On the Approximation by Conjugation method

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Banff Institute Interactions between Descriptive Set Theory and Smooth Dynamics March 31, 2022

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Anti-classification results in Smooth Ergodic Theory

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Smooth Ergodic Theory

Another important question dating back to the foundational paper of von Neumann (1932):

ZUR OPERATORENMETHODE IN DER KLASSISCHEN MECHANIK¹.

VON J. V. NEUMANN, PRINCETON.

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J. v. NEUMANN.

morphieinvarianten Eigenschaften. Vermutlich kann sogar zu jeder allgemeinen Strömung eine isomorphe stetige Strömung gefunden werden¹³, vielleicht sogar eine stetig-differentiierbare, oder gar eine mechanische. Dies mag es rechtfertigen, daß hier an Stelle der eigentlich interessanten mechanischen Strömungen alle allgemeinen untersucht werden.

¹³ Der Verfasser hofft, hierfür demnächst einen Beweis anzugeben.

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FIVE MOST RESISTANT PROBLEMS IN DYNAMICS

A. Katok

Smooth realization problem

Are there smooth versions to the objects and concepts of abstract ergodic theory?

By a smooth version we mean a C^{∞} -diffeomorphism of a compact manifold preserving a C^{∞} -measure equivalent to the volume element that is measure-isomorphic to a given measure-preserving transformation.

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- Existence of volume-preserving diffeomorphisms with ergodic properties?
- What ergodic properties, if any, are imposed upon a dynamical system by the fact that it should be smooth?

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Known restrictions:

- *M* smooth compact manifold, $T \in \text{Diff}^{\infty}(M, \mu)$. Then: $h_{\mu}(T) < \infty$. (Kushnirenko 1965)
- In case of $M = \mathbb{S}^1$: Any diffeomorphism with invariant smooth measure is conjugated to a rotation
- In dimension *d* = 2: Weakly mixing diffeomorphisms of positive measure entropy are Bernoulli (Pesin 1977)
- No restrictions for d > 2 (or in case of entropy 0 for $d \ge 2$) are known!

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Realization of ergodic properties on a case-by-case basis

• area-preserving ergodic C^{∞} -diffeomorphisms of \mathbb{D}^2 (Anosov-Katok 1970)

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Anti-classification result for C^{∞} -diffeos

- $M C^{\infty}$ compact finite dimensional manifold
- μ measure defined by a smooth volume element.

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M - C^{∞} compact finite dimensional manifold μ - measure defined by a smooth volume element.

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In a recent series of papers Foreman and Weiss extended their anti-classification result to the C^{∞} -setting:

Theorem (Foreman-Weiss)

Let *M* be either the torus \mathbb{T}^2 , the disk \mathbb{D}^2 or the annulus $\mathbb{S}^1 \times [0, 1]$. Then the measure isomorphism relation among pairs (S, T) of area-preserving ergodic C^{∞} -diffeomorphisms of M is complete analytic and hence not Borel.

von Neumann's classification problem is impossible even when restricting to smooth diffeomorphisms

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Odometer-based systems

The ergodic transformations constructed in Foreman-Rudolph-Weiss and Gerber-K are so-called *odometer-based systems*.

Definition: Odometer-based systems

Let $(k_n)_{n \in \mathbb{N}}$ be a sequence of natural numbers $k_n \geq 2$. Let $(\mathbb{W}_n)_{n \in \mathbb{N}}$ be a uniquely readable construction sequence with $\mathbb{W}_0 = \Sigma$ and $\mathbb{W}_{n+1} \subseteq (\mathbb{W}_n)^{k_n}$ for every $n \in \mathbb{N}$. The associated symbolic shift will be called an *odometer-based system*.

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Odometer-based systems are those built by cutting&stacking without any spacers. They have an Odometer transformation (also called *adding machine*) as a factor:



Mathematically:

- Let $\mathcal{O} = \prod_{n \in \mathbb{N}} \mathbb{Z} / k_n \mathbb{Z}$
- \bullet Then ${\cal O}$ has a natural product measure that is preserved by "adding one and carrying right"

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A measure-preserving transformation has an odometer factor if and only if it is

isomorphic to an odometer-based symbolic system.

