

A review of the action principle for hydrodynamics

Amos Yarom

Together with: K. Jensen, N. Pinzani, R. Marjeh

See also: Haehl, Loganayagam, Rangamani

together with Geracie, Narayan, Nizami, Ramirez

and: Crossley, Glorioso, Liu

together with Gao, Rajagopal

and earlier work by: Grozdanov, Polonyi

Schwinger-Keldysh

Given an action, S , we construct

$$Z = \int D\phi e^{\frac{i}{\hbar} S}$$

Schwinger-Keldysh

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$$Z[A] = \int D\phi e^{\frac{i}{\hbar} S[A]}$$

Schwinger-Keldysh

Recall that:

$$\langle 0 | \underbrace{J \dots J}_n | 0 \rangle \sim \frac{\delta^n}{\delta A^n} \ln Z[A]$$

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But also

$$\text{Tr} \left(e^{-\beta H} \underbrace{J \dots J}_n \right) \sim \frac{\delta^n}{\delta A^n} \ln Z_{SK}[A]$$

Schwinger-Keldysh

Recall that:

$$\langle 0 | \mathcal{T} \underbrace{(J \dots J)}_n | 0 \rangle = \frac{\delta^n}{\delta A^n} i \ln Z[A]$$

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$$\begin{aligned} Z_{SK}[A_1, A_2] &= \int D\phi_1 D\phi_2 e^{\frac{i}{\hbar} (S[\phi_1, A_2] - S[\phi_2, A_2])} \\ &= \text{Tr} (U[A_1] e^{-\beta H} U^\dagger[A_2]) \end{aligned}$$

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Recall that:

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Symmetries:

Schwinger-Keldysh

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Symmetries:

- Doubled gauge/diff invariance.

$$Z_{SK}[A_1 + d\Lambda_1, A_2] = Z_{SK}[A_1, A_2 + d\Lambda_2] = Z_{SK}[A_1, A_2]$$

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- Reality & positivity

$$\begin{aligned} Z_{SK}[A_1, A_2]^* &= \text{Tr} \left(U^*[A_1^*] e^{-\beta H^*} U^T[A_2^*] \right) \\ &= \text{Tr} \left(\left(U^*[A_1^*] e^{-\beta H^*} U^T[A_2^*] \right)^T \right) \\ &= Z_{SK}[A_2^*, A_1^*] \end{aligned}$$

Schwinger-Keldysh

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- KMS (Kubo-Martin-Schwinger)

$$\begin{aligned} \text{Tr} (e^{-\beta H} O_1(t_1) O_2(t_2)) &= \text{Tr} (e^{-\beta H} O_1(t_1) e^{\beta H} e^{-\beta H} O_2(t_2)) = \text{Tr} (O_1(t_1 + i\beta) e^{-\beta H} O_2(t_2)) \\ &= \text{Tr} (e^{-\beta H} O_2(t_2) O_1(t_1 + i\beta)) \end{aligned}$$

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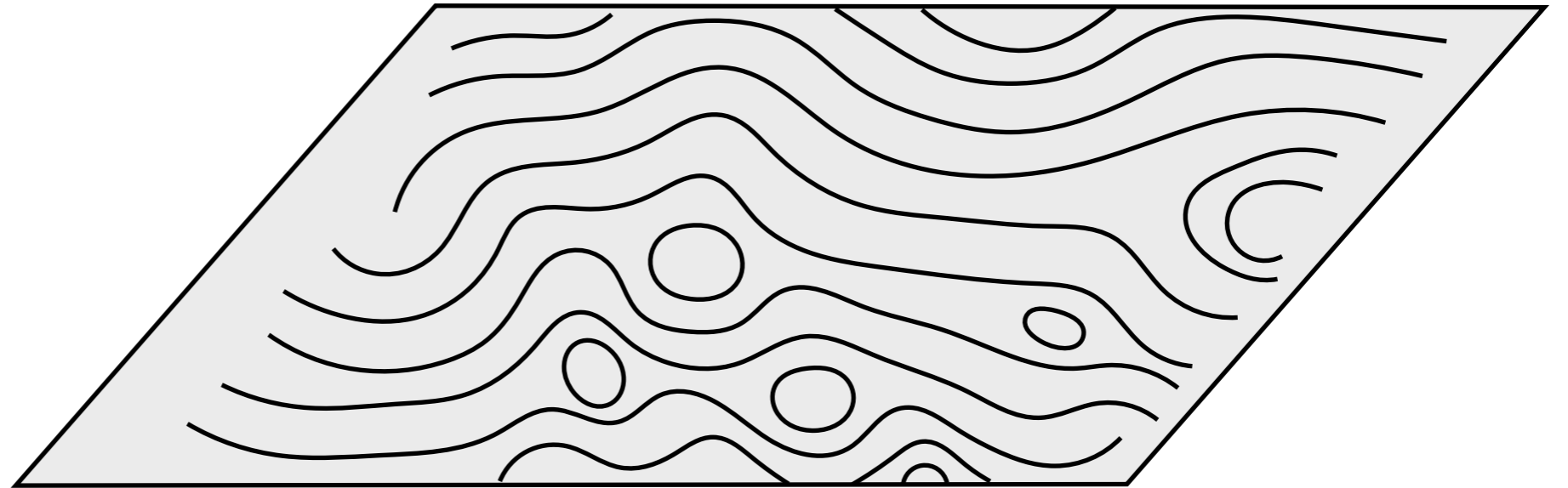
Schwinger-Keldysh

Degrees of freedom.

