De Finetti Theorems for Quantum Channels

Mario Berta

arXiv:1810.12197 with Borderi, Fawzi, Scholz

Banff 07/25/2019

Imperial College London Motivation: Noisy Channel Coding

De Finetti Theorems

Application: Noisy Channel Coding

Conclusion

Add-on: De Finetti with Linear Constraints

Motivation: Noisy Channel Coding

Noisy Channel Coding



Noisy Channel Coding



Error Correction

m bits are subject to noise modelled by N(y|x), find encoder *e* and decoder *d* to maximize probability p(N, m) of retrieving *m* bits

Noisy Channel Coding (continued)

 Fixed number of bits *m* and noise model *N* gives bilinear optimization

$$p(N,m) = \max_{(e,d)} \quad \frac{1}{2^m} \sum_{x,y,i} N(y|x) d(i|y) e(x|i)$$

s.t.
$$\sum_{x} e(x|i) = 1, \ 0 \le e(x|i) \le 1$$
$$\sum_{i} d(i|y) = 1, \ 0 \le d(i|y) \le 1$$

Noisy Channel Coding (continued)

 Fixed number of bits *m* and noise model *N* gives bilinear optimization

$$p(N,m) = \max_{(e,d)} \quad \frac{1}{2^m} \sum_{x,y,i} N(y|x) d(i|y) e(x|i)$$

s.t.
$$\sum_{x} e(x|i) = 1, \ 0 \le e(x|i) \le 1$$
$$\sum_{i} d(i|y) = 1, \ 0 \le d(i|y) \le 1$$

► Approximating p(N, m) up to multiplicative factor better than (1 - e⁻¹) is NP-hard in the worst case [Barman & Fawzi 18] For the linear program [Hayashi 09, Polyanski et al. 10]

$$lp(N,m) = \max_{(r,p)} \quad \frac{1}{2^m} \sum_{x,y} N(y|x) r_{xy}$$

s.t.
$$\sum_x r_{xy} \le 1, \ \sum_x p_x = k$$
$$r_{xy} \le p_x, \ 0 \le r_{xy}, p_x \le$$

we have the approximation [Barman & Fawzi 18]

$$p(N,m) \leq \ln(N,m) \leq \frac{1}{1-e^{-1}} \cdot p(N,m)$$

For the linear program [Hayashi 09, Polyanski et al. 10]

$$lp(N,m) = \max_{(r,p)} \quad \frac{1}{2^m} \sum_{x,y} N(y|x) r_{xy}$$

s.t.
$$\sum_x r_{xy} \le 1, \ \sum_x p_x = k$$
$$r_{xy} \le p_x, \ 0 \le r_{xy}, p_x \le$$

we have the approximation [Barman & Fawzi 18]

$$p(N,m) \leq \operatorname{lp}(N,m) \leq \frac{1}{1-e^{-1}} \cdot p(N,m)$$

• **Polynomial** $(1 - e^{-1})$ -multiplicative approximation algorithms

Quantum Noisy Channel Coding

 Main question: Similar results for quantum error correction? [Matthews 12, Leung & Matthews 15]



Quantum Noisy Channel Coding

 Main question: Similar results for quantum error correction? [Matthews 12, Leung & Matthews 15]



Quantum Error Correction

Find encoder *E* and decoder *D* to maximize quantum probability F(N, m) of retrieving *m* qubits

Near-term quantum devices are of intermediate scale and noisy



► Tailor-made approximation algorithms for encoder/ decoder?

Near-term quantum devices are of intermediate scale and noisy



► Tailor-made approximation algorithms for encoder/ decoder?

Optimize Quantum Information Processing Develop mathematical toolbox rooted in optimization theory



► m qubits with quantum noise model N leads to quantum channel fidelity

$$F(\mathcal{N}, n) := \max \quad F\left(\Phi^{n}, \left(\left(\mathcal{D} \circ \mathcal{N} \circ \mathcal{E}\right) \otimes \mathcal{I}\right)(\Phi^{n})\right)$$

s.t. \mathcal{E}, \mathcal{D} quantum operations
(+ physical constraints)

with fidelity $F(\rho, \sigma) := \|\sqrt{\rho}\sqrt{\sigma}\|_1^2$.