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The odometer obstacle

Smooth realization of transformations with a non-trivial odometer factor is an open problem.

46 BASSAM FAYAD, ANATOLE KATOK

PROBLEM 7.10. Find a smooth realization of:

- (1) a Gaussian dynamical system with simple (Kronecker) spectrum;
- (2) a dense G_{δ} set of minimal interval exchange transformations;
- \times (3) an adding machine;
 - (4) the time-one map of the horocycle flow 2.3.1 on the modular surface $SO(2)\backslash SL(2,\mathbb{R})/SL(2,\mathbb{Z})$ (which is not compact, so the standard realization cannot be used).

B. Fayad, A. Katok *Constructions in elliptic dynamics* ETDS 24 (2004), 1477-1520.

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Approximation by Conjugation-method: Setting

Let M be a smooth compact connected manifold of dimension $d \ge 2$ admitting a non-trivial circle action $S = \{S_t\}_{t \in \mathbb{S}^1}$ preserving a smooth volume μ , e.g. torus \mathbb{T}^2 , annulus $\mathbb{S}^1 \times [0, 1]$ or disc \mathbb{D}^2 with standard circle action comprising of the diffeomorphisms $S_t(\theta, r) = (\theta + t, r)$.

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• We construct a sequence of measure-preserving diffeomorphisms

$$T_n = H_n \circ S_{\alpha_n} \circ H_n^{-1},$$

where

 $\alpha_n = \frac{p_n}{q_n} \in \mathbb{Q}$ with p_n, q_n relatively prime, $H_n = h_1 \circ h_2 \circ \ldots \circ h_n$ with h_i measure-preserving diffeomorphism of M.

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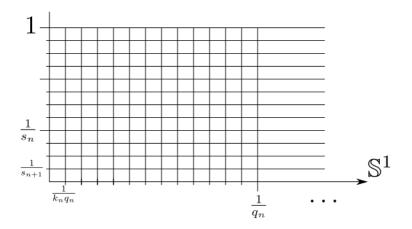
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- We need a criterion for the aimed property expressed on the level of the maps T_n and appropriate partitions of the manifold.

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Combinatorial picture for h_{n+1}



Permutation of rectangles ~> Realization as area-preserving diffeomorphism

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Scheme

Construction of $T_n = H_n \circ S_{\alpha_n} \circ H_n^{-1}$:

• Initial step: Choose $\alpha_0 = \frac{p_0}{q_0}$ arbitrary, $T_0 = S_{\alpha_0}$.

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Then the parameter I_n is chosen large enough to guarantee closeness of T_{n+1} to T_n in the C^{∞} -topology:

$$T_{n+1} = H_{n+1} \circ S_{\alpha_{n+1}} \circ H_{n+1}^{-1}$$

= $H_n \circ h_{n+1} \circ S_{\alpha_n} \circ S_{\frac{1}{l_n \cdot k_n \cdot q_n^2}} \circ h_{n+1}^{-1} \circ H_n^{-1}$
= $H_n \circ S_{\alpha_n} \circ h_{n+1} \circ S_{\frac{1}{l_n \cdot k_n \cdot q_n^2}} \circ h_{n+1}^{-1} \circ H_n^{-1} \approx H_n \circ S_{\alpha_n} \circ H_n^{-1} = T_n$

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 \implies Convergence of the sequence $(T_n)_{n\in\mathbb{N}}$ to a limit diffeomorphism with the aimed properties

Some C^{∞} realization results

- Nonstandard smooth realizations: There exists an ergodic $f \in \text{Diff}^{\infty}(M, \mu)$ measure-theoretically isomorphic to a circle rotation (Anosov-Katok 1970)
- Minimal but not uniquely ergodic diffeomorphisms (Windsor 2001)
- Weakly mixing diffeomorphisms of the disc with prescribed Liouville rotation number on the boundary (Fayad-Saprykina 2005)
- Volume-preserving diffeomorphisms with ergodic derivative extension (K2020)

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Circular systems

Symbolic representation of untwisted AbC-diffeomorphisms: circular systems.

