

An abstract formalism for strategical Ramsey theory

Noé de Rancourt

Université Paris VII, IMJ-PRG

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Infinite-dimensional Ramsey theory and the pigeonhole principle

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Let \mathcal{X} be an analytic set of infinite subsets of \mathbb{N} . Then there exists $M \subseteq \mathbb{N}$ infinite such that:

- either for every infinite $A \subseteq M$, we have $A \in \mathcal{X}$;
- or for every infinite $A \subseteq M$, we have $A \notin \mathcal{X}$.

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Here, the set M is generally viewed as a element of a forcing poset, whereas the set A is viewed as an increasing sequence of integers.

Infinite-dimensional Ramsey theory and the pigeonhole principle

Fix k an at most countable field. Let $E = k^{(\mathbb{N})}$ be the countably infinite-dimensional vector space over k , with canonical basis $(e_i)_{i \in \mathbb{N}}$. Recall that a **block-sequence** of E is a sequence $(x_n)_{n \in \mathbb{N}}$ of nonzero **successive** vectors of E , i.e. such that $\text{supp}(x_0) < \text{supp}(x_1) < \dots$ (where $\text{supp}(\sum_{i \in \mathbb{N}} a_i e_i) = \{i \in \mathbb{N} \mid a_i \neq 0\}$).

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Theorem (Milliken)

Suppose $k = \mathbb{F}_2$. Let \mathcal{X} be an analytic set of block-sequences of E . Then there exists an infinite-dimensional subspace F of E such that:

- either every block-sequence of F belongs to \mathcal{X} ;
- or every block-sequence of F belongs to \mathcal{X}^c .

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The pigeonhole principle associated to Milliken's theorem is:

Theorem (Hindman)

Suppose $k = \mathbb{F}_2$. For every $A \subseteq E \setminus \{0\}$, there exists an infinite-dimensional subspace F of E such that either $F \setminus \{0\} \subseteq A$, or $F \setminus \{0\} \subseteq A^c$.

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Can we still get something interesting without pigeonhole principle?

The formalism of Gowers spaces

Let P be a set (the set of **subspaces**) and \leq and \leq^* be two quasi-orderings on P , satisfying:

- 1 for every $p, q \in P$, if $p \leq q$, then $p \leq^* q$;
- 2 for every $p, q \in P$, if $p \leq^* q$, then there exists $r \in P$ such that $r \leq p$, $r \leq q$ and $p \leq^* r$;
- 3 for every \leq -decreasing sequence $(p_i)_{i \in \mathbb{N}}$ of elements of P , there exists $p^* \in P$ such that for all $i \in \mathbb{N}$, we have $p^* \leq^* p_i$;

Write $p \lesssim q$ for $p \leq q$ and $q \leq^* p$.

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Let X be an at most countable set (the set of **points**) and $\triangleleft \subseteq X \times P$ a binary relation, satisfying:

- 4 for every $p \in P$, there exists $x \in X$ such that $x \triangleleft p$.
- 5 for every $x \in X$ and every $p, q \in P$, if $x \triangleleft p$ and $p \leq q$, then $x \triangleleft q$.

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The quintuple $\mathcal{G} = (P, X, \leq, \leq^*, \triangleleft)$ is called a **Gowers space**.

The formalism of Gowers spaces

Two examples

1 The Silver space:

- $X = \mathbb{N}$;
- P is the set of infinite subsets of \mathbb{N} ;
- \leq is the inclusion;
- \leq^* is the inclusion-by-finite;
- \triangleleft the membership relation.

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2 The Rosendal space over an at most countable field k :

- $X = E$ is a countably-infinite-dimensional vector space over k ;
- P is the set of infinite-dimensional subspaces of E ;
- \leq is the inclusion;
- \leq^* is the inclusion up to finite dimension ($F \leq^* G$ iff $F \cap G$ has finite codimension in F);
- \triangleleft is the membership relation.

The formalism of Gowers spaces

The pigeonhole principle

Definition

The space \mathcal{G} is said to satisfy the **pigeonhole principle** if for every $A \subseteq X$ and every $p \in P$, there exists $q \leq p$ such that either for all $x \triangleleft q$, we have $x \in A$, or for all $x \triangleleft q$, we have $x \in A^c$.

Asymptotic games

Definition

Let $p \in P$. The **asymptotic game** below p , denoted by F_p , is the following two-players game:

$$\text{I} \quad p_0 \approx p \qquad p_1 \approx p \qquad \dots$$

$$\text{II} \quad x_0 \triangleleft p_0 \qquad x_1 \triangleleft p_1 \qquad \dots,$$

The outcome of the game is the sequence $(x_i)_{i \in \mathbb{N}} \in X^{\mathbb{N}}$.