▶ For d := dim(N) becomes bilinear optimization

$$F(\mathcal{N}, n) = \max \quad d \cdot \operatorname{Tr}\left[\left(\mathcal{N}_{\overline{A} \to B}\left(\Phi_{\overline{A}\overline{A}}\right) \otimes \Phi_{A\overline{B}}\right)\left(\sum_{i \in I} p_i \mathcal{E}^i_{A \to \overline{A}} \otimes \mathcal{D}^i_{B \to \overline{B}}\right)\left(\Phi_{AA} \otimes \Phi_{BB}\right)\right]$$

s.t. $\mathcal{E}^i, \mathcal{D}^i$ quantum operations, $p_i \ge 0, \sum_{i \in I} p_i = 1$

▶ For d := dim(N) becomes bilinear optimization

$$F(\mathcal{N}, n) = \max \quad d \cdot \operatorname{Tr} \Big[\Big(\mathcal{N}_{\overline{A} \to B} \left(\Phi_{\overline{A} \overline{A}} \right) \otimes \Phi_{A\overline{B}} \Big) \Big(\sum_{i \in I} p_i \mathcal{E}^i_{A \to \overline{A}} \otimes \mathcal{D}^i_{B \to \overline{B}} \Big) \left(\Phi_{AA} \otimes \Phi_{BB} \right) \Big]$$

s.t. $\mathcal{E}^i, \mathcal{D}^i$ quantum operations, $p_i \ge 0, \sum_{i \in I} p_i = 1$

• To characterize is set $SEP_{\mathcal{N}}(A\overline{A}|B\overline{B})$ of separable channels

$$\sum_{i\in I} p_i \mathcal{E}^i_{A\to \overline{A}} \otimes \mathcal{D}^i_{B\to \overline{B}}$$

⇒ strong hardness for quantum separability [Barak et al. 12]

▶ For d := dim(N) becomes bilinear optimization

$$F(\mathcal{N}, n) = \max \quad d \cdot \operatorname{Tr} \Big[\Big(\mathcal{N}_{\overline{A} \to B} \left(\Phi_{\overline{A}\overline{A}} \right) \otimes \Phi_{A\overline{B}} \Big) \Big(\sum_{i \in I} p_i \mathcal{E}^i_{A \to \overline{A}} \otimes \mathcal{D}^i_{B \to \overline{B}} \Big) \left(\Phi_{AA} \otimes \Phi_{BB} \right) \Big]$$

s.t. $\mathcal{E}^i, \mathcal{D}^i$ quantum operations, $p_i \ge 0, \sum_{i \in I} p_i = 1$

• To characterize is set $SEP_{\mathcal{N}}(A\overline{A}|B\overline{B})$ of separable channels

$$\sum_{i\in I} p_i \mathcal{E}^i_{A\to \overline{A}} \otimes \mathcal{D}^i_{B\to \overline{B}}$$

⇒ strong hardness for quantum separability [Barak et al. 12]

Lower bounds on figure of merit via, e.g., physical intuition or iterative see-saw methods ⇒ upper bounds?

De Finetti Theorems

Quantum states ρ_{AB} is called k-shareable if

 $\rho_{AB_1...B_k}$ with $\rho_{AB_i} = \rho_{AB} \ \forall j \in [k]$

⇒ characterizes separable states [Stoermer 69, Doherty et al. 02]

Quantum states ρ_{AB} is called k-shareable if

$$\rho_{AB_1...B_k}$$
 with $\rho_{AB_j} = \rho_{AB} \forall j \in [k]$

⇒ characterizes separable states [Stoermer 69, Doherty *et al.* 02]