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Circular systems

Symbolic representation of untwisted AbC-diffeomorphisms: circular systems. A *circular coefficient sequence* is a sequence of pairs of integers $(k_n, l_n)_{n \in \mathbb{N}}$ such that $k_n \geq 2$ and $\sum_{n \in \mathbb{N}} \frac{1}{l_n} < \infty$.

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- Set $\mathcal{W}_0 = \Sigma$.
- Having built W_n we choose a set P_{n+1} ⊆ (W_n)^{k_n} of so-called *prewords* and form W_{n+1} by taking all words of the form

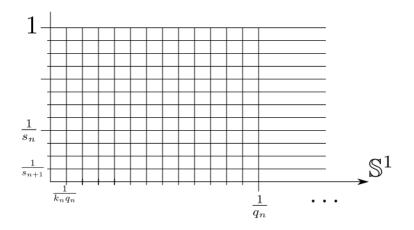
$$C_n(w_0, w_1, \ldots, w_{k_n-1}) = \prod_{i=0}^{q_n-1} \prod_{j=0}^{k_n-1} \left(b^{q_n-j_i} w_j^{l_n-1} e^{j_i} \right)$$

with $w_0 \dots w_{k_n-1} \in P_{n+1}$. If n = 0 we take $j_0 = 0$, and for n > 0 we let $j_i \in \{0, \dots, q_n - 1\}$ be such that

$$j_i \equiv (p_n)^{-1} i \mod q_n.$$

We note that each word in W_{n+1} has length $q_{n+1} = k_n l_n q_n^2$.

Combinatorial picture for h_{n+1}



Recall $T_{n+1} = H_n \circ h_{n+1} \circ S_{\alpha_{n+1}} \circ h_{n+1}^{-1} \circ H_n^{-1}$ with $\alpha_{n+1} = \alpha_n + \frac{1}{k_n l_n q_n^2}$.

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A construction sequence $(\mathcal{W}_n)_{n \in \mathbb{N}}$ will be called *circular* if it is built in this manner using the C-operators, a circular coefficient sequence and each P_{n+1} is uniquely readable in the alphabet with the words from \mathcal{W}_n as letters.

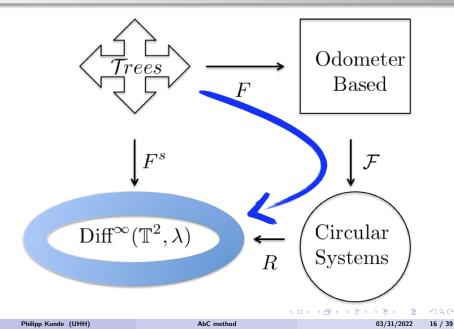
Circular system

A symbolic shift \mathbb{K}^c built from a circular construction sequence is called a *circular* system.

realizable as smooth diffeomorphisms using the untwisted AbC method

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Overview



Functor between \mathcal{OB} and \mathcal{CB}

Let Σ be an alphabet and $(\mathbb{W}_n)_{n\in\mathbb{N}}$ be a construction sequence for an odometer-based system with coefficients $(k_n)_{n\in\mathbb{N}}$. Then we define a circular construction sequence $(\mathcal{W}_n)_{n\in\mathbb{N}}$ and bijections $c_n : \mathbb{W}_n \to \mathcal{W}_n$ by induction:

- Let $\mathcal{W}_0 = \Sigma$ and c_0 be the identity map.
- \bullet Suppose that $\mathtt{W}_n, \ \mathcal{W}_n$ and c_n have already been defined. Then we define

$$\mathcal{W}_{n+1} = \{ \mathcal{C}_n \left(c_n \left(\mathbf{w}_0 \right), c_n \left(\mathbf{w}_1 \right), \dots, c_n \left(\mathbf{w}_{k_n-1} \right) \right) : \mathbf{w}_0 \mathbf{w}_1 \dots \mathbf{w}_{k_n-1} \in \mathbf{W}_{n+1} \}$$

and the map c_{n+1} by setting

$$c_{n+1}\left(\mathtt{w}_{0}\mathtt{w}_{1}\ldots \mathtt{w}_{k_{n}-1}\right)=\mathcal{C}_{n}\left(c_{n}\left(\mathtt{w}_{0}\right),c_{n}\left(\mathtt{w}_{1}\right),\ldots,c_{n}\left(\mathtt{w}_{k_{n}-1}\right)\right).$$

