

Higher representations and cornered Heegaard Floer homology

Andrew Manion (joint with Raphaël Rouquier)

Outline

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Tensor product: categories

Tensor product: algebras

Strands algebras and gluing

Further remarks

# Higher representations and cornered Heegaard Floer homology

Andrew Manion (joint with Raphaël Rouquier)

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# Gluing together Heegaard Floer invariants for surfaces

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Goal: explain a tensor product operation for certain higher representations, and its connections to Heegaard Floer homology

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Some things appearing in previous talks:

- Invariants in 3d: knot homology theories, homological invariants for 3-manifolds

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- Invariants in 4d: knot concordance, smooth 4-manifolds

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- Invariants in 2d: “categorified Hilbert spaces” of the theories on surfaces (coherent sheaves, Fukaya categories, ...)

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- Invariants in 2d: “categorified Hilbert spaces” of the theories on surfaces (coherent sheaves, Fukaya categories, ...)

Focus of this talk: in the case of Heegaard Floer homology, how do the categories for 2d surfaces behave under surface decompositions?  $\leftrightarrow$  what can we assign to 1-manifolds, 0-manifolds?

# Categories for surfaces in Heegaard Floer homology

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Heegaard Floer homology assigns a surface  $F$  a certain Fukaya category of the union of all symmetric powers  $\text{Sym}^k(F)$

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Basic definitions of HF already suggest the above, but it's realized most fully in bordered Heegaard Floer homology (Lipshitz–Ozsváth–Thurston '08; connection between LOT and Fukaya categories due to Auroux '10)

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Our work reformulates cornered HF and connects it to higher tensor products in categorified representation theory

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HF invariants of genus-zero surfaces especially important when applying bordered HF ideas to compute knot Floer homology (HFK) in terms of tangle decompositions; in cornered HF one can ask how the algebra / category for multiple tangle endpoints arises from the algebra / category for a single tangle endpoint

# Knot polynomials and quantum group representations

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If we look at Alexander polynomial and Jones polynomial (“deategorified level”) instead of HFK and Khovanov homology (“categorified level”): knot polynomials come from tangle invariants taking following form

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(tangle)  $\mapsto$ , in this case, morphism of  $U_q(\mathfrak{gl}(1|1))$ -representations (Alexander) or  $U_q(\mathfrak{sl}(2))$ -representations (Jones)

$$V \otimes V \otimes V^* \otimes V \otimes V \rightarrow V \otimes V \otimes V,$$

$V$  = vector representation (2-dimensional)

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$$V \otimes V \otimes V^* \otimes V \otimes V \rightarrow V \otimes V \otimes V,$$

$V$  = vector representation (2-dimensional)

$\otimes$ : tensor product of representations of the quantum group (a Hopf algebra, so if  $V, W$  are representations then so is  $V \otimes_k W$ )

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Match decategorified level: want the category / algebra for set of  $n$  tangle endpoints to be an  $n$ -fold tensor product of categories / algebras for a single endpoint each

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A general construction  $\otimes$  for categorified representations of Kac–Moody algebras is defined by Rouquier (in preparation)

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## Theorem (M.–Rouquier '20)

*There is a version of  $\otimes$  for  $\mathfrak{gl}(1|1)^+$  that explains the algebraic structure of cornered Floer homology (Douglas–Manolescu '11)*

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- 1 Explain a bit about  $\otimes$  in the case where we define it
- 2 Discuss relationships to Heegaard Floer “strands algebras” and their gluing formulas as in Douglas–Manolescu

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Categorifies  $U_q(\mathfrak{gl}(1|1)^+)$ ; ignore gradings here and view as categorifying Hopf superalgebra  $U(\mathfrak{gl}(1|1)^+) = \mathbb{C}[E]/(E^2)$

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$\mathcal{U}$ : objects generated under  $\otimes$  by one object  $e$  (so all objects:  $1, e, e^2, \dots$ )

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$\mathbb{F}_2$ -linear morphism spaces generated under composition and  $\otimes$  by one endomorphism  $\tau$  of  $e^2$  with relations  $\tau^2 = 0$  and  $E\tau \circ \tau E \circ E\tau = \tau E \circ E\tau \circ \tau E$ , differential  $d(\tau) = 1$

