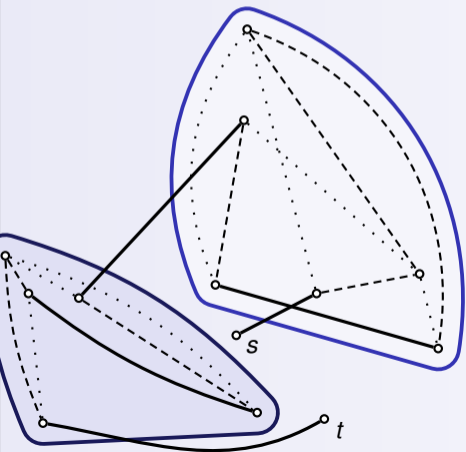
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$$\begin{aligned}
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 \implies y(\delta(B)) &\geq 3 .
 \end{aligned}$$



Conclusions

Theorem

[Zenklusen, 2018]

There is a 1.5-approximation for path TSP.

- ▶ Approximation factors below 1.5 for TSP (or even path TSP)?
- ▶ Show that the integrality gap of Held-Karp relaxation for path TSP is 1.5.