In particular, the prewords are

$$P_{n+1} = \left\{ c_n \left(\mathbf{w}_0 \right) c_n \left(\mathbf{w}_1 \right) \dots c_n \left(\mathbf{w}_{k_n-1} \right) : \mathbf{w}_0 \mathbf{w}_1 \dots \mathbf{w}_{k_n-1} \in \mathbf{W}_{n+1} \right\}.$$

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Functor ${\mathcal F}$

Suppose that \mathbb{K} is built from a construction sequence $(\mathbb{W}_n)_{n\in\mathbb{N}}$ and \mathbb{K}^c has the circular construction sequence $(\mathcal{W}_n)_{n\in\mathbb{N}}$ as constructed above. Then we define a map \mathcal{F} by

$$\mathcal{F}(\mathbb{K}) = \mathbb{K}^{c}.$$

Philipp Kunde (UHH)

Properties of the functor

Theorem (Foreman-Weiss 2019)

The functor \mathcal{F} preserves

- weakly mixing extensions,
- compact extensions,
- factor maps,
- certain types of isomorphisms,
- the rank-one property,
- ...

M. Foreman and B. Weiss From Odometers to Circular Systems: A Global Structure Theorem. Journal of Modern Dynamics, 15: 345–423, 2019.

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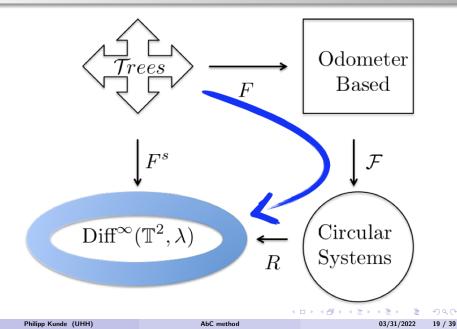
Warning (Gerber-K 2022)

The functor \mathcal{F} does NOT preserve Kakutani equivalence.

Philipp Kunde (UHH)

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Overview of the proof



Real-analytic topology

Real-analytic diffeomorphisms of \mathbb{T}^2 homotopic to the identity have a lift of type

$$F(x_1, x_2) = (x_1 + f_1(x_1, x_2), x_2 + f_2(x_1, x_2)),$$

where the functions $f_i : \mathbb{R}^2 \to \mathbb{R}$ are real-analytic and \mathbb{Z}^2 -periodic for i = 1, 2.

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Definition

For any $\rho > 0$ we consider the set of real-analytic \mathbb{Z}^2 -periodic functions on \mathbb{R}^2 , that can be extended to a holomorphic function on

$$\mathcal{A}^
ho=\left\{(z_1,z_2)\in\mathbb{C}^2\ :\ | ext{im}\,(z_i)|<
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$$||f||_{\rho} = \sup_{(z_1, z_2) \in A^{\rho}} |f(z_1, z_2)|.$$

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•
$$\|f\|_{\rho} = \sup_{(z_1, z_2) \in A^{\rho}} |f(z_1, z_2)|.$$

• $C^{\omega}_{\rho}(\mathbb{T}^2)$: set of these functions satisfying the condition $\|f\|_{\rho} < \infty$

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Real-analytic diffeomorphisms of \mathbb{T}^2 homotopic to the identity have a lift of type

$$F(x_1, x_2) = (x_1 + f_1(x_1, x_2), x_2 + f_2(x_1, x_2)),$$

where the functions $f_i : \mathbb{R}^2 \to \mathbb{R}$ are real-analytic and \mathbb{Z}^2 -periodic for i = 1, 2.