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- Motivation I (fluid variables)

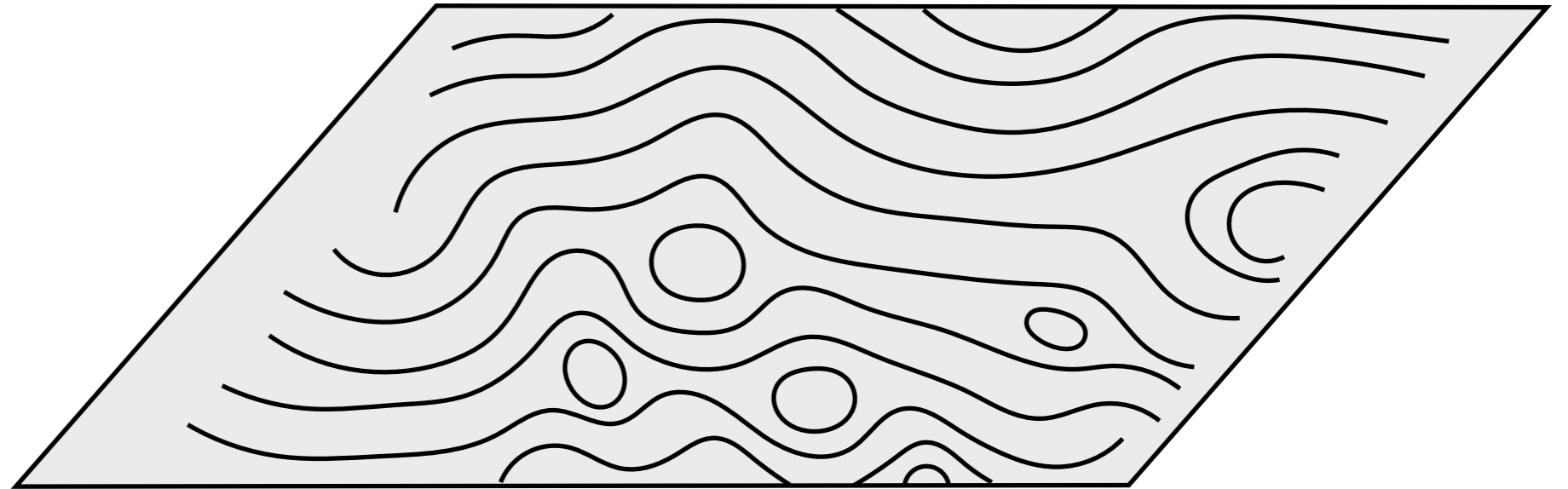


Schwinger-Keldysh

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Euler description of fluids:

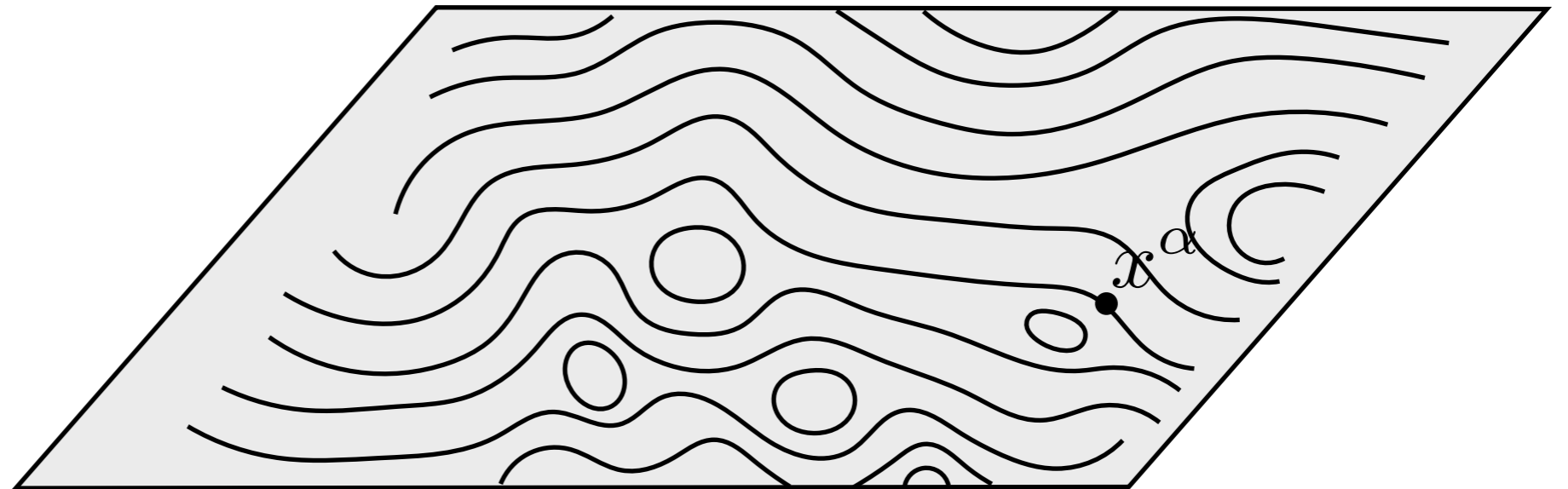


Schwinger-Keldysh

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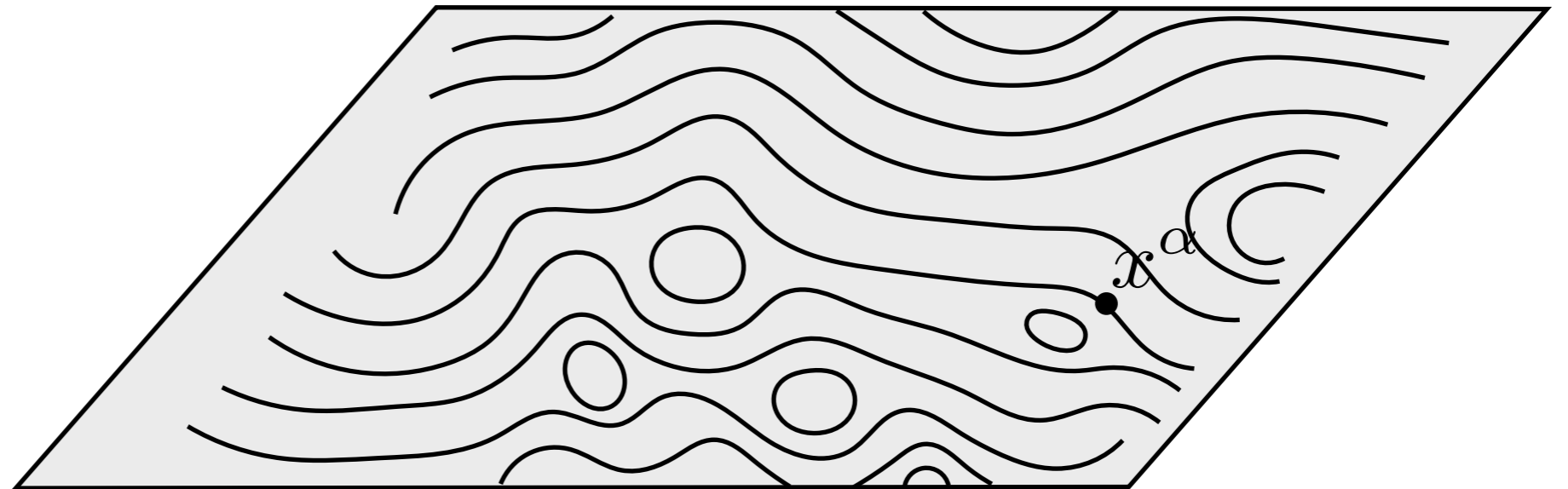
$$u^\mu(x^\alpha) \quad T(x^\alpha)$$

Schwinger-Keldysh

Degrees of freedom.

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Lagrange description of fluids:



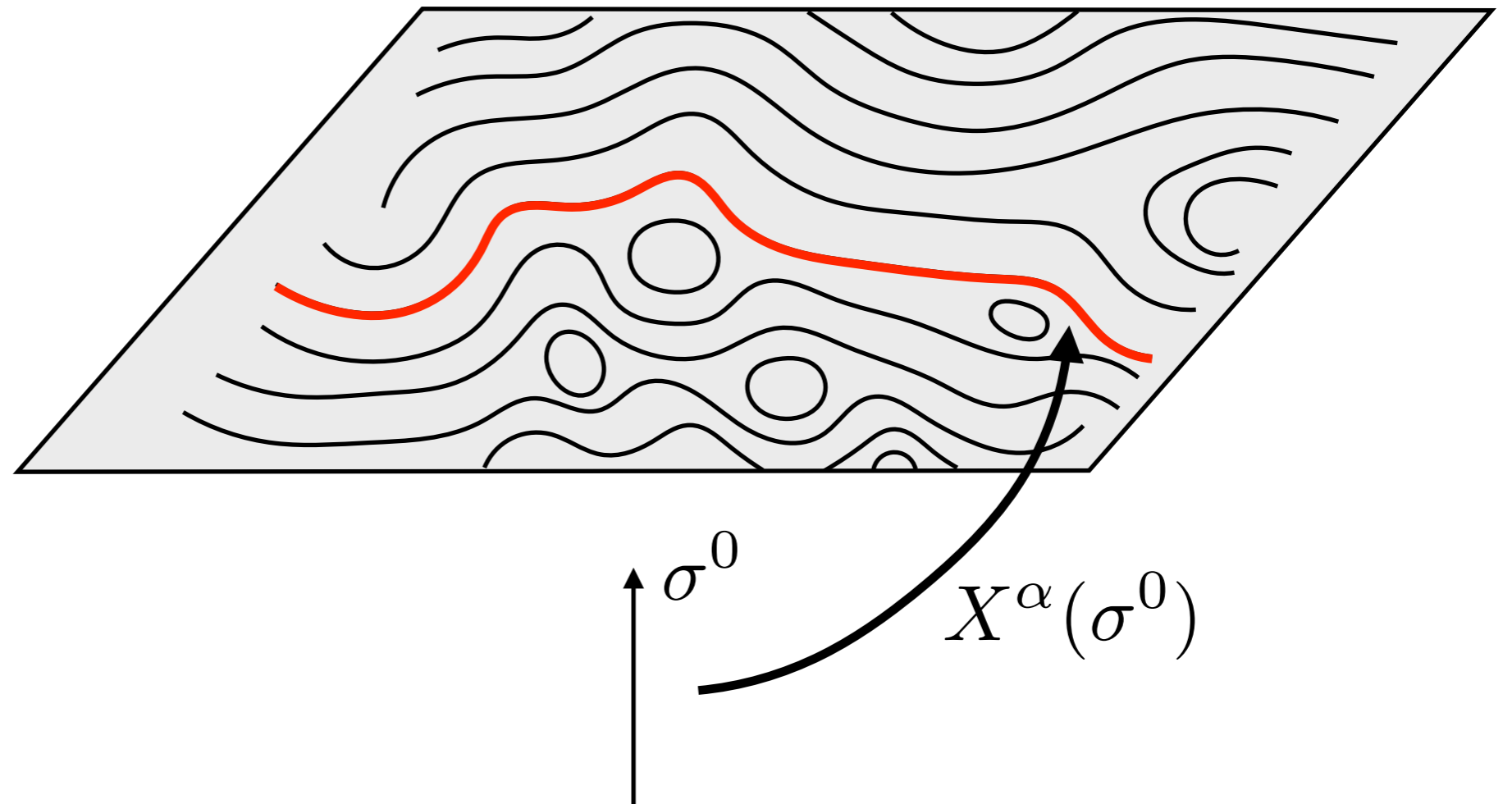
$$X^\alpha(\sigma^0)$$

Schwinger-Keldysh

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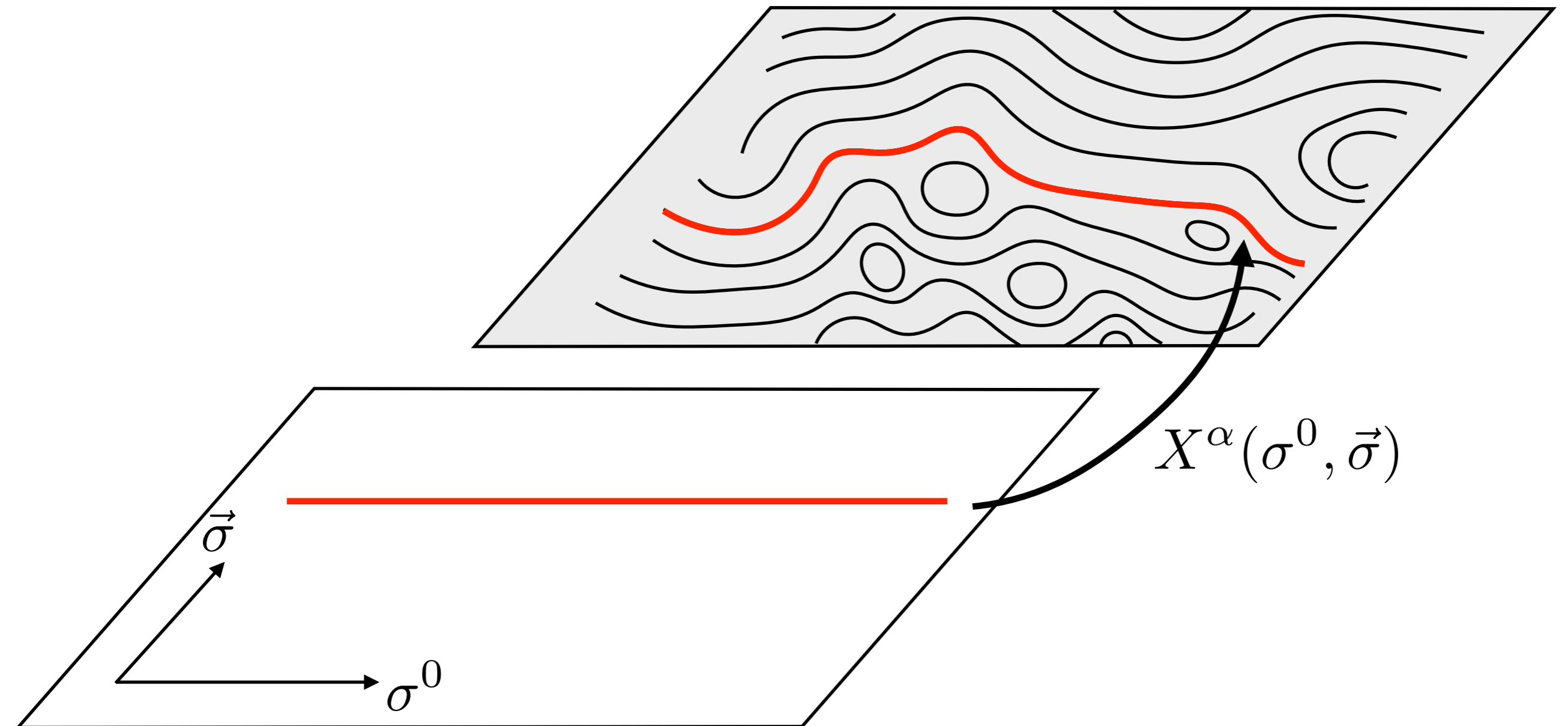


Schwinger-Keldysh

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Schwinger-Keldysh

Degrees of freedom. $X^\alpha(\sigma^0, \vec{\sigma})$

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Schwinger-Keldysh

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$$\partial_\mu T^{\mu\nu} = 0$$

Schwinger-Keldysh

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Schwinger-Keldysh

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$$S = \int d^d\sigma \sqrt{-g} L(g_{ij})$$

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$$\delta_X S = 0 \quad \Rightarrow \quad \nabla_\mu T^\mu{}_\nu = 0$$

$$\text{(where } T^{\mu\nu} = \partial_i X^\mu \partial_j X^\nu T^{ij} \text{)}$$

Schwinger-Keldysh

$$Z_{SK}[A_1, A_2] \xrightarrow{\frac{\mu}{\Lambda} \ll 1} \int D\xi_1 D\xi_2 e^{\frac{i}{\hbar} S_{eff}}$$

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Symmetries:

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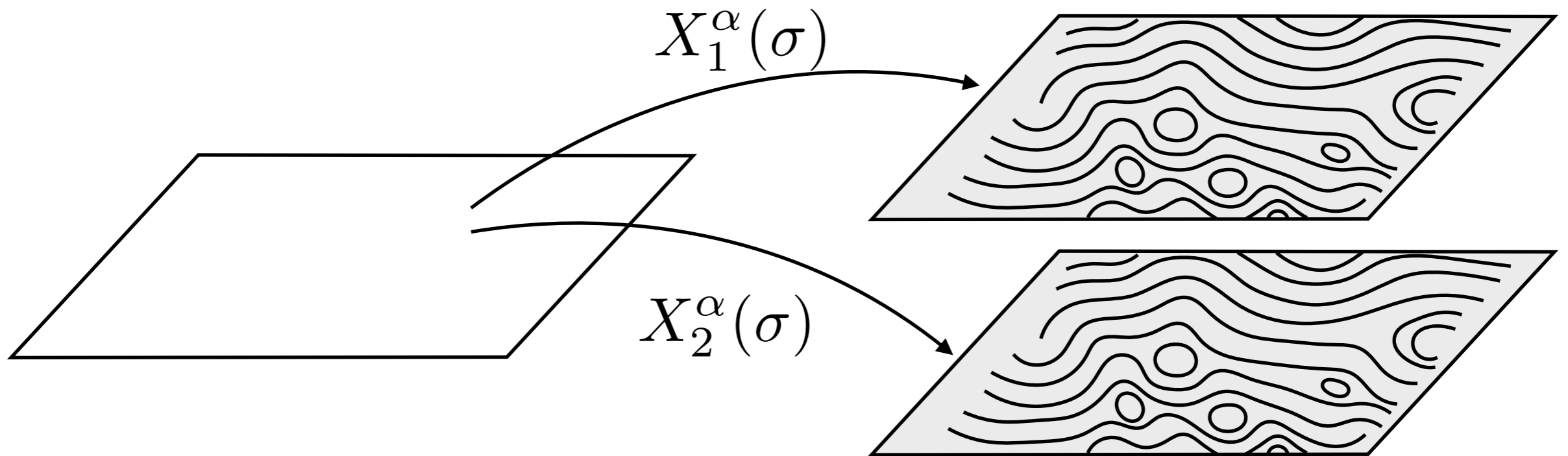
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- $X_1^\alpha \quad X_2^\alpha$

Schwinger-Keldysh



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End result:

$$S_{eff} = \int d^d \sigma d\theta d\bar{\theta} \left(\mathcal{L} + \tilde{\mathcal{L}} \right)$$

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Let us define the action of the \mathbb{Z}_2 symmetry on fields as K .

$$\tilde{\mathcal{L}} \text{ is the } \mathbb{Z}_2 \text{ transform of } \mathcal{L} : K(\mathcal{L}) = \tilde{\mathcal{L}}$$

Schwinger-Keldysh

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- $Z_{SK}[A, A] = 1$

Schwinger-Keldysh

SK symmetry:

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If we change basis, we find

$$Z_{SK} \left[\frac{1}{2} (A_1 + A_2) = A, A_1 - A_2 = 0 \right] = 1$$

Schwinger-Keldysh

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Thus:

$$\frac{\delta^n}{\delta(A_1 + A_2)^n} \ln Z_{SK} \Big|_{A_1 - A_2 = 0} = 0$$

Schwinger-Keldysh

$$\frac{\delta^n}{\delta(A_1 + A_2)^n} \ln Z_{SK} \Big|_{A_1 - A_2 = 0} = 0$$

This is a topological symmetry. It is possible to construct topological theories in the following way:

Schwinger-Keldysh

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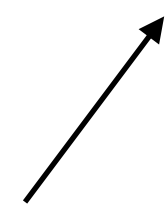
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$$= \int D\phi \left(\int d^d x \sqrt{-g} \frac{1}{2} \delta_Q V^{\mu\nu} \delta g_{\mu\nu} \right) e^{iS}$$

$$\delta_g S = \int d^d x \sqrt{-g} T^{\mu\nu} \delta g_{\mu\nu}$$


Schwinger-Keldysh

This is a topological symmetry. It is possible to construct topological theories in the following way:

1. A Grassmanian nilpotent operator Q
2. Physical operators (and the action) vanish under Q .
3. The energy momentum tensor is given by: $T^{\mu\nu} = \delta_Q V^{\mu\nu}$

$$\begin{aligned}\delta_g Z &= \int D\phi \delta_g e^{iS} \\ &= \int D\phi \left(\int d^d x \sqrt{-g} \frac{1}{2} \delta_Q V^{\mu\nu} \delta g_{\mu\nu} \right) e^{iS} \\ &= \int D\phi \delta_Q \left(\int d^d x \sqrt{-g} \frac{1}{2} V^{\mu\nu} \delta g_{\mu\nu} e^{iS} \right)\end{aligned}$$

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So a minimal prescription to make $L(\phi)$ topological is:

1. $\phi = \phi + \theta\psi$

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3. $S = \int d\theta d^d \sigma L(\Phi)$ \leftarrow where $\int d\theta = 0$, $\int \theta d\theta = 1$

Schwinger-Keldysh

$$\frac{\delta^n}{\delta(A_1 + A_2)^n} \ln Z_{SK} \Big|_{A_1 - A_2 = 0} = 0$$

This is a topological symmetry. It is possible to construct topological theories in the following way:

Making $L(\phi)$ topological

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For the Schwinger-Keldysh theory

1. $X_r = \frac{1}{2}(X_1 + X_2) + \theta X_{\bar{g}}$

$X_a = X_g + \theta(X_1 - X_2)$

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Schwinger-Keldysh

$$Z_{SK}[A_1, A_2] \xrightarrow{\frac{\mu}{\Lambda} \ll 1} \int D\xi_1 D\xi_2 e^{\frac{i}{\hbar} S_{eff}}$$

Our goal is to find S_{eff} .