De Finetti for Quantum States

For states $\rho_{AB_1^k} = \pi_{B_1^k}(\rho_{AB_1^k})$ we have that [Christandl *et al.* 07]

$$\min_{\{\rho_i,\sigma^i\}} \left\| \rho_{AB} - \sum_i \rho_i \sigma_A^i \otimes \sigma_B^i \right\|_1 \le \frac{d_B^2}{k}$$

De Finetti for Quantum Channels

F

or channels
$$\mathcal{N}_{AB_{1}^{k} \to \overline{AB}_{1}^{k}}\left(\pi_{B_{1}^{k}}(\cdot)\right) = \pi_{\overline{B}_{1}^{k}}\left(\mathcal{N}_{AB_{1}^{k} \to \overline{AB}_{1}^{k}}(\cdot)\right)$$
 with
 $\operatorname{Tr}_{\overline{A}}\left[\mathcal{N}_{AB_{1}^{k} \to \overline{AB}_{1}^{k}}(\cdot)\right] = \operatorname{Tr}_{\overline{A}}\left[\mathcal{N}_{AB_{1}^{k} \to \overline{AB}_{1}^{k}}\left(\frac{1_{A}}{d_{A}} \otimes \operatorname{Tr}_{A}\left[\cdot\right]\right)\right]$
 $\operatorname{Tr}_{\overline{B}_{k}}\left[\mathcal{N}_{AB_{1}^{k} \to \overline{AB}_{1}^{k}}(\cdot)\right] = \operatorname{Tr}_{\overline{B}_{k}}\left[\mathcal{N}_{AB_{1}^{k} \to \overline{AB}_{1}^{k}}\left(\operatorname{Tr}_{B_{k}}\left[\cdot\right] \otimes \frac{1_{B_{k}}}{d_{B}}\right)\right]$

we have that (cf. asymptotic bounds [Fuchs et al. 04])

$$\min_{\{\rho_i, \mathcal{E}^i, \mathcal{D}^i\}} \left\| \mathcal{N}_{AB \to \overline{A}\overline{B}} - \sum_{i \in I} \rho_i \mathcal{E}^i_{A \to \overline{A}} \otimes \mathcal{D}^i_{B \to \overline{B}} \right\|_{\diamondsuit} \leq \sqrt{\frac{\operatorname{poly}(d_A d_{\overline{A}} d_B d_{\overline{B}})}{k}}$$

 \Rightarrow characterizes separable quantum channels

 Choi-Jamiolkowski isomorphism gives Choi constraints for states that represent channels

- Choi-Jamiolkowski isomorphism gives Choi constraints for states that represent channels
- Directly de Finetti theorems with linear constraints (add-on)

- Choi-Jamiolkowski isomorphism gives Choi constraints for states that represent channels
- Directly de Finetti theorems with linear constraints (add-on)
- Classical de Finetti + informationally complete measurements — relative to quantum side information

- Choi-Jamiolkowski isomorphism gives Choi constraints for states that represent channels
- Directly de Finetti theorems with linear constraints (add-on)
- Classical de Finetti + informationally complete measurements — relative to quantum side information

Sum-of-Squares Hierarchies

[Lasserre 00, Parrilo 03] via information-theoretic approach based on entropy inequalities [Brandão & Harrow 16]

- Choi-Jamiolkowski isomorphism gives Choi constraints for states that represent channels
- Directly de Finetti theorems with linear constraints (add-on)
- Classical de Finetti + informationally complete measurements — relative to quantum side information

Sum-of-Squares Hierarchies

[Lasserre 00, Parrilo 03] via information-theoretic approach based on entropy inequalities [Brandão & Harrow 16]

 Various extensions possible — basic open questions for classical/quantum settings