Definition

For any $\rho > 0$ we consider the set of real-analytic \mathbb{Z}^2 -periodic functions on \mathbb{R}^2 , that can be extended to a holomorphic function on

$$\mathcal{A}^
ho=\left\{(z_1,z_2)\in\mathbb{C}^2\ :\ | ext{im}\,(z_i)|<
ho ext{ for }i=1,2
ight\}.$$

•
$$||f||_{\rho} = \sup_{(z_1, z_2) \in A^{\rho}} |f(z_1, z_2)|.$$

- $C_{\rho}^{\omega}(\mathbb{T}^2)$: set of these functions satisfying the condition $\|f\|_{\rho} < \infty$.
- Diff^ω_ρ (T², μ): set of volume-preserving diffeomorphisms homotopic to the identity, whose lift satisfies f_i ∈ C^ω_ρ (T²) for i = 1, 2.

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Anti-classification result for real-analytic diffeos

Theorem (Banerjee-K)

For every $\rho > 0$ the measure-isomorphism relation among pairs (S, T) of ergodic $\text{Diff}_{\rho}^{\omega}(\mathbb{T}^2, \mu)$ -diffeomorphisms is a complete analytic set and hence not Borel.

von Neumann's classification problem is impossible even when restricting to real-analytic diffeomorphisms of the torus

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(Anti-)classification results for circle maps

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Maps of the circle

Some notation:

- Unit circle $\mathbb{S}^1 = \mathbb{R}/\mathbb{Z}$
- Let $\pi:\mathbb{R}\to\mathbb{S}^1$ be the map $x\mapsto [x],$ where [x] is the positive fractional part of x

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- $\bullet~\mathcal{H}:$ collection of orientation-preserving homeomorphisms of \mathbb{S}^1
- For $k \in \mathbb{N} \cup \{\infty, \omega\}$ let \mathcal{H}^k be the collection of orientation-preserving C^k diffeomorphisms of \mathbb{S}^1
- $\mathcal{H}^{k+\beta}$: orientation-preserving C^k diffeomorphisms of \mathbb{S}^1 with β -Hölder continuous k-th derivative

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- $\mathcal{H}^{k+\beta}$: orientation-preserving C^k diffeomorphisms of \mathbb{S}^1 with β -Hölder continuous k-th derivative
- A lift of $f \in \mathcal{H}$ is an increasing function $F : \mathbb{R} \to \mathbb{R}$ with [F(x)] = f([x]).

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Topological Conjugacy

A well-studied equivalence relation on ${\mathcal H}$ is conjugacy by an orientation-preserving homeomorphism.

Definition (Topological Conjugacy)

Maps $f, g \in \mathcal{H}$ are conjugate by an orientation-preserving homeomorphism if there is $\varphi \in \mathcal{H}$ such that

$$\varphi \circ f \circ \varphi^{-1} = g.$$

We write $f \sim g$

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Smale proposed using Topological Conjugacy to study the *qualitative behavior* of dynamical systems.

Smale's program

Classify systems up to Topological Conjugacy.

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Definition (Rotation number)

Let $f \in \mathcal{H}$ and F be a lift of f. Define

$$\tau(F) = \lim_{n \to \infty} \frac{F^n(x) - x}{n}$$

Then $\tau(f) := [\tau(F)]$ is called the *rotation number* of f.

Some properties:

- $\tau(f)$ exists and is independent of x.
- For F_1, F_2 lifts of f we have $[\tau(F_1)] = [\tau(F_2)]$.

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Topological conjugacy

An invariant: Rotation number

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Is the rotation number a *complete numerical invariant* for Topological Conjugacy?

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Theorem (Poincaré 1885)

Let $f \in \mathcal{H}$ have an irrational rotation number. If f has a dense orbit, then $f \sim R_{\tau(f)}$.

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Theorem (Denjoy 1932)

If $f \in \mathcal{H}^2$ has an irrational rotation number, then f is transitive and hence $f \sim R_{\tau(f)}$.

Altogether: Irrational rotation numbers are complete invariants for C^2 -diffeomorphisms up to Topological conjugacy.

(Anti-)classification results for circle maps

Smooth conjugacy

And for smooth conjugacy?

Question

Are there complete numerical invariants for orientation-preserving diffeomorphisms of the circle up to conjugation by orientation-preserving diffeomorphisms?