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In the Silver space, we have the following:

Proposition

*If $\mathcal{X} \subseteq \mathbb{N}^{\mathbb{N}}$ is such that **I** has a strategy to reach \mathcal{X} in F_M , then there exists $N \subseteq M$ infinite such that every increasing sequence of elements of N belongs to \mathcal{X} .*

The abstract Silver's theorem

So this is an equivalent formulation of Silver's theorem:

Theorem

For every analytic $\mathcal{X} \subseteq \mathbb{N}^{\mathbb{N}}$, there exists $M \subseteq \mathbb{N}$ infinite such that:

- *either \mathbf{I} has a strategy in F_M to reach \mathcal{X}^c ;*
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In general, we have:

Theorem (Abstract Silver's)

Suppose that the space \mathcal{G} satisfies the pigeonhole principle. Let $p \in P$ and $\mathcal{X} \subseteq X^{\mathbb{N}}$ be analytic. Then there exists $q \leq p$ such that:

- *either \mathbf{I} has a strategy in F_q to reach \mathcal{X}^c ;*
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Gowers' games and the abstract Rosendal's theorem

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Local Ramsey theory in Gowers spaces

Gowers spaces are great for doing local Ramsey theory. If X is an (algebraic) structure with a natural notion of subspaces, then you can define a Gowers space by taking for P more or less any subfamily of the family of subspaces provided we can diagonalize among this subfamily.

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Definition

Let \mathcal{F} be a nonempty family of infinite subsets of \mathbb{N} . We say that:

- \mathcal{F} is a **p -family** if it is \mathbf{E}_0 -invariant and if for every decreasing sequence $(A_n)_{n \in \mathbb{N}}$ of elements of \mathcal{F} , there exists $A^* \in \mathcal{F}$ such that for every $n \in \mathbb{N}$, $A^* \subseteq^* A_n$;
- \mathcal{F} is **selective** if it is a p -family and if moreover, the set A^* can be chosen in such a way that for every $n \in A^*$, $A^*/n \subseteq A_n$ (where $A^*/n = \{k \in A^* \mid k > n\}$).

Local Ramsey theory in Gowers spaces

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Corollary

Let $\mathcal{X} \subseteq \mathbb{N}^{\mathbb{N}}$ be analytic. Then there exists $M \in \mathcal{F}$ such that:

- either **I** has a strategy in F_M to reach \mathcal{X}^c ;
- or **II** has a strategy in G_M to reach \mathcal{X} .

Moreover, if \mathcal{F} is selective, then the first possible conclusion can be replaced by “[M] $^{\infty} \subseteq \mathcal{X}^c$ ”.

Beware, here in G_M , player **I** can only play elements of \mathcal{F} !

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Corollary (Mathias)

Let \mathcal{H} be a selective coideal on \mathbb{N} , and $\mathcal{X} \subseteq [\mathbb{N}]^{\infty}$ be analytic. Then there exists $M \in \mathcal{H}$ such that either $[M]^{\infty} \subseteq \mathcal{X}^c$, or $[M]^{\infty} \subseteq \mathcal{X}$.

What about Banach spaces ?

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Fix X a Banach space. We denote by $\text{Sub}(X)$ the set of infinite-dimensional subspaces of X . We endow $\text{Sub}(X)$ with the [slice topology](#), i.e. the topology such that (Y_λ) converges to Y iff for every equivalent norm $\|\cdot\|$ and for every $x \in X$, the norm of x in the quotient $(X, \|\cdot\|)/Y_\lambda$ converges to the norm of x in the quotient $(X, \|\cdot\|)/Y$.

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Theorem

Let $P \subseteq \text{Sub}(X)$ be a slice- G_δ subset, invariant under isomorphism. Then $(P, S_X, \subseteq, \subseteq^, \epsilon)$ is an (uncountable) Gowers space.*

What about Banach spaces ?

Definition

A **finite-dimensional decomposition (FDD)** of a Banach space Y is a sequence $(F_i)_{i \in \mathbb{N}}$ of finite-dimensional subspaces of Y such that every $x \in Y$ can be written in a unique way as a sum $x = \sum_{i=0}^{\infty} x_i$, where for every i , $x_i \in F_i$.

A **block-sequence** of the FDD (F_i) is a sequence $(x_n)_{n \in \mathbb{N}}$ of normalized successive vectors for this FDD (i.e. there exists $A_0 < A_1 < A_2 < \dots$ sets of integers such that for every n , $x_n \in \bigoplus_{i \in A_n} F_i$).

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Definition

Given $\mathcal{X} \subseteq (S_X)^{\mathbb{N}}$ and $\Delta = (\Delta_n)_{n \in \mathbb{N}}$ a sequence of positive real numbers, we let $(\mathcal{X})_{\Delta} = \{(y_n) \in (S_X)^{\mathbb{N}} \mid \exists (x_n) \in \mathcal{X} \forall n \|x_n - y_n\| \leq \Delta_n\}$.

What about Banach spaces ?

Corollary

Let $P \subseteq \text{Sub}(X)$ be a slice- G_δ subset, invariant under isomorphism. Let $\mathcal{X} \subseteq (S_X)^\mathbb{N}$ be analytic, and let Δ be a sequence of positive real numbers. Then there exists $Y \in P$ such that:

- either Y has a FDD (F_n) such that every subsequence of (F_n) generates an element of P , and such that every block-sequence of (F_n) is in \mathcal{X}^c ;
- or **II** has a winning strategy in G_Y to reach $(\mathcal{X})_\Delta$ (where in G_Y , player **I** is only allowed to play elements of P).

An example

The condition of being slice- G_δ is typically satisfied for families of Banach spaces that can be defined by conditions on finite-dimensional subspaces.

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Lemma

A Banach space X is non-Hilbertian iff for every $n \in \mathbb{N}$, there exists a finite-dimensional subspace $F \subseteq X$ that is not n -isomorphic to a Euclidean space.

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Question

Does there exist similar examples in other areas of mathematics?

Thank you for your attention!