End result:

$$S_{eff} = \int d^d \sigma d\theta d\tilde{\theta} (\mathcal{L} + \tilde{\mathcal{L}})$$

- $Z_{SK}[A, A] = 1$

Schwinger-Keldysh

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- $Z_{SK}[A, A] = 1$
- $Z_{SK}[A_1, A_2] = Z_{SK}[\eta_{A_1} A_1(-t_1), \eta_{A_2} A_2(-t_2 - i\beta)]$

We find that K and \underline{Q} do not form a group. We add an extra nilpotent symmetry \overline{Q} .

Schwinger-Keldysh

We find that K and \bar{Q} do not form a group. We add an extra nilpotent symmetry \bar{Q} .

Recall:

$$\mathbb{X}_a = X_g + \theta(X_1 - X_2) \quad \mathbb{X}_r = \frac{1}{2}(X_1 + X_2) + \theta X_{\bar{g}}$$

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We find that K and \bar{Q} do not form a group. We add an extra nilpotent symmetry \bar{Q} .

Now:

$$\mathbb{X} = X_r + \theta X_{\bar{g}} + \bar{\theta} X_g + \bar{\theta}\theta X_a$$

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Recall $\rho = e^{-\beta H}$ or $\rho = e^{\beta^i P_i}$

and we define, e.g., $\mathcal{L}_\beta \phi = \beta^i \partial_i \phi$

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$$g_{ij} = \frac{1}{2} (g_{1ij}(\mathcal{X}) + g_{2ij}(\mathcal{X})) + \bar{\theta}\theta (g_{1ij}(X_r) - g_{2ij}(X_r))$$

$$g_{1/2ij}(X) = \partial_i X^\mu \partial_j X^\nu g_{1/2\mu\nu}(X)$$

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In addition we impose

$$\text{Im} S_{eff} \geq 0$$

due to

$$|Z_{SK}[A_1, A_2]|^2 \leq 1$$

Schwinger-Keldysh

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$$\mathcal{L} = \sqrt{-g} \mathcal{L}(g_{ij}, \beta^i)$$

Example:

$$\mathcal{L} = \sqrt{-g} P(-\beta^i g_{ij} \beta^j)$$

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Leads to:

$$T^{ij} = \epsilon u^i u^j + (g^{ij} + u^i u^j) P$$

Schwinger-Keldysh

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Leads to:

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where:

$$\epsilon = \frac{\partial P}{\partial T} T - P \quad T \beta^i = u^i \quad u^i u_i = -1$$

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Example:

$$\mathcal{L} = \sqrt{-g} (P - \eta g^{ik} g^{jl} D_{\theta} g_{ij} D_{\bar{\theta}} g_{kl})$$

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Leads to:

$$T^{ij} = \epsilon u^i u^j + (g^{ij} + u^i u^j) P - \eta \sigma^{ij}$$

Summary

$$Z_{SK}[A_1, A_2] \xrightarrow{\frac{\mu}{\Lambda} \ll 1} \int D\xi_1 D\xi_2 e^{\frac{i}{\hbar} S_{eff}}$$

Our goal is to find S_{eff} .

Symmetries:

- $Z_{SK}[A_1 + d\Lambda_1, A_2] = Z_{SK}[A_1, A_2 + d\Lambda_2] = Z_{SK}[A_1, A_2]$
- $Z_{SK}[A, A] = 1$
- $Z_{SK}[A_1, A_2]^* = Z_{SK}[A_2^*, A_1^*] \quad |Z_{SK}[A_1, A_2]|^2 \leq 1$
- $Z_{SK}[A_1, A_2] = Z_{SK}[\eta_{A_1} A_1(-t_1), \eta_{A_2} A_2(-t_2 - i\beta)]$

Degrees of freedom:

- $X_1^\alpha \quad X_2^\alpha$

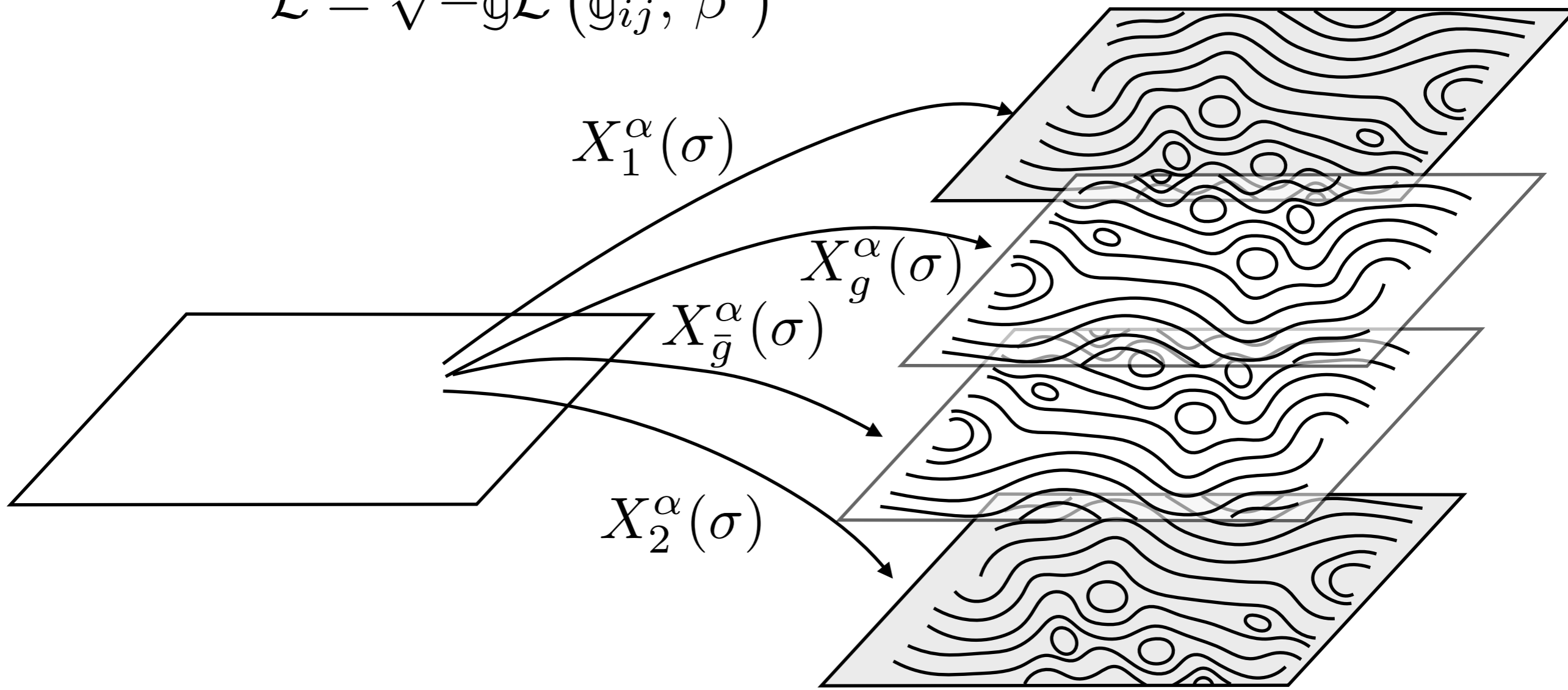
Summary

We found:

$$S_{eff} = \int d^d \sigma d\theta d\bar{\theta} (\mathcal{L} + \tilde{\mathcal{L}})$$

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Outlook

- Generalizations
- Chaos
- Stochastic noise
- AdS/CFT
- Classification & constraints
- Hidden symmetries

Generalizations

- Generalizations to other fluids
 - Non relativistic fluids
 - Superfluids
 - Anomalies ([Glorioso, Liu and Rajagopal 2017](#), [Jensen, Marjeh, Pinzani-Fokeeva, AY, 2017](#))
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Generalizations

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- **Generalizations to more contours**
 - Classification ([Loganayagam, 2019](#))

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Chaos

Chaos can be characterised by

$$\text{Tr} \left(e^{-\beta H} [V(t), W(0)]^2 \right) \sim e^{\lambda t}$$

where

$$\lambda \leq \lambda_{max} = 2\pi T$$

(Maldacena, Shenker, Stanford, 2019)

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It is possible to compute these 4-pt functions via Schwinger Keldysh theory?

(Blake, Lee, Liu, 2017, Blake, Davison, Grozdanov, Liu, 2018, Grozdanov 2019, Haehl, 2018)

Outlook

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Stochastic noise

The 'a' type fields in the action encode stochastic noise which, at the quadratic level is Gaussian-like

$$Z \sim \int e^{i \int X_a^2 G(X_r) + \dots} d^d x D X_a D X_r$$

$$\sim \int e^{- \int X_a^2 G(X_r) + \dots} d^d x D X_a D X_r$$

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$$\sim \int e^{- \int X_a^2 G(X_r) + \dots d^d x} DX_a DX_r$$

E.g., in [\(Chen-Lin, Delacretaz, Hartnoll, 2018\)](#) the authors looked at a theory of a single diffusion mode

$$\mathcal{L} = iT^2 \kappa (\nabla \phi_a)^2 - \phi_a (\dot{\epsilon} - D \nabla^2 \epsilon) + \nabla^2 \phi_a \left(\frac{1}{2} \lambda \epsilon^2 + \frac{1}{3} \lambda' \epsilon^3 \right) + icT^2 (\nabla \phi_a)^2 (\tilde{\lambda} \epsilon + \tilde{\lambda}' \epsilon^2) + \dots$$

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This was preceded by [\(Kovtun, Moore, Romatschke, 2014\)](#)

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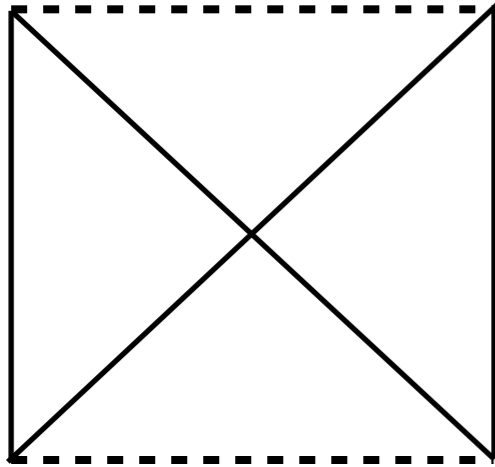
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- Validity of hydro ?
- How do 3rd order terms contribute?
- What about noise associated with particular solutions?