A number α is called Diophantine of class $D(\nu)$ for $\nu \ge 0$, if there exists C > 0 such that

$$\left| lpha - rac{p}{q}
ight| \geq rac{\mathcal{C}}{q^{2+
u}} \; \; ext{for every} \; p \in \mathbb{Z} \; ext{and} \; q \in \mathbb{N}.$$

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A number α is called *Diophantine* if it is in $D(\nu)$ for some $\nu \ge 0$.

An irrational number α is called *Liouville* if it is not Diophantine, that is, for every C > 0 and every $n \in \mathbb{N}$ there are infinitely many pairs $p \in \mathbb{Z}$, $q \in \mathbb{N}$ such that

$$0 < \left| \alpha - \frac{p}{q} \right| < \frac{C}{q^n}.$$

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Theorem (Herman 1979)

If $f \in \mathcal{H}^{\infty}$ (respectively, $f \in \mathcal{H}^{\omega}$) has a Diophantine rotation number α , then f is C^{∞} -conjugate (respectively, C^{ω} -conjugate) to R_{α} .

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Theorem (Yoccoz 1984, Katznelson-Ornstein 1989)

If $f \in \mathcal{H}^k$ has a rotation number α in $D(\nu)$ with $k > \nu + 2$, then f is $C^{k-1-\nu-\varepsilon}$ -conjugate to R_{α} for every $\varepsilon > 0$.

Some negative results

Theorem (Arnold 1961)

There exists $f \in \mathcal{H}^{\omega}$ such that $f = \varphi \circ R_{\tau(f)} \circ \varphi^{-1}$ with a nondifferentiable homeomorphism φ .

Inductive construction within the family of circle diffeomorphisms induced by

$$F_{\alpha}(x) = x + \alpha + \mu \sin(2\pi x)$$

Some negative results

Theorem (Arnold 1961)

There exists $f \in \mathcal{H}^{\omega}$ such that $f = \varphi \circ R_{\tau(f)} \circ \varphi^{-1}$ with a nondifferentiable homeomorphism φ .

Inductive construction within the family of circle diffeomorphisms induced by

$$F_{\alpha}(x) = x + \alpha + \mu \sin(2\pi x)$$

Conjugacies of intermediate regularity (Hasselblatt-Katok 1995)

There are examples of $f \in \mathcal{H}^{\infty}$ conjugate to some irrational rotation R_{α} via a conjugacy φ with any one of the following properties:

- φ is singular
- $\bullet \ \varphi$ is absolutely continuous, but not Lipschitz continuous
- φ is C^k , but not C^{k+1} , where $k \in \mathbb{N}$ is arbitrary.

Matsumoto 2011&2012, K 2018: Conjugacies of other intermediate regularity for prescribed Liouville rotation number.

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AbC method

No complete numerical invariants

Theorem (K)

Let C be the collection of circle homeomorphisms with regularity (D), where (D) could be any degree of regularity from Hölder to C^{∞} . Then there is no complete numerical invariant for C-conjugacy of orientation-preserving C^{∞} diffeomorphisms of the circle.

Reduction

The main tool is the idea of a reduction for equivalence relations.

Definition (Reduction)

Let X and Y be Polish spaces (i.e. separable completely metrizable topological spaces) and $E \subseteq X \times X$, $F \subseteq Y \times Y$ be equivalence relations.

A function
$$f : X \to Y$$
 reduces E to F
if and only if
for all $x_1, x_2 \in X$: x_1Ex_2 if and only if $f(x_1)Ff(x_2)$.

Such a function f is called a Borel (respectively, continuous) reduction if f is a Borel (respectively, continuous) function.

We write $E \preceq_{\mathcal{B}} F$ (respectively, $E \preceq_{\mathcal{C}} F$)

"F is at least as complicated as E"

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Equality equivalence relation

For complete numerical invariants:

Equality equivalence relation

For a Polish space Y we let $=_Y \subseteq Y \times Y$ be the equality equivalence relation.

If Y is a Polish space, then there is a Borel injection $g : Y \to \mathbb{R} \setminus \mathbb{Q}$. Let f be a Borel reduction of any equivalence relation $E \subseteq X \times X$ to $(Y, =_Y)$. Then $g \circ f$ is a Borel reduction of (X, E) to $(\mathbb{R}, =_R)$.