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Same data as dg endofunctor  $E$  of  $\mathcal{V}$  and natural transformation  $\tau : E^2 \rightarrow E^2$  with correct relations and differential

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Have version of  $\otimes$  in both settings; second is most closely related to cornered Floer homology

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on dg categories? First think about Hom instead of tensor

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$\text{Hom}_{\mathcal{U}}(\mathcal{V}_1, \mathcal{V}_2)$  should be a dg category with objects: dg functors  $F : \mathcal{V}_1 \rightarrow \mathcal{V}_2$  “commuting with action of  $E$ ,” so  $E_2 F \cong F E_1$  as dg functors (isomorphism or weaker notion of equivalence: will be vague here, just say “isomorphism”)

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As usual in categorification: should require *choice of isomorphism*  $\pi : E_2 F \xrightarrow{\cong} F E_1$ ; can require  $\pi$  to be compatible with  $\tau_1, \tau_2$

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As usual in categorification: should require *choice of isomorphism*  $\pi : E_2 F \xrightarrow{\cong} F E_1$ ; can require  $\pi$  to be compatible with  $\tau_1, \tau_2$

Thus: objects of  $\text{Hom}_{\mathcal{U}}(\mathcal{V}_1, \mathcal{V}_2)$  should be pairs  $(F, \pi)$

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For ordinary reps  $V_1, V_2$  of  $H := \mathbb{C}[E]/(E^2)$ , have  
 $\text{Hom}_H(V_1, V_2)$  and  $\text{Hom}_{\mathbb{C}}(V_1, V_2)$

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Latter has action of  $H$ : for  $\phi \in \text{Hom}_{\mathbb{C}}(V_1, V_2)$ , have

$$(E\phi)(v_1) = (-1)^{|\phi|}(-\phi(Ev_1) + E\phi(v_1))$$

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So: for 2-reps want to define  $\mathbb{H}\text{om}(\mathcal{V}_1, \mathcal{V}_2)$  (dg category with 2-action of  $\mathcal{U}$ ) such that an object “vanishes” iff it’s actually an object of  $\text{Hom}_{\mathcal{U}}(\mathcal{V}_1, \mathcal{V}_2)$

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Take  $E(F, \pi)$  to be  $(m', \pi')$  where  $m'$  is the mapping cone of  $\pi$  (should assume  $\mathcal{V}_1$  and  $\mathcal{V}_2$  pretriangulated; will also assume idempotent complete): should have the right “vanishes iff  $\pi$  equivalence” when latter is made precise (won't do this)

# Categorifying the internal Hom

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Can then guess at definitions of  $\pi'$ , action of  $E$  on morphisms, and  $\tau : E^2 \rightarrow E^2$ , and show the construction works

# Generalized diagonal actions

Generalize the above construction: let  $\mathcal{W}$  be a dg category with endofunctors  $E_1, E_2$  and natural endomorphisms  $\tau_i$  of  $E_i^2$  satisfying the usual relations (including differential)

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Can build dg category  $\Delta_\sigma \mathcal{W}$  with endofunctor  $E$  and natural transformation  $\tau : E^2 \rightarrow E^2$ :

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- Objects: pairs  $(m, \pi)$  where  $m$  is an object of  $\overline{\mathcal{W}}^i$  (idem. completion of pretriangulated closure) and  $\pi : E_2(m) \rightarrow E_1(m)$  is a morphism in  $\overline{\mathcal{W}}^i$  (morphisms in  $\Delta_\sigma \mathcal{W}$ : can define)

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- $E(m, \pi) := (m', \pi')$  where  $m'$  is the mapping cone of  $\pi$  (makes sense in  $\overline{\mathcal{W}}^i$ ); can also define  $\pi'$ , action of  $E$  on morphisms, and  $\tau : E^2 \rightarrow E^2$

# Examples of $\Delta_\sigma$

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Can form  $\Delta_\sigma(B\text{-mod})$ ; want dg algebra  $\Delta_\sigma B$  (and dg bimodule  $E$ , endomorphism  $\tau$  of  $E^2$ ) such that