Outlook

- Generalizations
- Chaos
- Stochastic noise
- **AdS/CFT**
- **Classification & constraints**
- **Hidden symmetries**

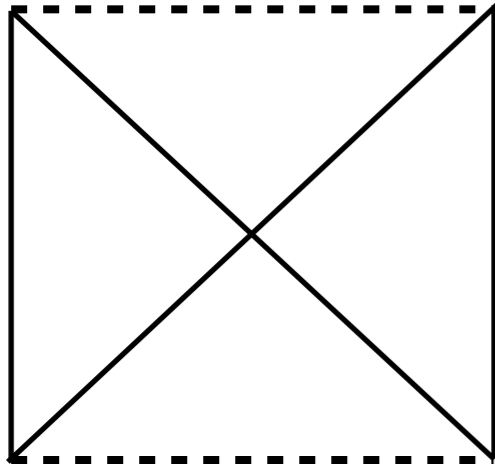
AdS/CFT



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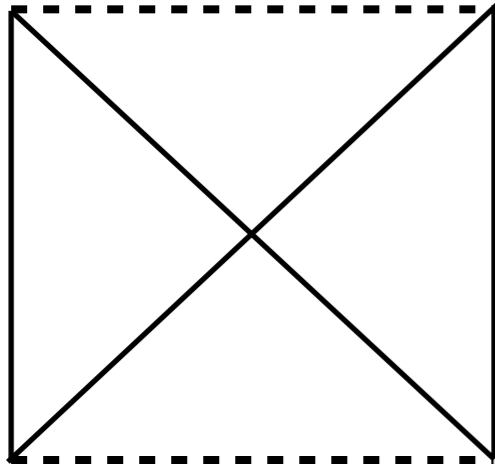


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AdS/CFT



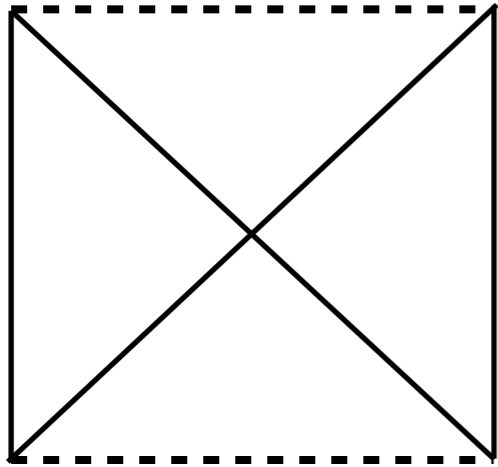
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Can one find a prescription which is independent of the background geometry?

Outlook

- Generalizations
- Chaos
- Stochastic noise
- AdS/CFT
- **Classification & constraints**
- **Hidden symmetries**

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But positivity of the effective action implies:

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- Are there better examples?
- Is there a geometric interpretation in AdS/CFT?

Hidden symmetries

- Generalizations
- Chaos
- Stochastic noise
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Hidden symmetries

The Navier Stokes equations are given by:

$$\partial_t \vec{v} + \vec{v} \cdot \vec{\nabla} \vec{v} + \vec{\nabla} p = \frac{1}{R} \nabla^2 \vec{v}$$

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From these it follows that

$$\partial_t E = -\frac{1}{R} \Omega$$

with

$$E = \frac{1}{2} \int v^2 d^d x \quad \Omega = \frac{1}{2} \int \omega_{ij} \omega^{ij} d^d x$$

$$\omega_{ij} = \partial_i v_j - \partial_j v_i$$

Hidden symmetries

The energy equation is

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This leads to Kolmogorov's theory where energy is dissipated at small scales.

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$$\sigma_{ij} = \partial_i v_j - \partial_j v_i$$

Taking a closer look:

$$\partial_t \Omega = \int \omega_{ji} \omega^i_k \sigma^{kj} d^d x - \frac{1}{R} \int \partial_k \omega_{ij} \partial^k \omega^{ij}$$

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d = 2

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$$\partial_t \Omega = \int \omega_{ji} \omega_{kj}^i d^d x - \frac{1}{R} \int \partial_k \omega_{ij} \partial^k \omega^{ij}$$

So in 2 dimensions we have, for large R,

$$\partial_t E = 0 \quad \partial_t \Omega = -\frac{1}{R} P$$

which leads to the inverse cascade picture.

Hidden symmetries

Is there an analog of enstrophy in relativistic flow?

Hidden symmetries

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For conformal, uncharged fluids,

$$J^\mu = \frac{\Omega_{\alpha\beta}\Omega^{\alpha\beta}}{T^2}u^\mu$$

with

$$\Omega_{\alpha\beta} = \partial_\alpha(Tu_\beta) - \partial_\beta(Tu_\alpha)$$

satisfies

$$\partial_\mu J^\mu = \mathcal{O}(\partial^4)$$

(Carrasco, Lehner, Myers, Reula, Singh, 2012)

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In 2 spatial dimensions one finds that

$$\delta X^\mu = \frac{\Omega^2}{T s^2} u^\mu - \frac{2}{s p'} P^{\mu\alpha} \left(2 \nabla_\nu \Omega^\nu{}_\alpha + \frac{\Theta E_\alpha}{p'} + 2 \Omega_{\nu\alpha} a^\nu + \frac{2}{s} \left(\frac{\partial s}{\partial T} \nabla_\nu T + \frac{\partial s}{\partial \mu} \nabla_\nu \mu \right) \Omega_{\alpha}{}^\nu \right)$$

$$\delta C = -\frac{\mu \Omega^2}{s^2 T}$$

with

$$P = p(T f(\mu/T))$$

Hidden symmetries

More generally, we can generalise this to other equations of state by looking for symmetries of the effective action:

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is a symmetry. The associated current is

$$J^\mu = \frac{\Omega^2}{s} u^\mu \quad \Omega_{\alpha\beta} = \partial_\alpha (T f(\mu/T) u_\beta) - \partial_\beta (T f(\mu/T) u_\alpha)$$

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