Thus we can assume that Borel reductions to any $=_Y$ can be changed to Borel reductions to equality on the real numbers.

Equivalence relation E_0

Equivalence relation E_0

Let ${\it E}_0$ be the equivalence relation on $\{0,1\}^{\mathbb N}$ defined by setting

 $\mathbf{a}E_0\mathbf{b}$ if and only if there is $N \in \mathbb{N}$ such that $a_m = b_m$ for all m > N.

for $\mathbf{a} = (a_n)_{n \in \mathbb{N}}, \mathbf{b} = (b_n)_{n \in \mathbb{N}} \in \{0, 1\}^{\mathbb{N}}.$

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We can use this to exclude the existence of complete numerical invariants:

Fact

Suppose that *E* is an equivalence relation on an uncountable Polish space *X* and $E_0 \preceq_{\mathcal{B}} E$. Then $E \not\preceq_{\mathcal{B}} =_{\mathbb{R}}$.

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Let $\mathcal{H}^{\infty}_{\alpha}$ be the collection of orientation-preserving C^{∞} -diffeomorphisms with rotation number $\alpha \in \mathbb{S}^1$.

Proposition

Let $\alpha \in \mathbb{S}^1$ be a Liouville number. There is a continuous one-to-one map

 $\Psi:\{0,1\}^{\mathbb{N}}\to\mathcal{H}^\infty_\alpha$

such that for any two sequences $\mathbf{a} = (a_n)_{n \in \mathbb{N}}$ and $\mathbf{b} = (b_n)_{n \in \mathbb{N}}$ the following properties hold:

- If there is $N \in \mathbb{N}$ such that $a_n = b_n$ for every $n \ge N$, then the C^{∞} -diffeomorphisms $\Psi(\mathbf{a})$ and $\Psi(\mathbf{b})$ are C^{∞} -conjugate.
- If there are infinitely many n ∈ N with a_n ≠ b_n, then the C[∞]-diffeomorphisms Ψ(a) and Ψ(b) are not Hölder-conjugate.

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Using the notions from Descriptive Set Theory:

Corollary

Let C be the collection of circle homeomorphisms with regularity (D), where (D) could be any degree of regularity from Hölder to C^{∞} . Then there is a continuous reduction from E_0 to the C-conjugacy relation of orientation-preserving C^{∞} -diffeomorphisms of the circle.

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Using the notions from Descriptive Set Theory:

Corollary

Let C be the collection of circle homeomorphisms with regularity (D), where (D) could be any degree of regularity from Hölder to C^{∞} . Then there is a continuous reduction from E_0 to the C-conjugacy relation of orientation-preserving C^{∞} -diffeomorphisms of the circle.

Hence, there is no complete numerical invariant for C-conjugacy of orientation-preserving C^{∞} diffeomorphisms of the circle.

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Ideas of proof: Building Ψ

Inductive construction of $T_{\mathbf{a}} := \Psi(\mathbf{a}) \in \mathcal{H}^{\infty}_{\alpha}$ via the AbC method:

$$T_{\mathbf{a},n} = H_{\mathbf{a},n} \circ R_{\alpha_{n+1}} \circ H_{\mathbf{a},n}^{-1}$$

with conjugation maps

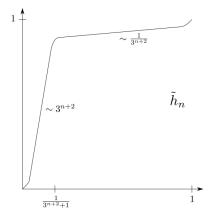
$$H_{\mathbf{a},n} = H_{\mathbf{a},n-1} \circ h_{\mathbf{a},n}$$

with C^{∞} -diffeomorphism $h_{\mathbf{a},n}$ satisfying

$$h_{\mathbf{a},n} \circ R_{\frac{1}{q_n}} = R_{\frac{1}{q_n}} \circ h_{\mathbf{a},n}.$$

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Ideas of proof: Conjugation map $h_{a,n}$



Let h_{q_n} be the q_n -fold lift of \tilde{h}_n . Then

$$h_{\mathbf{a},n} = \begin{cases} h_{q_n} & \text{if } a_n = 0, \\ h_{q_n}^{-1} & \text{if } a_n = 1. \end{cases}$$