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Object of  $\Delta_\sigma(B\text{-mod})$ : pair  $(m, \pi)$ ; define  $\Delta_\sigma B$  so this is same data as a  $\Delta_\sigma B$ -module

# Dualizing $\pi$

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$m$  is a  $B$ -module so a  $\Delta_\sigma B$ -module should give a  $B$ -module;  
true if  $\Delta_\sigma B$  contains  $B$

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Can we take  $\Delta_\sigma B$  to contain  $B$  plus something more, so that  
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Yes, if we assume our given data  $(B, E_1, E_2, \dots)$  has  $E_1$  finitely  
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Let  $E_1^\vee = \text{Hom}_{B^{\text{op}}}(E_1, B)$  be the right dual (left adjoint) of  $E_1$ ;  
then  $\sigma : E_2 E_1 \rightarrow E_1 E_2$  is dual to a map  $\lambda : E_1^\vee E_2 \rightarrow E_2 E_1^\vee$   
which we will also assume to be an isomorphism

# Building $\Delta_\sigma B$

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Now:  $\pi$  same data as  $\zeta : E_1^\vee \otimes_B E_2 \otimes_B m \rightarrow m$ : looks like “ $E_1^\vee \otimes_B E_2$  acting on  $m$ ”!

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No multiplication on  $(B, B)$  bimodule  $E_1^\vee \otimes_B E_2$ : build  $\Delta_\sigma B$  from the tensor algebra  $T_B^*(E_1^\vee \otimes_B E_2)$  (also contains  $B$ )

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Define  $\Delta_\sigma B := \frac{T_B^*(E_1^\vee \otimes_B E_2)}{(\dots)}$  where the relation ideal is specified below (we'll just do tensor product case)

# The relation ideal: tensor product case

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For  $B = A_1 \otimes A_2$  with endofunctors  $\mathcal{E}_1 := E_1 \otimes A_2$  (dual:  $\mathcal{E}_1^\vee = E_1^\vee \otimes A_2$ ) and  $\mathcal{E}_2 := A_1 \otimes E_2 \dots$

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can write

$$T_B^*(\mathcal{E}_1^\vee \otimes_B \mathcal{E}_2) \cong \bigoplus_{m=0}^{\infty} (E_1^\vee)^m \otimes_{\mathbb{F}_2} E_2^m$$

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can write

$$T_B^*(\mathcal{E}_1^\vee \otimes_B \mathcal{E}_2) \cong \bigoplus_{m=0}^{\infty} (E_1^\vee)^m \otimes_{\mathbb{F}_2} E_2^m$$

Define the relation ideal so that

$$A_1 \otimes A_2 := \Delta_\sigma B \cong \bigoplus_{m=0}^{\infty} (E_1^\vee)^m \otimes_{H_m} E_2^m,$$

$H_m :=$  endomorphism dg algebra of  $e^m$  in  $\mathcal{U}$

# Defining the bimodule $E$

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Fact:  $((m, \pi))$  encodes action of tensor algebra on  $m$  that descends to action of quotient  $A_1 \otimes A_2$  iff  $(\pi)$  satisfies “compatibility with  $\tau$ ” condition)

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So: dg module over  $A_1 \otimes A_2 = \Delta_\sigma B$  is same data as object of  $\Delta_\sigma(B\text{-mod})$ , as desired

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Example:  $A_1 \otimes A_2$  as left dg module over itself: equivalent to some  $(m, \pi)$  where  $m$  is a left dg module over  $A_1 \otimes A_2$

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Define bimodule  $E$  over  $A_1 \otimes A_2$  to be mapping cone of

$$\pi : E_2 \otimes_{A_1 \otimes A_2} A_1 \otimes A_2 \rightarrow E_1 \otimes_{A_1 \otimes A_2} A_1 \otimes A_2$$

as bimodule over  $(A_1 \otimes A_2, A_1 \otimes A_2)$ ; natural way to define left action of  $A_1 \otimes A_2$  and endomorphism  $\tau$  of  $E^2$