Application: Noisy Channel Coding

► Efficiently computable **semi-definite program** outer bounds $\operatorname{sdp}_{k}(\mathcal{N}, m) := \max \quad d_{\bar{A}}d_{B} \cdot \operatorname{Tr} \left[(\mathcal{N}_{\bar{A} \to B_{1}} (\Phi_{\bar{A}\bar{A}}) \otimes \Phi_{A\bar{B}_{1}}) \mathcal{W}_{A\bar{A}B_{1}\bar{B}_{1}} \right]$ s.t. $\mathcal{W}_{A\bar{A}}(B\bar{B})_{1}^{k} \geq 0$, $\operatorname{Tr} \left[\mathcal{W}_{A\bar{A}}(B\bar{B})_{1}^{k} \right] = 1$ $\mathcal{W}_{A\bar{A}}(B\bar{B})_{1}^{k} = \pi_{(B\bar{B})_{1}^{k}} \left(\mathcal{W}_{A\bar{A}}(B\bar{B})_{1}^{k} \right)$ $\mathcal{W}_{A(B\bar{B})_{1}^{k}} = \frac{1_{A}}{2^{m}} \otimes \mathcal{W}_{(B\bar{B})_{1}^{k}}$ $\mathcal{W}_{A\bar{A}}(B\bar{B})_{1}^{k-1}B_{k}} = \mathcal{W}_{A\bar{A}}(B\bar{B})_{1}^{k-1}} \otimes \frac{1_{B_{k}}}{d_{B}}$ $\operatorname{PPT} \left(A_{1}^{k} : B_{1}^{k} \right) \geq 0$ ► Efficiently computable **semi-definite program** outer bounds $\operatorname{sdp}_{k}(\mathcal{N}, m) := \max \quad d_{\overline{A}}d_{B} \cdot \operatorname{Tr}\left[\left(\mathcal{N}_{\overline{A} \to B_{1}} \left(\Phi_{\overline{A}\overline{A}}\right) \otimes \Phi_{A\overline{B}_{1}}\right) W_{A\overline{A}B_{1}\overline{B}_{1}}\right]$ s.t. $W_{A\overline{A}(B\overline{B})_{1}^{k}} \geq 0$, $\operatorname{Tr}\left[W_{A\overline{A}(B\overline{B})_{1}^{k}}\right] = 1$ $W_{A\overline{A}(B\overline{B})_{1}^{k}} = \pi_{(B\overline{B})_{1}^{k}}\left(W_{A\overline{A}(B\overline{B})_{1}^{k}}\right)$ $W_{A(B\overline{B})_{1}^{k}} = \frac{1_{A}}{2^{m}} \otimes W_{(B\overline{B})_{1}^{k}}$ $W_{A\overline{A}(B\overline{B})_{1}^{k-1}B_{k}} = W_{A\overline{A}(B\overline{B})_{1}^{k-1}} \otimes \frac{1_{B_{k}}}{d_{B}}$ $\operatorname{PPT}\left(A_{1}^{k}:B_{1}^{k}\right) \geq 0$

with approximation guarantee to quantum channel fidelity

$$|\operatorname{spd}_k(\mathcal{N},m) - F(\mathcal{N},m)| \leq \sqrt{\frac{\operatorname{poly}(d_A d_{\overline{A}} d_B d_{\overline{B}})}{k}}$$

► Efficiently computable **semi-definite program** outer bounds $\operatorname{sdp}_{k}(\mathcal{N}, m) \coloneqq \max \quad d_{\overline{A}}d_{B} \cdot \operatorname{Tr}\left[\left(\mathcal{N}_{\overline{A} \to B_{1}} \left(\Phi_{\overline{A}\overline{A}}\right) \otimes \Phi_{A\overline{B}_{1}}\right) W_{A\overline{A}B_{1}\overline{B}_{1}}\right]\right]$ s.t. $W_{A\overline{A}(B\overline{B})_{1}^{k}} \ge 0$, $\operatorname{Tr}\left[W_{A\overline{A}(B\overline{B})_{1}^{k}}\right] = 1$ $W_{A\overline{A}(B\overline{B})_{1}^{k}} = \pi_{(B\overline{B})_{1}^{k}}\left(W_{A\overline{A}(B\overline{B})_{1}^{k}}\right)$ $W_{A(B\overline{B})_{1}^{k}} = \frac{1_{A}}{2^{m}} \otimes W_{(B\overline{B})_{1}^{k}}$ $W_{A\overline{A}(B\overline{B})_{1}^{k-1}B_{k}} = W_{A\overline{A}(B\overline{B})_{1}^{k-1}} \otimes \frac{1_{B_{k}}}{d_{B}}$ $\operatorname{PPT}\left(A_{1}^{k} : B_{1}^{k}\right) \ge 0$