Ideas of proof: Convergence of $(T_{a,n})_n$

Note:

$$\|h_{\mathbf{a},n}\|_r \leq C_n q_n^r$$

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Ideas of proof: Convergence of $(T_{a,n})_n$

Note:

$$\left\|h_{\mathbf{a},n}\right\|_{r} \leq C_{n}q_{n}^{r}$$

Then:

$$\begin{split} & d_{n}(T_{\mathbf{a},n}, T_{\mathbf{a},n-1}) \\ &= \left\| H_{\mathbf{a},n} \circ R_{\alpha_{n+1}} \circ H_{\mathbf{a},n}^{-1} - H_{\mathbf{a},n-1} \circ R_{\alpha_{n}} \circ H_{\mathbf{a},n-1}^{-1} \right\|_{n} \\ &= \left\| H_{\mathbf{a},n} \circ R_{\alpha_{n+1}} \circ H_{\mathbf{a},n}^{-1} - H_{\mathbf{a},n-1} \circ R_{\alpha_{n}} \circ h_{\mathbf{a},n} \circ h_{\mathbf{a},n}^{-1} \circ H_{\mathbf{a},n-1}^{-1} \right\|_{n} \\ &= \left\| H_{\mathbf{a},n} \circ R_{\alpha_{n+1}} \circ H_{\mathbf{a},n}^{-1} - H_{\mathbf{a},n-1} \circ h_{\mathbf{a},n} \circ R_{\alpha_{n}} \circ h_{\mathbf{a},n}^{-1} \circ H_{\mathbf{a},n-1}^{-1} \right\|_{n} \\ &= \left\| H_{\mathbf{a},n} \circ R_{\alpha_{n+1}} \circ H_{\mathbf{a},n}^{-1} - H_{\mathbf{a},n-1} \circ R_{\alpha_{n}} \circ H_{\mathbf{a},n}^{-1} \right\|_{n} \\ &\leq C_{n} \cdot \left\| H_{n} \right\|_{n+1}^{n+1} \cdot \left\| R_{\alpha_{n+1}} - R_{\alpha_{n}} \right\|_{n} \\ &\leq C_{n} \cdot q_{n}^{(n+1)^{2}} \cdot |\alpha_{n+1} - \alpha_{n}| \\ &\leq C_{n} \cdot q_{n}^{(n+1)^{2}} \cdot 2 \cdot |\alpha - \alpha_{n}| \,, \end{split}$$

which can be made small since α is Liouville.

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Ideas of proof

We consider $T_{\mathbf{a},n} \to T_{\mathbf{a}} = H_{\mathbf{a}} \circ R_{\alpha} \circ H_{\mathbf{a}}^{-1}$ and $T_{\mathbf{b},n} \to T_{\mathbf{b}} = H_{\mathbf{b}} \circ R_{\alpha} \circ H_{\mathbf{b}}^{-1}$.

The conjugation maps $H_{\mathbf{b},n}H_{\mathbf{a},n}^{-1} \to H_{\mathbf{b}}H_{\mathbf{a}}^{-1}$ in \mathcal{H} .

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• If $\mathbf{a}E_0\mathbf{b}$, then there is $N \in \mathbb{N}$ such that $a_n = b_n$ for all n > N. Hence:

$$\begin{aligned} H_{\mathbf{b},n}H_{\mathbf{a},n}^{-1} &= H_{\mathbf{b},N} \circ h_{\mathbf{b},N+1} \circ \cdots \circ h_{\mathbf{b},n} \circ h_{\mathbf{a},n}^{-1} \circ \cdots \circ h_{\mathbf{a},N+1}^{-1} \circ H_{\mathbf{a},N}^{-1} \\ &= H_{\mathbf{b},N}H_{\mathbf{a},N}^{-1} \in \mathcal{H}^{\infty}. \end{aligned}$$

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• Otherwise: $H_{b,n}H_{a,n}^{-1}$ does not converge in any Hölder space by construction of \tilde{h}_n .

Thank you very much for your attention!

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