# Arc / chord diagrams

Higher representations and cornered Heegaard Floer homology

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Now we'll discuss a key family of 2-representations of  $\mathcal{U}$  on dg algebras: *strands algebras*  $\mathcal{A}(\mathcal{Z})$  in bordered Heegaard Floer homology (first examples: Lipshitz–Ozsváth–Thurston '08)

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For us:  $\mathcal{Z}$  will be an “arc diagram” (or “chord diagram”) like those in Zarev '11: compact oriented 1-manifold  $\mathcal{Z}$  with boundary (drawn in black) and 2-1 matching of finitely many points in interior of  $\mathcal{Z}$  (drawn with red arcs)

# Arc / chord diagrams (continued)

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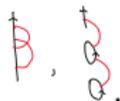
Overview

Tensor  
product:  
categories

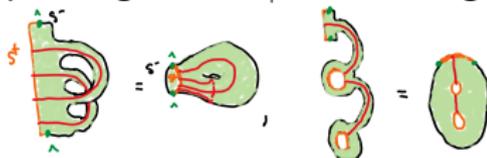
Tensor  
product:  
algebras

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Further  
remarks

Examples: , taken to represent “sutured surfaces”  
(compact surfaces with boundary and extra data on boundary:  
“stopped regions”  $S_-$  and “unstopped regions”  $S_+$  interfacing

along a 0-manifold  $\Lambda$  of “sutures”



# Arc / chord diagrams (continued)

Higher representations and cornered Heegaard Floer homology

Andrew Manion (joint with Raphaël Rouquier)

Outline

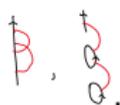
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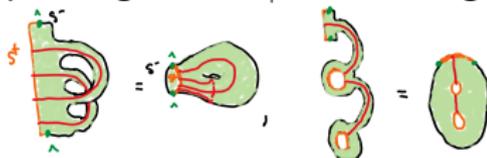
Tensor product: categories

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Examples: , taken to represent “sutured surfaces” (compact surfaces with boundary and extra data on boundary: “stopped regions”  $S_-$  and “unstopped regions”  $S_+$  interfacing

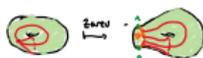


along a 0-manifold  $\Lambda$  of “sutures”

Compare: LOT’s pointed matched circles  $\mathcal{Z}$ , taken to represent closed surfaces with basepoint   $\rightarrow$  : Zarev cuts open and views as chord diagram for corresponding surface with  $S^1$



boundary and one stop on boundary



# Strands algebras

Higher representations and  
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Further remarks

For chord diagram  $\mathcal{Z}$ : have dg “strands algebra”  $\mathcal{A}(\mathcal{Z})$ .  
Precise definition in paper, generalizing Zarev and LOT; same  
basic idea, sketched below

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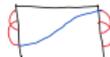
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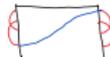
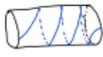
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- Drawn in  $[0, 1] \times \mathcal{Z}$

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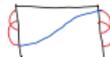
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Higher representations and cornered Heegaard Floer homology

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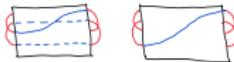
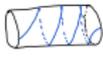
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Basis over  $\mathbb{F}_2$ : strands pictures like  (including e.g. ) up to isotopy

- Drawn in  $[0, 1] \times \mathcal{Z}$
- Strands compatible with orientation
- No double-occupied matchings on right or left, except: any horizontal strands come in matched pairs (and are drawn dotted)

# Strands algebras (continued)

Higher representations and  
cornered Heegaard Floer  
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Andrew Manion (joint  
with Raphaël Rouquier)

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Overview

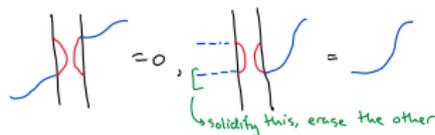
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Multiplication: concatenate,



# Strands algebras (continued)

Higher representations and  
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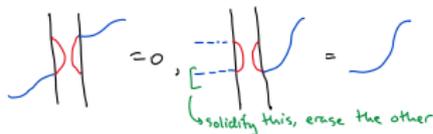
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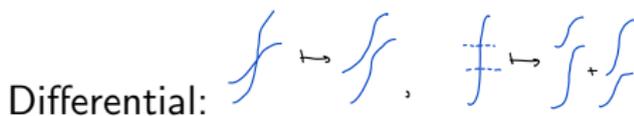
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Multiplication: concatenate,