with approximation guarantee to quantum channel fidelity

$$|\operatorname{spd}_k(\mathcal{N},m) - F(\mathcal{N},m)| \leq \sqrt{\frac{\operatorname{poly}(d_A d_{\overline{A}} d_B d_{\overline{B}})}{k}}$$

 Previous work: [Matthews 12, Leung & Matthews 15, Tomamichel et al. 16, Wang et al. 16/17] and [Rozpedek et al. 18, Kaur et al. 18]

Certifying Optimality of Relaxations

Compare classical linear program relaxation [Barman & Fawzi 18]

$$p(N,m) \leq \ln(N,m) \leq \frac{1}{1-e^{-1}} \cdot p(N,m)$$

▶ No finite approximation guarantee for $F(\mathcal{N}, m) \leq \operatorname{sdp}_k(\mathcal{N}, m)$

Certifying Optimality of Relaxations

Compare classical linear program relaxation [Barman & Fawzi 18]

$$p(N,m) \le \ln(N,m) \le \frac{1}{1-e^{-1}} \cdot p(N,m)$$

▶ No finite approximation guarantee for $F(\mathcal{N}, m) \leq \operatorname{sdp}_k(\mathcal{N}, m)$

Rank Loop Conditions

If for $k \in \mathbb{N}$ there exists $l \in \mathbb{N}$ such that

$$\operatorname{rank}\left(\mathcal{W}_{A\bar{A}(B\bar{B})_{1}^{k}}\right) \leq \max\left\{\operatorname{rank}\left(\mathcal{W}_{A\bar{A}(B\bar{B})_{1}^{l}}\right), \operatorname{rank}\left(\mathcal{W}_{(B\bar{B})_{1}^{k-l}}\right)\right\}$$

then we have equality $\operatorname{sdp}_k(\mathcal{N},m) = F(\mathcal{N},m)$

Proof via [Navascués et al. 09]

Uniform noise corresponds to qubit depolarizing channel

$$\operatorname{Dep}_{\rho}: \rho \mapsto \rho \cdot \frac{1_{B}}{2} + (1 - \rho) \cdot \rho \quad \text{with } \rho \in [0, 4/3].$$

Question

What is the optimal code for reliably storing m = 1 qubit in noisy 5 qubit quantum memory, $p(\text{Dep}_p^{\otimes 5}, 1) = ?$

Uniform noise corresponds to qubit depolarizing channel

$$\operatorname{Dep}_{\rho}: \rho \mapsto \rho \cdot \frac{1_{B}}{2} + (1 - \rho) \cdot \rho \quad \text{with } \rho \in [0, 4/3].$$

Question

What is the optimal code for reliably storing m = 1 qubit in noisy 5 qubit quantum memory, $p(\text{Dep}_{p}^{\otimes 5}, 1) = ?$

 Analytical [Bennett et al. 96] as well as see-saw [Reimpell & Werner 05] lower bounds, our work upper bounds

$$\rho\left(\mathrm{Dep}_{\rho}^{\otimes 5},1\right) \leq \mathrm{sdp}_{k}\left(\mathrm{Dep}_{\rho}^{\otimes 5},1\right)$$

Numerical Example Relaxations (continued)

► Exploiting symmetries for analytical **dimension reduction** for first level sdp_1 ($Dep_{\rho}^{\otimes 5}$, 1) [Wang *et al.* 16/17] gives



Numerical Example Relaxations (continued)

► Exploiting symmetries for analytical dimension reduction for first level sdp₁ (Dep^{⊗5}_p, 1) [Wang et al. 16/17] gives



▶ $p \in [0, 4/3]$ [Reimpell & Werner 05] optimal, $p \in [0, 0.18]$ room for improved codes