Differential:

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Higher representations and  
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Andrew Manion (joint  
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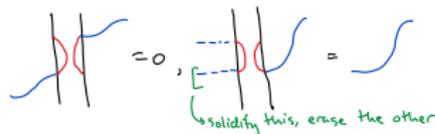
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Multiplication: concatenate,

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Auroux ICM '10 (sketch): these algebras describe partially wrapped Fukaya categories of symmetric powers of sutured surfaces

# Strands algebras (continued)

Higher representations and  
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Andrew Manion (joint  
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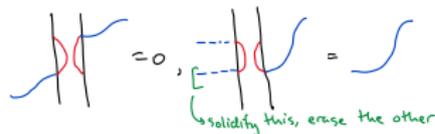
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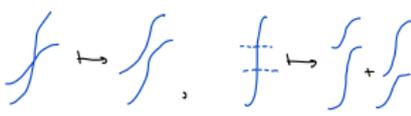
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Heegaard Floer homology in general is based on Fukaya categories of these symmetric powers, explaining why these particular algebras are so natural for Heegaard Floer

# Douglas–Manolescu's gluing formula

Higher representations and  
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Douglas–Manolescu '11: asked how to recover algebra of e.g.



from data associated to , 

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Their answer: associate certain algebraic constructions to top  
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Algebra for glued diagram:  $\bigoplus_{m=0}^{\infty}$  (top piece with  $m$  strands)  
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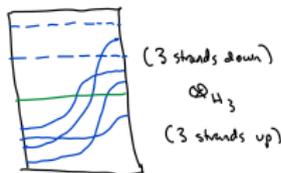


Illustration:

# Our perspective

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Andrew Manion (joint  
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Our perspective (following Zarev): trying to recover algebra of

e.g.  (with 2-action) from top piece  with 2-action and  
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To a chord diagram  $\mathcal{Z}$  with a *distinguished interval component*, use pictures like  where 1 strand leaves upward on distinguished component to define a dg bimodule  $E$  over  $\mathcal{A}(\mathcal{Z})$

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$E \otimes_{\mathcal{A}(\mathcal{Z})} \cdots \otimes_{\mathcal{A}(\mathcal{Z})} E$  ( $m$  factors) is isomorphic to the bimodule  
where  $m$  strands leave upward on distinguished component

# Our perspective (continued)

Higher representations and cornered Heegaard Floer homology

Andrew Manion (joint with Raphaël Rouquier)

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Dual  $E^\vee$  of  $E$  is isomorphic to the bimodule where one strand leaves downward on distinguished component, e.g.   $((E^\vee)^m$ :  $m$  strands leave downward)

# Our perspective (continued)

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$E^2 = E \otimes_{\mathcal{A}(\mathcal{Z})} E$ : have endomorphism  $\tau$  sending   $\rightarrow$  ,  
  $\mapsto$    $= 0$

# Our perspective (continued)

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Andrew Manion (joint with Raphaël Rouquier)

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$E^2 = E \otimes_{\mathcal{A}(\mathcal{Z})} E$ : have endomorphism  $\tau$  sending   $\rightarrow$  ,   $\mapsto$    $= 0$

So: for each interval component of  $\mathcal{Z}$ , have a 2-action of  $\mathcal{U}$  on  $\mathcal{A}(\mathcal{Z})$

# Expanding on the gluing formula

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Andrew Manion (joint  
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In our language:

## Theorem (Douglas–Manolescu '11)

*(For  $\mathcal{Z}_i$  with one interval, in bijection with LOT's pointed matched circles): if  $\mathcal{Z}$  is obtained by gluing  $\mathcal{Z}_1$  and  $\mathcal{Z}_2$  end-to-end, then  $\mathcal{A}(\mathcal{Z}) \cong \mathcal{A}(\mathcal{Z}_1) \otimes \mathcal{A}(\mathcal{Z}_2)$  as dg algebras*

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From this perspective: no more extra structure to consider on  $\mathcal{A}(\mathcal{Z})$ ; extra structure comes from e.g.  but  $\mathcal{A}(\mathcal{Z})$  comes from 

# Expanding on the gluing formula (continued)

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## Theorem (M.–Rouquier '20)

*(For any  $\mathcal{Z}_i$  with distinguished interval components): if  $\mathcal{Z}$  is obtained by gluing  $\mathcal{Z}_1$  and  $\mathcal{Z}_2$  end-to-end as above, then  $\mathcal{A}(\mathcal{Z}) \cong \mathcal{A}(\mathcal{Z}_1) \otimes \mathcal{A}(\mathcal{Z}_2)$  as 2-representations of  $\mathcal{U}$  (so  $E, \tau$  also agree on both sides)*

# Expanding on the gluing formula (continued)

Higher representations and cornered Heegaard Floer homology

Andrew Manion (joint with Raphaël Rouquier)

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We also prove more involved version of this result for

self-gluing like  $\dagger \rightarrow \mathbb{Q}$  based on a version of  $\Delta_\sigma$  for 2-actions that “lax-commute” (in this case: we don't define 2-action on result, only for intervals rather than circles)

# 3 ways to view the gluing operation

Higher representations and  
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Andrew Manion (joint  
with Raphaël Rouquier)

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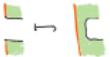
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What does this end-to-end gluing  $\mathcal{Z}_1, \mathcal{Z}_2 \mapsto \mathcal{Z}$  look like on the sutured surfaces  $F_1, F_2, F$  that these chord diagrams represent? (At least) 3 equivalent ways to view it:

- 1 Glue small neighborhood of suture in  $\partial F_1$  to small neighborhood of suture in  $\partial F_2$ : 

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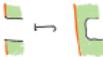
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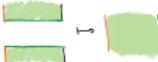
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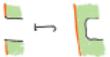
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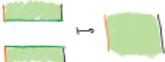
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3 

- Non-self-gluing case: glue “open pair of pants” to  $S_+$  interval in  $F_1$  and  $S_+$  interval in  $F_2$ : 
- Self-gluing case: glue  to  $S_+$  interval in  $F$

# Open and closed pairs of pants

Higher representations and  
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homology

Andrew Manion (joint  
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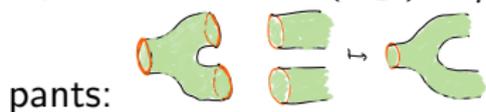
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Compare the last interpretation with: tensor products for representations of (e.g.)  $U_q(\mathfrak{sl}(2))$  and gluing *closed* pairs of pants:



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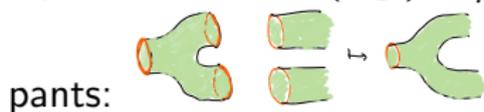
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Related to:  $\mathfrak{gl}(1|1)^+$  (intervals?) vs.  $\mathfrak{gl}(1|1)$  (circles?); note that higher actions for circles are not apparent on the algebras  $\mathcal{A}(\mathcal{Z})$  (need larger algebras?)

# Ozsváth–Szabó's bordered HFK

Higher representations and  
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Andrew Manion (joint  
with Raphaël Rouquier)

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Ozsváth–Szabó's algebras related to  $\mathcal{Z} = \mathcal{O}$  are not  $n$ -fold end-to-end gluings like the ones considered here...



# Ozsváth–Szabó's bordered HFK

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instead, doing these end-to-end gluings for the  $n = 1$  case of

the above chord diagram gives  $\mathcal{Z} = \begin{array}{|l} \circ \\ \circ \\ \vdots \\ \circ \end{array}$



# Ozsváth–Szabó's bordered HFK

Higher representations and cornered Heegaard Floer homology

Andrew Manion (joint with Raphaël Rouquier)

Outline

Overview

Tensor product: categories

Tensor product: algebras

Strands algebras and gluing

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These chord diagrams  $\mathcal{Z}$ : part of algebraic approach to HFK (work in preparation / progress) closely related to  $\otimes$ , similar in spirit to Ozsváth–Szabó's bordered HFK '16

# Thanks

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Thanks for your time!