Quantum noisy channel coding (one-shot) via de Finetti theorem for quantum channels

- Quantum noisy channel coding (one-shot) via de Finetti theorem for quantum channels
- Optimization theory tools to numerically study quantum error correction for practical settings

- Quantum noisy channel coding (one-shot) via de Finetti theorem for quantum channels
- Optimization theory tools to numerically study quantum error correction for practical settings
- Variations possible, e.g., classical communication assistance, physical constraints

- Quantum noisy channel coding (one-shot) via de Finetti theorem for quantum channels
- Optimization theory tools to numerically study quantum error correction for practical settings
- Variations possible, e.g., classical communication assistance, physical constraints

Open Questions

Numerics via dimension reduction? Polynomial size + symdpoly [Rosset]?

- Quantum noisy channel coding (one-shot) via de Finetti theorem for quantum channels
- Optimization theory tools to numerically study quantum error correction for practical settings
- Variations possible, e.g., classical communication assistance, physical constraints

Open Questions

- Numerics via dimension reduction? Polynomial size + symdpoly [Rosset]?
- Settings with provably good quantum meta-converse?

- Quantum noisy channel coding (one-shot) via de Finetti theorem for quantum channels
- Optimization theory tools to numerically study quantum error correction for practical settings
- Variations possible, e.g., classical communication assistance, physical constraints

Open Questions

- Numerics via dimension reduction? Polynomial size + symdpoly [Rosset]?
- Settings with provably good quantum meta-converse?
- Optimal quantum de Finetti theorems: dimension dependence, minimal conditions?

Add-on: De Finetti with Linear Constraints

Let $\rho_{AB_1^k}$ be a quantum state, $\Lambda_{A \to C_A}$, $\Gamma_{B \to C_B}$ linear maps, and X_{C_A} , Y_{C_B} operators such that for $\pi \in \mathfrak{S}_k$

$$\begin{aligned} \pi_{B_1^k}^{\pi}(\rho_{AB_1^k}) &= \rho_{AB_1^k} \\ \Lambda_{A \to C_A}(\rho_{AB_1^k}) &= X_{C_A} \otimes \rho_{B_1^k} \\ \Gamma_{B_k \to C_B}(\rho_{B_1^n}) &= \rho_{B_1^{k-1}} \otimes Y_{C_E} \end{aligned}$$

symmetric with respect to A

linear constraint on A

linear constraint on B.

Then, we have

$$\left\|\rho_{AB} - \sum_{i \in I} p_i \sigma_A^i \otimes \omega_B^i\right\|_1 \le \sqrt{\frac{d_B^4 (d_B + 1)^2 \log d_A}{k}}$$

with probabilities $\{p_i\}_{i \in I}$ and quantum states σ_A^i, ω_B^i such that $\forall i \in I$

$$\wedge_{A \to C_A} \left(\sigma_A^i \right) = X_{C_A} \quad \text{and} \quad \Gamma_{B \to C_B} \left(\omega_B^i \right) = Y_{C_B}.$$

De Finetti with linear constraints gives **outer hierarchy for programs of the bilinear form** (cf. [Huber *et al.* 18])

 $\max \quad \operatorname{Tr} [H(D \otimes E)]$ s.t. $D \in \mathcal{S}_D, E \in \mathcal{S}_E$

where *H* is a matrix and S_D and S_E are positive semi-definite representable sets of the form

$$\mathcal{S}_D = \prod_{A o D} (\mathcal{S}_A^+ \cap \mathcal{A}_A) \quad \text{and} \quad \mathcal{S}_E = \prod_{B o E} (\mathcal{S}_B^+ \cap \mathcal{A}_B)$$

with $\Pi_{A \to D}$, $\Pi_{B \to E}$ linear maps, \mathcal{S}_{A}^{+} , \mathcal{S}_{B}^{+} the set of density operators, and \mathcal{A}_{A} , \mathcal{A}_{B} affine subspaces of matrices.